

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION

In the Matter of the Application of:

**Cantone Research Inc., Anthony Cantone, and
Christine Cantone,**

**For Review of Disciplinary Action Taken By
FINRA**

**ADMINISTRATIVE PROCEEDING
File No. 3-18999**

**APPLICANTS' SECOND RULE 452 MOTION
TO ADD ADDITIONAL EVIDENCE¹**

Pursuant to Rule 452, Applicants Cantone Research Inc. ("CRI"), Anthony Cantone, and Christine Cantone (together, "Applicants") request that the Commission allow the submission of the additional evidence described herein. In supports Applicants state:

Relevant Background

1. On September 11, 2020, Applicants filed a motion, pursuant to Rule 542, requesting leave to submit new evidence relating to two of the five investments identified in the Complaint originally filed by FINRA in this proceeding ("Initial Motion"). In the Complaint, FINRA alleged that the default of the identified investments "result[ed] in approximately \$6 million in losses to investors."²

2. The Initial Motion sought leave to introduce evidence that two of these investments, initially alleged to have caused customer losses, had made distributions to investors while this appeal was pending.³

¹ FINRA objected to Applicant's Initial Motion and has indicated its position on this topic has not changed.

² ROA 000006.

³ A \$250,000 distribution was made by Oklahoma Financial LLC and a \$15,000 payment by Chestnut Financial LLC.

3. Although the Initial Motion is fully briefed, no ruling on the motion has been entered.

4. Since the Initial Motion was fully briefed, additional distributions and payments have been received by CRI and paid to investors, including:

- \$250,000 principal return from Oklahoma Financial LLC, received by CRI on October 22, 2020 and distributed to investors (Exhibit D).
- \$87,500 in interest payments received by Chestnut Financial LLC and paid to investors in 2020 and 2021 (Exhibit E)⁴

Applicable Rules and Argument

5. The Commission’s review of this matter is *de novo*.⁵

6. Rule 542 allows a party to present a motion, any time prior to the issuance of a decision, for leave to adduce additional evidence. The motion must show that such additional evidence is “material” and that there were reasonable grounds for the failure to adduce it previously.

7. Here, for the same reasons set forth in the Initial Motion and Applicant’s Reply to the Initial Motion, evidence relating to the performance of the underlying investments is material to the Commission’s independent review of the record in this case, including its analysis of the sanction imposed.⁶

8. Additionally, the payments evidenced by Exhibits D and E occurred in 2020 and 2021 and could not have been admitted during the August 2016 hearing or the subsequent NAC appeal.

⁴ Total of payments made on November 9, 2020, November 30, 2020 and February 9, 2021.

⁵ *In the Matter of the Application of Richard G. Cody for Review of Disciplinary Action Taken by FINRA*, Release No. 64565 (S.E.C. Release No. May 27, 2011).

⁶ See Initial Motion ¶¶ 5-6.

Accordingly, Applicants respectfully request that the Commission allow submission of Exhibits D and E, attached hereto, into the record on appeal in this proceeding.

Respectfully submitted this 16th day of April 2021.

ULMER & BERNE LLP



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(312) 658-6565 – Fax

Counsel for Applicants

CERTIFICATE OF SERVICE

I, Heidi Vonderheide, certify that on this 16th day of April 2021, I caused a copy of the foregoing Second Rule 452 Motion to be filed in the AP No. 3-18999, Application for Review of Cantone Research Inc., Anthony Cantone, and Christine Cantone, through the SEC's eFAP system and served by electronic mail on:

Securities and Exchange Commission
Office of the Secretary
100 F. Street N.E.
Mail Stop 1090-Room 10915
Washington D.C. 20549
apfilings@sec.gov

And served by electronic mail on:

Colleen Durbin, Esq.
Alan Lawhead, Esq.
Office of the General Counsel
FINRA
1735 K Street, NW,
Washington, DC, 20006-1506
Colleen.Durbin@finra.org

This 16th day of April, 2021



Heidi E. VonderHeide

Counsel for Applicants

EXHIBIT D

Cantone Research, Inc.

