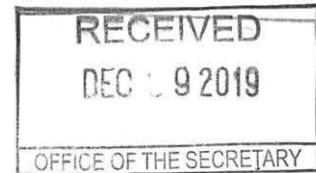


**UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION**

COPY

**ADMINISTRATIVE PROCEEDING
File No. 3-18808**



In the Matter of

**MARC JAY BRYANT
(a/k/a MARC JAY WELCH),**

Respondent.

**Division's Motion for Summary
Disposition on Remedial Sanctions**

The Division of Enforcement (“Division”) moves for summary disposition on the issue of remedial sanctions against Respondent Marc Jay Bryant a/k/a Marc Jay Welch (“Bryant”) pursuant to Rules 155(a), 220(f) and 250(b) of the Commission’s Rules of Practice.¹ Bryant was previously enjoined from acting as an unregistered broker-dealer, as well as selling securities in unregistered offerings. Based upon his injunction from acting as a broker or dealer and his repeated and egregious conduct – demonstrated by his numerous securities law violations – the Division request that Bryant be barred from being associated with a broker, dealer, investment adviser, municipal securities dealer, municipal advisor, transfer agent, or nationally recognized statistical rating organization. Such a bar is in the public interest and appropriate here. As Bryant is in default in this proceeding, no public hearing is necessary.

¹ 17 C.F.R. §§ 201.155(a), 201.220(f), and 201.250(b).

I. Procedural Background

On September 27, 2017, the Division filed a civil injunctive action² against Bryant alleging that he acted as an unregistered broker-dealer in violation of Section 15(a) of the Securities Exchange Act of 1934 (“Exchange Act”) and was also liable as a control person under Section 20(b) of the Exchange Act for violations of Section 15(a) of the Exchange Act for transactions effected by his entities and sales agents; and that Bryant sold securities of Global Energy Technology Group, Inc. (“Global Energy”) and New Global Energy, Inc. (“New Global”) when no registration statement was filed or in effect in violation of Sections 5(a) and (c) of the Securities Act of 1933 (“Securities Act”). After being served with the summons and complaint in the civil injunctive action, Bryant defaulted and failed to answer or otherwise defend the civil injunctive case. On June 18, 2018, the district court entered final judgment by default against Bryant enjoining him from violating the broker-dealer registration and control liability provisions of Sections 15(a) and 20(b) of the Exchange Act and the securities registration provisions of Sections 5(a) and (c) of the Securities Act; barring him from participating in any offering of penny stocks, and; ordering him to pay disgorgement, prejudgment interest and civil penalties.³

Based upon entry of the injunction, the Commission instituted these administrative proceedings against Bryant on September 20, 2018 by issuing an order instituting administrative proceedings (“OIP”) pursuant to Section 15(b) of the Securities Exchange Act of 1934.⁴ On

² See Exh. 1, Complaint in *SEC v. David Howard Welch, et al.*, 5:17-cv-01968 (C.D. Calif. Sept. 27, 2017) (“Compl.”).

³ See Exh. 2, Final Judgment as to David Howard Welch, Marc Jay Bryant, Bio-Global Resources, Inc., and Diversified Equities Development, Inc. entered in *SEC v. David Howard Welch, et al.*, 5:17-cv-01968 (C.D. Calif. June 18, 2018) (“Final Judgment”).

⁴ *Marc Jay Bryant*, Exchange Act Release No. 84235, 2018 WL 4537201 (Sept. 20, 2018).

October 5, 2018, the Division filed a Notice of Service of Order Instituting Proceedings that appended a process server's affidavit stating that service of the OIP was made upon Bryant on September 23, 2018, pursuant to Rule 141(a)(2)(i) of the Commission's Rules of Practice.⁵ After Bryant failed to file an answer to the OIP, the Division filed a motion on December 7, 2018, for entry of default against Bryant and requested permission to submit a motion for summary disposition on the issue of remedial sanctions. On May 31, 2019, the Commission issued an Amended Order to Show Cause ("Order to Show Cause") requiring Bryant to demonstrate why the Commission should not find him in default due to his failure to file an answer, to respond to the Division's motion or to otherwise defend this proceeding.⁶ In the Order to Show Cause, the Commission also directed the Division to file a motion for summary disposition on the issue of remedial sanctions, if Bryant did not file a response. The Division requested an extension of time to December 6, 2019, to file its motion for summary disposition, which was granted.⁷

Bryant is in default. He received notice in the OIP and the Order to Show Cause that a failure to file an answer could result in him being deemed in default and the proceedings determined against him.⁸ The Commission may determine a matter against a respondent who is in default for failing to timely file an answer or failing to timely oppose a dispositive motion, and may deem a forfeiture of arguments that could have been raised at the time.⁹ When a party defaults, the allegations of the OIP will be deemed to be true and the Commission may determine

⁵ 17 C.F.R. § 201.141(a)(2)(i).

⁶ *Marc Jay Bryant*, Exchange Act Release No. 85900, 2019 WL 2247497 (May 31, 2019).

⁷ *Marc Jay Bryant*, Exchange Act Release No. 87493, 2019 WL 5803822 (November 7, 2019).

⁸ See OIP, *Bryant*, 2018 WL 4537201, at *2; and Order to Show Cause, *Bryant*, 2018 WL 2247497, at *1, n. 9.

⁹ See Rule 155(a)(2), 17 C.F.R. § 201.155(a)(2); see e.g., *Bennett Group Fin. Servs., LLC*, Exchange Act Release No. 80347, 2017 WL 1176053, at *2-3 (Mar. 30, 2017).

the proceedings against that party upon consideration of the record without holding a public hearing.¹⁰

II. Undisputed Facts

The following facts are based on undisputed pleaded facts, declarations, documentary evidence or facts officially noted pursuant to the Commission's Rules of Practice Rule 323.¹¹ Because the underlying injunctive order was decided on default,¹² the Division submits the following evidence that Bryant violated Sections 15(a) and 20(b) of the Exchange Act, and Sections 5(a) and (c) of the Securities Act.

In addition, during his sworn investigative testimony, Bryant invoked the Fifth Amendment privilege against self-incrimination and refused to provide substantive testimony or documents.¹³ These administrative proceedings are civil in nature, and an adverse inference may be drawn in such proceedings from a respondent's invocation of his Fifth Amendment privilege

¹⁰ Commission Rules of Practice 155, 180, 17 C.F.R. § 201.155, 201.180.

¹¹ 17 C.F.R. § 201.323. In support of this motion, the Division submits the following exhibits that are listed in the attached Appendix: the complaint in the civil injunctive case and final judgment entered against Bryant (Exh. 1 and 2), the investigative testimony of Marc Bryant (Exh. 3) declarations and relevant exhibits of Jacqueline Moessner (Exhs. 4 and 5A) and Kerry Matticks (Exs. 5, 6, 7, 9, 11, 12 and 13A) previously submitted in the civil injunctive case, certain relevant exhibits referenced in Bryant's investigative testimony (Inv. Exhs. 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 25, 26, 27, 28, 29, 31, 32, 34, 35, 36, 37, 38, 39, 40, 43, and 49 redacted to remove person identifying information), excerpts of investigative testimony of Richard Kadish (Ex. 60) and relevant Inv. Exh. 354, a Wisconsin cease and desist order (Ex. 61) and California desist and refrain order (Exh. 62).

¹² *Gary L. McDuff*, Exchange Act Release No. 74803, 2015 SEC LEXIS 1657, at *6-11 (Apr. 23, 2015) (where underlying final order was decided on default, ALJ could not rely solely on factual allegations in that order).

¹³ *See e.g.* Exh. 3, transcript of Marc Bryant's sworn investigative testimony on September 7, 2016 at p. 12-13, 16, *passim*. On every substantive question, Bryant asserted his Fifth Amendment privilege and did not provide answers. Citations in this motion to Exhibit 3 are to portions of Bryant's testimony where he was asked about the issue noted.

against self-incrimination.¹⁴ Where Bryant failed to prove a substantive answer the court may infer that Bryant's answer would have been adverse to him.¹⁵ The citations to Bryant's testimony reference specific questions and answers in which Bryant asserted his Fifth Amendment privilege, from which the Division requests the court drawn an adverse inference.¹⁶ As the Supreme Court has recognized, "[s]ilence is often evidence of the most persuasive character."¹⁷

A. Bryant Acted As An Unregistered Broker-Dealer

Bryant was the sole officer and director of Vertex International Group LLC ("Vertex"), Bechtel Advisory Group, Inc. ("Bechtel"), and Diversified Equities Development Inc. ("DED"). [OIP, II.A.1.] From 2012 through 2015, Bryant, through various shell companies including Vertex, Bechtel, and DED, acted as broker and dealer effecting transactions in the securities of

¹⁴ *Guy P. Riordan*, Securities Act Release No. 9085, 2009 WL 471397, at *16 (Dec. 11, 2009), *pet. denied*, 627 F.3d 1230 (D.C. Cir. 2010), abrogated on other grounds by *Kokesh v. SEC*, 137 S. Ct. 1635 (2017). (The fact finder has discretion in determining whether an adverse inference is proper.) A respondent's invocation, standing alone, is not a sufficient basis to determine liability or impose sanctions. *Baxter v. Palmigiano*, 425 U.S. 308, 317 (1976); *see Lefkowitz v. Cunningham*, 431 U.S. 801, 808 n.5 (1977). It can, however, be considered among other factors in reaching a decision on liability and sanctions. *Lefkowitz*, 431 U.S. at 808 n.5; *Baxter*, 425 U.S. at 319; *see also Daniel R. Lehl*, Securities Act Release No. 8102, 2002 WL 1315552, at n.33 (May 17, 2002) ("A trier of fact in a civil proceeding may draw adverse inferences from a respondent's refusal to testify. Accordingly, where appropriate, we may draw such inferences." (Internal citation omitted.)); citing *John Kilpatrick*, 48 S.E.C. 481, 486 & n. 18 (1986) ("In light of the evidence in the record, we deem it appropriate to draw such an [adverse] inference in connection with our finding of violation herein.")

¹⁵ *Strathmore Securities, Inc.*, File No. 8-7323, 43 S.E.C. 575, 590 (1967) (in civil administrative proceeding the weight of authority permits an adverse inference to be drawn from the failure of a party to testify or even from the invocation of the privilege against self-incrimination).

¹⁶ *See United States v. \$62,552*, No. 03-10153, 2015 WL 251242, at *8 (D. Mass. Jan. 20, 2015) ("If the Assistant U.S. Attorney wanted the Court to draw an *adverse inference*, she was under an obligation to present to the Court the specific questions as to which to apply the inference that the answer would be unfavorable to the claimant.")

¹⁷ *United States ex rel. Bilokumsky v. Tod*, 263 U.S. 149, 153-54 (1923).

Global Energy, New Global, and other companies while not registered, or associated with broker-dealers registered, with the Commission. [OIP, II.B.3] The Division requests that the court take official notice that the public official records of the Commission that reflect that Bryant and his entities, Vertex, Bechtel, and DED were not registered as broker-dealers, and that Bryant was not associated with any broker-dealers registered, with the Commission from 2012 through 2015.¹⁸ The business records from FINRA's Legacy Web CRD (Central Registration Depository) program, which contains information about individuals associated with registered broker-dealers, show that Bryant was not associated with any registered broker-dealer after 1990.¹⁹

Bryant set up Vertex and Bechtel to engage in the business of effecting transactions in the securities of Global Energy, New Global and other companies, and to receive money from investors.²⁰

Bryant controlled Vertex and Bechtel.²¹ Bryant was the signatory on the bank accounts of Vertex and Bechtel.²² Bryant recruited sales agents for Vertex and Bechtel to solicit public

¹⁸ See Rule 323 of the Commission's Rules of Practice, 17 C.F.R. § 201.323, addressing official notice. In addition, Bryant asserted his Fifth Amendment rights when asked if Vertex, Bechtel or DED were registered as a broker-dealer with the SEC or if he was an associated person. Exh. 3 at p. 79:23-80:20; 109:23-110:20; 121:22-123:1.

¹⁹ See Exh. 4, Declaration of Jacqueline M. Moessner in Support of Plaintiff's Motion for Default Judgment Against Defendants David Howard Welch, Marc Jay Bryant, Bio-Global Resources, Inc. and Diversified Equities Development, Inc. ¶ 11, Exh. 5A.

²⁰ Ex. 3 at p. 40:7-12 [Vertex incorporated], 63:16-64:14; 80:21-87:14 [Bechtel incorporated and sold Global Energy stock]; Inv. Exh. 15 (Vertex and Bechtel bank account opening documents signed by Bryant), Inv. Exh. 26 [email from Bryant directing transfer agent to ship shares to Bechtel]; Inv. Exh. 34, 35, 36, 37 [Stock purchase agreements].

²¹ Exh. 3 at p. 56:22-57:8, Inv. Exh. 21 [Transfer agent email that Bryant controls Vertex]; Exh. 3 at p. 82:12-13 [Bryant owned and controlled Bechtel].

²² Exh. 5, Declaration of Kerry Matticks in Support of Plaintiff's Motion for Default Judgment Against Defendants David Howard Welch, Marc Jay Bryant, Bio-Global Resources Inc., and Diversified Equities Development, Inc. ("Matticks Declaration") at ¶¶ 8, 9; Ex. 3 at p. 40:18-

investors to purchase securities of Global Energy and New Global using cold calls and investor lead lists.²³ The sales agents sent stock purchase agreements by courier to investors located in various states and requested return of the agreements with payment to Vertex and Bechtel.²⁴ Bryant set the price at which the securities were to be sold, provided scripts, exemplars of email communications and information about the merits of the investment in securities to the sales agents with the intention that the sales agents use the information and materials to offer and sell the securities.²⁵

Bryant, acting through Vertex, Bechtel and other entities that he controlled, paid transaction-based compensation or commissions to the sales agents ranging from 10% up to 50%.²⁶

B. Unregistered Sales of Global Energy Securities

From February 2012 through May 2013, Bryant acting through Vertex acquired approximately 22,002,428 shares of Global Energy from at least eleven third-parties including 1,022,898 Global Energy shares acquired from Bio-Global, and held the shares in the name of

45:16; 80:24-82:11; Inv. Exh. 13, 14, 15, 16 [Bryant opened Vertex bank accounts, was managing member, and president].

²³ Exh. 3 at p. 59:8-60:10, 64:23-69:4, 83:4-12; Inv. Exh. 23 (excerpt), 26, 27, 28 [Nick Fleming is sales agent for GETG stock; Larry Anderson and Dana Harrloe are sales agents; script].

²⁴ Exh. 3 at p. 69:2-70:11; Inv. Exh. 29 [Vertex stock purchase agreement]; 83:21-87:14, Inv. Exh. 35, 36, 37 [Bechtel Stock purchase agreements].

²⁵ Exh. 3 at p. 65:13-15, Inv. Exh. 27 [email provided to sales agents to send to investors]; 50:8-11, 51:1-4 75:79 [Bryant/Vertex selling stock at \$0.25]; 96:7-101:3, Inv. Exh. 43 [Bryant sent sales people a script and requested email addresses to communicate with investors].

²⁶ Exh. 3 at p. 73:14-74:20; 75:16-78:7; 79:5-6; Inv. Exh. 31 at p. 764, 771, 778; Inv. Exh. 32 at p. 841, 842, 844, 847 [payments of commissions to sales agents Harrloe, Fleming, Dearborn, Westgate]; Exh. 3 at p. 88:3-89:9 [Bechtel paid sales agents commissions of 10% to 20% per transaction]; Exh. 60, Richard Kadish's sworn, investigative testimony dated October 20, 2016 at p. 37:15-23, [paid commission of 40% to 50% to sell Global Energy; Exh. 3 at p. 96:4-101:17, Inv. Exh. 43, 44 [scripts].

Vertex.²⁷ Prior to September 27, 2012, Bryant, acting through Vertex, effected transactions in, and offered and sold approximately 9,355,560 shares of Global Energy in approximately 258 transactions with investors located in numerous states, receiving approximately \$2,007,497.25.²⁸ From October 1, 2012 through March 25, 2013, Bryant acting through Vertex, effected transactions in, and offered and sold approximately 6,014,480 shares of Global Energy in approximately 247 transactions with investors located in numerous states for approximately \$1,458,870.²⁹ After Vertex received payment from investors for the purchase of Global Energy stock, Bryant arranged for delivery of the shares to the investors by courier or the mails to investors in several states.³⁰ Bryant withdrew funds from Vertex's bank account as his compensation.³¹

In early 2013, Bryant started to wind down operations of Vertex, and he began using Bechtel as a broker to engage in the business of effecting transactions in, and selling the securities of Global Energy to investors. On or about March 4, 2013, Vertex sent an email to its sales agents reminding them "that this Friday (3/8/13) will be the *last day* that the Woodland Hills Vertex office will be accepting mail or fedex, and the last time the phones will be answered. . . . You don't have to necessarily tell them [investors] about Bechtel *just yet* (unless

²⁷ Exh. 5, Matticks Declaration at ¶¶ 13-18.

²⁸ Exh. 5, Matticks Declaration at ¶ 19; Exh. 6, summary of Vertex sales before 9/27/2012; Exh. 3 at p. 49:2-52:10; 54:24-56:17; 69:-70:23; 71:14-72:25 [Vertex bank records showing deposits]; Inv. Exh. 18, 20, 25, 29, 31. In the civil injunctive case, the Division sought disgorgement only for transactions occurring after September 27, 2012, based on a five-year statute of limitations.

²⁹ Exh. 5, Matticks Declaration at ¶ 20; Exh. 7, summary of Vertex sales after 10/1/12, Exh. 7; Exh. 3 at p. 58:2-59:7; 61:15-63:15; Inv. Exh. 22, 25.

³⁰ Exh. 3 at p. 50:12-52:10; Inv. Exh. 18 [Directions to transfer agent to issue shares]

³¹ Exh. 3 at 75:22-76:4; Inv. Exh. 31 at p. 778.

they are ready to purchase more shares **right now**). It might be better to wait until we are able to offer *New Global* shares to bring up Bechtel as a new entity.” (Emphasis in original.)³²

From approximately March through July 2013, Bryant acting through Bechtel offered and sold approximately 2,375,250 shares of Global Energy, which shares were titled in the name of Vertex, in approximately 69 transactions with investors for proceeds of approximately \$540,982.³³ After Bechtel received payment from investors for the purchase of Global Energy stock, Bryant arranged for Vertex to deliver the shares, which were sold by Bechtel, to the investors by courier or the mails.³⁴

Bryant, directly and indirectly, used the means of interstate commerce or the mails in the offer and sales of securities of Global Energy to solicit investors, collect payments for the stock purchases, and deliver the stock certificates to investors located throughout the United States.³⁵ No registration statement was filed or in effect for offers and sales of Global Energy securities by Bryant through Vertex and Bechtel. The Division requests that the court take official notice that the public official records of the Commission reflect that Global Energy did not file or have in effect a registration statement for the offers and sales of its securities made by Bryant and his entities, Vertex, and Bechtel.

C. Unregistered Sales of New Global Securities

Bryant was an officer of, made decisions for, and controlled Bio-Global Resources Inc.

³² Exh. 354; Exh. 60, Kadish Tr. at p. 74:8-20.

³³ Exh. 5, Matticks Declaration at ¶ 24.

³⁴ Ex. 3 at p. 63:16-65:15; Inv. Exh. 26 [Transfer agent email to ship 1,606,981 GETG shares owned by Vertex to Bechtel for delivery].

³⁵ Exh. 3 at p. 69:19-70:7 (Vertex used a website and requested investors wire funds to its bank account), Inv. Exh. 29; 83:13-84:14 (Bechtel used a website to solicit investors).

("Bio-Global").³⁶ Bio-Global acquired both common and preferred shares of New Global directly from the company. Bio-Global entered into three promissory notes with New Global in January 2012, November 2012, and July 2013, through which it agreed to loan a total of \$700,000 to New Global.³⁷ In each agreement, New Global gave Bio-Global the right to convert the principal amounts due under the loan agreements into common shares of New Global and to exercise warrants for more shares. *Id.* Between September 2012 through June 2014, Bio-Global converted the three promissory notes into 2,500,000 common shares of New Global.³⁸ Bio-Global acquired an additional 500,000 New Global common shares from New Global's former president.³⁹ In 2015, Bio-Global obtained 20,000 preferred shares from New Global, which Bio-Global transferred 4,160 preferred shares to used Diversified Equities Inc. ("DEI") and 15,840 preferred shares to DED.⁴⁰

Starting in June 2013, Bryant used DEI as a broker to sell securities of New Global to the public.⁴¹ Bryant controlled DEI.⁴² Between August 5, 2013 and June 11, 2014, Bio-Global transferred 1,340,230 shares of New Global to DEI, which in turn sold the shares to public investors.⁴³ From June 7, 2013 through October 1, 2014, Bryant, acting through DEI, offered and sold 574,072 New Global shares in 114 transactions with investors, and received

³⁶ Exh. 3 at p. 45:17-49:1; 73:14-18; 124:7-125:12, Inv. Exh. 17, 31, 49.

³⁷ Exh. 5, Matticks Declaration at ¶ 26.

³⁸ Exh. 5, Matticks Declaration at ¶ 28.

³⁹ Exh. 5, Matticks Declaration at ¶ 29.

⁴⁰ Exh. 5, Matticks Declaration at ¶ 35.

⁴¹ Exh. 3 at p. 110:21-111:7 [Bryant used DEI to sell New Global Stock].

⁴² Exh. 3 at p. 89:20-93:20, Inv. Exh. 38, 39, 40 [Bryant worked with John Knight, who is referred to in these exhibits, to transfer DEI's shares of New Global to investors who purchased from Bechtel].

⁴³ Exh. 5, Matticks Declaration at ¶ 30.

\$1,108,749.00.⁴⁴ DEI did not pay Bio-Global the purported purchase price at the time it received the New Global shares; instead, DEI made a series of payments totaling approximately \$886,347.00 to Bio-Global from November 27, 2013 through October 10, 2014 out of the proceeds obtained from DEI's stock sales.⁴⁵ Between June 24, 2013 and November 5, 2013, Bryant, acting through Bechtel, sold approximately 315,917 common shares of New Global held by DEI to investors in 54 transactions, and received \$509,274.92 from New Global investors who received their stock from DEI.⁴⁶

Bryant also used DED as a broker to sell securities of New Global to the public.⁴⁷ Bryant controlled DED.⁴⁸ From March 6, 2014 through October 7, 2015, Bryant, acting through DED, offered and sold 1,305,378 New Global shares to investors in approximately 449 transactions and received \$4,254,009.85, which total includes funds from the sales of both common and preferred shares received from DEI, DED or from earlier investors.⁴⁹ From August 26, 2014 through November 12, 2015, DED paid Bio-Global \$2,276,274.00.⁵⁰

Bryant, directly and indirectly, used the means of interstate commerce or the mails in the offer and sales of securities of New Global to solicit investors, collect payments for the stock purchases, and deliver the stock certificates to investors located throughout the United States.⁵¹

⁴⁴ Exh. 5, Matticks Declaration at ¶ 31, Exh. 11, Summary of DEI Sales; Exh. 3 at p. 89:10-93:20; Inv. Exh. 38, 39, 40.

⁴⁵ Exh. 5, Matticks Declaration at ¶ 30, 36.

⁴⁶ Exh. 5, Matticks Declaration at ¶ 32; Exh. 12, Summary of Bechtel sales.

⁴⁷ Exh. 3 at p. 120:15-121:21.

⁴⁸ Exh. 3 at p. 111:8-115:22.

⁴⁹ Exh. 5, Matticks Declaration at ¶ 34; Exh. 13A, Summary of DED sales.

⁵⁰ Exh. 5, Matticks Declaration at ¶ 37.

⁵¹ See e.g., Exh. 3 at pp. 45:17-47:5; 49-18-51:17; Inv. Exh. 17 (email directing transfer agent to deliver stock to Vertex); Inv. Exh. 18 (transfer instructions showing sales to investors in several states).

Between 2012 and 2015, Bryant, directly or indirectly, offered and sold securities of New Global when no registration statement was filed or in effect with the Commission. [OIP II.3.] The Division requests that the court take official notice that the public official records of the Commission that reflect that New Global did not file or have in effect a registration statement for the offers and sales of its securities made by Bryant and his entities, Bio-Global, Bechtel, DEI or DED.

D. Bryant Has Been Repeatedly Sanctioned and Enjoined for Securities Violations.

On September 4, 2013, Wisconsin issued a Cease and Desist Order to Vertex, its controlling persons, officers, agents, servants, employees, and every entity and person directly or indirectly controlled or organized by or on its behalf to cease and desist from making offers or sales of securities until the securities and its agents are registered.⁵² On January 8, 2016, California issued a Desist and Refrain Order to Vertex, Bechtel and Bryant finding, among other things, that Bryant, Vertex and Bechtel engaged in the business of effecting transactions in securities in California without authorization, and ordering them to desist and refrain from acting as brokers or dealers without authorization; that Bryant, Vertex and Bechtel offered and sold securities by means of untrue statements of material fact or omissions to state material fact, and; ordered them to desist and refrain from offering and selling securities in California by means of untrue statements or omissions of material fact.⁵³

⁵² Exh. 61, Cease & Desist Order, *In the Matter of Vertex International Group, LLC*, File No. S-227825(EX) (Wisconsin Department of Financial Institutions, Division of Securities, Sept. 4, 2013). This is a true and correct copy of the Order listed Wisconsin Department of Financial Institutions website at the following Internet address: https://www.wdfi.org/_resources/indexed/site/newsroom/admin_orders/2013/VertexGroup_order.pdf.

⁵³ Exh. 62, Desist and Refrain Order, *Marc Jay Bryant (A/K/A Marc Jay Welch), et al.*, (California Business, Consumer Services and Housing Agency, Dept. of Business Oversight, Jan.

On June 18, 2018, the United States District Court for the Central District of California entered final judgment against Bryant by default enjoining him from violating Sections 15(a) and 20(b) of the Exchange Act, and Sections 5(a) and (c) of the Securities Act; and barring him from participating in an offering of penny stocks. The district court also order Bryant to pay disgorgement of \$2,471,126.92⁵⁴ with prejudgment interest of \$455,358.22, and a civil penalty of \$2,741,126.92; and ordered him to pay additional disgorgement of \$1,977,735.85 jointly and severally with DED,⁵⁵ with prejudgment interest of \$245,099.11, and a second civil penalty of \$1,977,735.85.⁵⁶

III. Argument

A. Legal Standards for Summary Disposition

The Division brings this Motion for Summary Disposition under Rule 250(b) of the Commission's Rules of Practice, which provides in relevant part:

[A]ny party may make a motion for summary disposition on one or more claims or defenses, asserting that the undisputed pleaded facts, declarations, . . . documentary evidence or facts officially noted pursuant to Rule 323 show that there is no genuine issue with regard to any material fact and that the movant is entitled to summary disposition as a matter of law.

Rule 250(b).⁵⁷

8, 2016). This is a true and correct copy of the Order listed on the California Department of Business Oversight website at <https://dbo.ca.gov/enf-b/bryant-marc-jay/>.

⁵⁴ This disgorgement amount of \$2,471,126.92 is the sum of the stock sales Bryant made through Vertex of \$1,420,870.00 and Bechtel \$1,050,256.92. Exh. 5, Matticks Declaration at ¶¶ 23, 33.

⁵⁵ This disgorgement amount of \$1,977,735.85 is the difference between DED's sales of \$4,254,009.85 and its payments to Bio-Global of \$2,276,274. Exh. 5, Matticks Declaration at ¶ 38.

⁵⁶ See Exh. 2, Final Judgment, VI & VII at p. 5.

⁵⁷ 17 C.F.R. § 201.250(b) (2016).

If a respondent fails to file his answer, or fails to appear at the hearing after being duly notified, the hearing officer may find the respondent in default and “the proceedings may be determined against [him] . . . the allegations of which may be deemed to be true as provided by Rule[] 155(a)[.]” *Fuelnation, Inc. and 3D Total Solutions, Inc.*, Admin. Proc. File No. 3-18551, SEC Release No. 83487, 2018 WL 3063581, *2 (June 30, 2018) (granting order instituting administrative proceedings and notice of hearing due to failure to comply with timely Exchange Act periodic filings). Summary disposition is appropriate in proceedings “where the only real issue involves the determination of the appropriate sanction.” *Jordon McCarty*, Admin. Proc. File No. 3-16380, 111 SEC Docket 4417, 2015 WL 3813303, *4 (June 19, 2015) (citation omitted).

