

December 11, 2019



Blair E Olsen

PO 5521

Carefree, AZ 85377

[REDACTED]

3-19629

Office of the Secretary

US Securities and Exchange Commission

100 F Street, NE

Mail stop 1090

Washington, DC 20549

To whom it may concern;

Notice is hereby given of appeal of finra ruling 2018058798801, of which I received notification on 11/29/2019. Notification of hearing etc should be sent to the appellant at the above listed address.

Regards,

A handwritten signature in black ink that reads "B E Olsen". The letters are cursive and somewhat stylized.

B E Olsen

[REDACTED]@hughes.net

[REDACTED]

Notice

CRD® or IARD(TM) Information: This report contains information from the CRD (Central Registration Depository) system, or the IARD system (Investment Advisers Registration Depository), which are operated by FINRA, a national securities association registered under the Securities Exchange Act of 1934. The CRD system primarily contains information submitted on uniform broker-dealer and agent registration forms and certain other information related to registration and licensing. The IARD system primarily contains information submitted on uniform investment adviser and agent registration forms and certain other information related to registration and licensing. The information on Uniform Forms filed with the CRD or IARD is deemed to have been filed with each regulator with which the applicant seeks to be registered or licensed and shall be the joint property of the applicant and such regulators. The compilation constituting the CRD database as a whole is the property of FINRA. Neither FINRA nor a participating regulator warrants or guarantees the accuracy or the completeness of the CRD or IARD information. CRD information consists of reportable and non-reportable information.

FINRA operates the CRD system in its capacity as a registered national securities association and pursuant to an agreement with the North American Securities Administrators Association, Inc. (NASAA).

FINRA operates the IARD system as a vendor pursuant to a contract with the Securities and Exchange Commission and undertakings with NASAA and participating state regulators.

Reportable Information: Information that is required to be reported on the current version of the uniform registration forms.

Non-Reportable Information: Information that is not currently reportable on a uniform registration form. Information typically is not reportable because it is out-of-date; it was reported in error; or some change occurred either in the disposition of the underlying event after it was reported or in the question on the form that elicited the information. Although not currently reportable, this information was once reported on a uniform form and, consequently, may have become a state record. Users of this information should recognize that filers have no obligation to update non-reportable data; accordingly, it may not reflect changes that have occurred since it was reported.

Details for Request#: 23794543
Report: Snapshot - Individual
Requested By: MAC

<u>Parameter Name</u>	<u>Value</u>
Request by CRD# or SSN:	CRD#
Individual CRD# or SSN	1545765
Include Personal Information?	Yes
Include All Registrations with Employments:	Both Current and Previous Employments
Include All Registrations for Current and/or Previous Employments with:	All Regulators
Include Professional Designations?	Yes
Include Employment History?	Yes
Include Other Business?	Yes
Include Exam Information?	Yes
Include Continuing Education Information? (CRD Only)	Yes
Include Filing History? (CRD Only)	Yes
Include Current Reportable Disclosure Information?	Yes
Include Regulator Archive and Z Record Information? (CRD Only)	Yes

Individual 1545765 - OLSEN, BLAIR EDWARDS

Administrative Information

Composite Information

Full Legal Name OLSEN, BLAIR EDWARDS
State of Residence AZ
Active Employments <<No Current Active Employments found for this Individual.>>
Reportable Disclosures? Yes
Statutory Disqualification? SDRQRSRVW
Registered With Multiple Firms? No
Material Difference in Disclosure? No

Personal Information

Individual CRD# 1545765
Other Names Known By OLSEN, BLAIR EDWARD
Year of Birth 1961

Registrations with Current Employer(s)

<<No Registrations with Current Employer(s) found for this Individual.>>

Registrations with Previous Employer(s)

From 01/03/2017 To 07/17/2019 LINCOLN INVESTMENT(519)

Reason for Termination Discharged

Termination Comment Failure to provide information requested pursuant to FINRA rule 8210 by stated deadline.

