

HARD COPY
FINANCIAL INDUSTRY REGULATORY AUTHORITY
OFFICE OF HEARING OFFICERS

DEPARTMENT OF ENFORCEMENT,

Complainant,

v.

KJM SECURITIES, INC.
(CRD No. 20277),

Respondent

RECEIVED

JAN 13 2020

OFFICE OF THE SECRETARY

Expediting Proceeding
No.FPI190006

STAR No. 20190634989

Vanessa A. Countryman
Office of the Secretary

Administrative File No. 3-19631

MOTION TO STAY
REGARDING NOTICE OF EXPEDITED HEARING PANEL DECISION

BACKGROUND:

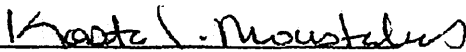
KJM Securities, Inc. has engaged its PCAOB Auditors to perform its 2019 Annual Audit. The firm has provided all documentation that has been requested. Our Auditors have been fully paid as per our engagement letter. We await our Opinion Letter which has not been received as of date. Late Fees have been paid.

MOTION TO STAY
REGARDING NOTICE OF EXPEDITED HEARING PANEL DECISION

KJM Securities, Inc. in its continued efforts to resolve the claims of The Department of Enforcement hereby files a Motion to Stay regarding the Decision and Order dated November 1st, 2019 and its effectiveness of Suspension and Expulsion.

KJM Securities, Inc.

Dated: November 30, 2019



Kosta J. Moustakas
President and CEO
914-793-7043
admin@kjmsecuritiesinc.com

Copies To:

Vanessa A. Countryman
Secretary
U.S. Securities and Exchange Commission
100 F Street NE
Mail Stop 1090- Room #10915
Washington, DC 20549-1090

Mr. Alan Lawhead, Director- Appellate Group
FINRA
Office of General Counsel
1735 K Street, NW
Washington, DC 20006

David R. Sonnenberg
Hearing Officer for the Panel
via (E-mail)



OFFICE OF
THE SECRETARY

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

Business Fax No.
(703) 813-9793

December 23, 2019

Administrative Proceeding
File No. 3-19631

Kosta J. Moustakas
President and CEO
KJM Securities, Inc.
48 Sagamore Road, Suite 29
Bronxville, NY 10708

Dear Mr. Moustakas:

This will acknowledge receipt on December 23, 2019 of an application you filed, seeking review by the Commission of an expedited hearing panel decision made by FINRA regarding Proceeding No. FP1190006, STAR No. 20190634989 dated November 1, 2019.

The Commission's review proceeding will be conducted in accordance with its Rules of Practice, 17 CFR 201.100, et seq., which are available online at: <https://www.sec.gov/about/rules-of-practice-2016.pdf>.

Within 14 days after its receipt of your application, FINRA is required to file a certified copy of the record in your proceeding, along with an index to the record. You will receive a copy of the index to the record for your use in preparation of your brief(s) to the Commission.

Within 21 days of the Commission's receipt of the certified record, we will issue a briefing schedule order, setting forth the deadlines for submission by you and FINRA of your briefs. In submitting your briefs, please note that:

- Rule 150 requires that all papers be served upon counsel for FINRA;
- Rule 151 requires the filing of a certificate of service on counsel for FINRA with all papers;

Kosta J. Moustakas
Page Two

- Rule 152 requires that parties file an original and three copies of all papers; and
- Rule 450 limits the length of briefs and requires that briefs provide specific references to the certified record.

Failure to adhere to these requirements may result in the rejection of a brief. Failure to timely cure defects in a brief or failure to file a required brief may result in the dismissal of your application.

Sincerely,



Eduardo A. Aleman
Deputy Secretary

cc: Alan Lawhead, Esq., VP and Director – Appellate Group
FINRA