OKLAHOMA FINANCIAL LLC
 ANTHONY CANTONE MANAGING MEMBR
 CHECKING ACCT

ACCOUNT SUMMARY

REDACTED

ACCOUNT PORTFOLIO

QUANTITY	DESCRIPTION	SYMBOL/ CUSIP	CURRENT PRICE	CURRENT VALUE	ESTIMATED ANNUAL INCOME	CURRENT YIELD %	T Y P E
MONEY BALANCE (In US \$): NET CREDIT		REDACTED					

ACCOUNT ACTIVITY

DATE	ACTIVITY	QUANTITY	DESCRIPTION	PRICE	AMOUNT CHARGED	AMOUNT CREDITED	T Y P E
REDACTED							
10-22-20	WIRE FUNDS		WIRE TRF/ REDACTED			250,000.00	1
10-29-20	CREDIT INT		REDACTED			6.32	
CREDITPLUS TRANSACTION TOTAL						371,383.91	

REDACTED

CREDITPLUS ACTIVITY

DATE POSTED	CHECK# / ACTIVITY	DESCRIPTION	AMOUNT CHARGED	AMOUNT CREDITED	DATE POSTED	CHECK# / ACTIVITY	DESCRIPTION	AMOUNT CHARGED	AMOUNT CREDITED
10-28-20	0001100	REDACTED	3,928.57		10-09-20	0001164	REDACTED	6,285.71	
10-20-20	0001101	REDACTED	11,785.71		10-07-20	0001165	REDACTED	1,964.29	
10-02-20	0001103	REDACTED	1,571.43		10-13-20	0001166	REDACTED	1,964.29	
10-13-20	0001104	REDACTED	1,178.57		10-13-20	0001167	REDACTED	942.86	
10-02-20	0001106	REDACTED	785.71		10-07-20	0001168	REDACTED	1,178.57	
10-02-20	0001107	REDACTED	1,571.43		10-23-20	0001169	REDACTED	3,928.57	
10-02-20	0001108	REDACTED	3,142.86		10-06-20	0001170	REDACTED	2,357.14	
10-02-20	0001109	REDACTED	1,964.29		10-23-20	0001171	REDACTED	2,946.43	
10-02-20	0001110	REDACTED	31,271.43		10-08-20	0001172	REDACTED	2,946.43	
10-02-20	0001111	REDACTED	18,464.29		10-14-20	0001173	REDACTED	785.71	
10-09-20	0001112	REDACTED	2,357.14		10-08-20	0001174	REDACTED	2,357.14	
10-02-20	0001113	REDACTED	1,296.43		10-09-20	0001175	REDACTED	1,178.57	
10-02-20	0001114	REDACTED	7,857.14		10-27-20	0001177	REDACTED	1,232.14	
10-02-20	0001115	REDACTED	1,296.43		10-26-20	0001178	REDACTED	32,692.86	
10-02-20	0001116	REDACTED	1,571.43		10-29-20	0001180	REDACTED	4,107.14	
10-14-20	0001117	REDACTED	1,335.71		10-27-20	0001181	REDACTED	4,107.14	

OS Received 04/16/2021

Cantone Research, Inc.

