

March 30, 2019

The Office of Secretary Securities and Exchange Commission
100 F Street, NE, Room 10915
Washington, D.C. 20549-1090

RECEIVED
APR 08 2019
OFFICE OF THE SECRETARY

Cc:

3-19138

Office of General Counsel, FINRA
1735 K Street, N.W.
Washington, D.C. 20006
Attn: Michael M. Smith

Notice of Appeal for Case # 2016047565702: Bruce Zipper and Dakota Securities International from the National Adjudicatory Council and FINRA.

This letter is to inform both the SEC and FINRA that both I Bruce Zipper and Dakota Securities International wish to appeal the decision listed above. The basis of appeal is that we believe FINRA and the NAC overcharged both Bruce Zipper and Dakota Securities Intl. in their decision to ban both from being able to work in the industry. We both plan to show the SEC that there was bias shown by FINRA in their decisions and a concerted effort by FINRA to remove both Bruce Zipper and Dakota Securities Intl. and will compare what they were accused of doing and their sanctions versus others in the industry (Wells Fargo and others) that were found guilty of felonies and still allowed to stay in the industry. We will show the SEC that there is a two tiered system in FINRA's decisions favoring the well connected and wealthy from the not well contacted without the necessary resources to defend themselves properly against an organization with unlimited resources and an unlimited amount of attorneys to get done what they want to in this industry. I plan on taking my case to the SEC and then, if not successful, to the Courts to tell our story. I now will have the help of the Miami Herald here in Miami who have agreed to follow our case for a report to be possibly be reported to the public and let the public know the problem which is now all to prevalent in this country that the well connected and wealthy use their money and influence to get favor from FINRA over the smaller and less influential people and companies in this industry:

Very Truly Yours,

Bruce Zipper, Ann Zipper for Dakota Securities Intl.

Bruce Zipper
Ann Zipper
821

[Redacted]
MIAMI, FL [Redacted]