B. Legal Standard for Imposition of Industry Bar

Section 15(b)(6)(A)(iii) of the Exchange Act provides, in part, that “the Commission, by order, shall censure, place limitations on the activities or functions of [any person who is associated, or at the time of the alleged misconduct was associated with a broker-dealer] or suspend for a period not exceeding 12 months, or bar any such person from being associated with a broker, dealer, investment adviser, municipal securities dealer, municipal advisor, transfer agent, or nationally recognized statistical rating organization, . . . if the Commission finds . . . [that such a sanction] is in the public interest” and that such person “is enjoined from any action, conduct or practice specified in subparagraph (c) of paragraph 4”, including enjoined from acting as a broker or dealer. 15 U.S.C. §§ 78o(b)(6)(A)(iii) and 78o(b)(4)(C).

C. Bryant Violated Section 15(a) of the Exchange Act

To establish a violation of Section 15(a)(1) of the Exchange Act, the Division must show that a respondent, while not registered as, or associated with a broker or dealer registered with the SEC, made use of the means or instruments of interstate commerce to induce or attempt to induce

the purchase or sale of a security. 15 U.S.C. § 78o(a); *SEC v. Wilde*, No. SACV 11-0315 DOC (AJWx), 2012 WL 6621747, at *14 (C.D. Cal. Dec. 17, 2012) (*aff'd* in a non-published memorandum opinion *SEC v. Wilde*, No.13-55043, No. 55-293, 2016 WL 5672717 (9th Cir. Oct. 3, 2016)); *SEC v. Interlink Data Network of Los Angeles*, No. 93 3073 R, 1993 WL 603274, at *11-12 (C.D. Cal. Nov. 16, 1993). Scienter is not an element of a Section 15(a) violation. *Id.*

As an initial matter, Bryant was enjoined on June 18, 2018, from acting as an unregistered broker or dealer in violation of Section 15(a) of the Exchange Act.⁵⁸

In addition, the undisputed facts demonstrate that Bryant acted as a broker and dealer when he engaged in the business of effecting investors' purchases of the securities of Global Energy and New Global. Bryant, and his entities, Vertex, Bechtel, DEI and DED, were not registered or associated with a registered broker-dealer and no exemption or safe harbor is available. As discussed above, from at least 2012 through 2015, Bryant, through his entities Vertex, Bechtel, DEI and DED: (i) operated companies whose sole purpose was to sell these investments; (ii) hired and used sales agents who solicited investors; (iii) provided sales agents with information to shine a favorable light on this investment, including scripts and draft email communications; (iv) entered into stock purchase agreements with investors throughout the country through the entities; (v) took investors' payments totaling millions of dollars for sales of millions of shares stock; (vi) paid sales agents transaction-based compensation; and (vii) sold the securities of Global Energy and New Global. They used telephone, mail and other means of interstate commerce to effect the securities transactions, receive payment, and deliver the securities. These activities meet the definition of engaging in the business of effecting securities transactions either for their own account or the

⁵⁸ See Exhibit 2, Final Judgment at p. 3.

accounts of others making them brokers and dealers. See *SEC v. Interlink Data Network of Los Angeles*, No. 93 3073 R, 1993 U.S. Dist. LEXIS 20163, at *46 (C.D. Cal. Nov. 16, 1993 (citing *SEC v. Hansen*, [1984 Transfer Binder] Fed. Sec. L. Rep. (CCH) ¶ 91,426 at 98,119 (S.D.N.Y. 1984)). Accordingly, Bryant violated the broker-dealer registration requirements of Section 15(a) of the Exchange Act.

D. Bryant Also Violated Section 5 of the Securities Act

To establish a violation of Section 5 of the Securities Act, the Division must show: (1) no registration statement was filed or in effect as to the transaction; (2) the respondent directly or indirectly sold or offered to sell the securities; and (3) the sale or offer was made through interstate commerce. See e.g., *SEC v. Murphy*, 626 F.2d at 640; *SEC v. Phan*, 500 F.3d 895, 901-02 (9th Cir. 2007). No showing of scienter is required. *Aaron v. SEC*, 446 U.S. 680, 714 (1980).

Bryant was enjoined on June 18, 2018, from offering or selling securities when no registration statement is in effect in violation of Sections 5(a) and (c) of the Securities Act.⁵⁹ As detailed above, the undisputed facts establish that Bryant, acting through Vertex, Bechtel, Bio-Global, DEI and DED, offered and sold the securities of Global Energy and New Global by means of interstate commerce when no registration statement was filed or in effect. Accordingly, Bryant violated Sections 5(a) and (c) of the Securities Act.

E. The Undisputed Facts Establish a Statutory Basis for a Bar Under Section 15(b)(6) of the Exchange Act.

As noted above, a bar is appropriate where an individual has been enjoined from acting as a broker or dealer, and the bar is in the public interest. Here it is clear that, both, Bryant acted as

⁵⁹ See Exh. 2, Final Judgment at p. 2.

an unregistered broker or dealer, was enjoined from doing so, and the applicable public interest factors support the requested bar, which are discussed below.

In considering the appropriateness of sanctions, the Commission is guided by the public interest factors set forth in *Steadman v. SEC*, 603 F.2d 1126, 1140 (5th Cir. 1979), *aff'd on other grounds*, 450 U.S. 91 (1981). Those factors include: 1) the egregiousness of the respondent's actions; 2) the isolated or recurrent nature of the infraction; 3) the degree of scienter involved; 4) the sincerity of the respondent's assurances against future violations; 5) the respondent's recognition of the wrongful nature of his conduct; and 6) the likelihood of future violations. *Id.* at 1140. The *Steadman* factors are flexible and no one factor is dispositive. *See Gary M. Kornman*, Admin. Proc. File No. 3-12716, SEC Release No. IA-2840, 95 SEC Docket 601, 2009 WL 367635, *6-7 (Feb. 13, 2009). Additionally, the Commission must consider whether the sanction will have a deterrent effect. *See Schield Mgmt Co. and Marshall L. Schield*, Admin. Proc. File No. 3-11762, 87 SEC Docket 695, 2006 WL 231642, *8 n.46 (Jan. 31, 2006) (stating that the selection of an appropriate sanction involves consideration of several elements, including deterrence).

First, Bryant's violations were egregious - occurring over at least three years and involving hundreds of unregistered offers and sales of the securities of two issuers, Global Energy and New Global. Bryant targeted elderly investors.⁶⁰ Second, Bryant's violations were not isolated. Over

⁶⁰ Exh. __, California Desist and Refrain Order at p.1 ¶ 4; Exh. 3 at p. 29:14-16; 58:2-59:4; 63:1-15 (sales to Connelly age 86 and Sandoval age 95). *See also, Epstein v. SEC*, 416 F. App'x 142, 146 (3d Cir. 2010) (affirming Commission's imposition of permanent bar where the Commission had determined that violations were egregious because they were perpetrated against elderly and unsophisticated clients and did not stem from a mistake of fact).

three years, Bryant effected hundreds of transactions for the sale of \$4,448,862.77 in securities.⁶¹ Third, although scienter is not an element of violations of either the broker-dealer or securities registration provisions, Bryant knowingly violated these provisions. He was formerly associated with a broker-dealer in 1990, and therefore knew of the requirement that he be associated with a registered broker-dealer to effect securities transactions. He used a series of business entities - Vertex, Bechtel, DEI and DED - to hide his activities. Rather than stop his illegal conduct when Wisconsin issued its Cease and Desist Order against Vertex and its agents, Bryant created Bechtel, and later DEI and DED to continue his illegal sales.⁶² Fourth, Bryant has made no assurances against future violations. Rather he ignored the Commission's civil action against him, as well as this matter. Fifth, Bryant has presented no evidence that he recognizes the wrongful nature of his conduct. Sixth, there is a strong likelihood that of future violations. Bryant is a recidivist having been sanctioned by Wisconsin and California for making unregistered securities transactions, for acting as an unregistered broker-dealer, and in California, for engaging in fraud in the sale of securities. He was also enjoined by the district court from violating the federal securities laws listed above. Bryant's pattern of conduct shows that he acted knowingly to evade the registration provisions for his securities transactions and his business as a broker-dealer.

In this case, the *Steadman* factors demonstrate that Bryant's conduct was egregious, repeated, and conducted with a high degree of scienter, showing a risk of future harm to the public. Bryant's offers and sales of the securities of Global Energy and New Global without

⁶¹ *Jeffrey L. Gibson*, Exchange Act Release No. 57266, 2008 WL 294717, at *3 (Feb. 4, 2008) (finding conduct recurrent when it occurred over three years and involved a large number of respondent's clients).

⁶² *Phillip J. Milligan*, Exchange Act Release No. 61790, 2010 WL 1143088, at *5 (Mar. 26, 2010) (stating that "attempts to conceal misconduct indicate scienter").

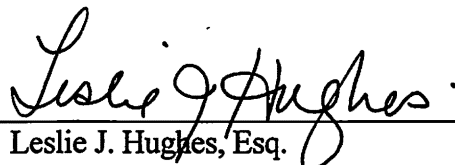
complying with the registration provisions was egregious. Failure to ensure that the offerings at issue complied with the registration provisions of the Securities Act adds to the egregiousness.

Alfred Clay Ludlum, III, Admin. Proc. File No. 3-14572, 106 SEC Docket 3590, 2013 WL 3479060, at *4 n.43 (Jul. 11, 2013).

An industry-wide collateral bar is necessary and appropriate to protect investors and the “fairness, transparency, and regulatory oversight of the securities markets.” *John W. Lawton*, 2012 WL 6208750, at *13. Entry of a bar prohibiting Bryant from being associated with a broker, dealer, investment adviser, municipal securities dealer, municipal advisor, transfer agent, or nationally recognized statistical rating organization is in the public interest. For the reasons discussed above, the Division requests that the court enter an industry-wide collateral bar.

Dated December 6, 2019.

Respectfully submitted,



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CERTIFICATE OF SERVICE

On December 6, 2019, I have caused the attached **Division's Motion for Summary Disposition on Remedial Sanctions** to be served on the following parties and other persons entitled to notice by placing the same in the United States mail addressed as follows:

Vanessa A. Countryman, Secretary
Office of the Secretary
Securities and Exchange Commission
100 F. Street, N.S.
Washington, D.C. 20549
(Motion sent by facsimile, and email,
with original and three copies of motion and exhibits sent by UPS)

Honorable Brenda P. Murray
Chief Administrative Law Judge
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549-2557
(Courtesy copy by email)

Mr. Marc Jay Bryant
[REDACTED]
Portland OR [REDACTED]



Gary Rogers
Litigation Paralegal

APPENDIX

Exhibit Index

Exhibit No.	Document Description
1	Complaint in <i>SEC v. David Howard Welch, et al</i> (USDC for the Central District of CA; Case No. 17-cv-01968)
2	Final Judgment as to David Howard Welch, Marc Jay Bryant in <i>SEC v. David Howard Welch, et al</i> (USDC for the Central District of CA; Case No. 17-cv-01968)
3	Excerpts from the Investigative Testimony of Marc Jay Bryant dated 9/17/2016
4	Declaration of Jaqueline M. Moessner in <i>SEC v. David Howard Welch, et al</i> (USDC for the Central District of CA; Case No. 17-cv-01968)
5A	Web CRD Report for Marc J. Bryant – exhibit to Moessner Decl.
5	Declaration of Kerry Matticks in <i>SEC v. David Howard Welch, et al</i> (USDC for the Central District of CA; Case No. 17-cv-01968)
6	Vertex Summary of Sales pre 9/27/2012 – exhibit to Matticks Decl.
7	Vertex Summary of Sales post 9/27/2012 – exhibit to Matticks Decl.
8	No exhibit submitted
9	Bechtel Summary of Sales 3/12/2013- 7/3/2013 - exhibit to Matticks Decl.
10	No exhibit submitted
11	Diversified Equities Inc., Summary of Sales 6/7/2013 – 6/11/2014 – exhibit to Matticks Decl.
12	Bechtel Summary of Sales 6/24/2013 – 11/5/2013 – exhibit to Matticks Decl.
13A	Diversified Equities Inc., Summary of Sales 3/6/2014 – 10/7/2015 – exhibit to Matticks Decl.
13	Chase Business Signature Card for Vertex International Group LLC
14	Chase Business Depository Resolution for Vertex International Group LLC
15	Bank of America Account Opening documents for Vertex International Group LLC
16	Signature page for Global Energy Technology Group, Inc., Consultant Agreement
17	Global Energy Technology Group Inc., stock invoice from Action Stock Transfer Corp, to Bio-Global Resources dated 2/01/2012
18	Global Energy Technology Group Inc., stock invoice from Action Stock Transfer Corp, to Vertex International Group LLC dated 2/01/2012
19	Global Energy Technology Group Inc., stock invoice from Action Stock Transfer Corp, to NAFTA Environmental dated 3/9/2012
20	Global Energy Technology Group Inc., stock invoice from Action Stock Transfer Corp, to Vertex International Group LLC dated 3/29/2012
21	Global Energy Technology Group Inc., stock invoice from Action Stock Transfer Corp, to Electronic Registry Inc dated 4/5/2012

22	Global Energy Technology Group Inc., stock invoice from Action Stock Transfer Corp, to Vertex International Group LLC dated 5/31/2012
23	Global Energy Technology Group Inc., stock invoice from Action Stock Transfer Corp, to Vertex International Group LLC dated 10/05/2012
24	No exhibit submitted
25	Global Energy Technology Group Inc., stock invoice from Action Stock Transfer Corp, to Vertex International Group LLC dated 12/12/2012
26	Global Energy Technology Group Inc., stock invoice from Action Stock Transfer Corp, to Bechtel Group dated 5/17/2013
27	Global Energy Technology Group Inc., email sent to client prospects
28	Email from Anne Sheridan to Benarr Pardoff re The Aqua Tech Solution Fish and Shrimp Farming dated 1/09/2013
29	Vertex International Group LLC., Stock Purchase Agreement
30	No exhibit submitted
31	JP Morgan Chase Bank NA Statement for Vertex International Group LLC dated 3/01/2012 – 3/30/2012
32	JP Morgan Chase Bank NA Statement for Vertex International Group LLC dated 3/31/2012 – 4/30/2012
33	No exhibit submitted
34	Bechtel Advisory Group, Inc., Stock Purchase Agreement
35	Bechtel Advisory Group, Inc., Stock Purchase Agreement with Robert Chambers dated 6/6/2013
36	Bechtel Advisory Group, Inc., Letter and Stock Purchase Agreement with Todd R. Allen dated 8/28/2013
37	Bechtel Advisory Group, Inc., Letter and Stock Purchase Agreement with Ina Sandoval dated 4/4/2013
38	New Global Energy, Inc., Transfer Journal received from Diversified Equities Inc effective date 8/19/2013
39	New Global Energy, Inc., Transfer Journal received from Diversified Equities Inc effective date 9/12/2013
40	New Global Energy, Inc., Transfer Journal received from John C. Knight/Diversified Equities Inc effective date 10/14/2013
41	No exhibit submitted
42	No exhibit submitted
43	Sample Presentation Opener (For Training Purposes only)
44	No exhibit submitted
45	No exhibit submitted
46	No exhibit submitted
47	No exhibit submitted
48	No exhibit submitted
49	Manhattan Transfer Registrar Company New Global Energy, Inc., Certificate Transaction Journal dated 5/05/2016
50	No exhibit submitted
51	No exhibit submitted

52	No exhibit submitted
53	No exhibit submitted
54	No exhibit submitted
55	No exhibit submitted
56	No exhibit submitted
57	No exhibit submitted
58	No exhibit submitted
59	No exhibit submitted
60	Excerpts from the Investigative Testimony of Richard Kadish dated 12/02/2016
61	Wisconsin Cease and Desist Order dated September 4, 2013
62	California Desist and Refrain Order dated January 8, 2016
354	Email dated 3/4/2013 re countdown for Vertex – exhibit to Kadish Tr.

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12 **UNITED STATES DISTRICT COURT**
13 **CENTRAL DISTRICT OF CALIFORNIA**

16 SECURITIES AND EXCHANGE
17 COMMISSION,

18 Plaintiff,

19 vs.

20 DAVID HOWARD WELCH,
21 MARC JAY BRYANT,
JOHN CHARLES KNIGHT,
22 PERRY DOUGLAS WEST,
BIO-GLOBAL RESOURCES, INC.,
23 DIVERSIFIED EQUITIES INC.,
DIVERSIFIED EQUITIES
24 DEVELOPMENT, INC.,
25 and NEW GLOBAL ENERGY INC.,

26 Defendants.

Case No.

COMPLAINT

JURY TRIAL DEMANDED

27 Plaintiff Securities and Exchange Commission ("SEC") alleges:
28



JURISDICTION AND VENUE

1
2 1. The Court has jurisdiction over this action pursuant to Sections 20(b),
3 20(d)(1) and 22(a) of the Securities Act of 1933, as amended (“Securities Act”), 15
4 U.S.C. §§ 77t(b), 77t(d)(1) & 77v(a), and Sections 21(d)(1), 21(d)(3)(A), 21(e) and
5 27(a) of the Securities Exchange Act of 1934, as amended (“Exchange Act”), 15
6 U.S.C. §§ 78u(d)(1), 78u(d)(3)(A), 78u(e) & 78aa(a).

7 2. Defendants, directly or indirectly, made use of the means or
8 instrumentalities of interstate commerce, of the mails, or of the facilities of a national
9 securities exchange in connection with the transactions, acts, practices and courses of
10 business alleged in this complaint.

11 3. Venue is proper in this district pursuant to Section 22(a) of the Securities
12 Act, 15 U.S.C. § 77v(a), and Section 27(a) of the Exchange Act, 15 U.S.C. § 78aa(a).
13 Certain of the transactions, acts, practices and courses of conduct constituting
14 violations of the federal securities laws occurred within this district. In addition,
15 venue is proper in this district because the Defendants either reside in, or transacted
16 business within, this district, including the sale of securities to investors that resided
17 in this district.

18 **SUMMARY**

19 4. This case involves numerous individuals and entities acting as broker-
20 dealers – including operating a boiler room “cold-calling” operation – despite failing
21 to register with the SEC in violation of Section 15(a) of the Exchange Act. In
22 addition, all of the Defendants, operating through a web of controlled entities, sold
23 stock in two successive companies to the public in unregistered transactions in
24 violation of Sections 5(a) and 5(c) of the Securities Act, thereby depriving investors
25 of important and legally required information. Through their illegal plan the
26 Defendants effected millions of dollars of securities transactions in the stock of two
27 entities: Global Energy Technology Group, Inc. (“Global Energy”) and Defendant
28 New Global Energy, Inc. (“New Global”).

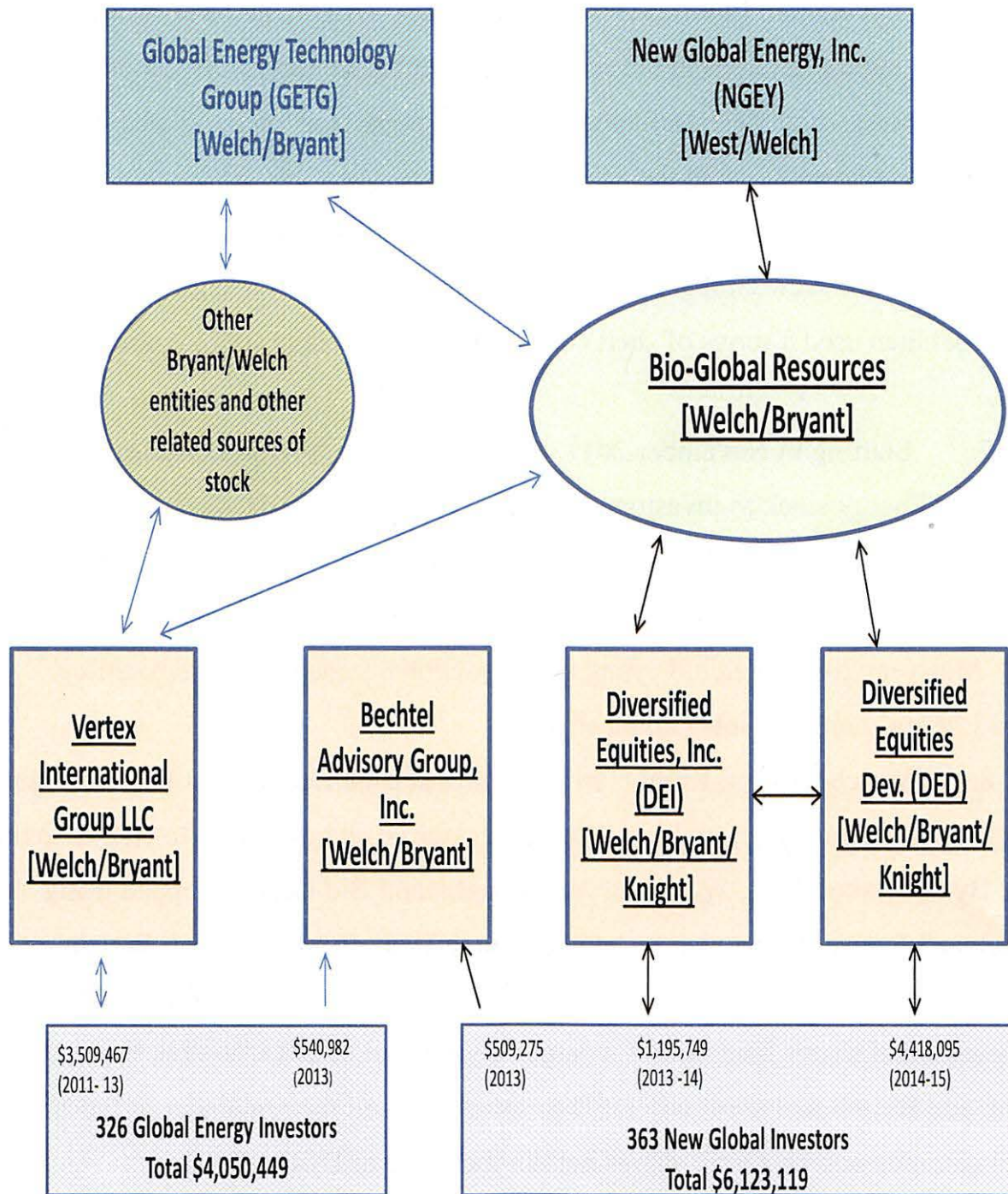
1 5. From 2011 through 2015, Defendants David Howard Welch, Marc Jay
2 Bryant, and John Charles Knight, through various shell companies including Vertex
3 International Group, LLC (“Vertex”), Bechtel Advisory Group, Inc. (“Bechtel”), and
4 Defendants Bio-Global Resources, Inc. (“Bio-Global”), Diversified Equities Inc.
5 (“DEI”), and Diversified Equities Development Inc. (“DED”), acted as brokers and
6 dealers effecting transactions in the securities of Global Energy, New Global and
7 other companies, while these Defendants were not registered, or associated with
8 broker-dealers registered, with the SEC.

9 6. Welch and Bryant used Bio-Global to acquire millions of shares of
10 Global Energy and New Global directly from the companies. Welch, Bryant, and
11 Bio-Global then used a series of shell companies to solicit investors to purchase the
12 shares.

13 7. Starting in November 2011, they used Vertex as a broker to solicit and
14 sell Global Energy stock to investors, and transferred shares to Vertex to complete the
15 sales. In March 2013, they discontinued using Vertex and began using Bechtel as the
16 broker to solicit and sell the remainder of the Global Energy shares held in Vertex’s
17 name. Mid-year 2013, Welch, Bryant, and Bio-Global transitioned from selling
18 Global Energy shares to New Global shares.

19 8. Welch, Bryant, Knight, and Bio-Global used Bechtel and DEI to solicit
20 and sell New Global shares and Bio-Global transferred shares to DEI to complete the
21 sales. By the end of 2013, Welch, Bryant, Knight, and Bio-Global stopped using
22 Bechtel, and focused their sales activities through DEI. In March 2014, Welch,
23 Bryant, Knight, and Bio-Global began using DED, in addition to DEI, to solicit and
24 sell New Global shares held in DEI’s name. By the end of 2014, Welch, Bryant,
25 Knight, and Bio-Global used only DED to solicit and sell the remainder of the New
26 Global common and preferred shares held in the name of DEI or DED.

9. This chart visually depicts the flow of stock and money among the various entities:



1 10. From their sales of the securities of Global Energy and New Global, the
2 Welch, Bryant, Knight, Bio-Global, DEI and DED raised over ten million dollars
3 from more than 500 investors. As a result of conduct alleged in this Complaint, these
4 Defendants violated the broker-dealer registration provisions of Section 15(a)(1) of
5 the Exchange Act, 15 U.S.C. § 78o(a)(1).

6 11. All of the Defendants violated the securities offering registration
7 provisions of the Securities Act. From 2012 through 2015, all Defendants, directly or
8 indirectly, offered and sold securities of Global Energy or New Global when no
9 registration statement was filed or in effect with the SEC and no exemption from
10 registration applied. As a result of conduct alleged in this Complaint, each of the
11 Defendants violated the securities offering registration provisions of Sections 5(a)
12 and (c) of the Securities Act, 15 U.S.C. § 77e(a) and (c).

13 **THE DEFENDANTS**

14 12. David Howard Welch (A/K/A David Howard Bryant) (“Welch”) is an
15 individual that resides in Palm Desert, California, and transacts business, including
16 the offer or sale of securities as detailed in this Complaint, within this judicial district
17 and throughout the United States. Welch is the brother of Marc Bryant.

18 13. Marc Jay Bryant (A/K/A Marc Jay Welch) (“Bryant”) is an individual
19 that resides in Chatsworth, California and transacts business, including the offer or
20 sale of securities as detailed in this Complaint, within this judicial district and
21 throughout the United States. Bryant is the brother of David Welch.

22 14. John Charles Knight (“Knight”) is an individual that resides in
23 Longmont, Colorado, and transacts business, including the offer or sale of securities
24 as detailed in this Complaint, within this judicial district and throughout the United
25 States.

26 15. Perry Douglas West (“West”) is an individual that resides in Cocoa,
27 Florida and is an attorney admitted to practice law in Florida. He transacts business,
28 including the offer or sale of securities as detailed in this Complaint, within this

1 judicial district and throughout the United States.

2 16. Bio-Global Resources Inc. (“Bio-Global”) was incorporated in Texas in
3 2008 and re-incorporated in Wyoming in 2011. Bio-Global operates from its
4 principal place of business in Palm Desert, California. It transacts business by
5 offering or selling securities including Global Energy and New Global, as detailed in
6 this Complaint, within this judicial district and throughout the United States. Welch
7 and Bryant are officers or directors of Bio-Global.

8 17. Diversified Equities Inc. (“DEI”) was incorporated in Colorado in May
9 2013. DEI operates from its principal place of business in Boulder, Colorado. It
10 transacts business by offering and selling securities, as detailed in this Complaint,
11 within this judicial district and throughout the United States. Knight is an officer and
12 director of DEI.

13 18. Diversified Equities Development Inc. (“DED”) was incorporated in
14 Nevada in November 2013 and reincorporated in California in October 2014. DED
15 operated “boiler-room” call centers for sales agents in Northridge and Encino,
16 California, and in Costa Rica. It transacts business by offering and selling securities,
17 as detailed in this Complaint, within this judicial district and throughout the United
18 States. Bryant and Knight are officers or directors of DED.

19 19. New Global Energy, Inc. (“New Global” or “NGEY”) was incorporated
20 in Wyoming 2012. New Global operates from its principal place of business in
21 Brevard County, Florida and owned tilapia fish farms in Thermal and Mecca,
22 California. It transacts business by offering or selling securities, as detailed in this
23 Complaint, within this judicial district and throughout the United States. At all
24 relevant times herein, West was the chief executive officer (“CEO”) of New Global.

