

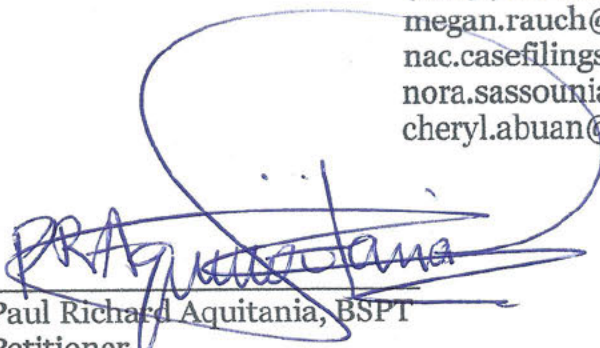
CERTIFICATE OF SERVICE

I, Paul Richard Aquitania, certify that on this 16th day of May 2022 I have brought a copy of the foregoing Notice Pursuant to Commission Rule of Practice 150, 151, 152, and 431 (a) Commission Action Make Delegated Authority. In the latter Rules of Practice under Scope of Review Consideration Action and Title 42 USC Section 1985 in the Matter of the Application of Paul Richard Aquitania. Administrative Proceeding File No. 3-20793, to be filed through the SEC's eFAP system on:

Her Excellency Madam Vanessa Countryman
Secretary Securities and Exchange Commission
100 F Street, NE Washington, DC 20549-1 090

And send by electronic mail on:

Madam Megan Rauch
Associate General Counsel, FINRA
1735K Street, NW Washington, DC 24406
(202) 728-8863
megan.rauch@finra.org
nac.casefilings@finra.org
nora.sassounian@finra.org
cheryl.abuan@finra.org


Paul Richard Aquitania, BSPT
Petitioner



BEFORE

THE UNITED STATES SECURITY AND EXCHANGE COMMISSION

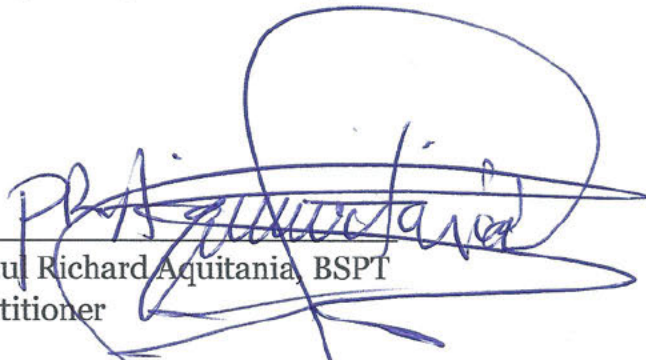
WASHINGTON, D.C. 20549

CERTIFICATE OF COMPLIANCE

File No. 3-27093

I, Paul Richard Aquitania, certify that this Motion To Compel complies with Commission's Rules of Practice by omitting or redacting any sensitive personal information describe in Rule of Practice 151(e).

Respectfully submitted by,


Paul Richard Aquitania, BSPT
Petitioner



May 16, 2022

BEFORE

THE UNITED STATES SECURITY AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

In the Matter of the Application of

Paul Richard Aquitania

File No. 3-27093

TO ALL PARTIES AND THEIR ATTORNEY OF RECORD: PLEASE COME AND TAKE NOTICE: THAT PETITIONER'S MOTION TO REQUEST TO THE COMMISSIONERS TO COMPEL EACH "SPECIFIC" INVOLVED PARTIES TO PRODUCE THE MISSING DOCUMENT FALSELY DETERMINED ALLEGE MONETARY DAMAGES CONSTITUTED, PREPARED BY FINRA ET AL & MERRILL EDGE ET AL CONTINUE FALSELY INCRIMINATE THE PETITIONER.

[Title 42 USC Section 1985]

In the aforesaid US Code supersede SEC Delegated Authority under 17 CFR 200-30-1 through 200-30-18 Pursuant to Rule 102(a) and 202 (d) and 320 Rules 320 Restates this Administrative Procedure Act (APA), standard for the reception of Evidence. 5 U.S.C. 556(c)(3) and (d) And Pursuant to Commission Rule of Practice 150, 151, 152, and 431 (a) (b) (1)(2) Commission Action to Make Delegated Authority under the latter Scope of Review.

