

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION

ADMINISTRATIVE PROCEEDING
File No. 3-19720

In the Matter of

DANIEL B. VAZQUEZ, SR.,

Respondent.

**DIVISION OF ENFORCEMENT’S NOTICE OF NON-RECEIPT OF OPPOSITION
TO MOTION FOR ENTRY OF DEFAULT JUDGMENT AND SANCTIONS**

The Division of Enforcement (“Division”) respectfully gives notice that Respondent has failed to oppose its Motion for Entry of Default Judgment and Remedial Sanctions.

On December 10, 2021, the Division filed its motion for entry of default judgment and sanctions against Respondent. According to the Scheduling Order, any opposition to that motion was due on or before December 15, 2021.

Rule 151(a) of the Commission’s Rules of Practice provides that, “Papers required to be filed with the Commission must be received within the time limit, if any, for such filing.” As of the date of this filing, no opposition to the Division’s motion has been served on Division counsel, nor has the Division been notified that any opposition has been filed electronically by Respondent. Respondent has therefore failed to timely oppose the Division’s motion.

For all the reasons set forth in the Division’s motion, and based on Respondent’s lack of any timely-filed opposition contesting any of the factual or legal issues set forth in the Division’s motion, the Division’s motion should be granted. *See, e.g., In the Matter of E-Waste Sys., Inc.*, Release No. 1352 (Feb. 14, 2019) (Init. Decision) (finding liability and imposing sanctions where respondent “never filed an opposition” to motion for summary disposition); *In the Matter of Philip A. Lehman*, Release No. 309 (Mar. 20, 2006) (Init. Decision) (finding liability and imposing sanctions where respondent failed to “file an opposition to the Division's motion within the time allowed by the Commission's Rules of Practice”), *aff’d*, Release No. 2565 (Oct. 27, 2006) (Comm. Opin.).

December 22, 2021

Respectfully submitted,



Lynn M. Dean (323) 965-3245
Securities and Exchange Commission
Los Angeles Regional Office
Securities and Exchange Commission
444 South Flower Street, Suite 900
Los Angeles, CA 90071

CERTIFICATE OF SERVICE


I certify that on December 22, 2021, I caused the foregoing document to be served on the following persons, by electronic mail, facsimile, or by UPS overnight mail as stated:

Vanessa Countryman, Secretary
Securities and Exchange Commission
100 F. Street, N.E., Mail Stop 1090
Washington, DC 20549-1090

(By eFAP only)

Mr. Daniel B. Vazquez, Sr.
[REDACTED]

(By UPS Overnight)



Lynn M. Dean