DATE POSTED	CHECK# / ACTIVITY	DESCRIPTION	AMOUNT CHARGED	AMOUNT CREDITED	DATE POSTED	CHECK# / ACTIVITY	DESCRIPTION	AMOUNT CHARGED	AMOUNT CREDITED
10-07-20	0001118	REDACTED	3,928.57		10-27-20	0001182	REDACTED	19,303.57	
10-02-20	0001119	REDACTED	7,857.14		10-30-20	0001183	REDACTED	4,107.14	
10-16-20	0001120	REDACTED	1,178.57		10-27-20	0001184	REDACTED	1,642.86	
10-02-20	0001121	REDACTED	1,571.43		10-27-20	0001185	REDACTED	1,642.86	
10-02-20	0001122	REDACTED	1,571.43		10-29-20	0001186	REDACTED	2,464.29	
10-13-20	0001123	REDACTED	7,857.14		10-30-20	0001187	REDACTED	821.43	
10-21-20	0001124	REDACTED	785.71		10-29-20	0001190	REDACTED	12,321.43	
10-06-20	0001125	REDACTED	785.71		10-28-20	0001191	REDACTED	2,053.57	
10-09-20	0001127	REDACTED	785.71		10-27-20	0001193	REDACTED	1,642.86	
10-15-20	0001128	REDACTED	2,357.14		10-29-20	0001198	REDACTED	4,107.14	
10-16-20	0001129	REDACTED	1,571.43		10-27-20	0001199	REDACTED	1,642.86	
10-13-20	0001130	REDACTED	785.71		10-28-20	0001200	REDACTED	821.43	
10-06-20	0001131	REDACTED	785.71		10-27-20	0001204	REDACTED	1,355.36	
10-08-20	0001132	REDACTED	1,178.57		10-28-20	0001205	REDACTED	821.43	
10-02-20	0001135	REDACTED	1,571.43		10-27-20	0001208	REDACTED	8,214.29	
10-08-20	0001136	REDACTED	785.71		10-28-20	0001209	REDACTED	821.43	
10-06-20	0001137	REDACTED	1,571.43		10-29-20	0001211	REDACTED	1,232.14	
10-08-20	0001138	REDACTED	1,964.29		10-29-20	0001212	REDACTED	1,642.86	
10-09-20	0001139	REDACTED	785.71		10-27-20	0001214	REDACTED	1,642.86	
10-13-20	0001141	REDACTED	3,142.86		10-28-20	0001215	REDACTED	821.43	
10-09-20	0001142	REDACTED	785.71		10-27-20	0001217	REDACTED	1,355.36	
10-02-20	0001143	REDACTED	3,928.57		10-27-20	0001218	REDACTED	1,642.86	
10-07-20	0001144	REDACTED	3,928.57		10-29-20	0001219	REDACTED	8,214.29	
10-08-20	0001145	REDACTED	785.71		10-29-20	0001220	REDACTED	4,107.14	
10-09-20	0001146	REDACTED	1,964.29		10-28-20	0001222	REDACTED	1,396.43	
10-09-20	0001147	REDACTED	1,964.29		10-27-20	0001227	REDACTED	821.43	
10-09-20	0001148	REDACTED	1,964.29		10-27-20	0001228	REDACTED	1,642.86	
10-07-20	0001149	REDACTED	3,142.86		10-28-20	0001230	REDACTED	4,107.14	
10-09-20	0001150	REDACTED	3,928.57		10-26-20	0001231	REDACTED	821.43	
10-09-20	0001151	REDACTED	785.71		10-27-20	0001234	REDACTED	8,214.29	
10-06-20	0001152	REDACTED	785.71		10-29-20	0001237	REDACTED	985.71	
10-07-20	0001153	REDACTED	785.71		10-29-20	0001238	REDACTED	1,232.14	
10-07-20	0001154	REDACTED	1,571.43		10-27-20	0001239	REDACTED	3,285.71	
10-02-20	0001155	REDACTED	1,571.43		10-27-20	0001242	REDACTED	2,053.57	
10-21-20	0001156	REDACTED	1,964.29		10-27-20	0001243	REDACTED	2,464.29	
10-13-20	0001157	REDACTED	7,857.14		10-27-20	0001246	REDACTED	3,080.36	
10-13-20	0001158	REDACTED	3,928.57		10-29-20	0001247	REDACTED	821.43	
10-08-20	0001159	REDACTED	785.71		10-27-20	0001248	REDACTED	821.43	
10-21-20	0001160	REDACTED	1,178.57		10-27-20	0001249	REDACTED	1,232.14	
10-15-20	0001161	REDACTED	2,750.00		10-27-20	0001252	REDACTED	1,642.86	
10-07-20	0001163	REDACTED	785.71						
			CREDITPLUS TRANSACTION TOTAL					371,383.91	

OS Received 04/16/2021

Cantone Research, Inc.