25 20. New Global’s common and preferred stock are securities as defined in
26 Section 2(a)(1) of the Securities Act and Section 3(a)(10) of the Exchange Act, 15
27 U.S.C. §§ 77b(a)(1) & 78c(a)(10). New Global’s commons stock traded in the over-
28 the-counter market under the symbol “NGEY.” At certain times relevant to the

1 Complaint, the common stock of New Global was a “penny stock” as defined in 15
2 U.S.C. § 78c(a)(51).

3 **OTHER RELEVANT ENTITIES**

4 21. Global Energy Technology Group, Inc. (“Global Energy” or “GETG”)
5 was a Nevada corporation formed in 2009 with its principal place of business in
6 Dallas, Texas. Global Energy was a private company purportedly in the business of
7 creating renewable bio-fuel using jatropha trees, and later operating tilapia fish farms
8 in California. From approximately January 2010 until June 2012, West was the CEO
9 of Global Energy. GETG sold all of its assets to New Global in September 2014, its
10 Texas business license expired in January 2015, and it is no longer operating.

11 22. Global Energy’s common stock is a security as defined in Section
12 2(a)(1) of the Securities Act and Section 3(a)(10) of the Exchange Act, 15 U.S.C. §§
13 77b(a)(1) & 78c(a)(10). At all relevant times to the Complaint, the common stock of
14 Global Energy was a “penny stock” as defined in 15 U.S.C. § 78c(a)(51).

15 23. Vertex International Group, LLC (“Vertex”) was a Wyoming limited
16 liability corporation formed in November 2011 and administratively dissolved in
17 January 2013. Vertex was one of the shell companies that Welch and Bryant used to
18 offer and sell securities of Global Energy. Vertex transacted business from, and
19 operated “boiler-room” call centers for sales agents in Woodland Hills and
20 Northridge, California. Bryant was the managing member of Vertex.

21 24. On September 4, 2013, the state of Wisconsin, Department of Financial
22 Institutions, Division of Securities ordered Vertex to cease and desist from further
23 offers or sales of securities in Wisconsin and from transacting business as a broker-
24 dealer in Wisconsin unless registered.

25 25. Bechtel Advisory Group, Inc. (“Bechtel”) was a Wyoming corporation
26 formed in 2013 and administratively dissolved in March 2014. Bechtel was one of
27 the shell companies that Welch, Bryant and Knight used to offer and sell securities of
28 Global Energy and New Global. Bechtel transacted business from and operated

1 “boiler-room” call centers for sales agents in Northridge, California. Bryant was the
2 president of Bechtel.

3 26. On January 8, 2016, the state of California, Business, Consumer
4 Services and Housing Agency, Department of Business Oversight ordered Bryant,
5 Vertex, and Bechtel to desist and refrain from acting as a broker-dealer or engaging
6 in broker-dealer activities, based on their conduct in the sale of the securities of
7 Global Energy.

8 **FACTUAL ALLEGATIONS**

9 **I. WELCH, BRYANT, KNIGHT, BIO-GLOBAL, DEI, AND DED**
10 **ILLEGALLY ACTED AS BROKERS OR DEALERS.**

11 27. Between November 2011 and July 2013, Welch and Bryant through
12 Vertex and Bechtel acted as unregistered broker-dealers effecting transactions in the
13 securities of Global Energy. Between June 2013 and May 2015, Welch, Bryant, Bio-
14 Global, Knight, DEI and DED acted as unregistered broker-dealers effecting
15 transactions in the securities of New Global.

16 28. Section 15(a)(1) of the Exchange Act, 15 U.S.C. § 78o(a)(1), makes it
17 unlawful for any person or entity to make use of the mails or any means or
18 instrumentality of interstate commerce to effect any transactions in, or to induce or
19 attempt to induce the purchase or sale of any security, unless such broker or dealer is
20 registered with the SEC.

21 29. Section 3(a)(4) of the Exchange Act, 15 U.S.C. § 78c(a)(4), defines a
22 broker as any person engaged in the business of effecting transactions in securities for
23 the accounts of others.

24 30. Section 3(a)(5) of the Exchange Act, 15 U.S.C. § 78c(a)(5), defines a
25 dealer as any person engaged in the business of buying and selling securities for such
26 person’s own account through a broker or otherwise.

27 31. During all times relevant to the Complaint, Welch, Bryant, Knight, Bio-
28 Global, DEI and DED were not registered as brokers or dealers, or associated with

1 broker-dealers registered, with the SEC under Section 15(a)(1) of the Exchange Act,
2 15 U.S.C. § 78o(a)(1).

3 32. Welch, Bryant, Knight, Bio-Global, DEI and DED acted with deliberate
4 or reckless disregard of a regulatory requirement to be registered as a broker or
5 dealer.

6 **A. Welch and Bryant Acted as Brokers and Dealers in the Sale of the**
7 **Securities of Global Energy through Vertex and Bechtel.**

8 33. From 2011 through 2013, Welch and Bryant acted as brokers and dealers
9 engaged in the business of effecting transactions in the securities of Global Energy,
10 and other companies, for the accounts of others and for their own accounts.

11 34. In May 2010, Welch sent an email to the chief executive officer of one
12 of the companies whose securities he offered and sold, and admitted that he was a
13 broker-dealer. In that email, Welch stated, in part: "I have operated one of the larger
14 private broker dealer networks with over 1,000 licensed reps and have been
15 personally responsible for raising over \$500,000,000 in capital."

16 35. In 2011, Welch, through Bio-Global, owned or controlled 1,822,898
17 shares of Global Energy or approximately 5% of the outstanding shares of Global
18 Energy.

19 36. In 2011, Bryant owned or controlled at least 2,181,430 shares of Global
20 Energy held in the name of a trust or approximately 6.5% of the outstanding shares of
21 Global Energy.

22 37. In 2011, Welch discussed with West, the CEO of Global Energy, making
23 a public offering of common stock of Global Energy to investors.

24 38. As part of the plan to sell Global Energy stock, Welch and Bryant set up
25 a number of shell companies, including but not limited to Vertex and Bechtel,
26 through which they offered and sold the shares of Global Energy and received money
27 from investors.
28

1 **1. Vertex Sold Shares of Global Energy.**

2 39. Bryant caused Vertex to be incorporated in 2011 to act as a broker or
3 dealer effecting transactions in the securities of Global Energy.

4 40. Welch and Bryant controlled Vertex.

5 41. Bryant opened a bank account for Vertex on which Bryant was the sole
6 signatory. Bryant used part of Vertex's Global Energy sales proceeds to pay his
7 personal expenses.

8 42. Welch and Bryant recruited sales agents for Vertex to generally solicit
9 public investors to purchase Global Energy securities, using cold calls and investor
10 lead lists.

11 43. Welch and Bryant provided scripts, exemplars of email communications,
12 and information about the merits of the investment in Global Energy's securities to
13 the Vertex sales agents with the intention that the sales agents use the information and
14 materials to offer and sell the securities of Global Energy to prospective investors.
15 Welch and Bryant set the price at which the securities were sold by Vertex sales
16 agents.

17 44. At the direction of Welch or Bryant, the Vertex sales agents made
18 telephone calls and sent email messages actively soliciting prospective investors that
19 were identified from lead lists. They sent stock purchase agreements to investors by
20 courier, and requested return of the agreements with payment to Vertex by mail to its
21 address in California.

22 45. Some of the Vertex sales agents used aggressive sales tactics pressuring
23 investors to purchase the stock.

24 46. Welch and Bryant, through Vertex, Bechtel, or other entities that they
25 controlled, paid transaction-based compensation or commissions up to 40% to the
26 sales agents for selling the Global Energy shares.

27 47. After putting the sales structure in place, Welch sent an email to West
28 and others on November 21, 2011 advising that he and Bryant were making

1 arrangements to call “all of our [] clients and all of our JV [Joint Venture] clients and
2 see[] what their level of interest is in acquiring Pre-Public stock in GETG . . . we are
3 offering it at .25 a share. . . . When we go public. . . GETG[] need[s] to have some
4 fairly sterile accounting for the reverse merger . . . thus . . . GETG is not selling or
5 paying any fees for the sale of its PRIVATE Stock.”

6 48. Vertex sales agent began soliciting investors and received payments
7 from investors starting in December 2011.

8 49. Between February 2012 and May 2013, Vertex acquired approximately
9 22,002,428 shares of Global Energy from at least eleven third-parties, and held the
10 shares in the name of Vertex. Vertex acquired 1,022,898 of these Global Energy
11 shares from Bio-Global.

12 50. Between November 2011 and September 21, 2012, Welch and Bryant,
13 through Vertex, effected transactions in, and offered and sold approximately
14 9,245,560 shares of Global Energy in approximately 253 transactions with investors
15 located in numerous states, including within this judicial district, for approximately
16 \$1,992,597. After Vertex received payment from investors for the purchase of
17 Global Energy stock, Welch and Bryant arranged for Vertex to deliver the shares to
18 the investors by courier or the mails.

19 51. Between September 26, 2012 and July 2013, Welch and Bryant, through
20 Vertex, effected transactions in and offered and sold approximately 6,262,480 shares
21 of Global Energy in approximately 255 transactions with investors located in
22 numerous states, including within this judicial district, for approximately \$1,516,870.

23 52. Vertex used sales agents to effect transactions in, induce and attempt to
24 induce the purchase or sale of the securities of Global Energy that it acquired from
25 third-parties. None of the Vertex sales agents were registered or associated with
26 broker-dealers registered with the SEC.

27 **2. Bechtel Sold Shares of Global Energy.**

28 53. Bryant caused Bechtel to be incorporated in January 2013 to act as a

1 broker or dealer effecting transactions in the securities of Global Energy.

2 54. Welch and Bryant controlled Bechtel.

3 55. Bryant opened a bank account for Bechtel on which Bryant was the sole
4 signatory. Bryant used part of Bechtel's Global Energy sales proceeds to pay his
5 personal expenses.

6 56. Bryant and Welch recruited many of the Vertex sales agents to work for
7 Bechtel to offer and sell the securities of Global Energy, through general solicitation
8 using cold calling and investor lead lists.

9 57. On or about March 2, 2013, Vertex sent an email to its sales agents
10 reminding them "that this Friday (3/8/13) will be the *last day* that the Woodland Hills
11 Vertex office will be accepting mail or fedex, and the last time the phones will be
12 answered. . . . You don't have to necessarily tell them about Bechtel *just yet* (unless
13 they are ready to purchase more shares **right now**). It might be better to wait until we
14 are able to offer *New Global* shares to bring up Bechtel as a new entity." (Emphasis
15 in original.)

16 58. Welch and Bryant provided scripts, exemplars of email communications,
17 and information about the merits of the investment in Global Energy's securities to
18 the Bechtel sales agents with the intention that the sales agents use the information
19 and materials to offer and sell the securities of Global Energy to prospective
20 investors. Welch and Bryant set the price at which the securities were sold by
21 Bechtel sales agents.

22 59. At the direction of Welch or Bryant, the Bechtel sales agents made
23 telephone calls and sent email messages actively soliciting prospective investors that
24 were identified from lead lists. They sent stock purchase agreements to investors by
25 courier, and requested return of the agreements with payment to Bechtel by mail to its
26 address in California.

27 60. Some of the Bechtel sales agents used aggressive sales tactics pressuring
28 investors to purchase Global Energy stock.

1 61. Between approximately March and July 2013, Welch and Bryant,
2 through Bechtel, offered and sold approximately 2,375,250 shares of Global Energy,
3 which were held in the name of Vertex, in approximately 69 transactions with
4 investors for approximately \$540,982. After Bechtel received payment from
5 investors for the purchase of Global Energy stock, Welch and Bryant arranged for
6 Vertex to deliver the shares to the investors by courier or the mails.

7 62. Bechtel used sales agents to effect transactions in, induce and attempt to
8 induce the purchase or sale of the securities of Global Energy that it acquired from
9 third-parties. None of the Bechtel sales agents were registered or associated with
10 broker-dealers registered with the SEC.

11 **3. Welch and Bryant were Brokers and Dealers Through Their Use and**
12 **Control of Vertex and Bechtel.**

13 63. Welch and Bryant and the entities they controlled did not respect
14 corporate formalities. Rather, they took numerous actions demonstrating that this
15 was a common enterprise, including:

- 16 a. Vertex acquired some of the Global Energy shares from persons or entities
17 that were controlled by Welch and Bryant, but did not pay those persons or
18 entities prior to selling the shares to the public;
- 19 b. Vertex acquired Global Energy shares from Bio-Global on February 1,
20 2012, but did not make any payments to Bio-Global until nearly five months
21 later on June 28, 2012;
- 22 c. Welch and Bryant, acting through Vertex, acquired shares from other
23 related parties, such as West and his daughter, but did not pay those parties
24 prior to selling the shares to the public; and
- 25 d. Vertex transferred Global Energy shares that it owned to investors who
26 purchased the shares from and paid Bechtel.

27 64. Welch, directly or indirectly, effected transactions, induced, or attempted
28 to induce sales of the securities of Global Energy, through sales made by Vertex,

1 Bechtel and various sales agents, while Welch was not registered as or associated
2 with a broker or dealer registered with the SEC. Welch acted as a dealer through
3 Vertex when it offered and sold securities for its own account, and acted as a broker
4 through Vertex and Bechtel when they offered and sold securities for the accounts of
5 others.

6 65. Bryant, directly or indirectly, effected transactions, induced or attempted
7 to induce sales of the securities of Global Energy, through sales made by Vertex,
8 Bechtel and various sales agents, while Bryant was not registered as or associated
9 with a broker or dealer registered with the SEC. Bryant acted as a dealer through
10 Vertex when it offered and sold securities for its own account, and acted as a broker
11 through Vertex and Bechtel when they offered and sold securities for the accounts of
12 others.

13 66. Between September 26, 2012 and July 2013, Welch and Bryant received
14 gross pecuniary gain of approximately \$2,057,852 from sales of Global Energy
15 securities by Vertex and Bechtel.

16 **B. Welch, Bryant, Knight, DEI and DED Acted as Unregistered Brokers and**
17 **Dealers, and Bio-Global Acted as an Unregistered Dealer in the Sale of the**
18 **Securities of New Global.**

19 67. From June 2013 through May 2016, Welch, Bryant, Knight, DEI, and
20 DED, directly or indirectly, acted as brokers and dealers engaged in the business of
21 offering and selling securities of New Global and other companies, for the accounts
22 of others and for their own accounts, by means of interstate commerce or the mails.

23 68. From June 2013 through May 2016, Bio-Global, directly or indirectly,
24 acted as a dealer engaged in the business of offering and selling securities of New
25 Global and other companies, for its own account, by means of interstate commerce or
26 the mails.

27 69. At all times relevant to the Complaint, Welch, Bryant, Knight, Bio-
28 Global, DEI, DED, and the sales agents that they used to solicit investors, were not

1 registered as brokers-dealers or associated with broker-dealers registered with the
2 SEC under Section 15(a)(1) of the Exchange Act, 15 U.S.C. § 78o(a)(1).

3 **1. Bio-Global, Welch and Bryant Acted As Unregistered Dealers that**
4 **Acquired and Sold New Global Shares for their Own Account.**

5 70. Welch and Bryant controlled Bio-Global. They were officers of Bio-
6 Global and made decisions for the company.

7 71. Welch was a signatory on Bio-Global's bank account and used the
8 account to pay his personal expenses.

9 72. The knowledge of Welch and Bryant is attributed to Bio-Global.

10 73. Bio-Global entered into three promissory notes with New Global in
11 January 2012, November 2012, and July 2013, through which it agreed to loan a total
12 of \$700,000 to New Global. In each agreement, New Global gave Bio-Global the
13 right to convert the principal amounts due under the loan agreements into common
14 shares of New Global and to exercise warrants for more shares.

15 74. Between September 2012 and June 2014, Bio-Global converted the three
16 promissory notes into 2,500,000 common shares of New Global, even though Bio-
17 Global had not fully provided the money to New Global required by the promissory
18 notes.

19 75. In or about November 2013, Bio-Global acquired an additional 500,000
20 New Global common shares from New Global's former president.

21 76. Between August 5, 2013 and June 11, 2014, Bio-Global sold 1,340,230
22 of its New Global common shares through DEI, which sold the shares to public
23 investors.

24 77. DEI did not pay Bio-Global the purported purchase price at the time of
25 delivery of the shares. Instead, DEI made a series of payments between November
26 27, 2013 and October 10, 2014, which totaled approximately \$879,347 to Bio-Global
27 out of the proceeds of its stock sales.

28 78. During 2015, Bio-Global acquired 20,000 preferred shares from New

1 Global.

2 79. On or about March 3, 2015, Bio-Global sold 4,160 preferred shares
3 through DEI, which sold the shares to public investors.

4 80. Between March and August 2015, Bio-Global sold 15,840 preferred
5 shares through DED, which sold the shares to public investors.

6 81. Welch, Bryant, and Bio-Global acted as dealers in the sales of New
7 Global common and preferred stock while each of them was not registered with the
8 SEC as a broker-dealer in violation of Section 15(a)(1) of the Exchange Act.

9 82. Welch and Bryant are also liable under Section 20(b) of the Exchange
10 Act for Bio-Global's actions as an unregistered dealer because they used the company
11 to violate the broker-dealer registration provisions of Section 15(a)(1) of the
12 Exchange Act.

13 **2. DEI, Welch, Bryant and Knight Acted As Unregistered Brokers and**
14 **Dealers in the Sale of the Securities of New Global.**

15 83. Knight caused DEI to be incorporated in May 2013, to offer and sell the
16 securities of New Global that it obtained from Bio-Global.

17 84. Knight was an officer of DEI and its owner, and the sole signatory on
18 DEI's bank account. Knight used part of the New Global sales proceeds to pay his
19 personal expenses.

20 85. In addition to Knight, Welch and Bryant controlled DEI. Knight, Welch
21 and Bryant made decisions for DEI about the means and manner of sale of New
22 Global securities. The knowledge of Knight, Welch and Bryant is attributed to DEI.

23 86. Welch, Bryant and Knight, and the entities they controlled, including
24 DEI, did not respect corporate formalities.

25 87. Between June 2013 and March 2015, DEI acquired 1,340,230 common
26 shares and 4,160 preferred shares of New Global from Bio-Global.

27 88. On or about October 28, 2014, DEI acquired 450,000 common shares
28 directly from New Global.

1 89. Starting in or about June 2013, DEI sold New Global shares through
2 Bechtel and its sales agents.

3 90. Welch and Bryant recruited Bechtel and sales agents to sell New Global
4 securities acquired by DEI. The sales agents made general solicitation using cold
5 calling and investor lead lists.

6 91. Welch and Bryant provided scripts, exemplars of email communications,
7 and information about the merits of the investment in New Global's securities to the
8 Bechtel sales agents with the intention that the sales agents use the information and
9 materials to offer and sell the securities of New Global to prospective investors.
10 Welch and Bryant set the price at which the securities were sold by Bechtel sales
11 agents.

12 92. Some of the Bechtel sales agents used aggressive sales tactics pressuring
13 investors to purchase New Global stock.

14 93. Bechtel requested that some investors execute stock purchase
15 agreements with Bechtel and send payments to Bechtel's office in Northridge,
16 California.

17 94. To fulfil Bechtel's sales agreements, DEI transferred ownership of its
18 New Global shares to investors who had signed stock purchase agreements with and
19 paid Bechtel.

20 95. DEI never received any payment from Bechtel.

21 96. DEI and Knight also received stock purchase agreements and payments
22 from some investors by mail to DEI's office in Boulder Colorado.

23 97. Knight approved the sales transactions by Bechtel and DEI, and directed
24 the transfer agent to deliver the stock certificates to investors.

25 98. Between approximately June 2013 and October 2014, DEI and Bechtel
26 sold approximately 889,989 common shares of New Global in approximately 178
27 transactions with investors. Bechtel received approximately \$509,275 and DEI
28 received approximately \$1,195,749 from the sales.

1 99. On March 3, 2015, DEI acquired 4,160 preferred shares of New Global
2 from Bio-Global.

3 100. DEI, indirectly sold, through DED and its sales agents, 4,160 preferred
4 shares of New Global.

5 101. Knight, Welch and Bryant, through DEI, or other entities that they
6 controlled, paid transaction-based compensation or commissions to the Bechtel sales
7 agents for selling the New Global shares.

8 102. Welch, Bryant, Knight, and DEI acted as a broker and dealer in its sales
9 of New Global common and preferred stock while not registered with the SEC as a
10 broker-dealer in violation of Section 15(a)(1) of the Exchange Act.

11 103. Welch, Bryant, and Knight are also liable under Section 20(b) of the
12 Exchange Act for DEI's actions as an unregistered broker-dealer, because they used
13 the company to violate the broker-dealer registration provisions of Section 15(a)(1) of
14 the Exchange Act.

15 **3. DED, Welch, Bryant and Knight Acted As Unregistered Brokers and**
16 **Dealers in the Sale of the Securities of New Global.**

17 104. Bryant caused DED to be incorporated in 2013 to act as a broker or
18 dealer effecting transactions in the securities of New Global.

19 105. Bryant is the owner of DED, and is a signatory on DED's bank accounts.
20 Bryant used part of DED's New Global sales proceeds to pay his personal expenses.

21 106. Welch, Bryant and Knight controlled DED. Welch, Bryant and Knight
22 made decisions for DED about the means and manner of sale of New Global
23 securities. The knowledge of Welch, Bryant and Knight is attributed to DEI.

24 107. Welch, Bryant, Knight, and the entities they controlled, including DED,
25 did not respect corporate formalities.

26 108. Welch and Bryant recruited sales agents for DED, including through
27 Craig's List, to solicit public investors to purchase New Global securities, and
28 operated "boiler room" call centers in California and Costa Rica. The sales agents

1 used cold calling and investor lead lists to solicit investors.

2 109. Welch and Bryant provided scripts, exemplars of email communications,
3 and information about the merits of the investment in New Global's securities to the
4 DED sales agents with the intention that the sales agents use the information and
5 materials to offer and sell the securities of New Global to prospective investors.
6 Welch and Bryant set the price at which the securities were sold by DED sales agents.

7 110. At the direction of Welch or Bryant, the sales agents made telephone
8 calls and sent email messages actively soliciting prospective investors that were
9 identified from lead lists. They sent stock purchase agreements to investors by
10 courier, and requested return of the agreements with payment to DED by mail to its
11 address in California.

12 111. DED used sales agents to effect transactions in, induce and attempt to
13 induce the purchase or sale of the securities of New Global that it acquired. None of
14 the DED sales agents were registered or associated with broker-dealers registered
15 with the SEC.

16 112. Some of the DED sales agents used aggressive sales tactics pressuring
17 investors to purchase the stock.

18 113. Welch, Bryant, and Knight through DED, DEI, or other entities that they
19 controlled, paid transaction-based compensation or commissions to the DED sales
20 agents for selling the New Global shares.

21 114. In March and April 2014, DED acquired 44,000 shares of New Global
22 from DEI.

23 115. In April 2014, DED effected transactions and sold 44,000 New Global
24 shares to investors for its own account as a dealer.

25 116. Between June 2014 and May 2015, DED effected transactions and sold
26 approximately 785,534 New Global shares on behalf of DEI as a broker.

27 117. On or about March 3, 2015, DED effected transactions and sold 4,160
28 preferred shares of New Global on behalf of DEI as a broker.

1 118. Between March and August 2015, DED acquired 15,840 preferred
2 shares of New Global from Bio-Global.

3 119. Between March and September 2015, DED effected transactions and
4 sold the 15,840 preferred shares of New Global as a broker-dealer.

5 120. During 2015, DED acquired and sold hundreds of thousands of New
6 Global common shares from investors as a dealer.

7 121. DED, Welch, Bryant and Knight continued to sell New Global common
8 shares through at least May 2016.

9 122. Between March 2014 and October 2015, DED sold approximately
10 1,255,067 common shares of New Global in approximately 317 transactions with
11 investors and received approximately \$2,574,195. These sales included DED's
12 resales of New Global common stock that it purchased from investors.

13 123. Between October 2014 and October 2015, DED sold 20,000 preferred
14 shares in approximately 154 transactions with investors and received approximately
15 \$1,843,900.

16 124. Between March 2014 and January 2015, DED transferred to DEI
17 approximately \$475,754 from the proceeds of its sales of New Global common and
18 preferred stock.

19 125. Between August 2014 and November 2015, DED transferred
20 approximately \$2,275,374 to Bio-Global from the proceeds of the New Global
21 common and preferred stock sales.

22 126. Welch, Bryant, Knight and DED effected transactions, induced or
23 attempted to induce sales of the securities of New Global, while each of them was not
24 registered as, or associated with a broker or dealer registered with the SEC in
25 violation of Section 15(a)(1) of the Exchange Act.

26 127. Welch, Bryant, and Knight are also liable under Section 20(b) of the
27 Exchange Act for DED's actions as an unregistered broker-dealer, because they used
28 the company to violate the broker-dealer registration provisions of Section 15(a)(1) of

1 the Exchange Act.

2 128. Welch, Bryant and Knight collaborated in a joint effort to effect
3 transactions and sell the securities of New Global.

4 **II. WELCH, BRYANT AND KNIGHT VIOLATED SECTION 20(b) OF**
5 **THE EXCHANGE ACT.**

6 129. Section 20(b) of the Exchange Act, 15 U.S.C. §78t(b), provides that it is
7 unlawful for any person, directly or indirectly to do any act or thing which it would
8 be unlawful for such person to do under the provisions of the Exchange Act through
9 or by means of any other person.

10 130. Welch, Bryant, and Knight are liable under Section 20(b) of the
11 Exchange Act, 15 U.S.C. § 78t(b), for violations of the broker-dealer registration
12 provisions of Section 15(a)(1) of the Exchange Act, because they, directly or
13 indirectly, acted as brokers and dealers through or by the means of Bio-Global, DED,
14 DEI, Vertex, Bechtel, and various sales agents, while Welch, Bryant and Knight and
15 their sales agents were not registered as broker-dealers or associated with broker-
16 dealers registered with the SEC.

17 **III. WELCH, BRYANT, KNIGHT, BIO-GLOBAL, DEI, DED, WEST AND**
18 **NEW GLOBAL VIOLATED SECTION 5 OF THE SECURITIES ACT**
19 **BY MAKING UNREGISTERED OFFERS AND SALES OF THE**
20 **SECURITIES OF GLOBAL ENERGY OR NEW GLOBAL.**

21 131. Section 5 of the Securities Act, 15 U.S.C. § 77e(a) and(c), makes it
22 unlawful for any person, directly or indirectly, to use interstate commerce or the
23 mails, to sell a security unless a registration statement is in effect as to the security, or
24 to offer to sell a security unless a registration statement has been filed as to such
25 security.

26 **A. Welch and Bryant Made Unregistered Offers and Sales of Global Energy**
27 **Securities through Vertex and Bechtel.**

28 132. Prior to acquiring title to any Global Energy shares, Vertex and its sales

1 agents began soliciting investors and receiving stock purchase agreements and money
2 for the purchase of the shares as early as December 2011.

3 133. Between February 2012 and May 2013, Welch and Bryant, through
4 Vertex, acquired approximately 22,002,428 shares of Global Energy from at least
5 eleven third-parties, which included 1,022,898 shares from Bio-Global, shares from
6 several entities controlled by Bryant, and shares from West and his daughter, all of
7 whom acquired the shares directly from Global Energy.

8 134. Between December 2011 and July 2013, Welch and Bryant, through
9 Vertex and Bechtel, offered and sold at least 20,868,571 shares of Global Energy to
10 more than 300 investors located throughout the United States, including investors
11 within this judicial district, and received approximately \$4,050,449.

12 135. Of the amount of stock sales described above, between September 26,
13 2012 and July 2013, Welch and Bryant, through Vertex and Bechtel, offered and sold
14 approximately 9,311,511 shares for proceeds of approximately \$2,110,852.

15 136. Welch and Bryant, through Vertex, Bechtel, and their sales agents used
16 the means of interstate commerce or the mails in the offers and sales of Global
17 Energy securities, to solicit investors, collect payments for the stock purchases, and
18 deliver the stock certificates to investors throughout the United States, including
19 investors located in this judicial district.

20 137. No registration statement was filed or in effect for offers and sales of
21 Global Energy securities by Welch and Bryant through Vertex and Bechtel.

22 138. Welch and Bryant were indirect sellers due to their participation in the
23 offers and sales of Global Energy securities by Vertex and Bechtel, because they
24 controlled Vertex and Bechtel, acquired the shares to be sold, and made decisions
25 about the number of share to be sold, the price, and the information to be sent to
26 prospective investors. Welch and Bryant also hired the sales agents who solicited the
27 investors and ensured that the sales agents were paid commissions.

