

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

ADMINISTRATIVE PROCEEDING
File No. 3-19720

In the Matter of

DANIEL B. VAZQUEZ, SR.,

Respondent.

DECLARATION OF PATRICIA PEI IN
SUPPORT OF DIVISION OF
ENFORCEMENT'S MOTION
FOR ENTRY OF DEFAULT
JUDGMENT AND REMEDIAL
SANCTIONS

I, Patricia Pei, pursuant to 28 U.S.C. § 1746, declare as follows:

1. I am an attorney admitted to practice law by the State Bar of California. I am currently a Senior Counsel in the Los Angeles Regional Office of the SEC. I am the investigative attorney assigned to this matter. I have personal knowledge of the matters set forth below, or knowledge based upon my review of the file, and, if called as a witness, could and would testify competently thereto under oath.

2. On February 15, 2017, I conducted a telephone interview of Alwin Springer. Mr. Springer informed me that he had invested in New Economic Opportunities Fund I, LLC ("NEON"), an investment vehicle created and managed by Daniel B. Vazquez, Sr., in or around 2012. Mr. Springer stated that in 2015, he asked Mr. Vazquez if he could withdraw some of his NEON investment to pay for the medical bills of a close family member. Mr. Springer told me that he pursued this question with Mr. Vazquez for several months, and that Mr. Vazquez made repeated excuses for why he could not give Mr. Springer his money. According to Mr. Springer, he never received any payments from NEON.

3. On February 15, 2017, I conducted a telephone interview of Macyl Roberts. In

his interview, Mr. Roberts stated that he had invested in Mr. Vazquez's NEON project. Mr. Roberts's counsel, who was also present on the call, confirmed that this investment was made in 2011. Mr. Roberts told me that in 2015, he informed Mr. Vazquez that he wanted a distribution from his investment in order to remodel his home. Mr. Roberts said he repeatedly asked Mr. Vazquez for his money, but Mr. Vazquez made excuses and delayed paying him. According to Mr. Roberts, he never received any payments from NEON.

4. On February 9, 2017, I conducted a telephone interview of Gary Attalla. Mr. Attalla told me that he invested in NEON in or around 2011. Mr. Attalla recalled receiving a single payment of purported profits on his NEON investment in 2013 or 2014. Mr. Attalla claimed he never received any other payments from NEON. According to Mr. Attalla, Mr. Vazquez repeatedly made excuses for why Mr. Attalla would not be able to withdraw his investment. Mr. Attalla stated that after June of 2016, Mr. Vazquez stopped responding to Mr. Attalla's numerous attempts to contact him via text, email, and telephone.

5. On August 10, 2017, I conducted a telephone interview of Claude Wiedmer. Mr. Wiedmer told me he invested in NEON in or around 2013. Mr. Wiedmer claimed that despite numerous attempts to call Mr. Vazquez, he had been unable to reach him since February 2015. According to Mr. Wiedmer, he never received any payments from NEON.

I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct.

Executed this 2nd day of February, 2022, in Los Angeles, California.



Patricia Pei

In the Matter of Daniel B. Vazquez, Sr.
Administrative Proceeding File No. 3-19720
SERVICE LIST

Pursuant to Commission Rule of Practice 151 (17 C.F.R. §201.151), I certify that the attached:

**DECLARATION OF PATRICIA PEI IN SUPPORT OF DIVISION OF
ENFORCEMENT'S MOTION FOR ENTRY OF DEFAULT JUDGMENT AND
REMEDIAL SANCTIONS**

was served on February 4, 2022, upon the following parties:

Vanessa Countryman, Secretary
Securities and Exchange Commission
100 F. Street, N.E., Mail Stop 1090
Washington, DC 20549-1090

(By eFAP only)

Mr. Daniel B. Vazquez, Sr.
[REDACTED]

(By UPS Overnight)

Dated: February 4, 2022



Lynn M. Dean