OKLAHOMA FINANCIAL LLC
 ANTHONY CANTONE MANAGING MEMBR
 CHECKING ACCT

ACCOUNT SUMMARY

REDACTED

ACCOUNT PORTFOLIO

QUANTITY	DESCRIPTION	SYMBOL/ CUSIP	CURRENT PRICE	CURRENT VALUE	ESTIMATED ANNUAL INCOME	CURRENT YIELD %	TYPE
MONEY BALANCE (In US \$): NET CREDIT		REDACTED					

ACCOUNT ACTIVITY

DATE	ACTIVITY	QUANTITY	DESCRIPTION	PRICE	AMOUNT CHARGED	AMOUNT CREDITED	TYPE
REDACTED							
CREDITPLUS TRANSACTION TOTAL					63,681.96		

REDACTED

CREDITPLUS ACTIVITY

DATE POSTED	CHECK#/ ACTIVITY	DESCRIPTION	AMOUNT CHARGED	AMOUNT CREDITED	DATE POSTED	CHECK#/ ACTIVITY	DESCRIPTION	AMOUNT CHARGED	AMOUNT CREDITED		
11-19-20	0001105	REDACTED	1,571.43		11-06-20	0001216	REDACTED	2,053.57			
11-12-20	0001133		1,571.43		11-02-20	0001221		821.43			
11-12-20	0001134		785.71		11-09-20	0001224		821.43			
11-09-20	0001140		785.71		11-23-20	0001225		1,232.14			
11-19-20	0001162		785.71		11-03-20	0001226		2,875.00			
11-12-20	0001176		1,642.86		11-19-20	0001229		821.43			
11-17-20	0001179		821.43		11-12-20	0001232		6,571.43			
11-17-20	0001188		2,053.57		11-03-20	0001233		2,053.57			
11-17-20	0001189		2,053.57		11-17-20	0001235		3,285.71			
11-03-20	0001192		3,285.71		11-02-20	0001236		2,053.57			
11-30-20	0001195		8,214.29		11-05-20	0001240		4,107.14			
11-03-20	0001196		1,642.86		11-12-20	0001241		821.43			
11-16-20	0001197		821.43		11-04-20	0001244		821.43			
11-02-20	0001202		821.43		11-23-20	0001245		3,080.36			
11-02-20	0001203		821.43		11-02-20	0001251		1,232.14			
11-02-20	0001206		2,464.29		11-30-20	0001253		65.89			
11-09-20	0001210		821.43								
CREDITPLUS TRANSACTION TOTAL								63,681.96			

Cantone Research, Inc.

OKLAHOMA FINANCIAL LLC
 ANTHONY CANTONE MANAGING MEMBR
 CHECKING ACCT

ACCOUNT SUMMARY

REDACTED

ACCOUNT PORTFOLIO

QUANTITY	DESCRIPTION	SYMBOL/ CUSIP	CURRENT PRICE	CURRENT VALUE	ESTIMATED ANNUAL INCOME	CURRENT YIELD %	T Y P E
MONEY BALANCE (In US \$): NET CREDIT		REDACTED					

ACCOUNT ACTIVITY

DATE	ACTIVITY	QUANTITY	DESCRIPTION	PRICE	AMOUNT CHARGED	AMOUNT CREDITED	T Y P E
REDACTED							

CREDITPLUS TRANSACTION TOTAL 7,739.15

REDACTED

CREDITPLUS ACTIVITY

DATE POSTED	CHECK#/ ACTIVITY	DESCRIPTION	AMOUNT CHARGED	AMOUNT CREDITED	DATE POSTED	CHECK#/ ACTIVITY	DESCRIPTION	AMOUNT CHARGED	AMOUNT CREDITED
12-15-20	0001126	REDACTED	1,571.43		12-16-20	0001250	REDACTED	2,464.29	
12-15-20	0001201	REDACTED	1,642.86		12-31-20	FEE	REDACTED	7.00	
12-01-20	0001213	REDACTED	2,053.57						
CREDITPLUS TRANSACTION TOTAL								7,739.15	

Cantone Research, Inc.