Regulator	Registration Category	Status Date	Registration Status	Approval Date
AZ	AG	07/18/2019	TERMED	01/03/2017
CO	RA	07/18/2019	T_NOREG	
FINRA	IR	07/18/2019	TERMED	01/03/2017
ND	AG	07/18/2019	TERMED	01/03/2017

From 08/04/2011 To 07/17/2019 LEGEND ADVISORY, LLC(104761)

Reason for Termination Discharged

Termination Comment Failure to provide information requested pursuant to FINRA rule 8210 by stated deadline.

Regulator	Registration Category	Status Date	Registration Status	Approval Date
AZ	RA	07/26/2019	TERMED	08/17/2011

From 04/21/2004 To 01/03/2017 LEGEND EQUITIES CORPORATION(30999)

Reason for Termination Voluntary

Termination Comment MASS TRANSFER = 45251994

Regulator	Registration Category	Status Date	Registration Status	Approval Date
AZ	AG	01/03/2017	MASS_TRNSF	04/22/2004
FINRA	IR	01/03/2017	MASS_TRNSF	04/22/2004
ND	AG	01/03/2017	MASS_TRNSF	07/24/2009

From 01/01/1994 To 03/26/2004 LEGEND EQUITIES CORPORATION(30999)

Reason for Termination Other

Termination Comment FAILS TO RESPOND TIMELY TO INQUIRIES MADE BY HOME OFFICE/REP HAS

Individual 1545765 - OLSEN, BLAIR EDWARDS

Administrative Information

Registrations with Previous Employer(s)

ALIGNED HIMSELF WITH A TERMINATED REP WHO HAS INDICATED DIVERGENT BUSINESS INTERESTS WITH OUR FIRM (A DESIRE TO MOVE TO ANOTHER BROKER/DEALER) DUE TO THIS FACT THE FIRM FEELS THAT THIS RR'S ALLEGIENCE MAYBE STRONGER WITH THE OTHER TERMINATED REP THAN WITH OUR FIRM, POSSIBLY CREATING A CONFLICT OF INTEREST WITH OUR FIRM.

Regulator	Registration Category	Status Date	Registration Status	Approval Date
AZ	AG	03/29/2004	TERMED	01/01/1994
CO	AG	08/08/2001	TERMED	03/21/1999
FINRA	IR	03/29/2004	TERMED	01/01/1994
TX	AG	08/08/2001	TERMED	01/17/2001

From 04/01/1990 To 01/01/1994 LEGEND CAPITAL CORPORATION(4149)

Reason for Termination Administrative Termination

Termination Comment Administrative Termination

Regulator	Registration Category	Status Date	Registration Status	Approval Date
AZ	AG	01/01/1994	TERMED	04/30/1990
FINRA	IR	01/01/1994	TERMED	04/16/1990

From 07/29/1986 To 04/01/1990 THE VARIABLE ANNUITY MARKETING COMPANY(5081)

Reason for Termination Voluntary

Termination Comment

Regulator	Registration Category	Status Date	Registration Status	Approval Date
FINRA	IR	05/04/1990	TERMED	11/13/1986

Individual 1545765 - OLSEN, BLAIR EDWARDS

Administrative Information
Office of Employment History
 Independent Contractor Yes

Office of Employment Address

CRD Branch#	Branch Code#	Firm Billing Code	Registered Location?	Private Residence?	Address Start Date	Address End Date	Type of Office	
			No	Yes	08/04/2011	07/17/2019	Located At	
			Address [REDACTED] Carefree, AZ 85377 United States					
IA Main			Yes	No	08/04/2011	07/17/2019	Supervised From	
			Address 4600 EAST PARK DRIVE, SUITE 300 PALM BEACH GARDENS, FL 33410-4258 United States					

From 04/2004 To 01/2017

Name LEGEND EQUITIES CORPORATION(30999)