28 139. Welch and Bryant were necessary participants and substantial factors in

1 the offers or sales of Global Energy securities by Vertex and Bechtel. But for the
2 actions of Welch and Bryant in acquiring the securities for Vertex, hiring the sales
3 agents, providing information on the investment to the sales agents to use in the offer
4 and sale, and arranging for the delivery of the stock certificates to investors, the offers
5 and sales would not have occurred.

6 140. Welch and Bio-Global were affiliates of Global Energy.

7 141. In early 2012, Bio-Global owned more than 5% of the outstanding
8 shares of Global Energy.

9 142. Bryant was an affiliate of Global Energy.

10 143. In early 2012, Bryant controlled more than 5% of the outstanding shares
11 of Global Energy.

12 144. Welch and Bryant acted as statutory underwriters engaged in the
13 distribution of Global Energy securities to public investors.

14 145. Welch and Bryant recklessly disregarded the statutory requirement that
15 each offer or sale of a security must be the subject of a registration statement filed or
16 in effect with the SEC.

17 **B. New Global and West Made Unregistered Offers and Sales of the**
18 **Securities of New Global to Bio-Global and DEI.**

19 146. New Global offered and sold approximately 400,000 and 440,230 shares
20 of common stock to Bio-Global on June 25, 2013 and June 11, 2014 respectively,
21 through loan conversions.

22 147. New Global offered and sold approximately 450,000 shares to DEI on
23 October 28, 2014.

24 148. Between February and September 2015, New Global offered and sold
25 20,000 shares of preferred stock to Bio-Global.

26 149. West was the CEO and sole director of New Global and controlled its
27 operations.

28 150. New Global and West, directly or indirectly, used the means of interstate

1 commerce or the mails to offer and sell New Global common and preferred shares
2 and deliver the share certificates to Bio-Global and DEI.

3 151. No registration statement was filed or in effect for offers and sales of
4 New Global securities by New Global and West.

5 152. West was an indirect seller of New Global common and preferred shares
6 to Bio-Global and DEI because he negotiated the terms of the sales and authorized
7 and approved the transactions as the sole director of New Global.

8 153. West was a necessary participant and substantial factor in New Global's
9 offers and sales of securities to Bio-Global and DEI. But for West's approval of the
10 sales to Bio-Global and DEI, and the subsequent resales by Bio-Global and DEI to
11 public investors, the offers and sales would not have occurred.

12 154. New Global received approximately \$1,925,800 from Bio-Global for the
13 stock and warrants it acquired. Bio-Global used money received from DEI's and
14 DED's New Global stock sales to provide ongoing funding to New Global.

15 155. New Global paid approximately \$430,601 to West or his law firm out of
16 the funds received from Bio-Global.

17 156. West and New Global recklessly disregarded the statutory requirement
18 that each offer or sale of a security must be the subject of a registration statement
19 filed or in effect with the SEC.

20 **C. Bio-Global, Welch, Bryant, Knight, DEI, DED, and West Made**
21 **Unregistered Offers and Sales of the Securities of New Global.**

22 157. On or about August 5, 2013, Bio-Global, Welch and Bryant offered and
23 sold 400,000 shares of New Global common stock to DEI that Bio-Global acquired
24 directly from New Global.

25 158. DEI and Knight, assisted by Welch, Bryant, Bechtel, and their sales
26 agents, offered and sold the 400,000 shares of New Global between June and October
27 2013, through general solicitation to public investors located throughout the United
28 States and within this judicial district.

1 159. On or about December 17, 2013, Bio-Global, Welch, and Bryant offered
2 and sold 500,000 shares of New Global acquired from the former president of New
3 Global to DEI.

4 160. DEI and Knight, assisted by DED, Welch and Bryant, offered and sold
5 the 500,000 shares of New Global between November 2013 and April 2014, through
6 general solicitation to public investors located throughout the United States and
7 within this judicial district.

8 161. On or about June 11, 2014, Bio-Global, Welch and Bryant offered and
9 sold 440,230 shares of New Global common stock acquired directly from New
10 Global to DEI.

11 162. DEI and Knight, assisted by DED, Welch and Bryant, offered and sold
12 the 440,230 shares between June and October 2014, through general solicitations to
13 public investors located throughout the United States and within this judicial district.

14 163. On or about October 28, 2014, DEI acquired 450,000 shares of common
15 stock directly from New Global.

16 164. DEI and Knight, assisted by DED, Welch, and Bryant, offered and sold
17 the 450,000 shares between November 2014 and March 2015, through general
18 solicitation to public investors located throughout the United States and within this
19 judicial district.

20 165. During 2015, DED acquired approximately 419,387 New Global
21 common shares from investors, who previously purchased the shares from the
22 Defendants.

23 166. DED, assisted by Welch, Bryant, and Knight, offered and sold the
24 419,387 shares during 2015, through general solicitation to public investors located
25 throughout the United States and within this judicial district

26 167. Between February and August 2015, Bio-Global acquired 20,000
27 preferred shares directly from New Global.

28 168. On or about March 3, 2015, Bio-Global, Welch and Bryant offered and

1 sold 4,160 New Global preferred shares to DEI.

2 169. Between March and September 2015, Bio-Global, Welch and Bryant
3 offered and sold 15,840 preferred shares of New Global to DED.

4 170. DEI and DED, assisted by Knight, Welch, and Bryant, offered and sold
5 20,000 preferred shares between approximately February and September 2015,
6 through general solicitation to public investors located throughout the United States
7 and within this judicial district.

8 171. As described above, DEI, with the assistance of Bechtel, sold
9 approximately 889,989 New Global common shares between June 2013 and October
10 2014, in approximately 178 transactions with investors for proceeds of approximately
11 \$1,705,024.

12 172. As described above, DED sold approximately 1,255,067 common shares
13 between June 2013 and October 2015, in approximately 317 transactions with
14 investors for proceeds of approximately \$2,574,195.

15 173. As described above, DEI and DED sold 20,000 preferred New Global
16 shares between March 2015 and October 2015 in approximately 154 transactions
17 with investors for proceeds of approximately \$1,843,900.

18 174. DEI and DED, directly or indirectly, used the means of interstate
19 commerce or the mails in the offers and sales of New Global securities, to solicit
20 investors, collect payments for the stock, and deliver the stock certificates to investors
21 throughout the United States, including investors located in this judicial district.

22 175. Welch, Bryant, and Knight, directly or indirectly, used the means of
23 interstate commerce or the mails in the offer and sale of New Global securities by,
24 among other things, instructing sales agents to contact prospective investors by
25 telephone or email, sending the stock purchase agreements to investors by email,
26 courier or mail, directing investors to mail checks for the purchase of the securities to
27 DEI at its address in Colorado or to Bechtel or DED at addresses in California, and
28 directing the transfer agents in Florida or Colorado to mail the stock certificates to

1 DED in California for delivery to the investors.

2 176. No registration statement was filed or in effect for offers and sales of
3 common stock or preferred stock of New Global by DEI and DED.

4 177. Welch, Bryant, Knight, and Bio-Global were indirect sellers due to their
5 participation in the offers and sales of New Global common and preferred shares by
6 Bechtel, DEI, and DED, because they controlled DEI, DED, and Bechtel, acquired
7 the shares to be sold, and made decisions about the number of share to be sold, the
8 price, and the information to be sent to prospective investors. Welch and Bryant also
9 hired the sales agents who solicited the investors and ensured that the sales agents
10 were paid commissions.

11 178. Welch, Bryant, Knight, and Bio-Global were necessary participants and
12 substantial factors in the offers or sales of New Global common and preferred shares
13 by Bechtel, DEI, and DED. But for the actions of Welch, Bryant, Knight and Bio-
14 Global in acquiring the securities for DEI or DED, hiring the sales agents, providing
15 information on the investment to the sales agents to use in the offer and sale, and
16 arranging for the delivery of the stock certificates to investors, the offers and sales
17 would not have occurred.

18 179. West was an indirect seller due to his participation in the sales by Bio-
19 Global, Bechtel, DEI and DED. West, on behalf of New Global, entered into the loan
20 agreements with Bio-Global, which allowed Bio-Global to obtain the shares that it
21 then immediately resold to the public investors through Bechtel, DEI and DED. West
22 provided the new issuance instructions to the transfer agent to issue the shares to Bio-
23 Global and DEI; and also approved of the immediate transfers of Bio-Global's
24 common and preferred shares to DEI and DED, and subsequent sales and
25 distributions of common shares and preferred shares by DEI and DED to public
26 investors. But for West's actions, the public distribution of New Global securities
27 could not have occurred.

28 180. West was a necessary participant and substantial factor in the resales of

1 New Global stock by Bio-Global, Bechtel, DEI, and DED. West, on behalf of New
2 Global, entered into the loan agreements with Bio-Global, which allowed Bio-Global
3 to obtain the shares that it then immediately resold to the public investors through
4 Bechtel, DEI and DED. West provided the new issuance instructions to the transfer
5 agent to issue the shares to Bio-Global and DEI; and also approved of the immediate
6 transfers of Bio-Global's common and preferred shares to DEI and DED, and
7 subsequent sales and distributions of common shares and preferred shares by DEI and
8 DED to public investors. But for West's actions, the public distribution of New
9 Global securities could not have occurred.

10 181. Bio-Global, Welch and Bryant were affiliates of New Global.

11 182. Starting in November 2012, Bio-Global owned more than 5% of the
12 outstanding shares of New Global, and supplied substantially all of the operating
13 capital for New Global.

14 183. DEI and Knight were affiliates of New Global.

15 184. Starting in 2013, DEI owned more than 5% of the outstanding shares of
16 New Global.

17 185. Welch, Bryant, Knight, Bio-Global, DEI, and DED were statutory
18 underwriters effecting the public distribution of New Global securities.

19 186. All of the Defendants recklessly disregarded the statutory requirement
20 that each offer or sale of a security must be the subject of a registration statement
21 filed or in effect with the SEC.

22 **FIRST CLAIM FOR RELIEF**

23 **Unregistered Broker-Dealer**

24 **Violation of Section 15(a) of the Exchange Act**

25 **(Against Defendants Welch, Bryant, Knight, Bio-Global, DEI and DED)**

26 187. The SEC realleges and incorporates by reference paragraphs 1 through
27 186 above.

28 188. In connection with their offer and sale of the securities of Global Energy

1 or New Global discussed above, Welch, Bryant, Knight, DEI, and DED acted as
2 brokers and dealers engaged in the regular business of effecting transactions in
3 securities for the account of others or buying and selling securities for their own
4 accounts.

5 189. In connection with the offer and sale of the securities of New Global
6 discussed above, Bio-Global acted as a dealer engaged in the regular business of
7 effecting transactions in securities for its own account.

8 190. Welch, Bryant, Knight, Bio-Global, DEI, and DED made use of the
9 mails or the means or instrumentalities of interstate commerce to effect transactions
10 in, or to induce or attempt to induce the purchase or sale of securities while they were
11 not registered with the SEC as a broker-dealer or associated with a broker-dealer
12 registered with the SEC.

13 191. By reason of the conduct described above, Defendants Welch, Bryant,
14 Knight, Bio-Global, DEI, and DED violated, and, unless restrained and enjoined, will
15 continue to violate, Section 15(a)(1) of the Exchange Act, 15 U.S.C. § 78o(a)(1).

16 **SECOND CLAIM FOR RELIEF**

17 **Liability under Section 20(b) of the Exchange Act**
18 **for Violations of Section 15(a) of the Exchange Act**
19 **(Against Defendants Welch, Bryant and Knight)**

20 192. The SEC realleges and incorporates by reference paragraphs 1 through
21 186 above.

22 193. Defendants Welch, Bryant and Knight violated Section 20(b) of the
23 Exchange Act, 15 U.S.C. § 78t(b), by, directly or indirectly, effecting transactions in
24 the securities of Global Energy or New Global through or by means of Bio-Global,
25 DEI, DED, Vertex, Bechtel, or their sales agents, when the Defendants were not
26 registered as brokers or dealers, which is unlawful under Section 15(a)(1) of the
27 Exchange Act, 15 U.S.C. § 78o(a)(1).

28 194. Welch, Bryant and Knight engaged in acts through or by means of third

1 parties that would have been unlawful for Welch, Bryant and Knight to do themselves
2 under Section 15(a)(1) of the Exchange Act, 15 U.S.C. § 78o(a)(1).

3 195. By reason of the conduct described above, Defendants Welch, Bryant,
4 and Knight violated, and, unless restrained and enjoined, will continue to violate,
5 Section 20(b) of the Exchange Act, 15 U.S.C. § 78t(b).

6 **THIRD CLAIM FOR RELIEF**

7 **Unregistered Offer and Sale of Securities**

8 **Violations of Sections 5(a) and 5(c) of the Securities Act**

9 **(Against Defendants Welch, Bryant, Knight, Bio-Global, DEI, DED,**
10 **West and New Global)**

11 196. The SEC realleges and incorporates by reference paragraphs 1 through
12 186 above.

13 197. The shares of Global Energy and New Global that the Defendants
14 offered and sold to public investors are “securities” as that term is defined in Section
15 2(a)(1) of the Securities Act and Section 2(10) the Exchange Act, 15 U.S. C. §§
16 77b(a)(1) and 78(b)(10).

17 198. Welch, Bryant, Knight, Bio-Global, DEI, DED, West, and New Global,
18 directly or indirectly, singly or in concert, made use of the means or instruments of
19 transportation or communication in interstate commerce or of the mails to sell
20 securities through the use or medium of a prospectus or otherwise, or caused to be
21 carried through the mails or in interstate commerce by any means or instruments of
22 transportation, securities for the purpose of sale or for delivery after sale when no
23 registration statement was in effect as to those securities.

24 199. Welch, Bryant, Knight, Bio-Global, DEI, DED, West and New Global,
25 directly or indirectly, singly or in concert, made use of the means or instruments of
26 transportation or communication in interstate commerce or of the mails to offer to sell
27 or offer to buy securities through the use or medium of a prospectus or otherwise,
28 when no registration statement had been filed for those securities.

1 200. By reason of the conduct described above, Welch, Bryant, Knight, Bio-
2 Global, DEI, DED, West and New Global violated and, unless restrained and
3 enjoined, will continue to violate Sections 5(a) and 5(c) of the Securities Act, 15
4 U.S.C. §§ 77e(a) and 77e(c).

5 **PRAYER FOR RELIEF**

6 WHEREFORE, the SEC respectfully requests that the Court:

7 **I.**

8 Find that each of the Defendants committed the violations alleged in this
9 Complaint;

10 **II.**

11 Enter injunctions, in a form consistent with Rule 65(d) of the Federal Rules of
12 Civil Procedure, permanently restraining and enjoining each of the Defendants from
13 violating, directly or indirectly, the laws and rules alleged against them in this
14 Complaint;

15 **III.**

16 Order the Defendants to disgorge any and all ill-gotten gains, together with
17 pre-judgment interest, derived from the improper conduct alleged in this Complaint;

18 **IV.**

19 Order that each of the Defendants pay civil money penalties pursuant to
20 Section 20(d) of the Securities Act, 15 U.S.C. § 77t(d), and Section 21(d) of the
21 Exchange Act, 15 U.S.C. § 78u(d), in an amount to be determined by the Court, plus
22 post-judgment interest;

23 **V.**

24 Order all of the Defendants to be permanently restrained and enjoined from
25 engaging in any offering of a penny stock pursuant to Section 20(g) of the Securities
26 Act, 15 U.S.C. § 77t(g), and Section 21(d)(6) of the Exchange Act, 15 U.S.C. §
27 78u(d)(6);
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VI.

Retain jurisdiction of this action in accordance with the principles of equity and the Federal Rules of Civil Procedure in order to implement and carry out the terms of all orders and decrees that may be entered, or to entertain any suitable application or motion for additional relief within the jurisdiction of this Court; and

VII.

Grant such other and further relief as this Court may determine to be just and necessary.

Dated: September 27, 2017

Jury Trial Demand
Respectfully Submitted,

/s/ Douglas M. Miller
Douglas M. Miller
Attorney for Plaintiff
Securities and Exchange Commission

Complaints and Other Initiating Documents

[5:17-cv-01968 Securities and Exchange Commission v. Welch et al](#)

UNITED STATES DISTRICT COURT

CENTRAL DISTRICT OF CALIFORNIA

Notice of Electronic Filing

The following transaction was entered by Miller, Douglas on 9/27/2017 at 2:06 PM PDT and filed on 9/27/2017

Case Name: Securities and Exchange Commission v. Welch et al

Case Number: [5:17-cv-01968](#)

Filer: Securities and Exchange Commission

Document Number: [1](#)

Docket Text:

COMPLAINT No Fee Required - US Government, filed by Plaintiff Securities and Exchange Commission. (Attorney Douglas M Miller added to party Securities and Exchange Commission(pty:pla))(Miller, Douglas)

5:17-cv-01968 Notice has been electronically mailed to:

Douglas M Miller millerdou@sec.gov, caseview.ECF@usdoj.gov, irwinma@sec.gov, longoa@sec.gov, usacac.criminal@usdoj.gov

5:17-cv-01968 Notice has been delivered by First Class U. S. Mail or by other means **BY THE FILER** to :

The following document(s) are associated with this transaction:

Document description:Main Document

Original filename:F:\marcelom\Welch\Complaint.pdf

Electronic document Stamp:

[STAMP cacdStamp_ID=1020290914 [Date=9/27/2017] [FileNumber=24284009-0] [aca78f389fcf1511622c1c3735c93ae77f2b82d96706be9bf9e0793c0ba93dfb6080539e5b6502bd1c09f4ad4b3fb980cc9cea6cb55f2428831ceaf6d8e4b47b]]

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NOTE CHANGES MADE BY COURT

**UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA**

SECURITIES AND EXCHANGE
COMMISSION,

Plaintiff,

vs.

DAVID HOWARD WELCH,
MARC JAY BRYANT,
JOHN CHARLES KNIGHT,
PERRY DOUGLAS WEST,
BIO-GLOBAL RESOURCES, INC.,
DIVERSIFIED EQUITIES, INC.,
DIVERSIFIED EQUITIES
DEVELOPMENT, INC.,
and NEW GLOBAL ENERGY, INC.,

Defendants.

Case No. EDCV 17-1968-JFW (AGRx)

**FINAL JUDGMENT AS TO
DAVID HOWARD WELCH,
MARC JAY BRYANT,
BIO-GLOBAL RESOURCES, INC.,
AND DIVERSIFIED EQUITIES
DEVELOPMENT, INC.**

In accordance with the Court’s Order Granting Motion for Default Judgment
Against Defendants David Howard Welch, Marc Jay Bryant, Bio-Global Resources,
Inc., and Diversified Equities Development, Inc., dated June 11, 2018 [Docket No.
61], judgment is entered on the motion of the Securities and Exchange Commission
(“SEC” or “Commission”) for default judgments against Defendants David Howard



1 Welch, Marc Jay Bryant, Bio-Global Resources, Inc., and Diversified Equities
2 Development, Inc.

3 I.

4 IT IS ORDERED, ADJUDGED, AND DECREED that Defendants David
5 Howard Welch, Marc Jay Bryant, Bio-Global Resources, Inc., and Diversified
6 Equities Development, Inc. are permanently restrained and enjoined from violating
7 Section 5 of the Securities Act of 1933 ("Securities Act") [15 U.S.C. § 77e] by,
8 directly or indirectly, in the absence of any applicable exemption:

9 (a) Unless a registration statement is in effect as to a security, making use of
10 any means or instruments of transportation or communication in interstate commerce
11 or of the mails to sell such security through the use or medium of any prospectus or
12 otherwise;

13 (b) Unless a registration statement is in effect as to a security, carrying or
14 causing to be carried through the mails or in interstate commerce, by any means or
15 instruments of transportation, any such security for the purpose of sale or for delivery
16 after sale; or

17 (c) Making use of any means or instruments of transportation or
18 communication in interstate commerce or of the mails to offer to sell or offer to buy
19 through the use or medium of any prospectus or otherwise any security, unless a
20 registration statement has been filed with the Commission as to such security, or
21 while the registration statement is the subject of a refusal order or stop order or (prior
22 to the effective date of the registration statement) any public proceeding or
23 examination under Section 8 of the Securities Act [15 U.S.C. § 77h].

24 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as
25 provided in Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also
26 binds the following who receive actual notice of this Judgment by personal service or
27 otherwise: (a) Defendants' officers, agents, servants, employees, and attorneys; and
28 (b) other persons in active concert or participation with any of the Defendants or with

1 anyone described in (a).

2 **II.**

3 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that Defendants
4 David Howard Welch, Marc Jay Bryant, Bio-Global Resources, Inc., and Diversified
5 Equities Development, Inc. are each permanently restrained and enjoined from
6 violating, directly or indirectly, Section 15(a) of the Securities Exchange Act of 1934
7 (the "Exchange Act") [15 U.S.C. § 78o(a)] by making use of the mails or any means
8 or instrumentality of interstate commerce to effect any transactions in, or to induce or
9 attempt to induce the purchase or sale of, any security, without being registered as a
10 broker and/or dealer pursuant to Section 15(b) of the Exchange Act [15 U.S.C. §
11 78o(b)] or while Defendants are not associated with an entity registered with the
12 Commission as a broker or dealer.

13 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as
14 provided in Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also
15 binds the following who receive actual notice of this Judgment by personal service or
16 otherwise: (a) Defendants' officers, agents, servants, employees, and attorneys; and
17 (b) other persons in active concert or participation with any of the Defendants or with
18 anyone described in (a).

19 **III.**

20 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that Defendants
21 David Howard Welch and Marc Jay Bryant are permanently restrained and enjoined
22 from violating, directly or indirectly, Section 20(b) of the Exchange Act [15 U.S.C. §
23 78t(b)], by committing violations of the broker and dealer registration provisions of
24 Section 15(a) of the Exchange Act [15 U.S.C. § 78o(a)], through or by means of other
25 persons.

26 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as
27 provided in Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also
28 binds the following who receive actual notice of this Judgment by personal service or

1 otherwise: (a) Defendants Howard Welch's and Marc Jay Bryant's officers, agents,
2 servants, employees, and attorneys; and (b) other persons in active concert or
3 participation with Defendants Howard Welch or Marc Jay Bryant or with anyone
4 described in (a).

5 **IV.**

6 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that Defendants David
7 Howard Welch, Marc Jay Bryant, Bio-Global Resources, Inc., and Diversified
8 Equities Development, Inc. are permanently barred from participating in an offering
9 of penny stock, including engaging in activities with a broker, dealer, or issuer for
10 purposes of issuing, trading, or inducing or attempting to induce the purchase or sale
11 of any penny stock. A penny stock is any equity security that has a price of less than
12 five dollars, except as provided in Rule 3a51-1 under the Exchange Act [17 C.F.R. §
13 240.3a51-1].

14 **V.**

15 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that Defendants
16 David Howard Welch and Bio-Global Resources, Inc. are liable jointly and severally
17 for disgorgement of \$1,321,821.00, representing ill-gotten gains obtained as a result
18 of the conduct alleged in the Complaint, plus prejudgment interest thereon in the
19 amount of \$151,243.97, for a total of \$1,473,064.97. It is further ordered, adjudged
20 and decreed that Defendants David Howard Welch and Bio-Global Resources, Inc.
21 are each liable for a civil penalty in the amount of \$1,321,821.00 pursuant to Section
22 20(d)(2)(B) of the Securities Act and Section 21(d)(3)(B)(ii) of the Exchange Act [15
23 U.S.C. §§ 77t(d)(2)(B) and 78u(d)(3)(B)(ii)]. Defendants David Howard Welch and
24 Bio-Global Resources, Inc. shall satisfy these obligations by paying jointly and
25 severally disgorgement and prejudgment interest totaling \$1,473,064.97, and each
26 paying separately a civil penalty of \$1,321,821.00 to the SEC within 14 days after
27 entry of this Judgment.

28 **VI.**

1 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that Defendant
2 Marc Jay Bryant is liable for disgorgement of \$2,471,126.92, representing ill-gotten
3 gains obtained as a result of the conduct alleged in the Complaint, plus prejudgment
4 interest thereon in the amount of \$455,358.22, and a civil penalty in the amount of
5 \$2,471,126.92, pursuant to Section 20(d)(2)(B) of the Securities Act and Section
6 21(d)(3)(B)(ii) of the Exchange Act [15 U.S.C. §§ 77t(d)(2)(B) and 78u(d)(3)(B)(ii)],
7 for a total of \$5,397,612.06. Defendant Marc Jay Bryant shall satisfy these
8 obligations by paying \$5,397,612.06 to the SEC within 14 days after entry of this
9 Judgment.

10 VII.

11 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that Defendant
12 Marc Jay Bryant, in addition to the amounts ordered above in Section VI, and
13 Defendant Diversified Equities Development, Inc. are liable jointly and severally for
14 disgorgement of \$1,977,735.85, representing ill-gotten gains obtained as a result of
15 the conduct alleged in the Complaint, plus prejudgment interest thereon in the amount
16 of \$245,099.11, for a total of \$2,222,834.96. It is further ordered, adjudged and
17 decreed that Defendants Marc Jay Bryant and Diversified Equities Development, Inc.
18 are each liable for a civil penalty in the amount of \$1,977,735.85, pursuant to Section
19 20(d)(2)(B) of the Securities Act and Section 21(d)(3)(B)(ii) of the Exchange Act [15
20 U.S.C. § 77t(d)(2)(B) and 78u(d)(3)(B)(ii)]. Defendants Marc Jay Bryant and
21 Diversified Equities Development, Inc. shall satisfy these obligations by paying
22 jointly and severally disgorgement and prejudgment interest totaling \$2,222,834.96,
23 and each paying separately a civil penalty of \$1,977,735.85 to the SEC within 14
24 days after entry of this Judgment.

25 VIII.

26 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that Defendants
27 may transmit payment electronically to the SEC, which will provide detailed ACH
28 transfer/Fedwire instructions upon request. Payment may also be made directly from

1 a bank account via Pay.gov through the SEC website at
2 <http://www.sec.gov/about/offices/ofm.htm>. Defendants may also pay by certified
3 check, bank cashier's check, or United States postal money order payable to the
4 Securities and Exchange Commission, which shall be delivered or mailed to:

5 Enterprise Service Center
6 Accounts Receivable Branch
7 6500 South MacArthur Boulevard
8 Oklahoma City, OK 73169

9 and shall be accompanied by a letter identifying the case title, civil action number,
10 and name of this Court; the name of the defendant making the payment in this action;
11 and specifying that payment is made pursuant to this Judgment.

12 Defendants shall simultaneously transmit photocopies of evidence of payment
13 and case identifying information to the SEC's counsel in this action. By making this
14 payment, Defendants relinquish all legal and equitable right, title, and interest in such
15 funds and no part of the funds shall be returned to Defendants.

16 The SEC may enforce the Court's the portion of this Judgment for
17 disgorgement and prejudgment interest by moving for civil contempt (and/or through
18 other collection procedures authorized by law) at any time after 14 days following
19 entry of this Judgment. Defendants shall pay post-judgment interest on any
20 delinquent amounts pursuant to 28 U.S.C. § 1961. The SEC shall hold the funds,
21 together with any interest and income earned thereon (collectively, the "Fund"),
22 pending further order of the Court.

23 The SEC may propose a plan to distribute the Fund subject to the Court's
24 approval. Such a plan may provide that the Fund shall be distributed pursuant to the
25 Fair Fund provisions of Section 308(a) of the Sarbanes-Oxley Act of 2002. The
26 Court shall retain jurisdiction over the administration of any distribution of the Fund.
27 If the SEC staff determines that the Fund will not be distributed, the SEC shall send
28 the funds paid pursuant to this Judgment to the United States Treasury.

Regardless of whether any such Fair Fund distribution is made, amounts

1 ordered to be paid as civil penalties pursuant to this Judgment shall be treated as
2 penalties paid to the government for all purposes, including all tax purposes. To
3 preserve the deterrent effect of the civil penalty, Defendants shall not, after offset or
4 reduction of any award of compensatory damages in any Related Investor Action
5 based on Defendants' payment of disgorgement in this action, argue that Defendants
6 are entitled to, nor shall Defendants further benefit by, offset or reduction of such
7 compensatory damages award by the amount of any part of Defendants' payment of a
8 civil penalty in this action ("Penalty Offset"). If a court in any Related Investor
9 Action grants such a Penalty Offset, Defendants shall, within 30 days after entry of a
10 final order granting the Penalty Offset, notify the SEC's counsel in this action and
11 pay the amount of the Penalty Offset to the United States Treasury or to a Fair Fund,
12 as the SEC directs. Such a payment shall not be deemed an additional civil penalty
13 and shall not be deemed to change the amount of the civil penalty imposed in this
14 Judgment. For purposes of this paragraph, a "Related Investor Action" means a
15 private damages action brought against Defendants by or on behalf of one or more
16 investors based on substantially the same facts as alleged in the Complaint in this
17 action.