OKLAHOMA FINANCIAL LLC
 ANTHONY CANTONE MANAGING MEMBR
 CHECKING ACCT

ACCOUNT SUMMARY

REDACTED

ACCOUNT PORTFOLIO

QUANTITY	DESCRIPTION	SYMBOL/ CUSIP	CURRENT PRICE	CURRENT VALUE	ESTIMATED ANNUAL INCOME	CURRENT YIELD %	T Y P E
MONEY BALANCE (In US \$): NET CREDIT		REDACTED					

ACCOUNT ACTIVITY

DATE	ACTIVITY	QUANTITY	DESCRIPTION	PRICE	AMOUNT CHARGED	AMOUNT CREDITED	T Y P E
REDACTED							

CREDITPLUS TRANSACTION TOTAL 1,649.86

REDACTED

CREDITPLUS ACTIVITY

DATE POSTED	CHECK#/ ACTIVITY	DESCRIPTION	AMOUNT CHARGED	AMOUNT CREDITED	DATE POSTED	CHECK#/ ACTIVITY	DESCRIPTION	AMOUNT CHARGED	AMOUNT CREDITED
02-03-21	0001223	REDACTED	1,642.86		02-26-21	FEE	REDACTED	7.00	
CREDITPLUS TRANSACTION TOTAL								1,649.86	

EXHIBIT E

Cantone Research, Inc.

CHESTNUT FINANCIAL LLC
ANTHONY CANTONE MANAGING MEMBE

ACCOUNT SUMMARY

REDACTED

INCOME/PRINCIPAL SUMMARY CURRENT MONTH YEAR TO DATE

ACCOUNT PORTFOLIO

QUANTITY	DESCRIPTION	SYMBOL/ CUSIP	CURRENT PRICE	CURRENT VALUE	ESTIMATED ANNUAL INCOME	CURRENT YIELD %	T Y P E
MONEY BALANCE (In US \$): NET CREDIT							
REDACTED							

ACCOUNT ACTIVITY

DATE	ACTIVITY	QUANTITY	DESCRIPTION	PRICE	AMOUNT CHARGED	AMOUNT CREDITED	T Y P E
REDACTED							
11-09-20	DEPOSIT		CHESTNUT F			17,000.00	1
11-30-20	WIRE FUNDS		WIRE TRF/REDACTED CHESTNUT			35,500.00	1
CREDITPLUS TRANSACTION TOTAL						3,955.74	

REDACTED

CREDITPLUS ACTIVITY

DATE POSTED	CHECK#/ ACTIVITY	DESCRIPTION	AMOUNT CHARGED	AMOUNT CREDITED	DATE POSTED	CHECK#/ ACTIVITY	DESCRIPTION	AMOUNT CHARGED	AMOUNT CREDITED
11-30-20	0002066		46.49		11-30-20	0002081		50.77	
11-30-20	0002069		25.64		11-30-20	0002082		50.77	
11-30-20	0002070		51.28		11-30-20	0002084		128.21	
11-30-20	0002072		128.21		11-30-20	0002087		102.56	
11-30-20	0002073		230.77		11-30-20	0002089		256.41	
11-30-20	0002074		76.92		11-30-20	0002090		153.85	
11-30-20	0002075		128.21		11-30-20	0002092		128.21	
11-30-20	0002076		333.33		11-30-20	0002110		128.21	
11-30-20	0002077		512.82		11-30-20	0002112		128.21	
11-30-20	0002078		1,000.00		11-30-20	0002115		51.28	
11-30-20	0002080		51.28		11-30-20	0002127		192.31	
CREDITPLUS TRANSACTION TOTAL								3,955.74	

Cantone Research, Inc.