Independent Contractor Yes

Office of Employment Address

CRD Branch#	Branch Code#	Firm Billing Code	Registered Location?	Private Residence?	Address Start Date	Address End Date	Type of Office	
411049			Yes	Yes	06/26/2009	01/03/2017	Located At	
			Address [REDACTED] CAREFREE, AZ 85377-5521 United States					
396507			Yes	No	05/14/2009	06/25/2009	Supervised From	
			Address 2315 E. SPEEDWAY BLVD TUCSON, AZ 85719 United States					
			No	Yes	05/14/2009	06/25/2009	Located At	
			Address [REDACTED], P.O. BOX 2800-160 CAREFREE, AZ 85377 United States					
179336			Yes	No	04/22/2004	05/14/2009	Supervised From	
			Address 655 N. ALVERNON WAY, SUITE 208 TUCSON, AZ 85711 United States					
340339			Yes	No	07/30/2007	08/02/2007	Located At	
			Address 2315 E. SPEEDWAY BLVD. TUCSON, AZ 85719 United States					
			No	Yes	04/21/2004	11/18/2005	Located At	
			Address P.O. BOX 1987 CAVE CREEK, AZ 85331-1987 United States					

From 01/1994 To 03/2004

Name LEGEND EQUITIES CORPORATION(30999)

Independent Contractor No

Office of Employment Address

CRD Branch#	Branch Code#	Firm Billing Code	Registered Location?	Private Residence?	Address Start Date	Address End Date	Type of Office
-------------	--------------	-------------------	----------------------	--------------------	--------------------	------------------	----------------

Individual 1545765 - OLSEN, BLAIR EDWARDS

Administrative Information

Office of Employment History

Office of Employment Address

Address 1501 W. FOUNTAINHEAD PKWY., STE. 490
 TEMPE, AZ 85282 United States
 No No 01/01/1994 03/26/2004 Located At

From 04/1990 To 01/1994

Name LEGEND CAPITAL CORPORATION(4149)

Independent Contractor No

Office of Employment Address

CRD Branch#	Branch Code#	Firm Billing Code	Registered Location?	Private Residence?	Address Start Date	Address End Date	Type of Office
			No	No	04/01/1990	01/01/1994	Located At
Address 3920 RCA BLVD.-SUITE 2004 PALM BEACH GARDENS, FL 33410 United States							

From 07/1986 To 04/1990

Name THE VARIABLE ANNUITY MARKETING COMPANY(5081)

Independent Contractor No

Office of Employment Address

CRD Branch#	Branch Code#	Firm Billing Code	Registered Location?	Private Residence?	Address Start Date	Address End Date	Type of Office
			No	No	07/29/1986	04/01/1990	Located At
Address 390 UNION BLVD #600 LAKEWOOD, CO 80228 United States							

Other Business

VARIOUS LIVE MUSIC ESTABLISHMENTS
 POSITION: musician NATURE: musician INVESTMENT RELATED: No NUMBER OF HOURS: 6 SECURITIES TRADING HOURS: 0 START DATE: 01/01/2007
 ADDRESS: various, Phoenix AZ 85260, United States
 DESCRIPTION: Musician

SNYDER SOLUTIONS ANIMAL RESCUE & CONSERVATION GROUP
 POSITION: President of Board of Directors NATURE: Nonprofit animal rescue & historical & environmental conservation INVESTMENT RELATED: No NUMBER OF HOURS: 30 SECURITIES TRADING HOURS: 3 START DATE: 11/16/2018
 ADDRESS: PO 5521, Carefree AZ 85377, United States
 DESCRIPTION: Preside over board meetings, facilitate rescue & conservation efforts, etc

Exam Appointments

<<No Exam Appointments found for this Individual.>>

Individual 1545765 - OLSEN, BLAIR EDWARDS

Administrative Information

Exam History

Exam	Enrollment ID	Exam Status	Status Date	Exam Date	Grade	Score	Window Dates	Exam Validity
SIE	37009212	Credit	10/01/2018				-	Valid until 07/17/2023
S6	18858985	Official Result	11/12/1986	11/12/1986	Passed		-	Valid until 07/17/2021
S63	18858986	Official Result	04/23/1990	04/23/1990	Passed		-	Valid until 07/17/2021
S65	18858988	Official Result	07/29/2011	07/28/2011	Passed		07/10/2011-11/07/2011	Valid until 07/26/2021

CE Regulatory Element Status

Current CE Status SATISFIED

CE Base Date 11/13/1986

CE Appointments

<<No CE Appointments found for this Individual.>>

Current CE

<<No Current CE found for this Individual.>>

Next CE

Window Dates	Enrollment ID	Requirement Type	Session
11/13/2021-03/12/2022	37882898	Anniversary	101
11/13/2021-03/12/2022	37882898	Anniversary	106