ISSUE OF STATEMENT

In pursuant to data in the SEC letter dated March 17, 2022 humbly describe Specific time frames from SEC receipt of Petition for Review within 14 days FINRA is required to file certified copy of records and by the time Commission's Receipt of certified records another grace period of 21 days, then SEC will issue a Briefing order by email to email address on all the parties involved herein, setting Forth the deadlines for submission by Petitioner and Respondents briefs in Concert with the aforementioned rule of practices, denoting in the letter rule 450 Finra filed an extension until April 8, 2022, was conferred such [a] request to Petitioner and agreed. Petitioner's receipt of copy of records index for review In addition, SEC March 17, 2022 encompasses strict rules ameliorating the effect In case by case basis, that failure to adhere in the aforementioned Rule of Practices as well as allege requirement may results in the rejection of a brief and Failure to timely comply or cure the deficiency in a brief or failure to file a request Brief may result in the dismissal of the application another showing total Authoritarian bar none an infinite power, SEC commissioners wrote. The underlying subject matter mentioned pursuant to basic arithmetic data Is correct the SEC Commission missed the deadline, own prescriptive time Question is, what would I am going to do with all. One more question, were Other Parties received the same treatment and written dialogue or just the

Petitioner for his Petition for Review lodged on March 8, 2022 due to data
Situating in page 5 of 6 paragraphs 5, follows with April 20, 2022 Motion To
Inform The Commissioner? Recently April 27, 2022 at 07:05am a missed call
From Respondent. At 13:24pm the same day Petitioner Respondent and had
Telephonic conversation with FINRA'S elite Lawyers, one is Ms. Parker
Who had told Petitioner that FINRA never denied a FORUM, and accused
Petitioner not filing the Motion to Inform on April 20, 202 and also said why
FINRA is involved and is on the list accused then she immediately hung up the
Phone. Petitioner redialed the number Ms. Megan Megan Rauch answered, starts
With An apology regarding her co-counsel behavior we were never on the phone
That long, because the Petitioner was very angry on Madam Rauch Complete
Denials thus portrayed herself the Magician that she can lied and lied again and
And again regarding this underlying subject missing document/documentation.
Also all calls were recorded with both parties stipulated.

List of certified copy of records submitted by FINRA to SEC did not disclose such
Record exist, albeit the copy of records index furnished to Petitioner had same
Data, no record. Your Honors, the record in question is an evidence of a crime,
And out of sheer curiosity, we all know that FINRA has specific important tasked
Gather evidence, record them but not manipulate them nor conduct them
Over powering anyone whether this Petitioner is poor, small time one, nobody,
rich, corrupt,

Criminal or otherwise Finra out of its jurisdiction when they played
Petitioner's successor by duly exercising their power to falsify record
The United States Constitution exists for the safety of others, investors and the
American people this is not flexible nor is I either they obey it or they are
History... Excellencies, there are tale tails sign allegedly you allowed this thing
Running around in your jurisdiction pardon how many ruling you made while an
Eye is closed may I know how many? Is that for the infinite power? With regard
its specifications, no offense. Petitioner's Amended Statement of Claim and
Attachment has two purposes, one is, the 24 pages are for them to read and 2nd
The other which is Entitled Monetary Investment Lost and Damages is for FINRA
to leave alone, apparently, they were never contend with one infinite power, they
Went along and tested that again, however, this time FINRA did it to a wrong
Entity, this is consistent with other previous attempt by Finra to circumvent the
Law one other is when FINRA admittedly point blank that Mr. Paul Austin is
Working for them it concerns me greatly because they all have infinite powers
Having said that, my point is they all have No authority over come anyone or
Deceive, make a elaborate scheme to scam or cheat investors, much more to
Decide in behalf of a Petitioner as to what is or are and how is much to too much
it would be, the discretion should and under only the authority of, or until a
Litigant says so. FINRA put the law in their hands earlier mentioned rule is rule,

However, the US Constitution is law and FINRA broke the law when they decided to play and corrupt this piece of Information falsely recorded an amount having Said that, put the law into their own hands, with a clear intention but to falsely Incriminate the Petitioner the same what the others did.

April 6, 2022 letter to FINRA, clearly states that prior this letter came out I still Have seen the underlying subject record online, however right after the aforesaid Letter upon subsequent ocular online inspection what I have observed next was Disappointment and total disbelief it would be inappropriate accusing FINRA of Such criminality that time would be? I am enunciating myself again, this missing FINRA's Record has a bogus data reflecting the amount or numbering alleging This was the Petitioner requested damages. For everyone to know the numeric Data showing on the attachment \$2500000+ is in no way can be construed as Real money because of their missing components such as separator and identifier With that being said the wording you can ask the Federal Reserve, am pretty sure They will agree with me, really is, jus a number with a symbol on it, it is more Like a play money. Even a genius won't be able to decode this one unless they Read my mind.

