# UNITED STATES OF AMERICA Before the SECURITIES AND EXCHANGE COMMISSION

ADMINISTRATIVE PROCEEDING File No. 3-19489

In the Matter of

Tryon Alpha, Inc., et al.

Respondents.



# DIVISION OF ENFORCEMENT'S MOTION FOR DEFAULT AND BRIEF IN SUPPORT

The Division of Enforcement ("Division"), by counsel, pursuant to Commission Rules of Practice Rules 154(a) and 155(a)(2) respectfully moves the Securities and Exchange Commission ("Commission") for an order entering a default against Tryon Alpha, Inc. (CIK No. 1429470) ("Tryon Alpha") and Tundra Resources, Inc. (CIK No. 1080883) ("Tundra Resources") (collectively "Respondents") in this proceeding and ordering the revocation of the registration of each class of Respondents' securities registered pursuant to Section 12 of the Securities Exchange Act of 1934 ("Exchange Act").

#### Argument

On September 23, 2019, the Commission issued an Order Instituting Proceedings ("OIP") against Respondents which have securities registered with the Commission under Section 12(g) of the Exchange Act. The OIP alleges the Respondents are delinquent in filing their mandated periodic reports. Because each of the Respondents failed to answer or

otherwise respond to the OIP, the Division now seeks entry of an order finding respondents Tryon Alpha and Tundra Resources to be in default in this proceeding.

Commission Rule of Practice 220(b) states that where an answer is required to be filed by rule or order, the respondent "shall do so within 20 days after service upon the respondent of the order instituting proceedings" unless a different period is provided by rule or order. Here, the OIP requires "an Answer [to be filed] to the allegations contained in this Order within ten (10) days after service of this Order." OIP at IV. Commission Rule of Practice 160(a) provides that Saturdays, Sundays and Federal legal holidays are to be counted as part of the 10 day period, and Commission Rule of Practice 160(b) extends that time by three days if service is made by mail.

The Declaration of Gina Joyce to Assist Secretary with Record of Service (attached hereto as Exhibit A) ("Joyce Declaration") establishes that on September 25, 2019, Tryon Alpha was served with the OIP, along with a letter from the Commission's Secretary, and a letter from the Division offering discovery pursuant to Rule of Practice 230 (collectively the "Service Documents"), by Express Mail delivery at the address provided in its last EDGAR filing with the Commission, in accordance with Commission Rule of Practice 141(a)(2)(ii). Tryon Alpha's answer to the OIP was due by Tuesday, October 8, 2019. However, Tryon Alpha has neither filed an answer nor made any other response to the OIP in this proceeding.

The Joyce Declaration also establishes that on September 26, 2019, Tundra Resources was served with the Service Documents by Express Mail delivery at the address provided in its last EDGAR filing with the Commission, in accordance with Commission Rule of Practice 141(a)(2)(ii). Tundra Resources' answer to the OIP was due by Wednesday, October 9, 2019. However, Tundra Resources has neither filed an answer nor made any other response to the OIP in this proceeding.

The Respondents at issue are delinquent in their filings with the Commission and have been delinquent for over two years. In addition, the Respondents' failure to answer supports revocation of the registration of each class of the Respondents' securities. Accordingly, the Division moves for an order, pursuant to Rule 155(a)(2), finding these Respondents to be in default in this proceeding and ordering that the registration of each class of their securities registered pursuant to Exchange Act Section 12 be revoked.

#### **Conclusion**

For the reasons set forth above, the Division respectfully requests that the Commission:

1) grant the Division's Motion; 2) enter an order of default against Tryon Alpha and Tundra

Resources; and 3) revoke the registration of each class of their securities registered pursuant to

Section 12 of the Exchange Act.

Dated: November 29, 2019

Respectfully submitted,

David Misler

(202) 551-2210

Gina Joyce

(202) 551-4850

Securities and Exchange Commission 100 F Street, N.E., Room 5120

Washington, D.C. 20549-5010

COUNSEL FOR DIVISION OF ENFORCEMENT

# EXHIBIT A



# UNITED STATES OF AMERICA Before the SECURITIES AND EXCHANGE COMMISSION

ADMINISTRATIVE PROCEEDING File No. 3-19489

In the Matter of	
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## DECLARATION OF GINA JOYCE TO ASSIST SECRETARY WITH RECORD OF SERVICE

GINA JOYCE, pursuant to 28 U.S.C. § 1746, declares:

- 1. I am a Senior Counsel with the Division of Enforcement ("Division") of the Securities and Exchange Commission, and co-counsel for the Division in the captioned administrative proceeding. I am submitting this Declaration to assist the Secretary in maintaining a record of service on the respondents in the captioned proceedings pursuant to Rule 141(a)(3).
- 2. Among other means, Securities and Exchange Commission ("Commission")
  Rule of Practice 141(a)(2)(ii) permits service on a corporation or entity by delivering a
  copy of an Order Instituting Administrative Proceedings ("OIP") to an agent authorized
  by appointment or law to receive such service or, in the case of an issuer with a class of
  securities registered with the Commission, by sending the OIP via U.S. Postal Service
  certified, registered, or Express Mail and obtaining a confirmation of attempted delivery
  on the most recent address shown on the entity's most recent filing with the Commission.

Further, the OIP in this matter authorizes service of the OIP "by any means permitted by the Commission's Rules of Practice." Therefore, the Division asked the Commission's Secretary to first attempt service on the Respondents via U.S. Priority Mail Express.