18 **IX.**

19 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this Court
20 shall retain jurisdiction of this matter for purposes of enforcing the terms of this
21 Judgment.

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X.

There being no just reason for delay, pursuant to Rule 54(b) of the Federal Rules of Civil Procedure, the Clerk is ordered to enter this Judgment forthwith and without further notice.

IT IS SO ORDERED.

DATED: June 18, 2018.



JOHN F. WALTER
UNITED STATES DISTRICT JUDGE

D-03480

BRYANT_MARC_20160907

9/7/2016 8:09 AM

Condensed Transcript

Prepared by:

D-03480

Friday, December 02, 2016

Exhibit

3

exhibitor.com

1 THE UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 2
 3 In the Matter of:)
 4) File No. D-03480-A
 5 NEW GLOBAL ENERGY)
 6
 7 WITNESS: Marc Jay Bryant
 8 PAGES: 1 through 139
 9 PLACE: Securities and Exchange Commission
 10 1961 Stout Street
 11 Denver, Colorado 80294-1961
 12 DATE: Wednesday, September 7, 2016
 13
 14 The above entitled matter came on for hearing,
 15 pursuant to notice, at 8:09 a.m.
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 22
 23
 24 Diversified Reporting Services, Inc.
 25 (202) 467 9200

1 APPEARANCES:
 2
 3 On behalf of the Securities and Exchange Commission:
 4 JACQUELINE M. MOESSNER, ESQ.
 5 MARY SCHERSCHEL BRADY, ESQ.
 6 Securities and Exchange Commission
 7 Division of Enforcement
 8 1961 Stout Street, Suite 1700
 9 Denver, Colorado 80294-1961
 10 (303) 844-1041
 11
 12 On behalf of the Witness:
 13 E. JAYSON NAYAGAM, ESQ.
 14 Indeglia & Carney, LLP
 15 11900 West Olympic Boulevard, Suite 770
 16 Los Angeles, California 90064
 17 (310) 982-2705
 18
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C O N T E N T S		
3	WITNESS:	EXAMINATION
4	Marc Jay Bryant	13
6	EXHIBITS:	DESCRIPTION IDENTIFIED
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8	3	Letter, 8-15-16 to Marc A. Indeglia from SEC, with Subpoena, SEC Form 1662, Background Questionnaire 16
12	4	Letter, 2-9-15, to Bechtel Advisory Group, from SEC, with attachments 19
15	5	Letter, 2-9-2015 to Electronic Registry, Inc., from the SEC with attachments 20
19	6	Letter, 2-9-15, to Vertex International Group, LLC, from SEC, with attachments 20
22	7	Letter, 3-3-15, to Electronic Registry, from SEC, with attachments 21

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3	EXHIBITS:	DESCRIPTION IDENTIFIED
4	8	Letter, 3-3-15, to Vertex International Group, LLC, from SEC, with attachments 22
7	9	Letter, 4-14-14, to Marc A. Indeglia, from SEC with attachments 22
10	10	Letter, 11-30-15, to Diversified Equities Development, Inc., from SEC with attachments 23
14	11	Business Account Application with Wells Fargo Bank, Borrower Ghazal Barjester 24
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16	Signature page for Global Energy Technology Group, Inc., Consultant Agreement	44
17	E-mail string	45
18	Cover page and letter, dated January 30, 2012 from Marc Bryant to Justine Blankenship	49
19	Transfer of shares in Global Energy Technology Group from NAFTA Environmental to Vertex International and Electronic Registry, Incorporated	52

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20	Invoice to Vertex International Group from Action Stock Transfer Corporation and e-mail	55
21	Cover page from Action Stock Transfer Corporation. And invoice dated April 5th, 2012, and email	56
22	Letter from Marc Bryant to Justine Blankenship with instructions and Excel spreadsheet	58
23	Invoice to Vertex International Group, LLC, and letter from Vertex to Justine Blankenship	59
24	Invoice, November 13, 2012, to Vertex International Group from Action Stock Transfer with letter to Justine Blankenship	60

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26	Letter, May 17, 2013, from Marc Bryant to Action Stock Transfer with enclosures	63
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28	E-mail from Dana Harloe to Bernard Pardo	66
29	Sample of Vertex Stock Purchase Agreement	69
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1	P R O C E E D I N G S		
2	THE VIDEOGRAPHER: The time is 8:09.		
3	We are on the record. Today is September the 7,		
4	2016.		
5	This begin the videotape deposition of Marc		
6	Bryant in re the New Global Energy, Inc. We are		
7	located at 1961 Stout Street in Denver, Colorado.		
8	The court reporter is Marlene Smith. The		
9	videographer is Maryvonne Tomkins. The attorneys will		
10	introduce themselves, please.		
11	MS. MOESSNER: Jacqueline Moessner on behalf		
12	of the United States Securities and Exchange		
13	Commission. With me today is Mary Brady, also with		
14	the United States Securities and Exchange Commission.		
15	MR. NAYAGAM: Jason Nayagam from Indeglia &		
16	Carney, counsel to Marc Bryant.		
17	THE VIDEOGRAPHER: The court reporter will		
18	please swear in the witness.		
19	MS. MOESSNER: I'll swear in the witness.		
20	Thank you.		
21	Mr. Bryant, please raise your right hand.		
22	Whereupon,		
23	MARC JAY BRYANT		
24	was called as a witness and, having been first duly		
25	sworn, was examined and testified as follows:		

EXAMINATION

BY MS. MOESSNER:

Q Please state and spell your full name for the record.
 A Marc Bryant, M-a-r-c, B-r-y-a-n-t.
 Q Do you have a middle name, Mr. Bryant?
 A Jay, J-a-y.
 Q As I stated, my name is Jacqueline Moessner. I am an attorney with the enforcement division of United States Securities & Exchange Commission. With me is Mary Brady. We are officers of the Commission for purposes of this proceeding.

This is an investigation by the United States Securities and Exchange Commission in the matter of New Global Energy, Inc., to determine whether there have been violations of certain provisions of the federal securities laws; however, the facts developed in this investigation might constitute violations of other federal or state civil or criminal laws.

Prior to the opening of the record, you were provided with a copy of the formal order of investigation in this matter. The formal order will be available for your examination during the course of this proceeding.

Have you had an opportunity to review the formal order?

A Yes.

(SEC Exhibit No. 2 was marked for identification.)

BY MS. MOESSNER:

Q Prior to the opening of the record, you were provided with a copy of the Commission supplemental form 1662. A copy of that notice has been marked as Exhibit 2.

Have you had an opportunity to read Exhibit 2?

A On the advice of my counsel, I invoke my Fifth Amendment privilege against self-incrimination. I decline to answer your question respectfully.

Q I am not authorized to compel you to give evidence or testimony as to which you assert your privilege against self-incrimination and I have no intention of doing so. In addition, I do not have the authority to compel your testimony by granting you immunity from prosecution.

Any question that I ask hereafter will be with the understanding that if you wish to assert your privilege, you need merely state that you refuse to answer the question on grounds that your answer might

incriminate you; in other words, you are not compelled to answer any further questions if you believe that a truthful answer to the question might show that you committed a crime and you wish to assert your privilege against self-incrimination. Accordingly, if you answer any questions, you'll be doing so voluntarily.

Do you understand this?

A Yes.

Q You should be aware that if you refuse to answer a question based on your Fifth Amendment privilege, a judge or jury may take an adverse inference against you in a civil action that the SEC may determine to bring against you. That means that the judge or jury would be permitted to infer that your answer to the questions might incriminate you.

Do you understand this?

A Fifth Amendment.

MR. NAYAGAM: May we stipulate to a shorter invocation?

MS. MOESSNER: I will --

A That's okay?

MS. BRADY: Yes. That's fine.

BY MS. MOESSNER:

Q Okay. Are you represented by counsel today,

Mr. Bryant?

A Yes.

MS. MOESSNER: Would counsel identify themselves on the record?

MR. NAYAGAM: Jason Nayagam from Indeglia & Carney, LLP, counsel for Marc Bryant.

MS. MOESSNER: Mr. Nayagam, are you representing Mr. Bryant today?

MR. NAYAGAM: Yes, I am.

BY MS. MOESSNER:

Q I am marking as Exhibit 3 a copy of the cover letter and subpoena to Mr. Bryant dated August 15th, 2016.

(SEC Exhibit No. 3 was marked for identification.)

BY MS. MOESSNER:

Q Mr. Bryant, this copy of a subpoena with attached letter to you, care of Mr. Indeglia, dated August 15th, 2016, has been marked as Exhibit 3. Is this a copy of the subpoena that you are appearing pursuant to today?

A Fifth Amendment.

Q The oath that you have taken here today is a solemn oath, just like the oath that you take when you are in court. Any answer in violation of that oath

1 carries the same consequences as it would in court.
 2 Do you understand this?
 3 A Fifth Amendment.
 4 Q Everything that we say today is being taken
 5 down by the court reporter and will be returned in the
 6 form of a written transcript. To make things clear on
 7 the record, we need to follow some guidelines.
 8 You need to respond verbally and not with a
 9 nod of the head or head shakes so that the court
 10 reporter can accurately record your testimony.
 11 Each of us needs to let the other finish a
 12 question or answer before responding so that we are
 13 not talking over each other.
 14 If you answer a question, it will be assumed
 15 that you understood the question. So please let me
 16 know if you do not understand a question. Will you let
 17 me know if you do not understand a question?
 18 A Fifth Amendment.
 19 Q Any substantive conversations that we have
 20 off the record will be summarized on the record, the
 21 accuracy of which will be confirmed by you or we will
 22 have you confirm that you have had no substantive
 23 conversations with the SEC staff.
 24 The staff controls the record. If you need
 25 a break, let us know and we will take one at the

1 appropriate time.
 2 At the conclusion of the testimony, the
 3 staff will collect all exhibits from you and your
 4 counsel. Please do not write on the exhibits or take
 5 any exhibits with you.
 6 Do you have any questions about how we are
 7 going to proceed?
 8 A Fifth Amendment.
 9 Q Are you taking any medications today which
 10 might inhibit your ability to understand my questions
 11 today?
 12 A Fifth Amendment.
 13 Q Is there any reason you won't be able to
 14 answer the staff's questions fully and accurately
 15 today?
 16 A Fifth Amendment.
 17 Q Have you spoken with anyone regarding this
 18 investigation, other than your attorneys?
 19 A Fifth Amendment.
 20 Q Have you had any discussions with family,
 21 friends or acquaintances?
 22 A Fifth Amendment.
 23 Q Has anyone told you what your testimony
 24 should be here today?
 25 A Fifth Amendment.

1 Q Did you review any documents in preparation
 2 for testimony today?
 3 A Fifth Amendment.
 4 Q I am marking as Exhibit 4 a subpoena for
 5 documents to Bechtel Advisory Group dated February
 6 9th, 2015.
 7 (SEC Exhibit No. 4 was marked for
 8 identification.)
 9 BY MS. MOESSNER:
 10 Q Mr. Bryant, have you received a copy of
 11 Exhibit 4, which is directed to Bechtel Advisory
 12 Group?
 13 A Fifth Amendment.
 14 Q Mr. Bryant, you have refused to produce
 15 documents pursuant to the subpoena based on your
 16 invocation of the Fifth Amendment; is that correct?
 17 A Fifth Amendment.
 18 Q 15260 Ventura Boulevard, Suite 1200, Sherman
 19 Oaks, California, 91403, is an address that you have
 20 used for Bechtel Advisory Group?
 21 A Fifth Amendment.
 22 Q You have also used 9250 Reseda, R-e-s-e-d-a,
 23 Boulevard, No. 224, Northridge, California, 91324, for
 24 Bechtel Advisory Group?
 25 A Fifth Amendment.

1 Q In addition, Bechtel had a registered agent
 2 at 1623 Central Avenue, Suite 18, Cheyenne, Wyoming,
 3 82001?
 4 A Fifth Amendment.
 5 Q I am marking as Exhibit 5 a subpoena to
 6 Electronic Registry, Inc., dated February 9th, 2015.
 7 This is a subpoena directed to Electronic Registry,
 8 Inc., that you received?
 9 (SEC Exhibit No. 5 was marked for
 10 identification.)
 11 A Fifth Amendment.
 12 BY MS. MOESSNER:
 13 Q You have refused to produce documents
 14 pursuant to the subpoena based on your invocation of
 15 the Fifth Amendment?
 16 A Fifth Amendment.
 17 Q I am marking as Exhibit 6 a subpoena for
 18 documents directed to Vertex International Group, care
 19 of Marc Bryant, dated February 9th, 2015.
 20 (SEC Exhibit No. 6 was marked for
 21 identification.)
 22 BY MS. MOESSNER:
 23 Q Mr. Bryant, you received this subpoena on
 24 behalf of Vertex -- directed to Vertex International
 25 Group?

1 A Fifth Amendment.
 2 Q You received the subpoena at [REDACTED]
 [REDACTED], California [REDACTED]
 4 A Fifth Amendment.
 5 Q You have refused to produce documents
 6 pursuant to the subpoena based on your invocation of
 7 the Fifth Amendment?
 8 A Fifth Amendment.
 9 Q I am marking as Exhibit 7 a subpoena
 10 directed to Electronic Registry, Incorporated, care of
 11 Marc Bryant, [REDACTED], [REDACTED],
 12 [REDACTED], California,
 13 [REDACTED], dated March 3rd, 2015.
 14 You received this subpoena directed to
 15 Electronic Registry, Incorporated?
 16 (SEC Exhibit No. 7 was marked for
 17 identification.)
 18 A Fifth Amendment.
 19 BY MS. MOESSNER:
 20 Q You have refused to produce documents
 21 pursuant to this subpoena based upon your invocation
 22 of the Fifth Amendment?
 23 A Fifth Amendment.
 24 Q I am marking as Exhibit 8 a subpoena
 25 directed to Vertex International Group, care of Marc

1 Bryant, [REDACTED],
 2 California, [REDACTED], dated March 3rd, 2015. Did you
 3 receive this subpoena directed to Vertex International
 4 Group?
 5 (SEC Exhibit No. 8 was marked for
 6 identification.)
 7 A Fifth Amendment.
 8 BY MS. MOESSNER:
 9 Q You have refused to produce documents
 10 pursuant to the subpoena based on your invocation of
 11 the Fifth Amendment?
 12 A Fifth Amendment.
 13 MS. FULLER: In that case, you could just
 14 answer the question yes, but --
 15 BY MS. MOESSNER:
 16 Q I am marking as Exhibit 9 a subpoena
 17 directed to Marc Bryant sent to his attorney, Marc
 18 Indeglia, dated April 14th, 2014.
 19 (SEC Exhibit No. 9 was marked for
 20 identification.)
 21 BY MS. MOESSNER:
 22 Q You received this subpoena which calls for
 23 you to produce documents, Mr. Bryant?
 24 A Fifth Amendment.
 25 Q You have refused to produce documents

1 pursuant to the subpoena based upon your invocation of
 2 the Fifth Amendment?
 3 A Fifth Amendment.
 4 Q I am marking as Exhibit 10 a subpoena
 5 directed to Diversified Equities Development,
 6 Incorporated, dated November 30th, 2015. You have
 7 received this subpoena marked Exhibit 10, Mr. Bryant?
 8 (SEC Exhibit No. 10 was marked for
 9 identification.)
 10 A Fifth Amendment.
 11 BY MS. MOESSNER:
 12 Q You have refused to produce documents
 13 pursuant to the subpoena based upon your invocation of
 14 the Fifth Amendment?
 15 A Fifth Amendment.
 16 Q Mr. Bryant, could you describe your
 17 educational background?
 18 A Fifth Amendment.
 19 Q Please describe your professional
 20 background.
 21 A Fifth Amendment.
 22 Q You have an individual CRD number?
 23 A Fifth Amendment.
 24 Q That CRD number is 1931839?
 25 A Fifth Amendment.

1 Q You have also gone by the name Marc Welch?
 2 A Fifth Amendment.
 3 Q You caused Electronic Registry,
 4 Incorporated, to be incorporated in Wyoming?
 5 A Fifth Amendment.
 6 Q It was incorporated on April 26th, 2010?
 7 A Fifth Amendment.
 8 Q Electronic Registry, Incorporated, opened a
 9 bank account at Wells Fargo on February 11th, 2011?
 10 A Fifth Amendment.
 11 Q I am marking as Exhibit 11 a document Bates
 12 stamped SEC-WFB-0000494.
 13 (SEC Exhibit No. 11 was marked for
 14 identification.)
 15 BY MS. MOESSNER:
 16 Q Mr. Bryant, I'm handing you what has been
 17 marked as Exhibit No. 11.
 18 Mr. Bryant, Exhibit 11 is account opening
 19 documentation for Electronic Registry, Incorporated?
 20 A Fifth Amendment.
 21 Q Leon D. Bryant is an authorized signer?
 22 A Fifth Amendment.
 23 Q Leon D. Bryant is your father?
 24 A Fifth Amendment.
 25 Q Marc J. Bryant is also an authorized signer?

1 A Fifth Amendment.
 2 Q You are Marc J. Bryant?
 3 A Fifth Amendment.
 4 Q You are an authorized signer on this bank
 5 account for Electronic Registry, Incorporated?
 6 A Fifth Amendment.
 7 Q That is your signature that appears on the
 8 first page of the document Bates stamped 494 -- ending
 9 in 494?
 10 A Fifth Amendment.
 11 Q On the second page of the document, under
 12 business information, a description of ERI's business
 13 is electric auto sales?
 14 A Fifth Amendment.
 15 Q Under entity name and verification under
 16 bank use, it states that the articles of incorporation
 17 were the entity verification?
 18 A Fifth Amendment.
 19 Q ERI was incorporated in California?
 20 A Fifth Amendment.
 21 Q ERI was incorporated in California on April
 22 26th, 2010?
 23 A Fifth Amendment.
 24 Q Electronic Registry, Incorporated's business
 25 purpose was to sell stock?

1 A Fifth Amendment.
 2 Q Electronic Registry solicited investors to
 3 purchase stock?
 4 A Fifth Amendment.
 5 Q Electronic Registry used salespeople to
 6 solicit investors?
 7 A Fifth Amendment.
 8 Q Electronic Registry used closers to solicit
 9 investors?
 10 A Fifth Amendment.
 11 Q You provided a list of potential investors
 12 to contact to those salespeople?
 13 A Fifth Amendment.
 14 Q You provided information about Green
 15 Automotive Company to those salespeople?
 16 A Fifth Amendment.
 17 Q Electronic Registry paid salespeople or
 18 closers a commission based upon their stock sales?
 19 A Fifth Amendment.
 20 Q Electronic Registry recommended an
 21 investment in Green Automotive Company?
 22 A Fifth Amendment.
 23 Q Electronic Registry received payments from
 24 investors for shares of stock?
 25 A Fifth Amendment.

1 Q Electronic Registry entered into stock
 2 purchase agreements with investors for the sale of
 3 stocks?
 4 A Fifth Amendment.
 5 Q Electronic Registry never filed a form BD
 6 with the Securities & Exchange Commission?
 7 A Fifth Amendment.
 8 Q The SEC therefore never granted Electronic
 9 Registry registration as a broker-dealer?
 10 A Fifth Amendment.
 11 Q Electronic Registry never became a member of
 12 any self-regulatory organization?
 13 A Fifth Amendment.
 14 Q Electronic Registry never became a member of
 15 the Securities Investor Protection Corporation?
 16 A Fifth Amendment.
 17 Q Electronic Registry never complied with
 18 applicable State requirements?
 19 A Fifth Amendment.
 20 Q Electronic Registry never had its associated
 21 persons satisfy applicable qualification requirements?
 22 A Fifth Amendment.
 23 Q Electronic Registry never had its associated
 24 persons complete and submit a Form U4?
 25 A Fifth Amendment.

1 Q In connection with your work for Electronic
 2 Registry, you did not file a Form U4?
 3 A Fifth Amendment.
 4 Q Electronic Registry, Incorporated, sold
 5 shares of Green Automotive Company to an investor,
 6 Patrick Connolly?
 7 A Fifth Amendment.
 8 Q ERI contacted Mr. Connolly through a
 9 salesperson?
 10 A Fifth Amendment.
 11 Q That salesperson went by the name Kurt
 12 Tobias?
 13 A Fifth Amendment.
 14 Q Kurt Tobias is an alias?
 15 A Fifth Amendment.
 16 Q I am marking as Exhibit 12 a document that
 17 begins, the Bates stamp SEC-PC-E-0000349.
 18 (SEC Exhibit No. 12 was marked for
 19 identification.)
 20 BY MS. MOESSNER:
 21 Q I'm handing you Exhibit 12, Mr. Bryant. The
 22 first two pages of Exhibit 12 are a stock purchase
 23 agreement between Electronic Registry, Incorporated,
 24 and Patrick Connolly made as of February 9th, 2010 --
 25 A Fifth Amendment.

1 Q -- 2011, excuse me. Looks like that was
 2 changed on the document.
 3 The stock purchase agreement is for 50,000
 4 shares of Green Automotive Company; is that correct?
 5 A Fifth Amendment.
 6 Q The purchase price is 26 cents per share?
 7 A Fifth Amendment.
 8 Q For a total of \$13,000?
 9 A Fifth Amendment.
 10 Q On the second page it says, Seller,
 11 Electronic Registry, Incorporated, by Marc Bryant,
 12 CEO, that is you?
 13 A Fifth Amendment.
 14 Q You aware that Mr. Connolly is an
 15 86-year-old man at the time of this investment?
 16 A Fifth Amendment.
 17 Q Paragraph 5 of this stock purchase agreement
 18 states, "Suitability of investment, purchaser has
 19 evaluated the merits and risks of purchaser's proposed
 20 investment in the company, including those risks
 21 particular to purchaser's situation and has determined
 22 that this investment is suitable for purchaser.
 23 Purchaser has adequate financial resources for an
 24 investment of this character and at this time,
 25 purchaser can bear a complete loss of purchaser's

1 investment.
 2 Further, purchaser will continue to have,
 3 after making an investment in the company, adequate
 4 means of providing for purchaser's current needs, the
 5 needs of those dependent on purchaser, and possible
 6 personal contingencies. Purchaser specifically
 7 represents that he or she has a net worth of at least
 8 five times greater than the investment made herein."
 9 Did you discuss these representations with
 10 Mr. Connolly?
 11 A Fifth Amendment.
 12 Q Did you confirm that Mr. Connolly had a net
 13 worth of at least five times greater than the
 14 investment made?
 15 A Fifth Amendment.
 16 Q On Page 1 of this document it also states
 17 that this is an exempt offering. What was the basis
 18 for the exemption that ERI was claiming?
 19 A Fifth Amendment.
 20 Q On Page 2, there is a reference to an
 21 accredited investor status. Did you confirm Mr.
 22 Connolly's status as an accredited investor?
 23 A Fifth Amendment.
 24 Q You did not confirm Mr. Connolly's status as
 25 an accredited investor, correct?

1 A Fifth Amendment.
 2 Q On the second page of the document -- or
 3 excuse me, the third page of the document Bates
 4 stamped 351, there is another stock purchase agreement
 5 dated March 1st, 2011, between Electronic Registry,
 6 Inc., and Patrick Connolly?
 7 A Fifth Amendment.
 8 Q This purchase is again for Green Automotive
 9 Company shares?
 10 A Fifth Amendment.
 11 Q The purchase price is 25 cents per share?
 12 A Fifth Amendment.
 13 Q For a total of \$13,000?
 14 A Fifth Amendment.
 15 Q And the second page, it again says, Seller,
 16 Electronic Registry, Inc., a Nevada corporation, by
 17 Marc Bryant, CEO?
 18 A Fifth Amendment.
 19 Q And this time your signature appears there
 20 on that -- on that signature line?
 21 A Fifth Amendment.
 22 Q Turning to the fifth page of the document,
 23 there is another stock purchase agreement, this time
 24 dated March 22nd, 2012, between Electronic Registry,
 25 Incorporated, and Patrick Connolly?

1 A Fifth Amendment.
 2 Q The stock purchase agreement is for sales of
 3 Green Automotive Company shares?
 4 A Fifth Amendment.
 5 Q It is for the sale 50,000 shares?
 6 A Fifth Amendment.
 7 Q The purchase price is 20 cents per share
 8 this time?
 9 A Fifth Amendment.
 10 Q This stock purchase agreement is for a sale
 11 of 10,000 -- or a total price of \$10,000?
 12 A Fifth Amendment.
 13 Q And if you turn two pages farther to
 14 SEC-PC-E, ending in 355, the seller is again
 15 Electronic Registry, Inc., a Wyoming corporation by
 16 Marc Bryant, CEO?
 17 A Fifth Amendment.
 18 Q And that is your signature that appears on
 19 the line above Marc Bryant, CEO?
 20 A Fifth Amendment.
 21 Q Turning to the page ending 356, there's
 22 another stock purchase agreement, dated March 24th,
 23 2011, between Electronic Registry, Incorporated, and
 24 Patrick Connolly?
 25 A Fifth Amendment.

1 Q This is for the sale of 100,000 shares of
 2 restricted common stock of Green Automotive Company?
 3 A Fifth Amendment.
 4 Q The purchase price is 21 cents per share?
 5 A Fifth Amendment.
 6 Q Total sale price is \$21,000?
 7 A Fifth Amendment.
 8 Q Turning to Page 347, the seller is again
 9 Electronic Registry, Inc., by Marc Bryant, CEO?
 10 A Fifth Amendment.
 11 Q That is your signature that appears on the
 12 line by Marc Bryant, CEO?
 13 A Fifth Amendment.
 14 Q Turning to the page ending 348, another
 15 stock purchase agreement, dated March 24th, 2011,
 16 between Electronic Registry, Incorporated, and Patrick
 17 Connolly?
 18 A Fifth Amendment.
 19 Q This stock purchase agreement is for the
 20 sale of 100,000 shares of restricted common stock of
 21 Green Automotive Company?
 22 A Fifth Amendment.
 23 Q The purchase price is 21 cents per share?
 24 A Fifth Amendment.
 25 Q The total purchase price is \$21,000?

1 A Fifth Amendment.
 2 Q The seller is Electronic Registry, Inc., by
 3 Marc Bryant, CEO?
 4 A Fifth Amendment.
 5 Q Turning to the page ending 360, this is
 6 another stock purchase agreement between Electronic
 7 Registry, Inc., and Patrick Connolly?
 8 A Fifth Amendment.
 9 Q This stock purchase agreement is for the
 10 sale of 50,000 shares of Green Automotive Company?
 11 A Fifth Amendment.
 12 Q The purchase price is 20 cents per share?
 13 A Fifth Amendment.
 14 Q The total purchase price is \$10,000 per
 15 share?
 16 A Fifth Amendment.
 17 Q Turning to page ending 361, the seller is
 18 again Electronic Registry, Incorporated?
 19 A Fifth Amendment.
 20 Q Your signature appears on the line, Marc
 21 Bryant, CEO?
 22 A Fifth Amendment.
 23 Q Turning to the page ending 362 -- turn to
 24 Page 364.
 25 All right. Turning to the Page 366, can you

1 identify this page?
 2 A Fifth Amendment.
 3 Q This is a page from your internal document
 4 systems; is that correct?
 5 A Fifth Amendment.
 6 Q This page notes that 20 cents is less than
 7 the current selling price of 24 cents?
 8 A Fifth Amendment.
 9 Q It states, New CPO from PK. Who is PK?
 10 A Fifth Amendment.
 11 Q PK is Peter Knight; isn't that correct?
 12 A Fifth Amendment.
 13 Q There's a note, P. Sherman authorized 20
 14 cents per share?
 15 A Fifth Amendment.
 16 Q You had to authorize any deviations from
 17 your set selling price for the shares?
 18 A Fifth Amendment.
 19 Q This was a system that allowed you to
 20 approve any changes in sale prices by your
 21 salespeople?
 22 A Fifth Amendment.
 23 Q There is a box that includes information
 24 about client. By that you meant the investors to whom
 25 you were selling stock?