I am glad I got all the culprits, sorry SEC it does not mean I have stepped on your Toes intentionally I just have to do this because FINRA has infinite power and That what happens, a false believe you got infinite power means no longer afraid

On anyone, including the US Constitution and God, our Government, the United States Government even described and said on the back of \$1.00 bill "In God We Trust" she sees no physical evidence that God exist but put below God, why Some is acclaiming Infinite Power? While our Great Nation is the only Superpower in the universe, do not ameliorate infinite power. Do the commission has the same point of view?

Petitioner requested on April 6, 2022 that FINRA must produce the missing Record seen and printed by Petitioner prior to its disappearance on or before the Aforesaid date, a Motion Requesting FINRA to delegate their initial "Inference" as to WHAT is the amount is actually the Petitioner is claiming Would be anticipated in the foregoing 'FINRA'S Matter 21-02924. A separate Document entitled MONETARY INVESTMENT LOST AND DAMAGES, an Attachment to this Amended Statement of Claim filed December 31, 2021 dated 12/30/2021. Exhibit# A. Actually, Finra exercises their Infinite Power, a conduct Once more an Authoritarian Ruler back in the era of put in Place Wizard Style Prophecy Inserted numberings where the Petitioner have not asked nor have being asked for. FINRA'S deliberately violated Petitioner Constitutional right under which was accorded in the aforementioned US Code, bestowed upon to Petitioner by the US Congress, the mere facts is, without observing rules, decency and respect for each other is chaos, I believe it is time to say this matter is over

Before it begins. It is obviously duty to advise the SEC Commissioners of that FINRA degenerating, and certainly as this drama deepens, FINRA et al and other et al each characters bypasses normal interaction wanton acts into deviant behaviors, the aforesaid entities are no longer capable to make a decision based on impartiality, unbiased, honesty and well thoughts, hence engaging Finra with such power, is going to be a tough cookie to crack.

An example of FINRA et al amelioration and infinite power is, removing the Underlying subject evidence from FINRA's Petitioner Portal Account Furthermore, they submitted a Certified Copy of Records omitting the underlying subject matter as well as the January 25 & 28, 2022 correspondences Exhibit #B FINRA'S Certified Copy of Record Index furnished to Petitioner no record, **but** the aforementioned letters were recorded on FINRA Dispute Resolution Party Case Submission dated January 28, 2022 at 19:06:35 pm records custodian FINRA.

See exhibit #C Tracking number DR0452069 Dispute Party Submission And exhibit #D FINRA'S Copy of Record Index 3 pages the aforesaid entities Willingly uphold contractual obligations contrary to what they are being hired to do. What a provocative?

Petitioner was not consulted with regard to the underlying subject matters hence, Entrusting the bloodline of this grateful nation to allege elite, like FINRA,

To award this contractual agency with additional power, is like providing them
More weapons later put his in their head and pull the trigger can we do that,
Finra has an important mission to this Great Nation. As to their basic none
Understanding and perfidious and aggressiveness the simple fact is Finra has
Violated the carnal rule when they disobey US Constitution and the law into their
Own hands...

See. Exhibit #E motion to Inform SEC April 20th 2022

With all due respect Madam and Sirs holding FINRA et al and cohorts
Accountable is the right thing to do, the codes are utilized largely by FINRA
Denying the FORUM is baseless because it is not the code that is the issue here
But FINRA themselves are the problem. Petitioner respectfully request that the
Commissioner renders its decision based on facts, preponderance of evidence
And Under Rule of Practice 431 (a) (1) (2) and SEC 1934 et al as well as
Title 42 USC Section 1985

Recently I am also was in contact with Merrill Edge requested copy of the
Underlying subject missing document. Petitioner was advised that it will take 7
Days for Merrill Edge team facilitate and expedite the request.. See attached
Merrill Edge Correspondence May 9, 2022 Exhibit #F

This is also consistent with recent telephonic conversation with FINRA'S lawyers Earlier where Ms Parker and Ms. Rauch and other et al contended that I did not file Motion to Inform the SEC Commission on April 20, 2022 and FINRA did not Allegedly manipulate record. All has a tale tell signs of under pressures and losing *Infinite Power*, these are direct testimonies under recorded and stipulated which a disbelieved where it appears unreliable, contradictory and inherently Improbable, in addition, Ms. Parker claimed and contended that FINRA did not Declined a FORUM.

