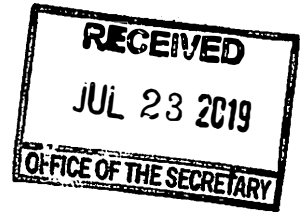


UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION



ADMINISTRATIVE PROCEEDINGS

File No. 3-18994
13-1252 DC Court of Appeals
C-83-00711 SF-DC

RESPONSE TO "FINDINGS OF FACTS AND CONCLUSIONS OF LAW"

Amundsen petitions the Securities and Exchange Commission (SEC) to dismiss these charges and vacate all related actions regarding the 1983 settlement whereby the Securities and Exchange Commission dismissed all charges against Amundsen. By right of its own regulations such settlements are not disclosable or enforceable after ten years.

1. The Commission failure to admit the status of Amundsen has caused significant economic damage to Amundsen (10 years of lost wages).
2. The SEC has become a liar – lying to three different courts to enable its charade, and has not been willing to disclose its rules and regulations, even in this issue, and even under Court order.
3. The SEC has failed to acknowledge the FINRA position on the EQR matter, and the testimony of its own expert witness, in that there were no guidelines or regulations issued with the EQR requirement. Amundsen acknowledges that he has a daughter, but that the overriding requirement of the EQR is technical competence with the net capital rule, something even the expert witness admitted lacking.
4. The SEC has no jurisdiction in this matter. Amundsen has not filed any reports with the SEC nor been involved in any audit or preparation work since 2010. Further, of the "look back" tactics employed by the SEC and falsely alleged in three different courts, and this hearing, there has never been any finding or even allegation of fraud or "hanky panky", even from the original settlement.

By reference, please note the docket for District of Columbia Appeals, and the SEC own website. Clearly the SEC has abused its status and considers itself above the law, above its own rules, and above the Courts – all four of them in this case.

Joseph Amundsen
July 19, 2019