CHESTNUT FINANCIAL LLC
ANTHONY CANTONE MANAGING MEMBE

ACCOUNT SUMMARY

REDACTED

INCOME/PRINCIPAL SUMMARY CURRENT MONTH YEAR TO DATE

ACCOUNT PORTFOLIO

QUANTITY	DESCRIPTION	SYMBOL/ CUSIP	CURRENT PRICE	CURRENT VALUE	ESTIMATED ANNUAL INCOME	CURRENT YIELD %	T Y P E
MONEY BALANCE (In US \$): NET CREDIT		REDACTED					

ACCOUNT ACTIVITY

DATE	ACTIVITY	QUANTITY	DESCRIPTION	PRICE	AMOUNT CHARGED	AMOUNT CREDITED	T Y P E
REDACTED							

CREDITPLUS TRANSACTION TOTAL 15,807.65

REDACTED

CREDITPLUS ACTIVITY

DATE POSTED	CHECK#/ ACTIVITY	DESCRIPTION	AMOUNT CHARGED	AMOUNT CREDITED	DATE POSTED	CHECK#/ ACTIVITY	DESCRIPTION	AMOUNT CHARGED	AMOUNT CREDITED
12-02-20	0002068	REDACTED	128.21		12-02-20	0002130	REDACTED	76.92	
12-18-20	0002071		256.41		12-11-20	0002131		51.28	
12-21-20	0002079		256.41		12-21-20	0002132		256.41	
12-07-20	0002086		256.41		12-29-20	0002133		102.56	
12-07-20	0002088		205.13		12-22-20	0002135		51.28	
12-09-20	0002091		205.13		12-22-20	0002136		102.56	
12-15-20	0002093		76.92		12-22-20	0002138		256.41	
12-07-20	0002094		205.13		12-22-20	0002139		461.54	
12-07-20	0002095		51.28		12-22-20	0002140		153.85	
12-03-20	0002096		51.28		12-22-20	0002141		256.41	
12-08-20	0002097		51.28		12-22-20	0002142		666.67	
12-22-20	0002098		128.21		12-22-20	0002143		1,025.64	
12-31-20	0002099		51.28		12-22-20	0002144		2,000.00	
12-03-20	0002100		51.28		12-22-20	0002146		102.56	
12-03-20	0002101		51.28		12-22-20	0002147		101.54	
12-08-20	0002102		51.28		12-22-20	0002148		101.54	
12-28-20	0002103		51.28		12-30-20	0002149		104.62	
12-31-20	0002104		102.56		12-22-20	0002150		256.41	
12-28-20	0002105		102.56		12-22-20	0002153		205.13	
12-09-20	0002106		128.21		12-22-20	0002155		512.82	

Cantone Research, Inc.