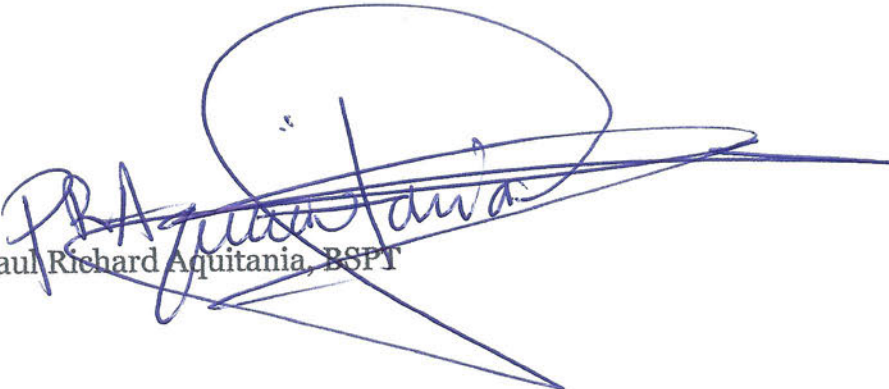
In sum, the Petitioner's evidence was consistent, credible, detailed And well established. By contrast, the Respondents et al evidence were found to be self-serving, inconsistent and inherently improbable .

Misconduct connected with the work" is a substantial breach by the Respondents et al of important duty and obligation owed to Petitioner et al and US Constitution. Willful and wanton in character, and tending to injure the US Constitution is unlawful.

The Petitioner reserve the right to be respected in his dignity, although short of Knowledge with the Laws governing this action, be given a full access to all his Investment losses due to FINRA and Merrill Edge conspiratorial malevolent and Artifices and any relief of sort that is deemed appropriate renders by the Honorable Commissioners in this action

The petitioner et al is dearly and hereby requests to Honorable SEC Commissioners to decide the underlying subject matters due to preponderance of Evidence, Merit and in the foregoing US Code, Rules of Practice and to end this thing with one final regulatory ruling in the interest of investing publics.

Respectfully Submitted By,



Paul Richard Aquitania, BSPT

May 16, 2022



Paul Richard Aquitania
 [REDACTED]
 [REDACTED]

January 28, 2022

FINRA Dispute Resolution
 Attn: Cheryl Abuan and Norma Sasossounian
 300 S. Grand Avenue, Suite 1700
 Los Angeles, CA 90071
 Tel: (213) 613-2680
 Fax: (301) 527-4766
 Westernprocessingcenter@finra.org

Re: FINRA Case ID 21-02924

Dear Sir/Madam,

This letter is to advise you that the Petitioner accessed customer online portal this morning, and notice a new file is posted, upon further examination Portal has records pertains to Petitioner submitted exhibit, a front photo copy of brown envelope with memo or note "returned mail" the underlying subject matter was initially and originally sent to Andrew Rodriguez and was returned by the US Postal Service stating Undeliverable or RFS. Also a second duplicate copy of same was provided for further clarification. The initial submission using FINRA Portal consisted of the exhibit and a couple of words such as "other" and "evidence" However, email consisted with an explanation as to what transpired furnishes FINRA's personnel and Respondent's representative Cereo Unal via electronic communalization, which Petitioner tried his best attaching the underlying subject matter but yahoo e-mail system won't accommodate the size scanned document, hence, Petitioner have actually decided to availing FINRA customer portal service. The aforementioned exhibit is separated with the letter of explanation.

Please see attached copy of the email to FINRA and Ceren Unal as well as Ms. Cheryl Abuan letter to Petitioner situated in FINRA Customer portal dated 12-31-2021. Record shows Mr. Andrew Rodriguez specific address with Finra's Submission Agreement, the same address utilized by Petitioner.

Thanks so much, if you may have any further questions, please do not hesitate to contact me.

Sincerely,


 Paul R. Aquitania, BSPT

encl: Email to FINRA & Cerna Unal 1-25-2022 and Ms. Abuan correspondence 12-31-21

(1)

EXHIBIT #B

FINRA Dispute Resolution Party Case Submission

Your form was submitted to FINRA successfully. Please print this page if you wish to retain a copy for your records.

