



BEFORE THE
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C.

In the Matter of the Application Of
Timothy Arthur Vanderver III
For Review of Action Taken By
FINRA
File No. 3-19019

**MR. VANDERVER'S RESPONSE TO FINRA'S MOTION TO STAY FURTHER
PROCEEDINGS IN MR. VANDERVER'S PENDING APPEAL**

Timothy Arthur Vanderver III, by and through counsel, hereby submits his response to FINRA's Motion to Stay Further Proceedings ("FINRA's Motion"), filed March 14, 2019. As grounds:

1. In FINRA's Motion requested to: 1. "[S]tay the issuance of any briefing schedule at this time and" 2. "[T]o order the parties to take no other actions." See FINRA's Motion, page 2.
2. Mr. Vanderver now timely files his response pursuant to SEC Rule 154.
3. Mr. Vanderver opposes FINRA's request to stay the issuances of his briefing and that his party take no other actions. Contrary to FINRA's claim, granting a stay in Mr. Vanderver's appeal pending the resolution of another case will *not* afford Mr. Vanderver a just and speedy determination, and in fact, will cause the exact opposite result. Granting a stay in Mr. Vanderver's case extends the time of the existence of the occurrence on his public record and is therefore a continuing harm to his reputation and livelihood. Mr. Vanderver has a right to a just and speedy

determination of his appeal, and granting FINRA's request to stay Mr. Vanderver's proceeding will violate those rights. See SEC Rule 103(a).

4. Therefore, Mr. Vanderver respectfully requests that the stay not be granted and that his case move forward as quickly as possible.

Dated: March 18, 2019

Respectfully submitted,



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CERTIFICATE OF SERVICE

I, Olivia Peterson, certify that on this 18th day of March 2019, I caused the original and three copies of this Response to FINRA's Motion to Stay Further Proceedings in Mr. Vanderver's Pending Appeal to be served on:

Brent J. Fields, Secretary
Securities and Exchange Commission
100 F St., NE
Room 10915
Washington, DC 20549-1090
Fax: 202-772-9324

[X] (BY FAX) I caused the documents to be sent to the persons at the fax number listed above. I did not receive, within a reasonable time after the transmission, any electronic message or other indication that the transmission was unsuccessful.

[X] (BY MAIL) I caused the documents to be sent by US Certified Mail to the persons listed above. I did not receive notice or indication from the US Postal Service that the delivery would be unsuccessful.

[X] (STATE) I certify (or declare) under penalty of perjury under the laws of the State of Colorado that the foregoing is true and correct.

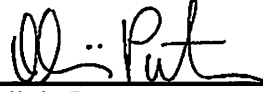
On this date, I also caused the original and three copies of this Response to FINRA's Motion to Stay Further Proceedings in Mr. Vanderver's Pending Appeal to be served on:

Alan Lawhead
Vice President and Director – Appellate Group
FINRA
1735 K Street, NW
Washington, DC 20006
Email: Alan.Lawhead@finra.org

[X] (BY EMAIL) I caused the documents to be sent to the persons at the e-mail address listed above. I did not receive, within a reasonable time after the transmission, any electronic message or other indication that the transmission was unsuccessful.

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[X] (STATE) I certify (or declare) under penalty of perjury under the laws of the State of Colorado that the foregoing is true and correct.



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