CE Directed Sequence History

<<No CE Directed Sequence History found for this Individual.>>

Inactive CE History Dates

<<No Inactive CE History Dates found for this Individual.>>

Previous CE Requirement Status

Requirement Type	Enrollment ID	Session	Status	Status Date	Window Dates	Result
Anniversary	35313542	106			11/13/2018-03/12/2019	
Anniversary	35313542	106	SATISFIED	03/04/2019	11/13/2018-03/12/2019	03/04/2019 - CMPLT
Anniversary	35313542	106	REQUIRED	11/13/2018	11/13/2018-03/12/2019	
Anniversary	33719088	106			11/13/2015-03/11/2016	
Anniversary	33719088	106	SATISFIED	02/24/2016	11/13/2015-03/11/2016	02/24/2016 - CMPLT
Anniversary	33719088	106	REQUIRED	11/13/2015	11/13/2015-03/11/2016	
Anniversary	32785657	106	SATISFIED	02/14/2013	11/13/2012-	02/14/2013 - CMPLT

Individual 1545765 - OLSEN, BLAIR EDWARDS

Administrative Information

Previous CE Requirement Status

Requirement Type	Enrollment ID	Session	Status	Status Date	Window Dates	Result
Anniversary	32785657	106	REQUIRED	11/13/2012	03/12/2013 11/13/2012-03/12/2013	
Anniversary	31845362	106	SATISFIED	02/22/2010	11/13/2009-03/12/2010	02/22/2010 - CMPLT
Anniversary	31845362	106	REQUIRED	11/13/2009	11/13/2009-03/12/2010	
Anniversary	30829446	106	SATISFIED	02/27/2007	11/13/2006-03/12/2007	02/27/2007 - CMPLT
Anniversary	30829446	106	REQUIRED	11/13/2006	11/13/2006-03/12/2007	
Anniversary	28111004	101		03/04/1997	11/13/1996-03/12/1997	03/04/1997 - CMPLT
Anniversary	28111004	101	GRADUATED	03/04/1997	11/13/1996-03/12/1997	
Anniversary	27681109	101			11/13/1991-03/11/1992	
Anniversary	27504518	101			11/13/1988-03/12/1989	

Filing History

Date	Type	Submitted by
11/20/2019	U6 CRD Individual	FINRA
08/20/2019	U6 CRD Individual	FINRA
08/02/2019	U5 Amendment	LINCOLN INVESTMENT (519)
07/26/2019	U5 Full	LEGEND ADVISORY, LLC (104761)
07/18/2019	U5 Full	LINCOLN INVESTMENT (519)
06/06/2019	U4 Amendment	LINCOLN INVESTMENT (519)
05/20/2019	U4 Amendment	LINCOLN INVESTMENT (519)
04/05/2019	U4 Amendment	LINCOLN INVESTMENT (519)
01/14/2019	U4 Amendment	LINCOLN INVESTMENT (519)
12/26/2018	U4 Amendment	LINCOLN INVESTMENT (519)
07/03/2018	U4 Amendment	LINCOLN INVESTMENT (519)
05/17/2018	U4 Amendment	LINCOLN INVESTMENT (519)
04/10/2017	U4 Amendment	LINCOLN INVESTMENT (519)
01/03/2017	Mass Transfer	LEGEND EQUITIES CORPORATION (30999)
01/03/2017	Mass Transfer	LINCOLN INVESTMENT (519)
07/29/2016	U4 Amendment	LEGEND EQUITIES CORPORATION (30999)
06/30/2016	BR Filing	LEGEND EQUITIES CORPORATION (30999)
08/08/2012	U4 Amendment	LEGEND EQUITIES CORPORATION (30999)
01/19/2012	BR Filing	LEGEND EQUITIES CORPORATION (30999)
01/18/2012	BR Filing	LEGEND EQUITIES CORPORATION (30999)
08/04/2011	U4 Amendment	LEGEND EQUITIES CORPORATION (30999)

Individual 1545765 - OLSEN, BLAIR EDWARDS**Administrative Information****Filing History**

