

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION

ADMINISTRATIVE PROCEEDING
File No. 3-20235

In the Matter of

**GREGORY MOATS
SAMPSON,**

Respondent.

SECOND STATUS REPORT

The Division of Enforcement of the Securities and Exchange Commission (the “Division”) respectfully submits this Status Report pursuant to the Commission’s September 8, 2023 Second Order Regarding Service. *See Gregory Moats Sampson*, Securities Exchange Act Rel. No. IA 6401 (September 8, 2023) (the “Order”). The Order directed the Division to file proof of service of the order instituting administrative proceedings (“OIP”) in compliance with Rule of Practice 142 (a)(3), or in the alternative, file a status report by October 6, 2023.

The Division reports that it has liaised with the Office of the Secretary (“OS”), and that OS was unable to provide the Division with proof of service on Sampson in compliance with the requirements of Rule 141(a)(2)(i) of the Commission’s Rules of Practice. However, the Division is currently arranging for personal service of the OIP upon the Respondent to ensure that service

is completed in this case and will file proof of personal service on or before the due date of the next status report, assuming service can be effectuated by that time.

Respectfully submitted this 3rd day of October 2023.



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CERTIFICATE OF SERVICE

Pursuant to Rule 150(a) of the Commission's Rules of Practice, I hereby certify that on the 3rd day of October 2023, I delivered a true and correct copy of the foregoing **STATUS REPORT** to the following persons entitled to notice as follows:

Via U.S. Mail:

Mr. Gregory Moats Sampson



/s/ Misty Reiter