DATE POSTED	CHECK# / ACTIVITY	DESCRIPTION	AMOUNT CHARGED	AMOUNT CREDITED	DATE POSTED	CHECK# / ACTIVITY	DESCRIPTION	AMOUNT CHARGED	AMOUNT CREDITED
12-23-20	0002107	[REDACTED]	128.21		12-22-20	0002158	[REDACTED]	256.41	
12-17-20	0002108	[REDACTED]	256.41		12-24-20	0002160	[REDACTED]	410.26	
12-10-20	0002109	[REDACTED]	76.92		12-28-20	0002161	[REDACTED]	102.56	
12-04-20	0002111	[REDACTED]	256.41		12-31-20	0002162	[REDACTED]	102.56	
12-09-20	0002113	[REDACTED]	51.28		12-29-20	0002164	[REDACTED]	256.41	
12-04-20	0002116	[REDACTED]	51.28		12-29-20	0002166	[REDACTED]	102.56	
12-14-20	0002117	[REDACTED]	256.41		12-28-20	0002167	[REDACTED]	102.56	
12-18-20	0002118	[REDACTED]	256.41		12-31-20	0002169	[REDACTED]	102.56	
12-15-20	0002119	[REDACTED]	256.41		12-29-20	0002174	[REDACTED]	512.82	
12-15-20	0002120	[REDACTED]	128.21		12-22-20	0002176	[REDACTED]	256.41	
12-02-20	0002121	[REDACTED]	51.28		12-22-20	0002178	[REDACTED]	256.41	
12-02-20	0002122	[REDACTED]	205.13		12-22-20	0002181	[REDACTED]	102.56	
12-03-20	0002123	[REDACTED]	102.56		12-29-20	0002187	[REDACTED]	102.56	
12-08-20	0002124	[REDACTED]	51.28		12-29-20	0002189	[REDACTED]	205.13	
12-08-20	0002125	[REDACTED]	51.28		12-28-20	0002190	[REDACTED]	102.56	
12-28-20	0002126	[REDACTED]	192.31		12-30-20	0002193	[REDACTED]	384.62	
12-07-20	0002128	[REDACTED]	64.10		12-28-20	0002194	[REDACTED]	128.21	
12-08-20	0002129	[REDACTED]	64.10		12-29-20	0002198	[REDACTED]	512.82	
CREDITPLUS TRANSACTION TOTAL								15,807.65	

Cantone Research, Inc.

CHESTNUT FINANCIAL LLC
ANTHONY CANTONE MANAGING MEMBE

ACCOUNT SUMMARY

REDACTED

INCOME/PRINCIPAL SUMMARY CURRENT MONTH YEAR TO DATE

ACCOUNT PORTFOLIO

QUANTITY	DESCRIPTION	SYMBOL/ CUSIP	CURRENT PRICE	CURRENT VALUE	ESTIMATED ANNUAL INCOME	CURRENT YIELD %	T Y P E
MONEY BALANCE (In US \$): NET CREDIT		REDACTED					

ACCOUNT ACTIVITY

DATE	ACTIVITY	QUANTITY	DESCRIPTION	PRICE	AMOUNT CHARGED	AMOUNT CREDITED	T Y P E
OPENING BALANCE				REDACTED			
CREDITPLUS TRANSACTION TOTAL					8,260.13		
CLOSING BALANCE				REDACTED			

CREDITPLUS ACTIVITY

DATE POSTED	CHECK#/ ACTIVITY	DESCRIPTION	AMOUNT CHARGED	AMOUNT CREDITED	DATE POSTED	CHECK#/ ACTIVITY	DESCRIPTION	AMOUNT CHARGED	AMOUNT CREDITED
01-19-21	0002085	REDACTED	512.82		01-26-21	0002172	REDACTED	256.41	
01-05-21	0002134		256.41		01-14-21	0002175		153.85	
01-13-21	0002137		512.82		01-08-21	0002177		512.82	
01-11-21	0002145		512.82		01-26-21	0002179		102.56	
01-06-21	0002151		1,025.64		01-06-21	0002182		102.56	
01-06-21	0002152		512.82		01-14-21	0002185		512.82	
01-25-21	0002154		410.26		01-14-21	0002186		256.41	
01-26-21	0002156		307.69		01-07-21	0002188		410.26	
01-06-21	0002157		410.26		01-25-21	0002192		384.62	
01-05-21	0002159		153.85		01-08-21	0002195		128.21	
01-05-21	0002163		102.56		01-04-21	0002196		153.85	
01-28-21	0002165		102.56		01-11-21	0002197		102.56	
01-08-21	0002168		102.56		01-05-21	0002199		55.00	
01-14-21	0002170		205.13						
CREDITPLUS TRANSACTION TOTAL								8,260.13	

Cantone Research, Inc.