Date	Type	Submitted by
06/13/2011	U4 Amendment	LEGEND EQUITIES CORPORATION (30999)
02/14/2011	U4 Amendment	LEGEND EQUITIES CORPORATION (30999)
08/27/2009	U4 Amendment	LEGEND EQUITIES CORPORATION (30999)
07/24/2009	U4 Amendment	LEGEND EQUITIES CORPORATION (30999)
06/26/2009	U4 Amendment	LEGEND EQUITIES CORPORATION (30999)
06/26/2009	BR Filing	LEGEND EQUITIES CORPORATION (30999)
06/01/2009	U4 Amendment	LEGEND EQUITIES CORPORATION (30999)
05/29/2009	U4 Willful Questions Update	LEGEND EQUITIES CORPORATION (30999)
05/14/2009	U4 Amendment	LEGEND EQUITIES CORPORATION (30999)
02/24/2009	U4 Amendment	LEGEND EQUITIES CORPORATION (30999)
12/12/2008	U4 Amendment	LEGEND EQUITIES CORPORATION (30999)
10/03/2008	U4 Amendment	LEGEND EQUITIES CORPORATION (30999)
01/18/2008	U4 Amendment	LEGEND EQUITIES CORPORATION (30999)
08/02/2007	U4 Amendment	LEGEND EQUITIES CORPORATION (30999)
07/30/2007	BR Filing	LEGEND EQUITIES CORPORATION (30999)
08/23/2006	U4 Amendment	LEGEND EQUITIES CORPORATION (30999)
11/18/2005	U4 Individual Branch Link	LEGEND EQUITIES CORPORATION (30999)
04/23/2004	U4 Amendment	LEGEND EQUITIES CORPORATION (30999)
04/22/2004	U4 Relicense All	LEGEND EQUITIES CORPORATION (30999)
03/29/2004	U5 Full	LEGEND EQUITIES CORPORATION (30999)
02/17/2004	U4 Amendment	LEGEND EQUITIES CORPORATION (30999)
08/08/2001	U5 Partial	LEGEND EQUITIES CORPORATION (30999)
01/17/2001	U4 Amendment	LEGEND EQUITIES CORPORATION (30999)
07/05/1999	U4 Conversion	LEGEND EQUITIES CORPORATION (30999)
07/05/1999	U5 Conversion	LEGEND CAPITAL CORPORATION (4149)
07/05/1999	U4 Conversion	LEGEND CAPITAL CORPORATION (4149)
07/05/1999	U5 Conversion	THE VARIABLE ANNUITY MARKETING COMPANY (5081)
07/05/1999	U4 Conversion	THE VARIABLE ANNUITY MARKETING COMPANY (5081)

Individual 1545765 - OLSEN, BLAIR EDWARDS

Reportable Events

Number of Reportable Events

Bankruptcy	0
Bond	0
Civil Judicial	0
Criminal	1
Customer Complaint	0
Internal Review	0
Investigation	0
Judgment/Lien	1
Regulatory Action	1
Termination	1

Occurrence#	1979299	Disclosure Type	Criminal
FINRA Public Disclosable	Yes	Reportable	Yes
Material Difference in Disclosure	No		

Filing ID	51838697	Form (Form Version)	U4 (05/2009)
Filing Date	06/06/2019		
Source	519 - LINCOLN INVESTMENT		
Disclosure Questions Answered	14A(1)(b)		

Criminal DRP DRP Version 05/2009

1. Organization:

- A. Organization name:
- B. Investment-related business: No
- C. Position:

2. Formal action was brought in: State Court

- A. Name of court: Maricopa County Superior COurt
- B. Location of court: Maricopa County
- C. Docket/Case#: S-0700-CR-2018001319

3. Event status:

- A. Current status: Pending

B. Event status date/Explanation:

Case Calendar:
 3/20/2018 20:00 Initial Appearance
 3/27/2018 8:30 Original Arraignment Hearing
 5/8/2018 8:15 Initial Pretrial Conference
 6/5/2018 8:31 Comprehensive PreTrial Conference
 7/5/2018 8:30 Comprehensive PreTrial Conference
 8/16/2018 8:30 Pre-Trial Conference
 8/23/2018 8:00 Trial
 09/12/2018 Conference Reset/Cont - 10/18/2018 - Pretrial reset - 11/01/2018 -
 Pretrial reset - 11/30/2018 -Pretrial reset - 12/19/2018 - Continuance past the last
 day - 01/07/2019 Hearing 04/02/2019 -Stipulation 05/07/2019- Continuance past
 the last day - Reset Date 08/112/2019. Ordered for Final Trial Management