- 3. The Office of the Secretary provided me with the U.S. Priority Mail Express tracking numbers for the copies of the Order Instituting Proceedings ("OIP")<sup>1</sup> that were mailed by the Secretary to the respondents in these proceedings. I input each of the tracking numbers into the U.S. Postal Service's ("USPS's") package tracking system on its Internet website located at <a href="www.usps.com">www.usps.com</a>, and printed out the tracking confirmations in order to obtain confirmation of the delivery or attempted delivery of the OIP on each respondent. A true copy of the tracking confirmations for all of the respondents for whom express mail service was attempted is attached as Exhibit 1, hereto.
- 4. The Secretary mailed the OIP to respondent Tryon Alpha, Inc., CIK 1429470 ("Tryon Alpha"), via Priority Mail Express, Tracking No. EJ 140718248 US, to the address shown on its most recent EDGAR filing at 127 North Tryon Street, Suite 308, Charlotte, NC 28202. This address is taken from Tryon Alpha's last filing with the Commission, which was a Form 10-Q filed with the Commission on February 19, 2014. The USPS tracking system confirms that the package was delivered on September 25, 2019. See Exhibit 1 at pp. 1 2.
- 5. The Secretary mailed the OIP to respondent Tundra Resources, Inc., CIK 1080883 ("Tundra Resources"), via Priority Mail Express, Tracking No. EJ 140718234 US, to the address shown on its most recent EDGAR filing at 6393 Buckaroo Avenue, Las Vegas, NV 89108. This address is taken from Tundra Resources's last filing with

<sup>&</sup>lt;sup>1</sup>Each service package included the OIP, a letter from the Commission's Secretary, and a letter from the Division offering discovery pursuant to Rule of Practice 230.

the Commission, which was a Form 12b-25 filed with the Commission on August 15, 2006. The USPS tracking system confirms that the package was delivered on September 26, 2019. See Exhibit 1 at pp. 3 - 4.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on October 21, 2019.

Sina Joyce Ginalioyde

# **USPS Tracking®**

FAQs >

### Track Another Package +

Tracking Number: EJ140718248US

Remove X

**Scheduled Delivery by** 

### **THURSDAY**

26 SEPTEMBER 2019 (1)

by **12:00pm ①** 

Feedbac

## **⊘** Delivered

September 25, 2019 at 11:57 am Delivered CHARLOTTE, NC 28202

Get Updates ✓

Text & Email Updates

Proof of Delivery

Tracking History

September 25, 2019, 11:57 am Delivered

CHARLOTTE, NC 28202

Your item was delivered at 11:57 am on September 25, 2019 in CHARLOTTE, NC 28202. Waiver of signature was exercised at time of delivery.

#### September 25, 2019, 9:26 am

Arrived at Post Office CHARLOTTE, NC 28204

#### September 25, 2019, 7:54 am

Arrived at USPS Regional Destination Facility CHARLOTTE NC DISTRIBUTION CENTER

#### September 24, 2019, 8:09 pm

Departed USPS Regional Origin Facility
LINTHICUM HEIGHTS MD DISTRIBUTION CENTER

#### September 24, 2019, 7:57 pm

USPS in possession of item ROSEDALE, MD 21237

September 24, 2019, 7:40 pm

Arrived at USPS Regional Origin Facility
LINTHICUM HEIGHTS MD DISTRIBUTION CENTER

#### **Product Information**

**\** 

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## **USPS Tracking®**

FAQs >

### Track Another Package +

Tracking Number: EJ140718234US

Remove X

**Scheduled Delivery by** 

**THURSDAY** 

26 SEPTEMBER 2019 (3:0

3:00pm ①

reedbac

## **⊘** Delivered

September 25, 2019 at 6:30 pm Delivered, In/At Mailbox LAS VEGAS, NV 89108

Get Updates ✓

Text & Email Updates

Proof of Delivery

Tracking History

September 25, 2019, 6:30 pm Delivered, In/At Mailbox LAS VEGAS, NV 89108

Feedback

Your item was delivered in or at the mailbox at 6:30 pm on September 25, 2019 in LAS VEGAS, NV 89108.

#### September 25, 2019, 2:44 pm Insufficient Address

LAS VEGAS, NV 89108

#### September 25, 2019, 9:16 am

Arrived at Post Office LAS VEGAS, NV 89128

#### September 25, 2019, 7:12 am

Departed USPS Regional Facility
LAS VEGAS NV DISTRIBUTION CENTER

#### September 25, 2019, 7:04 am

Arrived at USPS Regional Destination Facility LAS VEGAS NV DISTRIBUTION CENTER

#### September 24, 2019, 8:15 pm

Departed USPS Regional Origin Facility
LINTHICUM HEIGHTS MD DISTRIBUTION CENTER

#### September 24, 2019, 7:56 pm

USPS in possession of item ROSEDALE, MD 21237

#### September 24, 2019, 7:40 pm

Arrived at USPS Regional Origin Facility
LINTHICUM HEIGHTS MD DISTRIBUTION CENTER

#### **Product Information**

#### **CERTIFICATE OF SERVICE**

I hereby certify that true copies of the Division of Enforcement's Motion for Default and Brief in Support were served on the following on this day of November, 2019, in the manner indicated below:

By Hand: Office of the Secretary Securities and Exchange Commission 100 F Street, N.E. Washington, D.C. 20549-2557

By UPS:

Tryon Alpha, Inc. 127 North Tryon Street, Suite 308 Charlotte, NC 28202

By UPS:

Tundra Resources, Inc. 6393 Buckaroo Avenue Las Vegas, NV 89108

David Misler