### UNITED STATES OF AMERICA Before The SECURITIES AND EXCHANGE COMMISSION

#### ADMINISTRATIVE PROCEEDING

File No. 3-20808

In the Matter of the Application of

LEK SECURITIES CORPORATION

# **NSCC AND DTC NOTICE OF MANUAL FILING**

Please take notice that National Securities Clearing Corporation ("<u>NSCC</u>") and The Depository Trust Company ("<u>DTC</u>") (collectively, as appropriate "<u>DTCC</u>"), have manually filed the following documents by delivering them to the Office of the Secretary on a USB thumb drive pursuant to Section 4.4 of the SEC's Instructions for Electronic Filing and Service of Documents:

TAB	Date Submitted	Document Description
075	12-27-2021	Lek Exhibit 15 (NATIVE)
109	12-27-2021	Lek Exhibit 49 (NATIVE)
110	12-27-2021	Lek Exhibit 50 (NATIVE)
138	12-27-2021	Carr Attachment 010 (NATIVE)
139	12-27-2021	Carr Attachment 011 (NATIVE)
140	12-27-2021	Carr Attachment 012 (NATIVE)
169	2-24-2022	Lek Exhibit 86 Recording of Lek and FINRA Phone Call

DTCC makes this manner of filing because the documents were entered as exhibits in a file format that cannot be authentically converted to PDF format.

The electronic media containing the documents and a hard copy of this Notice have been served on the SEC and on all parties via FedEx Overnight, Tracking Numbers: 285200017657 and 285200261578.

New York, New York Dated: February 7, 2025 Respectfully submitted,

### **PROSKAUER ROSE LLP**

#### /s/ Timothy Burroughs

Margaret A. Dale Benjamin J. Catalano Timothy E. Burroughs 11 Times Square New York, New York 10036 (212) 969-3000 mdale@proskauer.com bcatalano@proskauer.com tburroughs@proskauer.com

James R. Anderson One International Place Boston, Massachusetts 02110 (617) 526-9600 jaanderson@proskauer.com

Attorneys for National Securities Clearing Corporation and The Depository Trust Company

## **CERTIFICATE OF SERVICE**

Pursuant to Rule 151(d) of the Commission's Rules of Practice, on February 7, 2025, the undersigned caused a true and accurate copy of the foregoing document and the record to be served by electronic mail on the following persons:

DTCC Corporate Secretary (corporatesecretary@dtcc.com) Kevin J. Harnisch (kevin.harnish@nortonrosefulbright.com) Ilana B. Sinkin (ilana.sinkin@nortonrosefulbright.com) Mark D. Kotwick (kotwick@sewkis.com) Paul B. Koepp (koepp@sewkis.com) Julie J. Hong (hong@sewkis.com) Anthony C.J. Nuland (nuland@sewkis.com) Paul T. Clark (clark@sewkis.com)

Dated: February 7, 2025

/s/ Timothy E. Burroughs

Timothy E. Burroughs