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UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION

ADMINISTRATIVE PROCEEDING
File No. 3-19590

In the Matter of

THOMAS D. CONRAD, JR.,

Respondent.

ANSWER TO ORDER INSTITUTING
ADMINISTRATIVE PROCEEDINGS
PURSUANT TO SECTION 203(f) OF THE
INVESTMENT ADVISERS ACT OF 1940.
MAKING FINDINGS, AND IMPOSING
REMEDIAL SANCTIONS

1

Paragraph I. Respondent denies proposed order is in the public interest.

II

Paragraph II A. Respondent does not admit to and does not consent to the proposed order. Respondent denies the reference to "a group of hedge funds".

Paragraph II B 2. Respondent lacks sufficient information to admit or deny the allegation.

Paragraph II B 3. Respondent denies the allegations in the Commission's complaint

III

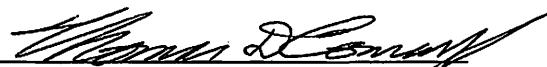
Paragraph III. Respondent denies the allegations. Respondent denies the proceedings are necessary and in the public interest.

Paragraph III A. Respondent denies the allegations.

Paragraph III B. Respondent denies remedial action is appropriate.

Respondent wishes to preserve all legal defenses and believes this proceeding is unnecessary.

18 Day of Nov, 20 19



Thomas D. Conrad

Sorento, Florida


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November 18, 2019

I am transmitting the attached answer to the Administrative proceeding referenced above on behalf of Thomas D. Conrad. At this moment he is at [REDACTED] in Atlanta Georgia [REDACTED] for a [REDACTED] and other [REDACTED].

Mr. Conrad will be 89 years old next week and has been [REDACTED] as well as other [REDACTED].

If you have any further questions or need additional information, please contact me.

A handwritten signature in cursive script that reads "Stuart Conrad".

Stuart Conrad
[REDACTED]
[REDACTED]