BEFORE THE

SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C.

In the Matter of the Application of

Michael Allen Neuenschwander

For Review of Action Taken By

FINRA

File No. 3-20592

UNOPPOSED MOTION TO WITHDRAW WITHOUT PREJUDICE

Applicant, Michael Allen Neuenschwander by and through counsel, hereby respectfully submits this Motion to Withdraw the above-captioned Application for Review of Action Taken by FINRA without prejudice. FINRA's Counsel has confirmed he does not oppose this motion.

Dated: October 28, 2021

Respectfully submitted,

Owen Harnett, Managing Attorney

T: (720) 515-9069

E: <u>legal.harnettt@hlbslaw.com</u>
E: owen.harnett@hlbslaw.com

HLBS Law

9737 Wadsworth Parkway, Suite G-100

m/fm

Westminster, CO 80021

Benjamin Winograd Associate Attorney

T: (720)780-2450

E: benjamin.winograd@hlbslaw.com

HLBS Law

9737 Wadsworth Parkway, Suite G-100

Westminster, CO 80021

CERTIFICATE OF SERVICE

I, James Bellamy, certify that on October 28, 2021, I caused a copy of the foregoing Unopposed Motion to Withdraw Without Prejudice in the Matter of the Application of Michael Allen Neuenschwander File No. 3-20592, to be filed through the SEC's eFAP system and served by electronic mail on:

The Office of the Secretary Securities and Exchange Commission 100 F St., NE Room 10915 Washington, D.C. 20549-1090

> Michael M. Smith Associate General Counsel FINRA 1735 K Street, NW Washington, DC 20006 michael.smith@finra.org

Alan Lawhead
Vice President and Director – Appellate Group
Office of General Counsel
FINRA
1735 K Street, NW
Washington, D.C. 20006
alan.lawhead@finra.org

General Counsel FINRA 1735 K Street, NW Washington, DC 20006

Email: nac.casefilings@finra.org

[X] (STATE) I certify (or declare) under penalty of perjury under the laws of the State of Colorado that the foregoing is true and correct.

__/s/James Bellamy_ James Bellamy 9737 Wadsworth Pkwy Suite G-100 Westminster, CO 80021