1 A Fifth Amendment.
 2 Q There's information about Patrick Connolly
 3 in this box. It includes his address and his e-mail?
 4 A Fifth Amendment.
 5 Q There's a line for age and occupation for
 6 Mr. Connolly. Those lines are blank, why?
 7 A Fifth Amendment.
 8 Q You did not seek to determine Mr. Connolly's
 9 age or occupation, correct?
 10 A Fifth Amendment.
 11 Q Is Peter Sherman an alias for Peter Knight?
 12 A Fifth Amendment.
 13 Q Turning to Page 368, there is a stock
 14 purchase agreement dated April 19th, 2011, between
 15 Electronic Registry and Patrick Connolly. This is for
 16 the sale of 125,000 shares of Green Automotive Company
 17 stock?
 18 A Fifth Amendment.
 19 Q The purchase price for these shares was 18
 20 cents per share?
 21 A Fifth Amendment.
 22 Q For a total purchase price of \$22,500?
 23 A Fifth Amendment.
 24 Q Your signature appears on the page ending
 25 Bates stamped 369 under seller, Electronic Registry,

1 Inc., by Marc Bryant, CEO?
 2 A Fifth Amendment.
 3 Q Turning to Page 372, there is a stock
 4 purchase agreement made as of April 26th, 2011,
 5 between Electronic Registry, Incorporated, and Patrick
 6 Connolly?
 7 A Fifth Amendment.
 8 Q This time you sold Mr. Connolly 171,000
 9 shares of Green Automotive Company stock?
 10 A Fifth Amendment.
 11 Q This stock purchase agreement is just about
 12 a week after the last stock purchase agreement that we
 13 discussed dated April 19th, 2011?
 14 A Fifth Amendment.
 15 Q The purchase price for the shares is 17
 16 cents per share?
 17 A Fifth Amendment.
 18 Q For a total of \$29,000?
 19 A Fifth Amendment.
 20 Q On Page 373, the seller is Electronic
 21 Registry, Incorporated, by Marc Bryant, CEO?
 22 A Fifth Amendment.
 23 Q That is your signature that appears on the
 24 line above Marc Bryant, CEO?
 25 A Fifth Amendment.

1 Q Turn to the page Bates stamped ending 380,
 2 this is a stock purchase agreement between Electronic
 3 Registry, Incorporated, and Patrick Connolly, dated
 4 August 3rd, 2011?
 5 A Fifth Amendment.
 6 Q This is for the sale of 5,000 shares of
 7 Green Automotive Company?
 8 A Fifth Amendment.
 9 Q The purchase price is 60 cents per share?
 10 A Fifth Amendment.
 11 Q The total purchase price is \$3,000?
 12 A Fifth Amendment.
 13 Q Turning to Page 382, that is your signature
 14 on the line above Marc Bryant, CEO?
 15 A Fifth Amendment.
 16 Q On Page 38 -- the Bates stamp ending 383,
 17 there is another stock purchase agreement dated
 18 September 28th, 2011, between The Barclay Group and
 19 Patrick Connolly?
 20 A Fifth Amendment.
 21 Q Who is The Barclay Group?
 22 A Fifth Amendment.
 23 Q That is another corporation that you
 24 control?
 25 A Fifth Amendment.

1 Q You sold shares of Green Automotive Company
 2 through The Barclay Group?
 3 A Fifth Amendment.
 4 Q This purchase -- stock purchase agreement is
 5 for 166,667 shares of Green Automotive Company?
 6 A Fifth Amendment.
 7 Q The purchase price is 30 cents per share?
 8 A Fifth Amendment.
 9 Q For a total purchase price of \$50,000?
 10 A Fifth Amendment.
 11 Q Electronic Registry, Incorporated, also sold
 12 shares to an investor named Ina Sandoval?
 13 A Fifth Amendment.
 14 Q Electronic Registry sold shares of Global
 15 Energy Technology Group stock to Ina Sandoval?
 16 A Fifth Amendment.
 17 Q What steps did Electronic Registry take to
 18 research Global Energy Technology Group prior to
 19 selling it to investors?
 20 A Fifth Amendment.
 21 Q What information did Electronic Registry
 22 provide to investors about Global Energy Technology
 23 Group?
 24 A Fifth Amendment.
 25 Q Global Energy Technology Group was

1 controlled by your brother, David Welch, isn't it?
 2 A Fifth Amendment.
 3 Q Global Energy Technology Group is a
 4 predecessor company to New Global Energy,
 5 Incorporated, isn't it?
 6 A Fifth Amendment.
 7 Q You also called a company called Vertex
 8 International Group to be incorporated?
 9 A Fifth Amendment.
 10 Q Vertex was incorporated on November 8th,
 11 2011, in Wyoming?
 12 A Fifth Amendment.
 13 Q The principal mailing address for Vertex is
 14 [REDACTED], California, [REDACTED]
 15 A Fifth Amendment.
 16 Q That is an address that you have used?
 17 A Fifth Amendment.
 18 Q You opened an account for Vertex
 19 International Group at JP Morgan Chase on November
 20 14th, 2011?
 21 A Fifth Amendment.
 22 Q I am marking as Exhibit 13 a document Bates
 23 stamped JPMCB-P-0000572.
 24 (SEC Exhibit No. 13 was marked for
 25 identification.)

1 BY MS. MOESSNER:
 2 Q Mr. Bryant, Exhibit 13 is a business
 3 signature card for Vertex International Group, LLC; is
 4 that correct?
 5 A Fifth Amendment.
 6 Q This states that the account was opened on
 7 November 14th, 2011?
 8 A Fifth Amendment.
 9 Q Your name is listed on this business
 10 signature card?
 11 A Fifth Amendment.
 12 Q The taxpayer ID number listed on this
 13 document is your Social Security number?
 14 A Fifth Amendment.
 15 Q Your title is member managed?
 16 A Fifth Amendment.
 17 Q That is your signature that appears on the
 18 first line underneath the signature on Exhibit 13?
 19 A Fifth Amendment.
 20 Q I am marking as Exhibit 14 a document Bates
 21 stamped JPMCB-573.
 22 (SEC Exhibit No. 14 was marked for
 23 identification.)
 24 BY MS. MOESSNER:
 25 Q This is a business depository resolution for

1 Vertex International Group?
 2 A Fifth Amendment.
 3 Q Under legal name of business, you list,
 4 Vertex International Group, LLC?
 5 A Fifth Amendment.
 6 Q This exhibit states, "The individual signing
 7 this resolution hereby certifies to JP Morgan Chase
 8 Bank, NA, the Bank, that the business is a limited
 9 liability company organized under the laws of the
 10 state/country of United States of America, and the
 11 individual signing this resolution constitute all of
 12 the members or managers as appropriate of the
 13 company."
 14 A Fifth Amendment.
 15 Q Your name appears there on --
 16 A Fifth Amendment.
 17 Q And your -- your signature appears at the
 18 bottom of this document?
 19 A Fifth Amendment.
 20 Q No one else's signature appears as a member
 21 of Vertex on Exhibit 14; is that correct?
 22 A Fifth Amendment.
 23 Q You were the only person who was authorized
 24 to sign on behalf of Vertex?
 25 A Fifth Amendment.

1 Q A year later, you opened up a bank account
 2 for Vertex at Bank of America?
 3 A Fifth Amendment.
 4 Q I am marking as Exhibit 15 a document that
 5 begins with the Bates stamp, SEC-BOA-P2.
 6 (SEC Exhibit No. 15 was marked for
 7 identification.)
 8 BY MS. MOESSNER:
 9 Q Looking at the second page of the document,
 10 Bates stamped SEC-BOA-P3, this is a certified copy of
 11 limited liability company resolutions. The name of
 12 the limited liability company is Vertex International
 13 Group?
 14 A Fifth Amendment.
 15 Q You certified to Bank of America that you
 16 were the manager and the designated keeper of the
 17 records and minutes of Vertex International Group?
 18 A Fifth Amendment.
 19 Q Which was a limited liability company
 20 organized under the state of Wyoming?
 21 A Fifth Amendment.
 22 Q You represented that you have full authority
 23 to manage, represent, sign for and bind the company,
 24 and that the following is a true copy of resolutions
 25 duly adopted by a majority of the members of said

1 company at a meeting held on the 30th of November
 2 2012?
 3 A Fifth Amendment.
 4 Q Your signature is the only signature that
 5 appears on this page of the document?
 6 A Fifth Amendment.
 7 Q Turning to the next page of the Exhibit 15,
 8 ending in Bates stamp 4, that is your signature?
 9 A Fifth Amendment.
 10 Q What was Vertex's relationship with Global
 11 Energy Technology Group?
 12 A Fifth Amendment.
 13 Q Vertex had a consulting agreement with
 14 Global Energy Technology Group?
 15 A Fifth Amendment.
 16 Q You signed that consulting agreement on
 17 behalf of Vertex?
 18 A Fifth Amendment.
 19 Q I am marking as Exhibit 16 a document that
 20 is Bates stamped P-0126.
 21 (SEC Exhibit No. 16 was marked for
 22 identification.)
 23 BY MS. MOESSNER:
 24 Q Mr. Bryant, that is your signature that
 25 appears on the line underneath Vertex International

1 Group, Inc.?
 2 A Fifth Amendment.
 3 Q Your title there is president?
 4 A Fifth Amendment.
 5 Q This says, "In witness whereof, the parties
 6 have executed this consulting agreement consisting of
 7 three pages, including this page, as of this first day
 8 of July 1st, 2012?"
 9 A Fifth Amendment.
 10 Q This is signed by Global Energy Technology
 11 Group on behalf of John Potter?
 12 A Fifth Amendment.
 13 Q You enter this consulting agreement as
 14 pretext for Vertex to obtain Global Energy Technology
 15 Group stock?
 16 A Fifth Amendment.
 17 Q I am marking as Exhibit 17 a document Bates
 18 stamped JB-000350. If you turn to the second page of
 19 Exhibit 17, this is an e-mail from you at
 20 [REDACTED]@yahoo.com; is that correct?
 21 (SEC Exhibit No. 17 was marked for
 22 identification.)
 23 A Fifth Amendment.
 24 BY MS. MOESSNER:
 25 Q That is an e-mail address that you have

1 used?
 2 A Fifth Amendment.
 3 Q This e-mail is sent to
 4 [REDACTED]@yahoo.com?
 5 A Fifth Amendment.
 6 Q That was Justine Blankenship?
 7 A Fifth Amendment.
 8 Q She worked for Action Stock Transfer
 9 Corporation?
 10 A Fifth Amendment.
 11 Q Action Stock Transfer Corporation was the
 12 transfer agent for Global Energy Technology Group?
 13 A Fifth Amendment.
 14 Q In the e-mail reflected on the page Bates
 15 stamped 351, you asked Justine to transfer 800,000
 16 shares from Bio-Global -- Bio-Global Resources,
 17 Incorporated, to Electronic Registry, Incorporated?
 18 A Fifth Amendment.
 19 Q You controlled Bio-Global Resources as well?
 20 A Fifth Amendment.
 21 Q You were moving stock between companies that
 22 you controlled?
 23 A Fifth Amendment.
 24 Q Turning to the next page, there is an e-mail
 25 again from you to Justine Blankenship, which says,

1 Please transfer 2 -- 22,898 shares from Bio-Global to
 2 Vertex International Group?
 3 A Fifth Amendment.
 4 Q The e-mail is signed by you?
 5 A Fifth Amendment.
 6 Q That is a phone number [REDACTED], that
 7 you have used?
 8 A Fifth Amendment.
 9 Q On the third page of Exhibit 17, you asked
 10 Justine to transfer 1 million shares of Global Energy
 11 Technology Group stock from Bio-Global to Vertex
 12 International Group?
 13 A Fifth Amendment.
 14 Q On the next page, you inform Justine that
 15 the cost basis is 10 cents per share?
 16 A Fifth Amendment.
 17 Q Turning to the page Bates stamped 357, this
 18 is a corporate resolution of Bio-Global Resources that
 19 is signed by you?
 20 A Fifth Amendment.
 21 Q This resolution is entitled, Authorizing
 22 Officers to Assign and Endorse Certificates?
 23 A Fifth Amendment.
 24 Q States, "Let it be resolved that the
 25 president, David Welch, secretary/treasurer, Marc

1 Bryant, or any one of them acting individually are
 2 hereby authorized to sell, assign and endorse for
 3 transfer, certificates representing stocks, bonds or
 4 other securities now registered or hereafter
 5 registered in the name of this corporation?"
 6 A Fifth Amendment.
 7 Q It's, "I, Marc Bryant, secretary of
 8 Bio-Global Resources, Incorporated, under the laws of
 9 the state of Nevada hereby certify that the foregoing
 10 is a resolution duly adopted by the board of directors
 11 of said corporation at a meeting held the 10th day of
 12 January 2012, at which a quorum was represented and
 13 the voting and the same have not been repealed or
 14 amended and remains in full force and effect and does
 15 not conflict with bylaws of said corporation?"
 16 A Fifth Amendment.
 17 Q The next page, Bates stamped JB-00358 is an
 18 irrevocable stock power?
 19 A Fifth Amendment.
 20 Q That is your handwriting on this page?
 21 A Fifth Amendment.
 22 Q That is your signature on this page?
 23 A Fifth Amendment.
 24 Q Exhibit 17 has an invoice date of February
 25 1st, 2012?

1 A Fifth Amendment.
 2 Q I'm marking as Exhibit 18 a document Bates
 3 stamped JB-000359.
 4 (SEC Exhibit No. 18 was marked for
 5 identification.)
 6 BY MS. MOESSNER:
 7 Q Exhibit 18 also has a cover page from Action
 8 Stock Transfer. This time it says, Bill to Vertex
 9 International Group?
 10 A Fifth Amendment.
 11 Q That was your corporation?
 12 A Fifth Amendment.
 13 Q Turning to the second page of Exhibit 18,
 14 Bates stamped 360, this is a letter from you to
 15 Justine Blankenship at Action Stock Transfer
 16 Corporation?
 17 A Fifth Amendment.
 18 Q This letter is dated January 30th, 2012?
 19 A Fifth Amendment.
 20 Q The subject of this letter is stock
 21 certificate issue request?
 22 A Fifth Amendment.
 23 Q You write, "Enclosed with these
 24 instructions, please find an Excel spreadsheet of
 25 investors purchasing Global Energy Technology Group,

1 GEGT, stock from Vertex International Group?"
 2 A Fifth Amendment.
 3 Q You sold stock to these investors?
 4 A Fifth Amendment.
 5 Q You were requesting that the transfer agent
 6 transfer shares from Vertex to these investors?
 7 A Fifth Amendment.
 8 Q You provided the transfer agent with the
 9 name, address, tax ID number, number of shares
 10 purchased and purchase price for each investor?
 11 A Fifth Amendment.
 12 Q You requested that the stock certificates be
 13 sent to you at Vertex?
 14 A Fifth Amendment.
 15 Q You then shipped the certificates to
 16 investors from your office?
 17 A Fifth Amendment.
 18 Q The third page ending Bates stamped 361 is
 19 the Excel spreadsheet that you reference in your
 20 letter on Page 360?
 21 A Fifth Amendment.
 22 Q This Excel spreadsheet is a list of
 23 investors that purchased shares from Vertex
 24 International Group?
 25 A Fifth Amendment.

1 Q You sold these shares of Global Energy
 2 Technology Group stock at prices between 12-1/2 cents
 3 and 25 cents per share?
 4 A Fifth Amendment.
 5 Q You sold these shares to investors in
 6 Minnesota?
 7 A Fifth Amendment.
 8 Q And California?
 9 A Fifth Amendment.
 10 Q And Oklahoma?
 11 A Fifth Amendment.
 12 Q And Illinois?
 13 A Fifth Amendment.
 14 Q And Arizona?
 15 A Fifth Amendment.
 16 Q And Oregon?
 17 A Fifth Amendment.
 18 Q Turning to the page Bates stamped 365, this
 19 is a corporate resolution of Vertex International
 20 Group?
 21 A Fifth Amendment.
 22 Q This is signed by Leon Bryant?
 23 A Fifth Amendment.
 24 Q It resolves that the president, Marc Bryant,
 25 and secretary/treasurer, Leon Bryant, or any one of

1 them are authorized to sell, assign and endorse for
 2 transfer certificates representing stocks, bonds or
 3 other securities now registered or hereafter
 4 registered in the name of this corporation?
 5 A Fifth Amendment.
 6 Q These transfers to investors were requested
 7 on the same day that you -- or the day after you
 8 requested the transfer of shares from Bio-Global to
 9 Electronic Registry and Vertex?
 10 A Fifth Amendment.
 11 Q I am marking as Exhibit 19 a document
 12 Bates stamped JB-000399, the cover page of which is
 13 another invoice from Action Stock Transfer
 14 Corporation?
 15 (SEC Exhibit No. 19 was marked for
 16 identification.)
 17 A Oh, Fifth Amendment. I'm sorry.
 18 BY MS. MOESSNER:
 19 Q That's okay.
 20 This is a transfer of shares in Global
 21 Energy Technology Group from NAFTA Environmental to
 22 Vertex International and Electronic Registry,
 23 Incorporated?
 24 A Fifth Amendment.
 25 Q Turning to the second page of Exhibit 19,

1 this is an e-mail from you to Justine Blankenship?
 2 A Fifth Amendment.
 3 Q The subject is revised request for stock
 4 transfer?
 5 A Fifth Amendment.
 6 Q You request the transfer of 900,000 shares
 7 of Global Energy Technology Group stock from NAFTA
 8 Agricultural Technologies to Vertex International
 9 Group?
 10 A Fifth Amendment.
 11 Q You also requested the transfer of 100,000
 12 shares of Global Energy Technology Group stock from
 13 NAFTA International Group to Electronic Registry,
 14 Inc.?
 15 A Fifth Amendment.
 16 Q You sign the e-mail, Marc Bryant, CEO,
 17 NAFTA/ERI?
 18 A Fifth Amendment.
 19 Q You also tell Justine that you will fax over
 20 the NAFTA resolution?
 21 A Fifth Amendment.
 22 Q If we turn to the page Bates stamped,
 23 JB-404, this is the resolution that you were referring
 24 to in your e-mail?
 25 A Fifth Amendment.

1 Q This resolution appoints you to the board of
 2 directors of NAFTA Agriculture Technologies?
 3 A Fifth Amendment.
 4 Q NAFTA Agriculture Technologies was another
 5 corporation that you controlled?
 6 A Fifth Amendment.
 7 MR. NAYAGAM: We are coming up on an hour.
 8 Do you think we can take a break next time we get to a
 9 good spot for you?
 10 MS. MOESSNER: Yes, we can. I was just
 11 checking that myself.
 12 A Do we have some coffee around here?
 13 Q Why -- why don't we take a break.
 14 A I've been yawning --
 15 THE VIDEOGRAPHER: The time is 9:03 a.m. We
 16 are going off the record.
 17 (Break taken 9:03 a.m. to 9:27 a.m.)
 18 THE VIDEOGRAPHER: The time is 9:27. We are
 19 back on the record.
 20 BY MS. MOESSNER:
 21 Q Mr. Bryant, you and I did not have any
 22 substantive conversations during the break, did we?
 23 A No.
 24 Q I am marking as Exhibit 20, a document
 25 beginning with the Bates stamp JB-000431.

1 (SEC Exhibit No. 20 was marked for
 2 identification.)
 3 BY MS. MOESSNER:
 4 Q Mr. Bryant, the cover page is an invoice to
 5 Vertex International Group from Action Stock Transfer
 6 Corporation?
 7 A Fifth Amendment.
 8 Q Turning to the second page of Exhibit 20,
 9 this is an e-mail from you to Justine Blankenship
 10 regarding Global Energy stock transfer from Vertex to
 11 Electronic Registry?
 12 A Fifth Amendment.
 13 Q You write to Justine, "For the immediate
 14 fix, I'm instructing you to transfer 1 million shares
 15 of Global Energy Technology stock from Vertex
 16 International Group to Electronic Registry, Inc. This
 17 transfer should allow us to get caught up on all other
 18 transfer requests pending." Is that correct?
 19 A Fifth Amendment.
 20 Q You were moving shares of Global Energy
 21 Technology Group between companies that you owned?
 22 A Fifth Amendment.
 23 Q You were doing so in order to facilitate
 24 transfers to investors that Electronic Registry group
 25 had made?

1 A Fifth Amendment.
 2 Q The second paragraph of your e-mail on the
 3 page Bates stamped 432 states, "I will be calling you
 4 to soon to get an assessment of which companies we
 5 have (besides Vertex and Electronic Registry) that
 6 have an abundance of Global Energy shares so I know
 7 where our inventory is."
 8 A Fifth Amendment.
 9 Q You had other companies that owned shares of
 10 Global Energy Technology Group?
 11 A Fifth Amendment.
 12 Q Those companies were also selling shares to
 13 investors?
 14 A Fifth Amendment.
 15 Q The page ending Bates stamped 435, that is
 16 an irrevocable stock power that you signed?
 17 A Fifth Amendment.
 18 Q I am marking as Exhibit 21 a document Bates
 19 stamped JB-000453, which has a cover page from Action
 20 Stock Transfer Corporation. It's an invoice date of
 21 April 5th, 2012.
 22 (SEC Exhibit No. 21 was marked for
 23 identification.)
 24 BY MS. MOESSNER:
 25 Q Turning to the second page of Exhibit 20,

1 the page ending Bates stamp 454, this is an e-mail
 2 exchange between you and Justine Blankenship?
 3 A Fifth Amendment.
 4 Q Justine writes to you, "The only two
 5 companies that I know you control that have stock are
 6 Vertex and Electronic Registry. What are the names of
 7 other companies that you control?"
 8 A Fifth Amendment.
 9 Q You then write back to Justine, "As always,
 10 enjoyable to work with you, Justine. Okay. Please
 11 transfer 500,000 shares of Global Energy Tech Group
 12 stock from Electronic Registry to Vertex International
 13 Group."
 14 A Fifth Amendment.
 15 Q Is that -- so on March 28th, 2012, you had
 16 requested a transfer of 1 million shares from Vertex
 17 to Electronic Registry, and then on April 5th, 2012,
 18 you request a transfer of 500,000 shares back to
 19 Vertex?
 20 A Fifth Amendment.
 21 Q You moved shares around between your
 22 companies as was convenient for you?
 23 A Fifth Amendment.
 24 Q These were not arm's length transactions
 25 between companies?

1 A Fifth Amendment.
 2 Q I am marking as Exhibit 22 a document
 3 beginning Bates stamped JB-535.
 4 (SEC Exhibit No. 22 was marked for
 5 identification.)
 6 BY MS. MOESSNER:
 7 Q Turning to the page Bates stamped 537, this
 8 is a letter from you to Justine Blankenship enclosing
 9 with these instructions an Excel spreadsheet of
 10 investors purchasing Global Energy Technology Group
 11 stock from Vertex International Group; is that
 12 correct?
 13 A Fifth Amendment.
 14 Q And the next page, Bates stamped 538, is a
 15 list of the investors for -- to whom Vertex had sold
 16 Global Energy Technology Group stock?
 17 A Fifth Amendment.
 18 Q That Excel spreadsheet lists the investors'
 19 names, addresses, tax ID numbers, the amount of
 20 shares, the total -- the total purchase price and the
 21 price per share?
 22 A Fifth Amendment.
 23 Q The third -- second name on that Excel --
 24 printout of an Excel spreadsheet is Patrick Connolly?
 25 A Fifth Amendment.

1 Q That is the same Mr. Connolly that we
 2 discussed earlier that Electronic Registry had sold
 3 Green Automotive Stock to?
 4 A Fifth Amendment.
 5 Q On the page ending Bates stamped 541, that
 6 is your signature on the irrevocable stock power?
 7 A Fifth Amendment.
 8 Q I am marking as Exhibit 23 a document Bates
 9 stamped JB-000641.
 10 (SEC Exhibit No. 23 was marked for
 11 identification.)
 12 BY MS. MOESSNER:
 13 Q The cover page of which, again, is an
 14 invoice to Vertex International Group, LLC. Turning
 15 to page Bates stamped 643, this is a letter from
 16 Vertex to Justine Blankenship; is that correct?
 17 A Fifth Amendment.
 18 Q This letter is signed by Nick?
 19 A Fifth Amendment.
 20 Q Nick was someone who worked for Vertex
 21 International Group?
 22 A Fifth Amendment.
 23 Q Nick is Nick Fleming?
 24 A Fifth Amendment.
 25 Q Nick Fleming also goes by the name Nick

1 Franklin?
 2 A Fifth Amendment.
 3 Q You have worked with Nick Fleming for years?
 4 A Fifth Amendment.
 5 Q Nick Fleming helped sell Global Energy
 6 Technology Group stock to investors?
 7 A Fifth Amendment.
 8 Q Nick Fleming communicated with the transfer
 9 agent on behalf of Vertex?
 10 A Fifth Amendment.
 11 Q I am marking as Exhibit 24 a document
 12 beginning Bates stamp JB-000661.
 13 (SEC Exhibit No. 24 was marked for
 14 identification.)
 15 BY MS. MOESSNER:
 16 Q The cover page of Exhibit 24 is again an
 17 invoice to Vertex International Group from Action
 18 Stock Transfer?
 19 A Fifth Amendment.
 20 Q This invoice is dated November 13th, 2012?
 21 A Fifth Amendment.
 22 Q This invoice reflects a transfer from Helium
 23 Investments, Inc., to Vertex International Group?
 24 A Fifth Amendment.
 25 Q The second page of Exhibit 24 is a letter

1 from you to Justine Blankenship dated November 13th,
2 2012?
3 A Fifth Amendment.
4 Q You request the transfer of 1,810,758 shares
5 of Global Energy Technology Group --
6 A Fifth Amendment.
7 Q -- from -- you requested the share transfer
8 from Helium Investments to Vertex International Group?
9 A Fifth Amendment.
10 Q On the last page of Exhibit 24, Bates
11 stamped JB-000666, it is a corporate resolution of
12 Helium Investments, Incorporating, authorizing you to
13 act on Helium Investments' behalf?
14 A Fifth Amendment.
15 Q I am marking as Exhibit 25 a document Bates
16 stamped JB-745. This is again a cover page of an
17 invoice to Vertex International Group; is that
18 correct?
19 (SEC Exhibit No. 25 was marked for
20 identification.)
21 A Fifth Amendment.
22 BY MS. MOESSNER:
23 Q The page Bates stamped JB-747 is a letter
24 from you to Vertex International Group requesting a
25 transfer of shares to investors who had purchased

1 Global Energy Technology Group shares --
2 A Fifth Amendment.
3 Q -- from -- let me just finish the
4 question -- from Vertex International Group?
5 A Sorry.
6 Q That's okay.
7 A Fifth Amendment.
8 Q The page Bates stamped JB-000748 is a
9 printout of the Excel spreadsheet that you included
10 with your letter to Action Stock Transfer?
11 A Fifth Amendment.
12 Q This spreadsheet lists out the names of the
13 investors that Vertex had sold stock to?
14 A Fifth Amendment.
15 Q It lists out of investors' addresses?
16 A Fifth Amendment.
17 Q The investors were located in Florida,
18 Texas, California, Wyoming, and Arizona?
19 A Fifth Amendment.
20 Q The shares were sold at 25 cents per share
21 to these investors?
22 A Fifth Amendment.
23 Q Do you keep a copy of this spreadsheet on
24 your internal computer systems?
25 A Fifth Amendment.

