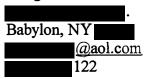
## HARD COPY

Craig S. Taddonio



Feb 26, 2019

FICE OF THE SECRE

The Office of the Secretary Securities and Exchange Commission 100 F Street, NE Room 10915 Washington, D.C. 20549-1090

3-19012

Re: Complaint No. 2015044823501: Craig S. Taddonio and Brent M. Porges Complaint No. 2015044823502: Edward Beyn

U.S Securities and Exchange Commission (SEC),

I, Craig Taddonio, hereby appeal the decision of the National Adjudicatory Council (NAC) that I failed to exercise reasonable supervision in light of red flags indicating that Beyn and other registered representatives were, or might be, excessively trading customer accounts, and thereby violated NASD Rules 3010(a) and (b) and FINRA Rule 2010. Furthermore, I feel that the penalty of barring me from associating with any FINRA member firm in any capacity is draconian/punitive.

Additionally, I appeal the decision of the National Adjudicatory Council (NAC) that I gave false testimony to FINRA in an on-the record interview, in violation of FINRA Rules 8210 and 2010. Furthermore, I feel that the penalty of barring me from associating with any FINRA member firm in any capacity is draconian/punitive.

There are multiple basis for both appeals which I intend to prove. These reasons include but are not limited to:

Based on precedent, the penalties in this hearing were significantly greater than prior disciplinary hearings where the findings were more egregious, yet the sanctions were far less. Furthermore, multiple mitigating circumstances were ignored in the NAC decision.

At the commencement of the Hearing for Oral Argument in my NAC Appeal, I attempted to withdraw the portion of my appeal relating to supervision. Although I felt the penalty of barring me from associating with any FINRA member firm in any principal capacity was draconian, I attempted to withdraw this portion of my appeal and solely appeal the Extended Hearing Panel Decision that I gave false testimony to FINRA in an on-the record interview, in violation of FINRA Rules 8210 and 2010 and the penalty of barring me from associating with any FINRA member firm in any capacity. Upon requesting the withdrawal of the portion of my appeal related to supervision, I do not believe the order of procedure was handled properly nor was I given the correct opportunity to withdraw that portion of my appeal. Additionally, I am appealing the findings and sanctions in this case due to the fact that I feel I was severely prejudiced in my ability to defend myself properly at the hearing based upon Enforcement's improper attempt at providing discovery to the Respondents in this case. Furthermore, I was wrongfully denied the opportunity to produce documents into the record during the hearing that I felt would have been crucial to the findings.

I am appealing the fact that evidence and testimony was mischaracterized by DOE and the NAC, which I believe led to an improper finding of providing false testimony and an improper sanction of a bar in all capacities.

I am also appealing the fact that the Default Decision against CSC should not have been included as evidence in the appeal hearing. During the original Pre-Hearing Conference, HO Fitzgerald stated that the default decision against the firm would not be finalized until after the hearing was concluded, so as not to prejudice the decision against the individual respondents. The default decision makes multiple statements which are not accurate, however being that they were never fought against, they could have easily prejudiced the appeal decision in this case when looked at as evidence.

In addition, this hearing was significantly impacted by the fact that FINRA allowed two witnesses to give what they knew to be false testimony throughout the investigation in an effort to smear both myself and the firm. The Panel themselves even concluded that one of the witnesses, Beyn lied under oath at his June 2016 OTR in order to injure myself and the firm and advance his own interests". No panel could have possibly come to a fair decision after hearing such a large amount of testimony that was admittedly false and intentionally designed to injure myself and the firm.

Pursuant to rule 451 of the SEC Rules of Practice, I respectfully move for oral argument before the Commission in this matter.

Sincerely,

Craig S. Taddonio

CC: Celia Passaro Office of the General Counsel FINRA 1735 K Street, N.W. Washington, D.C. 20006