CHESTNUT FINANCIAL LLC
ANTHONY CANTONE MANAGING MEMBE

ACCOUNT SUMMARY

REDACTED

INCOME/PRINCIPAL SUMMARY CURRENT MONTH YEAR TO DATE

ACCOUNT PORTFOLIO

QUANTITY	DESCRIPTION	SYMBOL/ CUSIP	CURRENT PRICE	CURRENT VALUE	ESTIMATED ANNUAL INCOME	CURRENT YIELD %	T Y P E
MONEY BALANCE (In US \$): NET CREDIT		REDACTED					

ACCOUNT ACTIVITY

DATE	ACTIVITY	QUANTITY	DESCRIPTION	PRICE	AMOUNT CHARGED	AMOUNT CREDITED	T Y P E
REDACTED							
02-09-21	WIRE FUNDS		WIRE TRF/	REDACTED		35,000.00	1
CREDITPLUS TRANSACTION TOTAL					15,311.40		

REDACTED

CREDITPLUS ACTIVITY

DATE POSTED	CHECK#/ ACTIVITY	DESCRIPTION	AMOUNT CHARGED	AMOUNT CREDITED	DATE POSTED	CHECK#/ ACTIVITY	DESCRIPTION	AMOUNT CHARGED	AMOUNT CREDITED
02-03-21	0002067	REDACTED	51.28		02-23-21	0002228	REDACTED	102.56	
02-23-21	0002171		205.13		02-23-21	0002229		102.56	
02-08-21	0002173		256.41		02-12-21	0002230		102.56	
02-23-21	0002201		256.41		02-22-21	0002231		256.41	
02-12-21	0002202		51.28		02-26-21	0002233		102.56	
02-12-21	0002203		102.56		02-18-21	0002234		102.56	
02-12-21	0002205		256.41		02-19-21	0002235		102.56	
02-12-21	0002206		461.54		02-19-21	0002236		102.56	
02-12-21	0002207		153.85		02-23-21	0002238		205.13	
02-11-21	0002208		256.41		02-18-21	0002239		256.41	
02-12-21	0002209		666.67		02-12-21	0002243		256.41	
02-12-21	0002210		1,025.64		02-12-21	0002245		256.41	
02-12-21	0002211		2,000.00		02-17-21	0002248		55.00	
02-12-21	0002213		102.56		02-12-21	0002249		102.56	
02-12-21	0002214		101.54		02-24-21	0002253		512.82	
02-12-21	0002215		101.54		02-24-21	0002254		256.41	
02-24-21	0002216		104.62		02-23-21	0002255		102.56	
02-12-21	0002217		256.41		02-22-21	0002256		410.26	
02-25-21	0002218		1,025.64		02-23-21	0002257		205.13	

OS Received 04/16/2021

Cantone Research, Inc.

DATE POSTED	CHECK# / ACTIVITY	DESCRIPTION	AMOUNT CHARGED	AMOUNT CREDITED	DATE POSTED	CHECK# / ACTIVITY	DESCRIPTION	AMOUNT CHARGED	AMOUNT CREDITED
02-22-21	0002219	REDACTED	512.82		02-24-21	0002260	REDACTED	384.62	
02-12-21	0002220	REDACTED	205.13		02-24-21	0002261	REDACTED	384.62	
02-12-21	0002222	REDACTED	512.82		02-23-21	0002262	REDACTED	128.21	
02-23-21	0002223	REDACTED	307.69		02-22-21	0002264	REDACTED	153.85	
02-23-21	0002224	REDACTED	410.26		02-24-21	0002265	REDACTED	102.56	
02-11-21	0002225	REDACTED	256.41		02-17-21	0002266	REDACTED	512.82	
02-18-21	0002227	REDACTED	410.26						
CREDITPLUS TRANSACTION TOTAL								15,311.40	