1 Q Did you also list the representatives' names
2 who had sold these investors their shares in your
3 internal systems?
4 A Fifth Amendment.
5 Q One of these investors is an elderly woman
6 named Ina Sandoval?
7 A Fifth Amendment.
8 Q Ms. Sandoval purchased 40,000 shares from
9 Vertex --
10 A Fifth --
11 Q -- in this transaction?
12 A Fifth Amendment.
13 Q You aware that Ms. Sandoval was
14 approximately 95 years old at the time of this sale?
15 A Fifth Amendment.
16 Q Marking as Exhibit 26 a document Bates
17 stamped JB-000899.
18 (SEC Exhibit No. 26 was marked for
19 identification.)
20 BY MS. MOESSNER:
21 Q Turning to the page Bates stamped 901, this
22 is a letter from you to Action Stock Transfer dated
23 May 17th, 2013?
24 A Fifth Amendment.
25 Q You write, "Enclosed with these instructions

1 please find an Excel spreadsheet of investors
2 purchasing Global Energy Technology Group from Vertex
3 International Group?"
4 A Fifth Amendment.
5 Q You request that you ship this -- withdrawn.
6 You request that the certificates be shipped
7 to our Bechtel Advisory Group, Incorporated, address
8 listed below?
9 A Fifth Amendment.
10 Q What -- what is Bechtel?
11 A Fifth Amendment.
12 Q Bechtel is another corporation that you
13 incorporated?
14 A Fifth Amendment.
15 Q The page Bates stamped JB-000902 is a copy
16 of an Excel spreadsheet that you included with this
17 cover letter?
18 A Fifth Amendment.
19 Q This Excel spreadsheet represents investors
20 that had purchased stock in Global Energy Technology
21 Group through Vertex?
22 A Fifth Amendment.
23 Q Here you sold Ms. Sandoval 250,000 shares?
24 A Fifth Amendment.
25 Q The total purchase price of \$49,000?

1 A Fifth Amendment.
 2 Q You sold the shares to Ms. Sandoval through
 3 a representative called Larry Anderson?
 4 A Fifth Amendment.
 5 Q Is Larry Anderson an alias?
 6 A Fifth Amendment.
 7 Q You hired a woman named Dana Harrloe to sell
 8 Global Energy Technology Group to investors?
 9 A Fifth Amendment.
 10 Q Ms. Harrloe also went by the name Anne
 11 Sheridan when she spoke to investors?
 12 A Fifth Amendment.
 13 Q You provided Ms. Harrloe with an e-mail to
 14 send to investors?
 15 A Fifth Amendment.
 16 Q I am marking as Exhibit 27 a document Bates
 17 stamped SEC-HarrloeD-E-1.
 18 (SEC Exhibit No. 27 was marked for
 19 identification.)
 20 BY MS. MOESSNER:
 21 Q This is an e-mail that you forwarded to Ms.
 22 Harrloe on November 28th, 2011?
 23 A Fifth Amendment.
 24 Q The original e-mail was sent from Dave at
 25 [REDACTED]@yahoo.com?

1 A Fifth Amendment.
 2 Q That is your brother, Dave Welch's, e-mail
 3 address?
 4 A Fifth Amendment.
 5 Q You are copied on that bottom e-mail address
 6 at your e-mail [REDACTED]@yahoo.com?
 7 A Fifth Amendment.
 8 Q Dan Broyales, Wayne Terry, Pete Knight, Ron
 9 McCan, Grant Galloway and Steven Darocy were other
 10 representatives that you used to sell stock to
 11 investors?
 12 A Fifth Amendment.
 13 Q The e-mail at the bottom of this page is an
 14 e-mail that you told Ms. Harrloe she could send to
 15 prospects?
 16 A Fifth Amendment.
 17 Q This e-mail provided information about
 18 Global Energy Technology Group stock?
 19 A Fifth Amendment.
 20 Q I am marking as Exhibit 28 a document Bates
 21 stamped SEC-PardoB-E-35.
 22 (SEC Exhibit No. 28 was marked for
 23 identification.)
 24 BY MS. MOESSNER:
 25 Q This is an e-mail from Anne Sheridan, which

1 is the name Dana Harrloe used?
 2 A Fifth Amendment.
 3 Q Her e-mail address here is
 4 [REDACTED]@earthlink.net?
 5 A Fifth Amendment.
 6 Q That's an e-mail address she used while she
 7 was working for your company, Vertex International?
 8 A Fifth Amendment.
 9 Q This e-mail is sent to Bernard Pardo, who is
 10 an investor in Global Energy Technology Group?
 11 A Fifth Amendment.
 12 Q This e-mail provides information to Mr.
 13 Pardo?
 14 A Fifth Amendment.
 15 Q You had provided this information to Ms.
 16 Harrloe in order to provide to investors?
 17 A Fifth Amendment.
 18 Q If you look on the last page, it's signed by
 19 Anne Sheridan, Vertex International Group?
 20 A Fifth Amendment.
 21 Q Vertex's business purpose was to sell stock
 22 to investors?
 23 A Fifth Amendment.
 24 Q That was Vertex's sole business purpose?
 25 A Fifth Amendment.

1 Q Vertex sold stock to investors?
 2 A Fifth Amendment.
 3 Q Vertex solicited those investors?
 4 A Fifth Amendment.
 5 Q Vertex contacted those investors by
 6 telephone?
 7 A Fifth Amendment.
 8 Q Vertex contacted those investors by e-mail?
 9 A Fifth Amendment.
 10 Q Vertex used salespeople to solicit those
 11 investors?
 12 A Fifth Amendment.
 13 Q Vertex used closers to solicit investors?
 14 A Fifth Amendment.
 15 Q You provided a list of potential investors
 16 to those salespeople?
 17 A Fifth Amendment.
 18 Q You also used other salespeople who had a
 19 network of potential investors?
 20 A Fifth Amendment.
 21 Q You provided information about Global Energy
 22 Technology people to those salespeople?
 23 A Fifth Amendment.
 24 Q You gave those people a basic pitch to use
 25 with investors?

1 A Fifth Amendment.
 2 Q Vertex entered into stock purchase
 3 agreements with investors?
 4 A Fifth Amendment.
 5 Q I'm marking as Exhibit 29 a copy of a Vertex
 6 stock purchase agreement.
 7 (SEC Exhibit No. 29 was marked for
 8 identification.)
 9 BY MS. MOESSNER:
 10 Q Exhibit 29 is a copy of a sample Vertex
 11 stock purchase agreement?
 12 A Fifth Amendment.
 13 Q The logo at the top is the logo that Vertex
 14 used?
 15 A Fifth Amendment.
 16 Q It is the same logo that we saw on your
 17 letters to the transfer agent?
 18 A Fifth Amendment.
 19 Q WWW.vertex.com is the Website that Vertex
 20 used?
 21 A Fifth Amendment.
 22 Q On the second page of the document, there is
 23 a line for your signature, accepted, Marc Bryant, CEO,
 24 Vertex International Group?
 25 A Fifth Amendment.

1 Q Vertex collected money from investors?
 2 A Fifth Amendment.
 3 Q Investors wired money into Vertex's bank
 4 account?
 5 A Fifth Amendment.
 6 Q Vertex cashed checks from investors?
 7 A Fifth Amendment.
 8 Q Vertex collected approximately \$3.6 million
 9 from investors in Global Energy Technology Group
 10 shares?
 11 A Fifth Amendment.
 12 Q Vertex communicated with the transfer agent
 13 to transfer Vertex's GEGT stock to investors?
 14 A Fifth Amendment.
 15 Q Vertex's last transfer request related to
 16 Global Energy Technology Group shares was July 10th,
 17 2013?
 18 A Fifth Amendment.
 19 Q After that, you shut down Vertex?
 20 A Fifth Amendment.
 21 Q And you began selling New Global stock
 22 through Bechtel?
 23 A Fifth Amendment.
 24 Q You've communicated with New Global's
 25 transfer agent on behalf of Vertex as recently as May

1 16th, 2016?
 2 A Fifth Amendment.
 3 Q I am marking as Exhibit 30 a document Bates
 4 stamped SEC-MT-E-19497. This is an e-mail from you to
 5 John Ahearn of Manhattan Transfer Corporation?
 6 (SEC Exhibit No. 30 was marked for
 7 identification.)
 8 A Fifth Amendment.
 9 BY MS. MOESSNER:
 10 Q You are asking about the status of certain
 11 Vertex stock owned -- certain New Global stock owned
 12 by Vertex?
 13 A Fifth Amendment.
 14 Q I am marking as Exhibit 31 a document Bates
 15 stamped SEC-JBMCB-P-703.
 16 (SEC Exhibit No. 31 was marked
 17 for identification.)
 18 BY MS. MOESSNER:
 19 Q Mr. Bryant, this is a statement for Vertex's
 20 Chase Bank account?
 21 A Fifth Amendment.
 22 Q This particular statement is for the period
 23 March 1st, 2012, through March 30th, 2012?
 24 A Fifth Amendment.
 25 Q Turning to the page ending in Bates stamped

1 712, this is a copy of a check written to Vertex
 2 International Group for \$10,000 from Dennis or Emily
 3 Roberts. The memo says, "Fish farm shares, GEGT."
 4 This was a payment from an investor for
 5 shares in Global Energy Technology Group?
 6 A Fifth Amendment.
 7 Q Similarly, if we turn to the page ending
 8 717, this is also a check for Global Energy Technology
 9 Group stock, the memo line note says for 25,000
 10 shares. The check is from Thomas Martin for \$6,250?
 11 A Fifth Amendment.
 12 Q Vertex cashed this check and put it in its
 13 bank account?
 14 A Fifth Amendment.
 15 Q Looking at the page ending Bates stamped
 16 731, this is a check from Mr. Connolly, who we have
 17 discussed, written to Vertex International Group for
 18 \$13,000 for Global Energy stock?
 19 A Fifth Amendment.
 20 Q Turning to the page ending 746, this is a
 21 check from John Toomey, IV, dated March 20th, 2012,
 22 written to Vertex International Group for 20,000
 23 shares of initial stock?
 24 A Fifth Amendment.
 25 Q Toomey is T-o-o-m-e-y.

1 Was Vertex International Group pitching the
 2 investment in GEGT as an initial offering of stock?
 3 A Fifth Amendment.
 4 Q Looking at the page ending in Bates 749,
 5 this is a check written from Electronic Registry,
 6 Inc., to Vertex International Group signed by you?
 7 A Fifth Amendment.
 8 Q The check is dated March 21st, 2012, for
 9 \$23,000?
 10 A Fifth Amendment.
 11 Q This is an example of you moving money
 12 between companies that you owned?
 13 A Fifth Amendment.
 14 Q Looking at the page ending in 761, this is a
 15 copy of a check from Bio-Global Resources to Vertex
 16 International Group dated March 28th, 2012, for
 17 \$19,000 signed by David Welch, who is your brother?
 18 A Fifth Amendment.
 19 Q The check says it is for consulting
 20 services?
 21 A Fifth Amendment.
 22 Q Vertex hadn't provided any consulting
 23 services to Bio-Global Resources, had it?
 24 A Fifth Amendment.
 25 Q Turning to the page ending in 764, this is a

1 copy of a check from Vertex International Group to XYZ
 2 Leads. That is your signature on the check?
 3 A Fifth Amendment.
 4 Q The memo line of the check says it is for
 5 leads?
 6 A Fifth Amendment.
 7 Q What did leads mean?
 8 A Fifth Amendment.
 9 Q Leads meant a commission for sales of stock
 10 to investors?
 11 A Fifth Amendment.
 12 Q The check is written for \$1,000?
 13 A Fifth Amendment.
 14 Q XYZ Leads was the corporation through which
 15 you paid Dana Harrioe her commission?
 16 A Fifth Amendment.
 17 Q The next page ending in Bates stamp 765,
 18 Vertex writes a check to Nicholas Fleming for \$2,500.
 19 That is your signature?
 20 A Fifth Amendment.
 21 Q The page ending Bates stamp 770, there's a
 22 check from Vertex International Group to Perry Douglas
 23 West Charter for \$5,000 with a memo line, purchase
 24 150K, shnge, signed by you?
 25 A Fifth Amendment.

1 Q What did you mean by "purchase 150K shnge?"
 2 A Fifth Amendment.
 3 Q Perry West transferred 4,068,750 shares of
 4 Global Energy Technology Group to Vertex on March
 5 13th, 2012?
 6 A Fifth Amendment.
 7 Q You were -- you were selling Global Energy
 8 Technology Group at 25 cents a share, correct?
 9 A Fifth Amendment.
 10 Q 4,068,750 shares at 25 cents a share would
 11 be \$1,017,187.50?
 12 A Fifth Amendment.
 13 Q You did not pay Perry West a million dollars
 14 for his shares?
 15 A Fifth Amendment.
 16 Q Looking at Page 771, there's another check
 17 signed by you written to XYZ Leads?
 18 A Fifth Amendment.
 19 Q That is another example of Vertex paying a
 20 commission for the sales of GEGT stock to investors?
 21 A Fifth Amendment.
 22 Q Turning to the page ending 778, this is a
 23 copy of a withdrawal slip dated March 16th, 2012?
 24 A Fifth Amendment.
 25 Q That is your signature on the withdrawal

1 slip?
 2 A Fifth Amendment.
 3 Q You withdrew \$63,850 from Vertex's account?
 4 A Fifth Amendment.
 5 Q Why were you paying Nick Fleming?
 6 A Fifth Amendment.
 7 Q You were paying Nick Fleming a commission
 8 for sales related to Global Energy Technology Group
 9 stock?
 10 A Fifth Amendment.
 11 Q You also paid Nick Fleming a commission
 12 related to sales of Green Automotive Corporation
 13 stock?
 14 A Fifth Amendment.
 15 Q I am marking as Exhibit 32 a document Bates
 16 stamped SEC-JPMCB-788, which is a monthly statement
 17 for Vertex's Chase Bank account from March 31st, 2012,
 18 through April 30th, 2012.
 19 (SEC Exhibit No. 32 was marked for
 20 identification.)
 21 BY MS. MOESSNER:
 22 Q This is again the bank account that Vertex
 23 was using at this time?
 24 A Fifth Amendment.
 25 Q Turning to the page ending Bates stamped

1 841, this is a copy of a check to a Scott Dearborn
 2 dated April 17th, 2012?
 3 A Fifth Amendment.
 4 Q You paid Mr. Dearborn \$2,000?
 5 A Fifth Amendment.
 6 Q That is your signature on the check?
 7 A Fifth Amendment.
 8 Q You were paying Mr. Dearborn a commission
 9 related to sales of stock?
 10 A Fifth Amendment.
 11 Q Looking at the next page, Bates stamped 842,
 12 you paid Perry Douglas West \$5,000?
 13 A Fifth Amendment.
 14 Q What was this payment for?
 15 A Fifth Amendment.
 16 Q Turning to 844, Vertex International Group
 17 pays Nick Fleming \$2,125?
 18 A Fifth Amendment.
 19 Q You signed that check as well?
 20 A Fifth Amendment.
 21 Q You were again paying Mr. Fleming a
 22 commission?
 23 A Fifth Amendment.
 24 Q Turning to the page ending Bates stamp
 25 847, you write a check to Bob Westgate for \$2,500

1 that --
 2 A Fifth -- sorry.
 3 Q That's okay. -- that's signed by you?
 4 A Fifth Amendment.
 5 Q You were paying Mr. Westgate a commission
 6 related to the sales of stock?
 7 A Fifth Amendment.
 8 Q I am marking as Exhibit 33 a document Bates
 9 stamped JPMCB-P-557.
 10 (SEC Exhibit No. 33 was marked for
 11 identification.)
 12 BY MS. MOESSNER:
 13 Q I think I gave you two copies there. Just --
 14 thank you.
 15 Turning to the page ending Bates stamp 565,
 16 there's a check from Vertex International Group signed
 17 by you to Wilkes & Wiley Productions, LLC, dated
 18 November 30th, 2012?
 19 A Fifth Amendment.
 20 Q This is a commission paid to Dwayne Wilkes
 21 for sales of stock?
 22 A Fifth Amendment.
 23 Q There's also a previous page, Bates stamped
 24 564, there's a check signed by you to Lyons Share
 25 Marketing for \$1,080?

1 A Fifth Amendment.
 2 Q That is a commission related to the sales of
 3 stock?
 4 A Fifth Amendment.
 5 Q Vertex paid the people who sold stock on its
 6 behalf a commission?
 7 A Fifth Amendment.
 8 Q Mr. Dearborn was one of those people?
 9 A Fifth Amendment.
 10 Q Vertex paid Mr. Dearborn approximately
 11 \$40,000 in 2012 as a commission?
 12 A Fifth Amendment.
 13 Q Vertex paid Nicholas Fleming approximately
 14 \$12,000 in 2012?
 15 A Fifth Amendment.
 16 Q Vertex paid Edward Goss a commission?
 17 A Fifth Amendment.
 18 Q Vertex paid Mr. Goss approximately \$7,000?
 19 A Fifth Amendment.
 20 Q Vertex paid Dana Harloe approximately
 21 \$1,500 in 2013?
 22 A Fifth Amendment.
 23 Q Vertex never filed a Form BD with the SEC?
 24 A Fifth Amendment.
 25 Q The SEC never granted Vertex registration as

1 a broker-dealer?
 2 A Fifth Amendment.
 3 Q Vertex never became a member of any
 4 self-regulatory organization?
 5 A Fifth Amendment.
 6 Q Vertex never became a member of the
 7 Securities Investor Protection Corporation?
 8 A Fifth Amendment.
 9 Q Vertex never complied with applicable state
 10 requirements?
 11 A Fifth Amendment.
 12 Q Vertex never had any associated persons
 13 satisfy applicable qualification requirements?
 14 A Fifth Amendment.
 15 Q Vertex never had its associated persons
 16 complete and submit a Form U4?
 17 A Fifth Amendment.
 18 Q In connection with your work for Vertex, you
 19 never filed a Form U4.
 20 A Fifth Amendment.
 21 Q You caused Bechtel Advisory Group to be
 22 incorporated in Wyoming on January 28th, 2013?
 23 A Fifth Amendment.
 24 Q You opened a new bank account in the name of
 25 Bechtel Advisory Group with Bank of America?

1 A Fifth Amendment.
 2 Q We have to go back through your exhibits
 3 for -- let's see. Here we're going to turn back to
 4 Exhibit 15, which are the account opening documents
 5 from Bank of America, and if you turn toward the end,
 6 there -- I'll get the Bates number -- turning to the
 7 page Bates stamped SEC-BOA-11, this is a copy of the
 8 certify -- certified copy of corporate resolutions
 9 opening for Bechtel Advisory Group?
 10 A Fifth Amendment.
 11 Q You certified to Bank of America that you
 12 were the secretary/assistant secretary and designated
 13 keeper of the records and minutes of Bechtel Advisory
 14 Group, Incorporated?
 15 A Fifth Amendment.
 16 Q Which was -- Bechtel was incorporated under
 17 the state of Wyoming?
 18 A Fifth Amendment.
 19 Q You attested that the following was a true
 20 copy of resolution duly adopted by the board of
 21 directions of said corporation at a meeting held on
 22 February 22nd, 2013?
 23 A Fifth Amendment.
 24 Q You signed this resolution as the
 25 president/secretary of Bechtel?

1 A Fifth Amendment.
 2 Q Your signature appears on the page ending
 3 Bates stamped SEC-BOA-P-12?
 4 A Fifth Amendment.
 5 Q Your initials appear next to the notation
 6 that there is no corporate seal?
 7 A Fifth Amendment.
 8 Q Looking at the page Bates stamped
 9 SEC-BOA-14, you requested an ATM or debit card from
 10 the account?
 11 A Fifth Amendment.
 12 Q Bechtel was owned and controlled by you?
 13 A Fifth Amendment.
 14 Q Bechtel's business purpose was to sell
 15 stock?
 16 A Fifth Amendment.
 17 Q Stock sales were Bechtel's only source of
 18 revenue?
 19 A Fifth Amendment.
 20 Q Bechtel sold Global Energy Technology Group
 21 stock?
 22 A Fifth Amendment.
 23 Q Bechtel also sold New Global Energy,
 24 Incorporated, stock?
 25 A Fifth Amendment.

1 Q Bechtel solicited investors to purchase
 2 Global Energy and New Global stocks?
 3 A Fifth Amendment.
 4 Q Bechtel used salespeople to solicit
 5 investors?
 6 A Fifth Amendment.
 7 Q You provided those salespeople with a list
 8 of potential investors to call?
 9 A Fifth Amendment.
 10 Q You used salespeople who also had a network
 11 of potential investors?
 12 A Fifth Amendment.
 13 Q Bechtel had a website?
 14 A Fifth Amendment.
 15 Q Bechtel communicated with investors through
 16 that website?
 17 A Fifth Amendment.
 18 Q You used that website to send investors
 19 information about Global Energy and New Global?
 20 A Fifth Amendment.
 21 Q Bechtel entered into stock purchase
 22 agreements with investors?
 23 A Fifth Amendment.
 24 Q I am marking as Exhibit 34 a copy of a
 25 Bechtel stock purchase agreement.

1 (SEC Exhibit No. 34 was marked for
 2 identification.)
 3 BY MS. MOESSNER:
 4 Q The logo at that top is the logo that the
 5 Bechtel Group used?
 6 A Fifth Amendment.
 7 Q WWW.bechtelgroup.net --
 8 A Fifth Amendment -- sorry.
 9 Q -- is a website that Bechtel used?
 10 A Fifth Amendment.
 11 Q This is a model stock purchase agreement
 12 related to the sell -- sale of shares of Global Energy
 13 Technology Group?
 14 A Fifth Amendment.
 15 Q I am marking as Exhibit 35 a document Bates
 16 stamped SEC-MT-E-0018422.
 17 (SEC Exhibit No. 35 was marked
 18 for identification.)
 19 BY MS. MOESSNER:
 20 Q Mr. Bryant, this is an executed stock
 21 purchase agreement between Bechtel Advisory Group and
 22 Robert Chambers?
 23 A Fifth Amendment.
 24 Q Bechtel sold Mr. Chambers 60,000 shares for
 25 \$15,000?

1 A Fifth Amendment.
 2 Q These were shares of Global Energy
 3 Technology Group stock?
 4 A Fifth Amendment.
 5 Q Bechtel sold these shares at the rate of 25
 6 cents per share?
 7 A Fifth Amendment.
 8 Q The second page of the document indicates
 9 that the document has been accepted by Bechtel on June
 10 1st – or June 11th, 2013?
 11 A Fifth Amendment.
 12 Q Bechtel received copies of these stock
 13 purchase agreements at its office address, 15260
 14 Ventura Boulevard, Suite 1200, Sherman Oaks,
 15 California?
 16 A Fifth Amendment.
 17 Q I am marking as Exhibit 36 a document Bates
 18 stamped SEC-ALLENT-E-7.
 19 (SEC Exhibit No. 36 was marked for
 20 identification.)
 21 BY MS. MOESSNER:
 22 Q The first page of Exhibit 37 (sic) is a
 23 cover letter that Bechtel Group sent to investors?
 24 A Fifth Amendment.
 25 Q You wrote, Dear Investor, enclosed you will

1 find your approved stock purchase agreement and Global
 2 Energy Technology Group stock certificate for your
 3 safekeeping. We appreciate your business and should
 4 you have any questions regarding the foregoing, please
 5 don't hesitate to contact us. Respectfully, Bechtel
 6 Advisory Group, Inc.?
 7 A Fifth Amendment.
 8 Q The second page of Exhibit 36 is a copy of a
 9 stock purchase agreement between Bechtel Advisory
 10 Group and Mr. Allen?
 11 A Fifth Amendment.
 12 Q The third page reflects that the stock
 13 purchase agreement is accepted by Bechtel Advisory
 14 Corporation?
 15 A Fifth Amendment.
 16 Q And the fourth page is a copy of Mr. Allen's
 17 stock certificate in New Global Energy?
 18 A Fifth Amendment.
 19 Q I am marking as Exhibit 37 a document Bates
 20 stamped SEC-MIRC-E-14.
 21 (SEC Exhibit No. 37 was marked for
 22 identification.)
 23 BY MS. MOESSNER:
 24 Q Mr. Bryant, this again is a similar cover
 25 page letter from Bechtel Advisory Group?

1 A Fifth Amendment.
 2 Q And the next page is the first page of a
 3 stock purchase agreement between Bechtel and Ina
 4 Sandoval?
 5 A Fifth Amendment.
 6 Q This stock purchase agreement is for the
 7 shares of Global Energy Technology Group?
 8 A Fifth Amendment.
 9 Q This is for 250,000 shares at 20 cents per
 10 share?
 11 A Fifth Amendment.
 12 Q You did not check that Ms. Sandoval was an
 13 accredited investor prior to selling her this stock?
 14 A Fifth Amendment.
 15 Q Bechtel collected approximately \$650,000
 16 from investors in Global Energy Technology Group?
 17 A Fifth Amendment.
 18 Q Bechtel never owned any Global Energy
 19 Technology Group stock, did it?
 20 A Fifth Amendment.
 21 Q All of the transfers of stock from Bechtel
 22 to investors in Global Energy Technology Group came
 23 from Vertex International?
 24 A Fifth Amendment.
 25 Q Bechtel paid other companies that you ran

1 money from Bechtel's account?
 2 A Fifth Amendment.
 3 Q Bechtel paid salespeople commission based on
 4 the amount of stock that they sold?
 5 A Fifth Amendment.
 6 Q That commission ranged from 10 to 20 percent
 7 of the transaction?
 8 A Fifth Amendment.
 9 Q Dan Broyales was a salesperson that you used
 10 to sell Global Energy Technology Group stock?
 11 A Fifth Amendment.
 12 Q He was paid a commission through his
 13 company, Cielo, C-i-e-l-o, LLC?
 14 A Fifth Amendment.
 15 Q Nick Fleming was also paid a commission?
 16 A Fifth Amendment.
 17 Q Dana Harrloe was paid a commission by
 18 Bechtel?
 19 A Fifth Amendment.
 20 Q Bechtel paid Dana Harrloe through her
 21 company, XYZ Leads?
 22 A Fifth Amendment.
 23 Q Bechtel paid Ed Goss a commission for his
 24 sales?
 25 A Fifth Amendment.

1 Q Bechtel paid Dwayne Wilkes a commission for
2 his sales?
3 A Fifth Amendment.
4 Q Bechtel paid Bob Dahlquist for sales of
5 new -- sales of stock?
6 A Fifth Amendment.
7 Q Bechtel paid Scott Dearborn for sales of
8 stock?
9 A Fifth Amendment.
10 Q Bechtel received approximately \$500,000 from
11 investors in New Global Energy shares?
12 A Fifth Amendment.
13 Q Investors who paid Bechtel for New Global
14 Energy shares received their stock from a company
15 called Diversified Equities, Inc.?
16 A Fifth Amendment.
17 Q Bechtel never owned any shares of New Global
18 Energy, Inc., stock?
19 A Fifth Amendment.
20 Q You worked with John Knight to transfer
21 shares from Diversified Equity, Inc., to the investors
22 who purchased New Global shares through Bechtel?
23 A Fifth Amendment.
24 Q I am handing you a document that I am
25 marking as Exhibit 38, which is Bates stamped

1 SEC-MT-E-000147.
2 (SEC Exhibit No. 38 was marked for
3 identification.)
4 BY MS. MOESSNER:
5 Q Turning to the page Bates stamped 163, there
6 is letter on Diversified Equities, Inc., letterhead to
7 Kimberly Whiteside of Island Stock Transfer.
8 Diversified Equities writes, "Enclosed with these
9 instructions, please find two Excel spreadsheets of
10 purchasers of New Global Energy stock from Diversified
11 Equities, Inc. Please find the name, address, tax ID
12 number, number of shares purchased and purchase price
13 paid for each individual. Please send certificates to
14 the appropriate office as titled on the spreadsheet."
15 It then has an address for Diversified
16 Equities, Inc., and Bechtel Advisory Corp?
17 A Fifth Amendment.
18 Q Bechtel Advisory was your corporation?
19 A Fifth Amendment.
20 Q And the page ending SEC 162 is the
21 spreadsheet of certificate transfer requests from
22 Bechtel Advisory Group, Inc.?
23 A Fifth Amendment.
24 Q Those are a list of investors that Bechtel
25 sold New Global stock to?

1 A Fifth Amendment.
2 Q Some of these investors paid Bechtel for
3 their stock?
4 A Fifth Amendment.
5 Q I am marking as Exhibit 39 a document Bates
6 stamped SEC-MT-E-5574.
7 (SEC Exhibit No. 39 was marked for
8 identification.)
9 BY MS. MOESSNER:
10 Q Turning to the page Bates stamped 582, we
11 again see a letter on Diversified Equities, Inc.,
12 letterhead stating, "Enclosed with these instructions,
13 please find an Excel spreadsheet of purchasers of New
14 Global Energy stock from Diversified Equities, Inc.
15 Please find the name, address, tax ID number, number
16 of shares purchased and purchase price paid for each
17 individual. Please send their certificates to the
18 office as titled on spreadsheet."
19 It then lists the address for Bechtel
20 Advisory Group?
21 A Fifth Amendment.
22 Q Looking at the page Bates stamped 5580, this
23 is the Excel spreadsheet of New Global Energy
24 certificate transfer requests from Bechtel Advisory
25 Group?