Tracking Number: DR0452069

Case ID: 21-02924

Case Name: Paul Richard Aquitania vs. Merrill Lynch Pierce Fenner & Smith Inc., Paul Vincent Austin, Andre L. Green, Andre Dupre, et al.

Submitted By: Paul Aquitania

Submitted Date: 01/28/2022 07:06:38 PM

Payment Method: I request a waiver of the fees associated with this filing.

Attachments

Attachment Type	File Name	Description
Other	Response to FINRA Portal Posted Document 1-28-22.pdf	SUPPORTING EVIDENCE
Other	Letter To FINRA 1-25-2022.pdf	SUPPORTING EVIDENCE
Other	Service Letter to Andrew Rodriguez (2).pdf	Your Letter 12-31-21

I affirm that I have complied with FINRA rules relating to protecting personal confidential information in documents filed with FINRA

Document Service

Serve Attachments on ALL Parties in this Case

I affirm that I am serving a copy of these documents on all other parties to this case, either through the DR Portal by using the "Serve Attachments" option on this form or by other means for parties not required to use the DR Portal. For parties I am serving by other means, I am providing proof of service. (Exception: You are not required to serve a copy of your arbitrator or mediator ranking sheet or fee waiver request to the opposing parties.)

EXHIBIT B # B

Paul Richard Aquitania



January 25, 2022

FINRA Dispute Resolution
300 S. Grand Avenue, Suite 1700
Los Angeles, CA 90071
Tel: (213) 613-2680
Fax: (301) 527-4766
westemprocessingcenter@finra.org

Subject: Mail Returned

re: FINRA CASE ID: 21-02924

Dear Sir and Madam,

The electronic communication provide earlier and this letter is to advise you that the US Postal Service returned one of the Respondent's mails addressed to Mr. Andrew Rodriguez, Vice President Merrill.

US Postal Service returned the underlying subject matter back to sender noting that the addressee had refused to accept and acknowledge the service mail.

In pursuant to US Postal Service protocol a memo regarding a refusal by addressee is utilized to address this issue. Governing US Postal Service Mandated Law

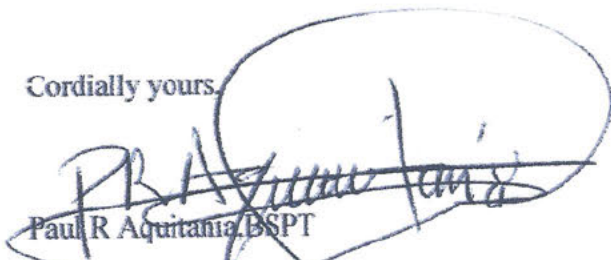
The recipient address was entered correctly as provided by Ms Abuan

Thank you, please find attached photocopy of mail and US Postal memo.

The US Postal Service comment made in front of the large brown envelope was submitted a moment ago via FINRA Portal.

Thanks so much and God Blessed

Cordially yours,


Paul R Aquitania, BSPT

Enclosure: Front Photocopy of Mail

EXHIBIT A B

FINRA Dispute Resolution Party Case Submission

Your form was submitted to FINRA successfully. Please print this page if you wish to retain a copy for your records.

Tracking Number: DR0452069

Case ID: 21-02924

Case Name: Paul Richard Aquitania vs. Merrill Lynch Pierce Fenner & Smith Inc., Paul Vincent Austin, Andre L. Green, Andre Dupre, et al.

Submitted By: Paul Aquitania

Submitted Date: 01/28/2022 07:06:38 PM

Payment Method: I request a waiver of the fees associated with this filing.

Attachments

Attachment Type	File Name	Description
Other	Response to FINRA Portal Posted Document 1-28-22.pdf	SUPPORTING EVIDENCE
Other	Letter To FINRA 1-25-2022.pdf	SUPPORTING EVIDENCE
Other	Service Letter to Andrew Rodriguez (2).pdf	Your Letter 12-31-21

I affirm that I have complied with FINRA rules relating to protecting personal confidential information in documents filed with FINRA

Document Service

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I affirm that I am serving a copy of these documents on all other parties to this case, either through the DR Portal by using the "Serve Attachments" option on this form or by other means for parties not required to use the DR Portal. For parties I am serving by other means, I am providing proof of service. (Exception: You are not required to serve a copy of your arbitrator or mediator ranking sheet or fee waiver request to the opposing parties.)

