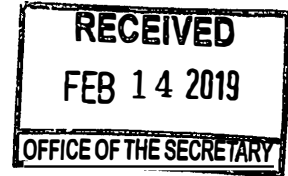


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**UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION**

In the Matter of the Application of:

**Cantone Research Inc., Anthony Cantone,
and Christine Cantone,**

For Review of Action taken by

FINRA

RE: FINRA No. 2013035130101

3-18999

APPLICATION FOR REVIEW OF FINRA DISCIPLINARY ACTION

Pursuant to Rule 9370(a) of the FINRA Code of Procedure, Section 19(d)(2) of the Securities and Exchange Act of 1934, C.F.R. §201.420, and Rule 420 of the SEC Rules of Practice, Cantone Research Inc. ("CRI"), Anthony Cantone and Christine Cantone (together "Applicants") submit this Application for Review of the disciplinary action taken against them by FINRA's National Adjudicatory Council ("NAC") in its Decision dated January 16, 2019 . In support of this submission, and pursuant to Rule 420(c), Applicants state as follows:

1. On January 16, 2018, the NAC issued a Decision affirming some findings and sanctions and modifying others imposed on Applicants by the FINRA Hearing Panel. Specifically, the NAC determined that: (1) CRI and Anthony Cantone intentionally made material omissions and misrepresentations in connection with the sales of securities in certain private placements; (2) CRI and Anthony Cantone willfully violated Section 10(b) of the Exchange Act, Rule 10b-5 promulgated thereunder, and FINRA Rules 2020 and 2010; (3) CRI and Anthony Cantone negligently made material omissions in connection with the sales of securities in violation of Sections 17(a)(2) and (3) of the Securities Act and FINRA Rule 2010; and (4) Christine Cantone

and CRI violated NASD Rule 3010(a) and FINRA Rule 2010 by failing to reasonably supervise Anthony Cantone.

2. The NAC further determined that, as a result of the above findings, the following sanctions were justified: (1) a one-year suspension of Anthony Cantone (in all capacities); (2) an additional three-month suspension of Anthony Cantone (in all capacities); (3) a two-year suspension of Christine Cantone (principal and supervisory capacities only); (4) \$223,000 in total fines plus \$17,201 in hearing costs.

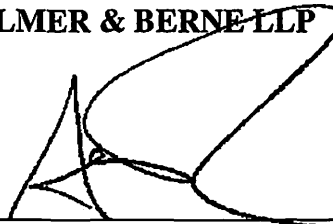
3. The NAC's determinations were erroneous because: (1) Applicants did not make any material misrepresentations or omissions; (2) Applicants were not required to disclose the allegedly omitted information; (3) the facts constituting the alleged misrepresentations and omissions were not material; (4) the Applicants reasonably relied upon the advice of their counsel; (5) Applicants performed adequate due diligence on the subject offerings; (6) CRI and Christine Cantone properly supervised Anthony Cantone; (7) Applicants did not act willfully; (8) Applicants did not act with scienter; (9) Applicants did not act negligently; (9) the sanctions imposed upon Applicants were excessive and punitive and not otherwise justified under the circumstances; and (10) the NAC's factual findings were not supported by the evidence or were based on evidence that was improperly admitted into the record.

4. Applicants can be served at the following address:

c/o Alan M. Wolper
Ulmer & Berne LLP
500 West Madison, Suite 3600
Chicago, IL 60661
(p): 312-658-6564, (f): 312-658-6565
awolper@ulmer.com

Respectfully submitted this 13th day of February, 2019.

ULMER & BERNE LLP

A handwritten signature in black ink, appearing to be 'Alan M. Wolper', written over a horizontal line.

Alan M. Wolper

Heidi E. VonderHeide

awolper@ulmer.com

hvonderheide@ulmer.com

500 West Madison Street, Suite 3600

Chicago, Illinois 60661

(312) 658-6500 – General

(312) 658-6565 – Fax

Counsel for Respondents

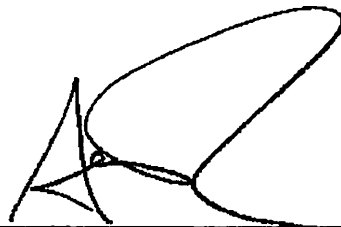
CERTIFICATE OF SERVICE

I hereby certify that this **APPLICATION FOR REVIEW OF DISCIPLINARY ACTION** has been sent to the following parties entitled to notice as follows:

Securities and Exchange Commission
Office of the Secretary
100 F. Street N.E.
Mail Stop 1090-Room 10915
Washington D.C. 20549
Fax: 202-772-9324
(One copy via fax; original and three copies via overnight mail)

Colleen Durbin
Office of the General Counsel
FINRA
1735 K Street, NW,
Washington, DC, 20006-1506
Fax: (202) 728-8264
(One copy via fax and one copy via overnight mail)

This 13th day of February 2019.



Alan W. Wolper