

**UNITED STATES OF AMERICA**  
**Before the**  
**SECURITIES AND EXCHANGE COMMISSION**

**ADMINISTRATIVE PROCEEDING**  
**File No. 3-20659**

**In the Matter of**

**HUGHE DUWAYNE  
GRAHAM,**

**Respondent.**

**DIVISION OF ENFORCEMENT'S  
NOTICE OF SERVICE AND STATUS  
REPORT**

The Division of Enforcement of the Securities and Exchange Commission (the "Division") respectfully submits this Notice of Service and Status Report pursuant to the Commission's September 1, 2023 Order Regarding Service of Amended Order Instituting Administrative Proceedings. *See Hughe Duwayne Graham*, Securities Exchange Act Rel. No. 98273 (September 1, 2023) (the "Order"). The Order directed the Division to file a status report concerning service of the amended order instituting administrative proceedings ("amended OIP") in this matter, and every 28 days thereafter until service is accomplished.

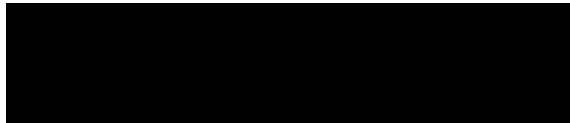
The Division reports that it attempted to serve Hughe Duwayne Graham ("Graham") with a copy of the amended OIP by sending a copy via certified mail to his last known address on or about August 24, 2023. It is unclear whether the certified mail reached Graham, as the tracking history for the mail indicates notice was left but does not indicate whether it was in fact delivered or otherwise received.

///

///

On September 26, 2023, the Division sent a copy of the amended OIP to a process server to personally serve Graham and on September 27, 2023, Graham was personally served. *See Exhibit 1 (signed Return of Service).*

Respectfully submitted this 29th day of September, 2023.



Michael E. Welsh (Mass. Bar No. 693537)  
Casey R. Fronk (Ill. Bar No. 6296535)  
United States Securities and Exchange Commission  
Salt Lake Regional Office  
351 S. West Temple, Suite 6.100  
Salt Lake City, UT 84101  
(801) 524-5796  
*Counsel for the Division of Enforcement*

**CERTIFICATE OF SERVICE**

Pursuant to Rule 150(a) of the Commission's Rules of Practice, I hereby certify that on the 29<sup>th</sup> day of September, 2023, I caused to be served a true and correct copy of the foregoing **NOTICE OF SERVICE AND STATUS REPORT** to the following persons entitled to notice as follows:

*Via U.S.P.S. First Class Mail*

Mr. Hughe Duwayne Graham

[REDACTED]

*Respondent*

*/s/ Marlea Furlong*  
Marlea Furlong