EXHIBIT # C

FINRA Dispute Resolution Party Case Submission

Your form was submitted to FINRA successfully. Please print this page if you wish to retain a copy for your records.

Tracking Number: DR0452069

Case ID: 21-02924

Case Name: Paul Richard Aquitania vs. Merrill Lynch Pierce Fenner & Smith Inc., Paul Vincent Austin, Andre L. Green, Andre Dupre, et al.

Submitted By: Paul Aquitania

Submitted Date: 01/28/2022 07:06:38 PM

Payment Method: I request a waiver of the fees associated with this filing.

Attachments

Attachment Type	File Name	Description
Other	Response to FINRA Portal Posted Document 1-28-22.pdf	SUPPORTING EVIDENCE
Other	Letter To FINRA 1-25-2022.pdf	SUPPORTING EVIDENCE
Other	Service Letter to Andrew Rodriguez (2).pdf	Your Letter 12-31-21

I affirm that I have complied with FINRA rules relating to protecting personal confidential information in documents filed with FINRA

Document Service

Serve Attachments on ALL Parties in this Case

I affirm that I am serving a copy of these documents on all other parties to this case, either through the DR Portal by using the "Serve Attachments" option on this form or by other means for parties not required to use the DR Portal. For parties I am serving by other means, I am providing proof of service. (Exception: You are not required to serve a copy of your arbitrator or mediator ranking sheet or fee waiver request to the opposing parties.)

Handwritten signature and initials in blue ink, consisting of a stylized signature followed by the letter 'C'.

FINRA Dispute Resolution Party Case Submission

Your form was submitted to FINRA successfully. Please print this page if you wish to retain a copy for your records.

Tracking Number: DR0445229

Case ID: 21-02924

Case Name: Paul Richard Aquitania vs. Merrill Lynch Pierce Fenner & Smith Inc., Paul Vincent Austin, Andre L. Green, Andre Dupre, et al.

Submitted By: Paul Aquitania

Submitted Date: 01/02/2022 10:47:09 PM

Payment Method: I request a waiver of the fees associated with this filing.

Attachments

Attachment Type	File Name	Description
Proof of Service	Proof Of Service January 2, 2022.pdf	
Other	United Airlines Priority Boarding March 3, 2015 and eTicket Itinerary and Receipt January 25, 2015-Copy.pdf	SUPPORTING EVIDENCE
Cover Letter	Letter to Finra 12-31-21.pdf	Letter To FINRA 12-31-21

I affirm that I have complied with FINRA rules relating to protecting personal confidential information in documents filed with FINRA

Document Service

Serve Attachments on ALL Parties in this Case

I affirm that I am serving a copy of these documents on all other parties to this case, either through the DR Portal by using the "Serve Attachments" option on this form or by other means for parties not required to use the DR Portal. For parties I am serving by other means, I am providing proof of service. (Exception: You are not required to serve a copy of your arbitrator or mediator ranking sheet or fee waiver request to the opposing parties.)

FINRA's Voluntary Mediation Program

I am interested in FINRA's voluntary mediation program. Please contact me.

EXHIBIT # B

EXHIBIT A-D

**CERTIFICATION OF THE RECORD
TO THE SECURITIES AND EXCHANGE COMMISSION**

IN THE MATTER OF THE APPLICATION OF

Paul Richard Aquitania

ADMIN. PROC. FILE NO. 3-20793

(FINRA Office of Dispute Resolution Arbitration No. 21-02924)

DESCRIPTION	BATES NO.
Claimant's Exhibits Produced Prior to Filing Statement of Claim, submitted November 25, 2021	000001
Claimant's Exhibits Produced Prior to Filing Statement of Claim, submitted November 29, 2021	000101
Deficiency Notice to Claimant, dated November 29, 2021	000139
Claimant's Exhibit Produced Prior to Filing Statement of Claim, submitted December 2, 2021	000141
Deficiency Notice to Claimant, dated December 23, 2021	000143
First Statement of Claim, submitted December 25, 2021	000145
First Amended Statement of Claim and Exhibits, dated December 27, 2021	000171
Deficiency Notice to Claimant's First Statement, dated December 27, 2021	000623
Deficiency Notice to Claimant's First Amended Statement of Claim, dated December 27, 2021	000625
Second Amended Statement of Claim, dated December 28, 2021	000627
Deficiency Notice to Claimant's Second Amended Statement of Claim, dated December 28, 2021	000639
Third Amended Statement of Claim, dated December 29, 2021	000641
Fourth Amended Statement of Claim, dated December 29, 2021	000671
Fifth Amended Statement of Claim and Exhibit, dated December 29, 2021	000701

EXHIBIT # 1

DESCRIPTION	BATES NO.
Deficiency Notice to Claimant's December 29, 2021 Submissions dated December 29, 2021	000729
Sixth Amended Statement of Claim, dated December 30, 2021	000731
Seventh Amended Statement of Claim, dated December 31, 2021	000759
Corrected Statement of Claim, dated December 31, 2021	000791
Letter to Claimant Denying the Expedited Proceeding Request, dated December 31, 2021	000821
Letter to Claimant Granting the Fee Deferral Request, dated December 31, 2021	000823
Letter to Claimant re Assigned Case Administrator, dated December 31, 2021	000825
Letter to Michael E. Bury re: Notification of Being Named Party to Arbitration, dated December 31, 2021	000827
Letter to Claimant re: Notification of Paul Vincent Austin's FNRA Membership or Registration as Inactive, dated December 31, 2021	000835
Notification to Parties re: Preliminary Situs, dated December 31, 2021	000839
Notification to Parties re: Amended Statement of Claim, dated December 31, 2021	000841
Letter to Paul Vincent Austin re: Notification of Being Named Party to Arbitration, dated December 31, 2021	000843
Letter to Parties re: California Out of State Attorney Requirements, dated December 31, 2021	000845
Ninth Amended Statement of Claim, dated January 6, 2022	000847
Claimant's Amended Submission Agreement, dated January 6, 2022	000857
Notification to Parties re: Amended Statement of Claim, dated January 7, 2022	000859
Claimant's Proof of Service for Michael E. Bury and Merrill Lynch, dated January 8, 2022	000861
Claimant's Proof of Service for Andrew Rodriguez and Merrill Lynch dated January 8, 2022	000863

EXHIBIT # D

DESCRIPTION	BATES NO.
Denial of Forum Letter, dated January 25, 2022	000867
APPEAL DOCUMENTATION	
Claimant's Application for Review, dated March 8, 2022 (Received by FINRA March 18, 2022)	000869
SEC Acknowledgement Letter, dated March 17, 2022 (Received by FINRA March 18, 2022)	000983
FINRA's Notice Pursuant to Commission Rule of Practice 102(d)(2), dated March 29, 2022	000985
FINRA's Motion to Extend Time to File the Certified Record, dated March 29, 2022	000987
SEC Extension Order, dated March 30, 2022	000991
Claimant's Motion to Produce, dated April 6, 2022	000993

CERTIFICATE OF SERVICE

I, Paul Richard Aquitania, certify that on this 20th day of April 2022, I brought a copy of the foregoing Notice Pursuant to Commission Rule of Practice 154 and 222 subsection (3), in the matter of the In the Matter of the Application of Paul Richard Aquitania, Administrative Proceeding File No. 3-20793, to be filed through the SEC's eFAP system on:

Vanessa Countryman, Secretary Securities
And Exchange Commission 100 F Street, NE
Washington, DC 20549-1090

And served by electronic mail on:

Madam Megan Rauch Megan Rauch
Associate General Counsel FINRA
1735 K Street, NW Washington, DC 20006
(202) 728-8863
megan.rauch@finra.org

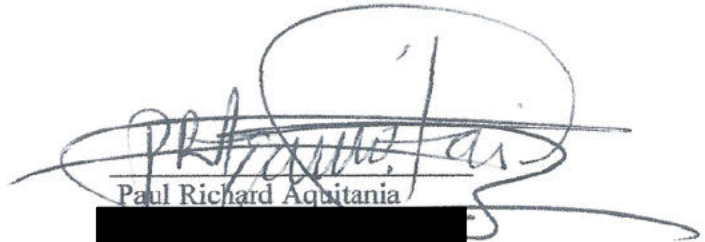


Paul Richard Aquitania


EXHIBIT # E

BEFORE THE
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, DC 20549

In the Matter of the Application of
Paul Richard Aquitania
File No. 3-20793

NOTICE PURSUANT TO COMMISSION RULE OF PRACTICE 154 and 222 (3)

Pursuant to Commission Rule of Practice 154 and 222 (3), the undersigned represents as Pro-Per, in the above-referenced proceeding. Petitioner business address, and the Undersigned's contact information is set forth below.

