

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION

ADMINISTRATIVE PROCEEDING
File No. 3-20235

In the Matter of

**GREGORY MOATS
SAMPSON,**

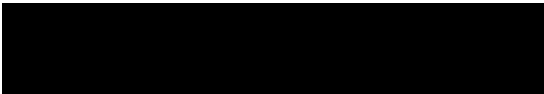
Respondent.

**THIRD STATUS REPORT AND PROOF
OF SERVICE OF THE ORDER
INSTITUTING PROCEEDINGS
PURSUANT TO SECTION 203(F) OF
THE INVESTMENT ADVISERS ACT OF
1940 AND NOTICE OF HEARING**

The Division of Enforcement of the Securities and Exchange Commission (the “Division”) respectfully submits this Third Status Report and Proof of Service of the Order Instituting Proceedings Pursuant to Section 203(F) of the Investment Advisers Act of 1940 and Notice of Hearing (“OIP”). Respondent was personally served in accordance with Rules of Practice 141 and 150(c)(1).

The Division attaches hereto as Exhibit A the Return of Service showing that Respondent was served on October 20, 2023 with the OIP as well as other documents previously filed by the Division, as listed in the attached.

Respectfully submitted this 6th day of November 2023.


Casey R. Fronk
fronkc@sec.gov
Michael Welsh
welshmi@sec.gov
Counsel for Division of Enforcement
351 South West Temple, Suite 6.100
Salt Lake City, UT 84101
Telephone: (801) 524-5796

CERTIFICATE OF SERVICE

Pursuant to Rule 150(a) of the Commission's Rules of Practice, I hereby certify that on the 6th day of November 2023, I delivered a true and correct copy of the foregoing to the following persons entitled to notice as follows:

Via U.S. Mail:

Mr. Gregory Moats Sampson



/s/ Misty Reiter