

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
ADMINISTRATIVE PROCEEDING
File No. 3-19838

In the Matter of

JOHN CHRISTOPHER POLIT,

Respondent.

_____ /

**RESPONDENT JOHN CHRISTOPHER POLIT'S
NOTICE OF FILING DOCUMENT MENTIONED BUT
NOT FILED WITH PARTIAL JOINDER WITH ENFORCEMENT'S
MOTION TO DISMISS, AND REQUEST FOR OTHER RELIEF**

Respondent John Christopher Polit hereby gives notice that it is filing with the Office of the Secretary and serving on the Division of Enforcement the decision of the National Court of Justice of Ecuador reversing the decision of the trial court finding Respondent guilty of the crime of being an accessory to extortion and the decision of the intermediate appellate court upholding that verdict.

In his Partial Joinder with Enforcement Division's Motion to Dismiss, and Request for Other Relief, Mr. Polit stated, in n. 1, that "[a] copy of the order issued by the court in Spanish, which in a PDF file of over 24 MB, is too bulky to be served by email. We will contact the Office of the Secretary to determine how it should be served on it and on the Division's attorneys. Mr. Polit is arranging for an authoritative English translation of the order and we will file it when it is received." The English translation is still not ready.

After review of the recent Amendments to the Commission's Rules of Practice, Release No. 34-90442 (effective January 30, 2021), we are serving this document by dividing it into three

parts and emailing each part to the Office of the Secretary and the Division in three separate emails. The decision is contained within a PDF of 54 pages. The decision itself consists of 49 pages. Each part will consist of 18 PDF pages. The first part will consist of the certificate of authenticity and pages 1-14 of the decision. The second part will consist of pages 15-32 of the decision. The third part will consist of pages 33-49 of the decision and a blank page of the decision as it was received by the Respondent.

Respectfully submitted,

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/s/ Richard E. Brodsky

By: _____
Richard E. Brodsky
Florida Bar No. 322520

CERTIFICATE OF SERVICE

I HEREBY CERTIFY that I caused a copy of the foregoing to be served by email on the following this 18th day of February 2020:

Office of the Secretary: apfilings@sec.gov
Alice K. Sum, Division of Enforcement, sumal@sec.gov
Andrew Schiff, Division of Enforcement: schiffa@sec.gov

/s/ Richard E. Brodsky

Richard E. Brodsky