Respectfully submitted,


Paul Richard Aquitania, BSPI



April 20, 2022

EXHIBIT # E

BEFORE THE
UNITED STATES SECURITY AND EXCHANGE COMMISSION
WASHINGTON, D.C.

In the Matter of the Application of
Paul Richard Aquitania

File No. 3-20793

MOTION TO INFORM COMMISSIONERS THAT THE
DAMAGE TO EACH SPECIFIC WRONGFUL ACTS HAS YET TO BE
DETERMINED EITHER MONETARY OR OTHERWISE [IF]

Pursuant to Rule 102 (a) and 202 (d) and 320 Rule 320 restates this Administrative Procedure Act (APA), standard for the reception of evidence. 5 U.S.C. § 556(c)(3) and (d) And Rule of Practices 154 and 222 Subjection (3) Finra had a meliorating the effects of authoritarianism bar none in Finra matter no. 21-02924, The Petitioner actually have requested on April 6, 2022 FINRA to Produce record that says this is the actual Petitioner's requests damages. See...attached Exhibit # A April 6, 2022 Motion Requesting FINRA to delegate their initial "inference" as to what amount the Petitioner is being anticipated in that aforementioned FINRA matter and Damages, a separated attachment to Amended Statement of Claim filed 12-31-2021. Exhibit #B Actually, Finra came up with the monetary numbering themselves as to why said entity removed this evidence on the FINRA's Petitioner Portal Account. We all can see the effects of authoritarianism, even a very basic implied analogy

(1)

EXHIBIT # E

The aforesaid entity willingly uphold contractual obligations in contrary as what they being hired to do. Petitioner is not consulted with regard to Finra put in Place a conduct of a Wizard style Prophecy inserted numberings where Petitioner Is not asking or have not asked.

If they can do whatever they want do without observing rules, then engaging Finra is going to be a tough cookies to crack, hence, entrusting the bloodline of this grateful nation to allege elite, like FINRA, to award this contractual agency With more power, is like providing them more weapon later put this in their head pull the trigger, we cannot do that, Finra has an important mission to this Great Nation, as to their basic none understanding and perfidious and aggressiveness the simple fact is that, rule is rule and US Constitution is the Law which Finra has violated when they put the law into their own Hand.

Director arguing that responsibilities, how in the good Lord's name the Finra's Director managed to dismiss the matter while alleging Customer Code Rule 12203(a) or Industry Code Rule 13203(a) states dispute inappropriate, or that Accepting the matter would pose a risk to the health or safety of arbitrators, staff, or parties or their representatives argued heavily with constant imposition of the Word "Responsibilities"

Inappropriate and risk so scary Director says such a thing when he or she can not Even supervise own people, act indeed put to risk and inappropriate not Finra's Personnel or the Director wellbeing, rather to Petitioner and future Petitioners may become a subject of intolerance and dogmatism by FINRA. . When Finras' staff stood atop of the Petitioner rights, maladroitly apparently

(2)

EXHIBIT #E

Acted with malice and sole purpose is to violated a carnal rule obviously put
Everyone and Petitioner at risk and inappropriately and awkward position what
It says to you Sir and Madam as such doing the matter in question isn't
Completely the Director's adaptableness for the aforesaid codes but largely
Themselves are problem not the codes neither Petitioner but record
Botching and decision in January 25, 2022 is inherently a self-serving and
Inherently improbable

I am reluctantly to partake in such aforesaid behavior with Finra exercising' it's

Too much Power it's like David is fighting Goliath.

Respectfully submitted,



Paul R. Aquilana, BSPT

Merrill
1400 American Blvd
NJ2-140-02-00
Pennington, NJ 08534



RH 000000 141 956 022765 #01 AB 0.461
940783209520509151017
PAUL RICHARD AQUITANIA

May 9, 2022

Re: Merrill Matter Number L202232465

Dear Paul Aquitania,

Thank you for your May 3, 2022 contact regarding your experience with Merrill.

At Merrill, we strive to deliver the highest quality of service to our clients. We appreciate the comments that were brought to our attention and welcome the opportunity to assist.

If the issues raised have not yet been addressed, you will hear from us after we have completed our review.

Sincerely,

Mimi Tappin
Research and Resolution Specialist

Questions or Concerns?

If you have any questions, please contact our Investment Center at 1.877.653.4732. Representatives are available to assist you 24 hours a day, seven days a week.

EXHIBIT #7