Individual 1545765 - OLSEN, BLAIR EDWARDS

Reportable Events

Criminal DRP

DRP Version 05/2009

Conference to 8-5-2019. New Last day 09/14/2019. (Documents have been sent to Disclosure review)

4. Event and disposition disclosure detail:

A. Date first charged/Explanation: 03/20/2018

B. Event and disposition detail: Formal charge description: Aggravated Harassment 13-2921.01A1(F6) & (F5)
 Charged on the following dates: 3/8/2017 3/19/2017 5/9/2017 5/23/2017 5/23/2017 12/23/2017 8/16/2017
 No. of Counts: 7
 Felony or misdemeanor: Felony
 Plea for each charge: Not Guilty
 Disposition of charge: Other (requires explanation)
 Explanation: Pre-Trial Conference scheduled for 08/16/2018 & Trila date 08/23/2018

Date of amended charge:
 Amended charge:
 No. of Counts:
 Amended felony or misdemeanor:
 Pleas for amended charge:
 Disposition of amended charge:
 Explanation:

C. Date of disposition/Explanation:
 D. Sentence/Penalty details:

5. Comment:

Occurrence#	2032017	Disclosure Type	Judgment/Lien
FINRA Public Disclosable	Yes	Reportable	Yes
Material Difference in Disclosure	No		
Filing ID	51758882	Form (Form Version)	U4 (05/2009)
Filing Date	05/20/2019		
Source	519 - LINCOLN INVESTMENT		
Disclosure Questions Answered	14M		

Judgment/Lien DRP

DRP Version 05/2009

- Judgment/Lien Amount: \$17,920.42
- Judgment/Lien holder: Melissa Smith
- Judgment/Lien type: Civil

Individual 1545765 - OLSEN, BLAIR EDWARDS

Reportable Events

Judgment/Lien DRP		DRP Version	05/2009
4. A. Date Filed with Court/Explanation:	04/23/2019		
B. Date Individual Learned/Explanation:	05/12/2019		
5. Court:	State Court		
A. Name of court:	Maricoupa, AZ		
B. Location of court:	Maricoupa, AZ		
C. Docket/Case#:	cv2017-053483		
6. Outstanding:	Yes		
7. Not outstanding:			
A. Disposition date/Explanation:			
B. Resolution:			
8. Comment:			

Occurrence#	2041231	Disclosure Type	Termination
FINRA Public Disclosable	Yes	Reportable	Yes
Material Difference in Disclosure	No		

Filing ID	52220492	Form (Form Version)	U5 (05/2009)
Filing Date	08/02/2019		
Source	519 - LINCOLN INVESTMENT		
Disclosure Questions Answered	7F(1)		

Termination DRP **DRP Version 05/2009**

1. Firm name:	Lincoln Investment
2. Termination type:	Discharged
3. Date filed/Explanation:	07/17/2019
4. Allegation(s):	Failure to provide information requested pursuant to FINRA Rule 8210 by stated deadline. FINRA requested documents from the representative for an on-the-record testimony regarding late reporting on Form U4 disclosures. The representative failed to produce the records to FINRA by two established deadlines, as well as failed to provide the firm with copies. For more information, please contact Pearline Hone, FINRA Department of Enforcement, with matter #20180587988.
5. Product type(s):	No Product
6. Comment:	

Occurrence#	2043061	Disclosure Type	Regulatory Action
FINRA Public Disclosable	Yes	Reportable	Yes
Material Difference in Disclosure	No		

CRD® or IARD(TM) System Current As Of: 12/18/2019

Snapshot - Individual

CRD® or IARD(TM) System Report provided to: MEMBERREG

Request Submitted: 12/19/2019 8:41:33 AM

Page 18 of 18

Individual 1545765 - OLSEN, BLAIR EDWARDS

Regulator Archive and Z Records

Judgment/Lien DRP

DRP Version 05/2009

8. Comment:
