11/7/2019

From: Mark Moskowitz
To: Whom it may concern
RE: File No: 3-18943



I was incarcerated in Otisville, FPC for the last 24 months and have been unable to get my answers to defend myself against a lifetime ban that the SEC is looking to impose.

I am currently on home confinement and am now able to submit my answers. I just spoke to Stephen Schlegemilch of the SEC enforcement division and I understand the case is still pending. He did advise me that it is too late to answer this motion for a lifetime ban.

Is there anyone on the commission that I can speak with to discuss this matter as I would like to get a chance to defend myself and avoid the lifetime ban? Trying to defend myself from prison with no access to the internet, no resources and no ability to hire an attorney I believe is unfair and unjust.

Please direct me to the person (s) so I can discuss this with them.

My email is ogmail.com and I can be reached by phone at

Thank you so much for your assistance.

Mark Moskowitz