

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
ADMINISTRATIVE PROCEEDING
File No. 3-19838

In the Matter of

JOHN CHRISTOPHER POLIT,

Respondent.

_____ /

**RESPONDENT JOHN CHRISTOPHER POLIT'S
REQUEST FOR ORAL ARGUMENT**

Respondent John Christopher Polit respectfully requests oral argument before the full Commission on whether the dismissal of this proceeding (which both the Division of Enforcement and he believe is mandated) be expressly stated to be “with prejudice.” Mr. Polit submits that this issue is of recurring significance, and is particularly important given the fact that this is a follow-on proceeding arising from what the Commission has characterized as a “conviction” under the laws of Ecuador. Oral argument would be beneficial to the Commission and would be of interest to the Bar and to the broker-dealer and investment adviser communities.

Respectfully submitted,

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/s/ Richard E. Brodsky

By: _____

Richard E. Brodsky
Florida Bar No. 322520

CERTIFICATE OF SERVICE

I HEREBY CERTIFY that I caused a copy of the foregoing to be served by email on the following this 19th day of February 2021:

Office of the Secretary: apfilings@sec.gov
Alice K. Sum, Division of Enforcement, sumal@sec.gov
Andrew Schiff, Division of Enforcement: schiffa@sec.gov

/s/ Richard E. Brodsky

Richard E. Brodsky