1 A Fifth Amendment.
2 Q I am marking as Exhibit 40 a document Bates
3 stamped SEC-MT-2574.
4 (SEC Exhibit No. 40 was marked for
5 identification.)
6 BY MS. MOESSNER:
7 Q Turning to the page Bates stamped 2578,
8 again, there's a cover letter from Diversified
9 Equities, Inc., instructing the transfer agent to send
10 the certificates for New Global Energy shares to
11 Bechtel Advisory Group?
12 A Fifth Amendment.
13 Q Looking at the pages from 2581 through 2583,
14 looks like it is an extended printout of the Excel
15 spreadsheet?
16 A Fifth Amendment.
17 Q It is entitled New Global Energy Certificate
18 Transfer Requests from Bechtel Advisory Group, Inc.?
19 A Fifth Amendment.
20 Q There's a list of investors and their ID
21 numbers and amounts of share purchased?
22 A Fifth Amendment.
23 Q The last column also includes a column
24 called closer?
25 A Fifth Amendment.

1 Q These are the people who sold the stock to
2 investors?
3 A Fifth Amendment.
4 Q This is Bob Dahlquist?
5 A Fifth Amendment.
6 Q Anne Sheridan?
7 A Fifth Amendment.
8 Q Scott Dearborn?
9 A Fifth Amendment.
10 Q Ed Goss?
11 A Fifth Amendment.
12 Q And Dwayne Wilkes?
13 A Fifth Amendment.
14 Q Looking at the Page 25 -- ending in 2584,
15 Mr. Knight writes an e-mail to the transfer agent, the
16 subject of which is transfers for our Bechtel office?
17 A Fifth Amendment.
18 Q You worked with Mr. Knight to sell shares to
19 investors in New Global Energy?
20 A Fifth Amendment.
21 Q I am marking as Exhibit 41 a document Bates
22 stamped SEC-IST-E-4374, which is a copy of a transfer
23 journal related to New Global Energy stock.
24 (SEC Exhibit No. 41 was marked for
25 identification.)

1 BY MS. MOESSNER:
2 Q Starting at the Page 442 -- 4402, you can
3 see that this is a transfer of shares from Diversified
4 Equities, Inc., to the varying investors that are
5 listed in the right-hand column, and that continues on
6 for a few pages.
7 A Fifth Amendment.
8 Q Looking at page ending Bates stamped 4404,
9 there's a transfer from Diversified Equities, Inc., to
10 an investor, Deceno Dacumos?
11 A Fifth Amendment.
12 Q Diversified Equities, Inc., transferred
13 6,324 shares of New Global Energy stock?
14 A Fifth Amendment.
15 Q Mr. Dacumos paid Bechtel for those shares?
16 A Fifth Amendment.
17 Q I'm handing you a document Bates stamped
18 SEC-BOA-1567, which we will mark as Exhibit 42.
19 (SEC Exhibit No. 42 was marked for
20 identification.)
21 BY MS. MOESSNER:
22 Q Sorry. That's the second page of the
23 exhibit. I'm sorry, may I see that for a moment?
24 Okay.
25 MS. MOESSNER: I can't seem to find a copy

1 for you. Do you want to just take a quick look at it?
2 MR. NAYAGAM: Yeah. That's fine.
3 BY MS. MOESSNER:
4 Q Mr. Bryant, this is a copy of a check
5 written to Bechtel Advisory Group by Deceno Dacumos
6 dated July 5th, 2013, and in the memo line it is for
7 6,324 shares?
8 A Fifth Amendment.
9 Q This was deposited into Bechtel Advisory
10 Group's account?
11 A Fifth Amendment.
12 Q This is payment for the shares that were
13 transferred by DEI to Mr. Dacumos?
14 A Fifth Amendment.
15 Q Bechtel never paid DEI for the transfer of
16 shares that DEI made on Bechtel's behalf to investors
17 in New Global stock?
18 A Fifth Amendment.
19 Q Bechtel communicated with DEI to inform them
20 of shareholders that had purchased New Global Energy
21 stock through Bechtel?
22 A Fifth Amendment.
23 Q Bechtel provided those spreadsheets of
24 investors' names and purchases to DEI?
25 A Fifth Amendment.

1 Q DEI then provided those spreadsheets to the
2 transfer agent?
3 A Fifth Amendment.
4 Q Bechtel provided salespeople with a sample
5 pitch to investors?
6 A Fifth Amendment.
7 Q I'm marking as Exhibit 43 a document that is
8 titled Opener Sample Presentation for Training
9 Purposes Only.
10 (SEC Exhibit No. 43 was marked for
11 identification.)
12 BY MS. MOESSNER:
13 Q Exhibit 43 is a sample opening pitch that
14 you provided salespeople to make to investors?
15 A Fifth Amendment.
16 Q You prepared this script?
17 A Fifth Amendment.
18 Q You prepared this for salespeople to cold
19 call potential investors?
20 A Fifth Amendment.
21 Q You asserted that Bechtel Group is a venture
22 capital firm involved in agriculture and renewable
23 energy?
24 A Fifth Amendment.
25 Q You stated that you take stock for your

1 payment?
 2 A Fifth Amendment.
 3 Q You claimed to have just taken an
 4 18-year-old aqua farming company public?
 5 A Fifth Amendment.
 6 Q And that you were issued a couple of million
 7 shares that you could sell under the trading value?
 8 A Fifth Amendment.
 9 Q What did you mean by "under the trading
 10 value?"
 11 A Fifth Amendment.
 12 Q You told the investors you could sell them
 13 those shares for a third of the current trading value?
 14 A Fifth Amendment.
 15 Q You asserted that you were selling a
 16 recession proof commodity, like food?
 17 A Fifth Amendment.
 18 Q You asserted that you had been working
 19 with -- this company that you were selling for the
 20 last four years?
 21 A Fifth Amendment.
 22 Q That company was Global Energy Technology
 23 Group?
 24 A Fifth Amendment.
 25 Q You asserted that Global Energy Technology

1 Group was on track to net over \$2 million this year in
 2 profits?
 3 A Fifth Amendment.
 4 Q You asserted that you fully expected Global
 5 Energy to have net -- net revenues of over \$25 million
 6 a year within the next 18 to 24 months?
 7 A Fifth Amendment.
 8 Q You also asserted that you were assisting
 9 the company with green energy?
 10 A Fifth Amendment.
 11 Q You asserted that you were assisting the
 12 company with government grants?
 13 A Fifth Amendment.
 14 Q You asserted that the company had reduced
 15 its energy bill from \$30,000 a month to \$4,000 a
 16 month?
 17 A Fifth Amendment.
 18 Q You asserted that the company's energy costs
 19 would be zero dollars in three years?
 20 A Fifth Amendment.
 21 Q You asserted that the company had identified
 22 14 fish farms that had gone out of business?
 23 A Fifth Amendment.
 24 Q You asserted that the company intended to
 25 purchase those fish farms?

1 A Fifth Amendment.
 2 Q You also asserted the company was growing
 3 Jatropha, J-a-t-r-o-p-h-a, trees?
 4 A Fifth Amendment.
 5 Q You asserted that that would be a source of
 6 bio-fuel?
 7 A Fifth Amendment.
 8 Q What research did you do before presenting
 9 investors with this information?
 10 A Fifth Amendment.
 11 Q You didn't do any research before
 12 preventing --
 13 A Fifth --
 14 Q -- presenting investors with this
 15 information?
 16 A Fifth Amendment.
 17 Q Global Energy Technology Group had not
 18 identified 14 fish farms that it could purchase?
 19 A Fifth Amendment.
 20 Q Global Energy did not have the capability to
 21 purchase those fish farms?
 22 A Fifth Amendment.
 23 Q Global Energy has not sought to purchase any
 24 of those fish farms?
 25 A Fifth Amendment.

1 Q Global Energy planted only a limited number
 2 of Jatropha trees?
 3 A Fifth Amendment.
 4 Q Global Energy never expanded its plantation
 5 of Jatropha trees?
 6 A Fifth Amendment.
 7 Q You asked investors for an e-mail address
 8 for investors?
 9 A Fifth Amendment.
 10 Q You -- you sent investors an e-mail after
 11 these initial calls?
 12 A Fifth Amendment.
 13 Q Global Energy was not on track to net over
 14 \$2 million of profits in any year?
 15 A Fifth Amendment.
 16 Q There was no basis to project that New --
 17 that Global Energy would have net revenues of over \$25
 18 million a year within the next 18 to 24 months?
 19 A Fifth Amendment.
 20 Q In fact, neither Global Energy nor New
 21 Global has ever come anywhere close to \$25 million a
 22 year in net revenues?
 23 A Fifth Amendment.
 24 Q New Global Energy's net revenues last year
 25 were less than \$100,000?

1 A Fifth Amendment.
 2 Q In fact, they were less than \$50,000?
 3 A Fifth Amendment.
 4 Q I am marking as Exhibit 44 a document
 5 entitled Callback Sample Presentation for Training
 6 Purposes Only.
 7 (SEC Exhibit No. 44 was marked for
 8 identification.)
 9 BY MS. MOESSNER:
 10 Q This is another script that Bechtel provided
 11 to its salespeople for the purposes of contacting
 12 investors?
 13 A Fifth Amendment.
 14 Q Bechtel expected its salespeople to relay
 15 information consistent with this script --
 16 A Fifth Amendment.
 17 Q -- to investors?
 18 A Fifth Amendment.
 19 Q Bechtel asserted that you were implementing
 20 your strategy to take the company public?
 21 A Fifth Amendment.
 22 Q Bechtel asserted that there was a new public
 23 corporation that's been approved by the SEC?
 24 A Fifth Amendment.
 25 Q What did you mean by "approved by the SEC"?

1 A Fifth Amendment.
 2 Q You asserted that the new company would be
 3 under the call symbol NGEY?
 4 A Fifth Amendment.
 5 Q You asserted that you had a limited amount
 6 of shares to sell to investors?
 7 A Fifth Amendment.
 8 Q You did not have a limited amount of shares
 9 to investors,
 10 A Fifth Amendment.
 11 Q You had a virtually unlimited amount of
 12 shares to sell to investors.
 13 A Fifth Amendment.
 14 Q You stated that you were actually hanging on
 15 to most of our stock because we know the value will be
 16 going up as keep adding more assets and more cash flow
 17 to the business.
 18 A Fifth Amendment.
 19 Q That's a false statement, isn't it?
 20 A Fifth Amendment.
 21 Q Bechtel never owned any stock of New Global?
 22 A Fifth Amendment.
 23 Q Bechtel never intended to hold stock of New
 24 Global as an investment?
 25 A Fifth Amendment.

1 Q You stated that the company planned to
 2 acquire more fish farms in the area?
 3 A Fifth Amendment.
 4 Q That the company had identified one that's
 5 only a couple of miles from us?
 6 A Fifth Amendment.
 7 Q You used the phrase us to connote your close
 8 relationship with the company?
 9 A Fifth Amendment.
 10 Q You stated that the company was negotiating
 11 with that new fish farm right down the road right now,
 12 and that it looks like it will happen very soon.
 13 A Fifth Amendment.
 14 Q What was the basis for those statements?
 15 A Fifth Amendment.
 16 Q New Global has not acquired that fish farm
 17 yet, has it?
 18 A Fifth Amendment.
 19 Q Again, you mention the energy costs that
 20 could be saved by going solar?
 21 A Fifth Amendment.
 22 Q You mention the reduction in energy bills
 23 from \$31,000 per month to \$3,000 a month?
 24 A Fifth Amendment.
 25 Q Now you say that in a year our energy will

1 be free.
 2 A Fifth Amendment.
 3 Q Was it one year or three years?
 4 A Fifth Amendment.
 5 Q Is New Global's energy free now?
 6 A Fifth Amendment.
 7 Q New Global is still paying for some part of
 8 its energy, isn't it?
 9 A Fifth Amendment.
 10 Q You also asserted that you had a -- a
 11 process to address the rising costs to feed the fish.
 12 A Fifth Amendment.
 13 Q You asserted that your process could allow
 14 fish to grow four to five times faster?
 15 A Fifth Amendment.
 16 Q The fish did this by eating algae?
 17 A Fifth Amendment.
 18 Q You mentioned the company plans to plant
 19 hundreds of thousands more Jatropa trees during the
 20 next 18 months?
 21 A Fifth Amendment.
 22 Q New Global never planted hundreds of
 23 thousands of Jatropa trees?
 24 A Fifth Amendment.
 25 Q You mention that the stock is now trading

1 over blank dollars on the OTC board?
 2 A Fifth Amendment.
 3 Q You say, Who knows how much it will be
 4 trading -- how much it will be in 12 months as we keep
 5 expanding?
 6 A Fifth Amendment.
 7 Q You were suggesting that the stock would be
 8 traded at a higher price in 12 months?
 9 A Fifth Amendment.
 10 Q What was the basis for suggesting that stock
 11 was trading -- would be trading at a higher price in
 12 12 months?
 13 A Fifth Amendment.
 14 Q You stated that you -- the company, Bechtel,
 15 would hold some of its investment?
 16 A Fifth Amendment.
 17 Q Bechtel did not hold any investment in New
 18 Global?
 19 A Fifth Amendment.
 20 Q You emphasize that you were talking about
 21 the commodity of food?
 22 A Fifth Amendment.
 23 Q That the demand is never going to go away
 24 for food?
 25 A Fifth Amendment.

1 Q And food prices continue to go up year after
 2 year. So it just makes sense that a stock tied to
 3 that will go up as well, you know what I mean?
 4 A Fifth Amendment.
 5 Q The last page is entitled, If they ask,
 6 explain the restrictions again and how come I can only
 7 get it for 166.
 8 A Fifth Amendment.
 9 Q You only explained the restrictions on the
 10 stock if investors raised that?
 11 A Fifth Amendment.
 12 Q You mention that the stock originally came
 13 out at \$5 per share?
 14 A Fifth Amendment.
 15 Q That was the initial offering price of New
 16 Global stock?
 17 A Fifth Amendment.
 18 Q The script says, "And that was based on the
 19 assets of the company that were assessed by an
 20 independent SEC approved valuation company."
 21 A Fifth Amendment.
 22 Q What does that mean?
 23 A Fifth Amendment.
 24 Q The SEC does not approve valuation company,
 25 does it?

1 A Fifth Amendment.
 2 Q The next paragraph says, "So let's say in 12
 3 months the stock's trading at \$5 a share. What that
 4 would mean is when we all convert our 166 shares into
 5 free-trading shares, we'll be looking at three-to-one
 6 on our money."
 7 A Fifth Amendment.
 8 Q What was the basis for asserting that
 9 investors would receive at least a three-to-one
 10 return?
 11 A Fifth Amendment.
 12 Q What basis did you have to assert that New
 13 Global would be trading at \$5 a share in 12 months?
 14 A Fifth Amendment.
 15 Q You also say, "The real upside and what we
 16 fully expect is when they expand and acquire more
 17 farms, the stock price will rise based on the true
 18 company value rising because of the additional revenue
 19 and assets."
 20 A Fifth Amendment.
 21 Q You assert that it would be in the 10 to 15
 22 dollar range?
 23 A Fifth Amendment.
 24 Q What was that 10 to 15 dollar range based
 25 on?

1 A Fifth Amendment.
 2 Q That 10 to 15 dollar range was not a
 3 reasonable projection, was it?
 4 A Fifth Amendment.
 5 Q You never tell investors that they need to
 6 get a legal opinion in order to remove the
 7 restrictions on their stock, do you?
 8 A Fifth Amendment.
 9 Q You didn't tell investors that they would
 10 have to pay counsel to get that restriction removed?
 11 A Fifth Amendment.
 12 Q You didn't tell investors that the company
 13 would have to be current in its filings in order to
 14 remove the restriction?
 15 A Fifth Amendment.
 16 Q Investors tried to remove the restrictions
 17 and they were not able to.
 18 A Fifth Amendment.
 19 Q They were not able to because New Global was
 20 not current in its filings.
 21 A Fifth Amendment.
 22 Q Bechtel's business purpose was to sell
 23 stock?
 24 A Fifth Amendment.
 25 Q Bechtel solicited investors to purchase

1 stock?
 2 A Fifth Amendment.
 3 Q Bechtel used salespeople to solicit
 4 investors?
 5 A Fifth Amendment.
 6 Q Bechtel used closers to solicit investors?
 7 A Fifth Amendment.
 8 Q Bechtel paid those salespeople a commission
 9 based on their stock?
 10 A Fifth Amendment.
 11 Q Bechtel recommended an investment in
 12 Green -- Global Energy Technology Group stock?
 13 A Fifth Amendment.
 14 Q Bechtel sold shares of Global Energy
 15 Technology Group stock?
 16 A Fifth Amendment.
 17 Q Bechtel received payments from investors for
 18 shares of stock?
 19 A Fifth Amendment.
 20 Q Bechtel entered into stock purchase
 21 agreements with investors?
 22 A Fifth Amendment.
 23 Q Bechtel never filed a Form BD with the SEC?
 24 A Fifth Amendment.
 25 Q The SEC therefore never granted Bechtel

1 registration as a broker-dealer?
 2 A Fifth Amendment.
 3 Q Bechtel never became a member of any
 4 self-regulatory organization?
 5 A Fifth Amendment.
 6 Q Bechtel never became a member of the
 7 Securities Investor Protection Corporation?
 8 A Fifth Amendment.
 9 Q Bechtel never complied with the applicable
 10 state requirements?
 11 A Fifth Amendment.
 12 Q Bechtel never had associated persons satisfy
 13 applicable qualification requirements?
 14 A Fifth Amendment.
 15 Q Bechtel never had associated persons
 16 complete and submit a Form U4?
 17 A Fifth Amendment.
 18 Q In connection with your work for Vertex, you
 19 did not file a Form U4.
 20 A Fifth Amendment.
 21 Q You worked with Diversified Equities,
 22 Incorporated, to continue to sell New Global stock to
 23 investors?
 24 A Fifth Amendment.
 25 Q You continued to use the same salespeople to

1 sell stock in New Global Energy through Diversified
 2 Equities, Incorporated?
 3 A Fifth Amendment.
 4 Q Diversified Equities, Incorporated, received
 5 approximately a million dollars from investors in New
 6 Global Energy stock?
 7 A Fifth Amendment.
 8 Q You caused your father, Leon Bryant, to
 9 incorporate a company called Diversified Equities
 10 Development, Incorporated, in Nevada?
 11 A Fifth Amendment.
 12 Q Diversified Equities Development,
 13 Incorporated, was incorporated in Nevada on November
 14 18th, 2013?
 15 A Fifth Amendment.
 16 Q You caused your father to open up a bank
 17 account for Diversified Equities Development at Wells
 18 Fargo?
 19 A Fifth Amendment.
 20 Q You made deposits to Diversified Equities
 21 Development's Wells Fargo account?
 22 A Fifth Amendment.
 23 Q You wrote checks out of Diversified Equities
 24 Development's account on your father's behalf?
 25 A Fifth Amendment.

1 Q You personally incorporated Diversified
 2 Equities Development in California on October 14th,
 3 2014?
 4 A Fifth Amendment.
 5 Q I am marking as Exhibit 45 a copy of the
 6 articles of incorporation of Diversified Equities
 7 Development, Inc., from the secretary of state of
 8 California.
 9 (SEC Exhibit No. 45 was marked for
 10 identification.)
 11 BY MS. MOESSNER:
 12 Q The corporate address of [REDACTED]
 13 [REDACTED], [REDACTED] California, is an address
 14 that you have used?
 15 A Fifth Amendment.
 16 Q You are listed as the chief executive
 17 officer of Diversified Equities Development,
 18 Incorporated?
 19 A Fifth Amendment.
 20 Q You are listed as the secretary of
 21 Diversified Equities Development, Incorporated?
 22 A Fifth Amendment.
 23 Q You are listed as the chief financial
 24 officer of DED?
 25 A Fifth Amendment.

1 Q You are the only director listed of DED?
 2 A Fifth Amendment.
 3 Q You caused this form to be submitted with
 4 the California secretary of state?
 5 A Fifth Amendment.
 6 Q I am marking as Exhibit 46 an addendum to
 7 certificate of authority from Wells Fargo Bank.
 8 (SEC Exhibit No. 46 was marked for
 9 identification.)
 10 BY MS. MOESSNER:
 11 Q This is an addendum to the certificate of
 12 authority for a Wells Fargo Bank account for
 13 Diversified Equities Development, Incorporated?
 14 A Fifth Amendment.
 15 Q This addendum was filed by -- you caused
 16 this addendum to be filed on May 5th -- I mean --
 17 sorry, May 11th, 2016?
 18 A Fifth Amendment.
 19 Q You added yourself as an authorized signer
 20 to Diversified Equities Development's Wells Fargo
 21 account?
 22 A Fifth Amendment.
 23 Q That is your signature that appears on the
 24 authorized signer line on the first page of the
 25 document?

1 A Fifth Amendment.
 2 Q You opened up a bank account for Diversified
 3 Equities Development at -- sorry. While we're still
 4 on -- withdrawn.
 5 Looking at Exhibit 46, still on the second
 6 page of the document, you signed the certificate of
 7 authority as the president of Diversified Equities
 8 Development?
 9 A Fifth Amendment.
 10 Q You opened up a bank account in Diversified
 11 Equities Development's name at Bank of America?
 12 A Fifth Amendment. Excuse me.
 13 Q I am marking as Exhibit 47, a document Bates
 14 stamped D-3480DANA1.
 15 (SEC Exhibit No. 47 was marked for
 16 identification.)
 17 BY MS. MOESSNER:
 18 Q This is for a bank account for Diversified
 19 Equities Development at Bank of America?
 20 A Fifth Amendment.
 21 Q You certified to Bank of America that you
 22 were the secretary/assistant secretary and designated
 23 keeper of the records and minutes of Diversified
 24 Equities Development?
 25 A Fifth Amendment.

1 Q You stated that Diversified Equities
 2 Development was organized and existing under the laws
 3 of state of California?
 4 A Fifth Amendment.
 5 Q You stated that this is a true and
 6 correct -- or a true copy of resolutions duly adopted
 7 by the board of directors of said corporation at a
 8 meeting held on the 1st day of April 2015 at which a
 9 quorum was present?
 10 A Fifth Amendment.
 11 Q Are you listed as president, secretary of
 12 Diversified Equities Development?
 13 A Fifth Amendment.
 14 Q On the second page of the document, that is
 15 your signature?
 16 A Fifth Amendment.
 17 Q On the third page of the document, which is
 18 the business signature card, your name is the only
 19 name that appears?
 20 A Fifth Amendment.
 21 Q That is your signature?
 22 A Fifth Amendment.
 23 MR. NAYAGAM: How are we on timing?
 24 A We're moving on down through this thing.
 25 BY MS. MOESSNER:

1 Q I happy to take a break now or in a little
 2 while. Up to you guys.
 3 A How much more do you think the time is?
 4 Q Well, I would say a half hour, but I'm going
 5 to want to take a break before we finish just to --
 6 A Well, you're in charge here.
 7 Q Okay.
 8 A Let's take a little break.
 9 Q Okay. Let's go off the record.
 10 THE VIDEOGRAPHER: The time is 10:53. We
 11 are going off the record.
 12 (Break taken 10:53 a.m. to 11:14 a.m.)
 13 THE VIDEOGRAPHER: The time is 11:14. We
 14 are back on the record.
 15 BY MS. MOESSNER:
 16 Q I am marking as Exhibit 48 a document Bates
 17 stamped SEC-BERLATN-P-12.
 18 (SEC Exhibit No. 48 was marked for
 19 identification.)
 20 BY MS. MOESSNER:
 21 Q Mr. Bryant, this is a document on
 22 Diversified Equities Development, Incorporated's,
 23 letterhead?
 24 A Fifth Amendment.
 25 Q Diversified Equities Development,

1 Incorporated's, letterhead was designed to look
 2 similar to Diversified Equities, Inc.'s letterhead?
 3 A Fifth Amendment.
 4 Q This states it is a research report on New
 5 Global Energy, Incorporated?
 6 A Fifth Amendment.
 7 Q This was prepared by -- under your
 8 direction?
 9 A Fifth Amendment.
 10 Q The second page ending in Bates stamp 13
 11 under disclaimer asserts that this research report is
 12 a product of independent analysis of Diversified
 13 Equities?
 14 A Fifth Amendment.
 15 Q This research report is not the result of
 16 independent analysis?
 17 A Fifth Amendment.
 18 Q In fact, you and others who prepared this
 19 report were intimately involved in New Global Energy's
 20 operations?
 21 A Fifth Amendment.
 22 Q This report was sent to potential investors
 23 in New Global Energy?
 24 A Fifth Amendment.
 25 Q This research report was designed to pitch

1 an investment in New Global Series A convertible
 2 preferred stock?
 3 A Fifth Amendment.
 4 Q Diversified Equities Development was
 5 offering New Global preferred stock for \$150?
 6 A Fifth Amendment.
 7 Q Looking at page Bates stamped 15, it states,
 8 "New Global aquaculture business. New Global has
 9 acquired an interest in the net revenues provided by
 10 Aqua Farming Technology, a southern California based
 11 producer of Tilapia, catfish, and most recently
 12 shrimp?
 13 A Fifth Amendment.
 14 Q The report continues to state that over the
 15 next 12 months, Aquatech has plans to build a shrimp
 16 hatchery?
 17 A Fifth Amendment.
 18 Q What was the basis for that statement?
 19 A Fifth Amendment.
 20 Q Aquatech has never built a shrimp hatchery?
 21 A Fifth Amendment.
 22 Q And it also asserts that Aquatech intended
 23 to acquire control over additional farms in the
 24 vicinity of its existing operations over the next 12
 25 months?

1 A Fifth Amendment.
 2 Q That was also not a true statement at the
 3 time?
 4 A Fifth Amendment.
 5 Q This is very similar to statements that
 6 Global Energy Technology Group had made back in 2011?
 7 A Fifth Amendment.
 8 Q On Page 16, there's a note under market
 9 opportunity that fish farming is the fastest growing
 10 segment within the global agriculture agribusiness
 11 growing at a compound annual rate of 9 percent --
 12 A Fifth Amendment.
 13 Q -- what was the basis for that statement?
 14 A Fifth Amendment.
 15 Q You were intending to convey to investors
 16 that this was a high-growth opportunity?
 17 A Fifth Amendment.
 18 Q The report on Page 16 also speaks about
 19 farmland as a long-term investment?
 20 A Fifth Amendment.
 21 Q And the rates of returns that investors
 22 could expect on farmland?
 23 A Fifth Amendment.
 24 Q Page 17 includes a section entitled
 25 Advantages of Series A Investments?

1 A Fifth Amendment.
 2 Q This section was designed to convey to
 3 investors reasons to acquire Series A shares in New
 4 Global?
 5 A Fifth Amendment.
 6 Q The research report includes major
 7 milestones for Aqua Farming Tech in 2012 and 2013?
 8 A Fifth Amendment.
 9 Q Where did you get the information for those
 10 milestones?
 11 A Fifth Amendment.
 12 Q Did you verify the information on this
 13 research report before sending it to investors?
 14 A Fifth Amendment.
 15 Q Diversified Equity Development's business
 16 purpose was to sell stock?
 17 A Fifth Amendment.
 18 Q Diversified Equities Development solicited
 19 investors to purchase stock?
 20 A Fifth Amendment.
 21 Q Diversified Equities Development used
 22 salespeople to solicit investors?
 23 A Fifth Amendment.
 24 Q Diversified Equities Development used
 25 closers to solicit investors?

1 A Fifth Amendment.
 2 Q Diversified Equities Development paid those
 3 salespeople or closers a commission based upon their
 4 stock sales?
 5 A Fifth Amendment.
 6 Q Diversified Equities Development recommended
 7 an investment in New Global Energy stock?
 8 A Fifth Amendment.
 9 Q Diversified Equities Development sold shares
 10 of New Global Energy?
 11 A Fifth Amendment.
 12 Q Diversified Equities Development received
 13 payments from investors for shares of stock?
 14 A Fifth Amendment.
 15 Q Diversified Equities Development received
 16 approximately \$3 million from investors in New Global
 17 Energy stock?
 18 A Fifth Amendment.
 19 Q Diversified Equities Development entered
 20 into stock purchase agreements with investors?
 21 A Fifth Amendment.
 22 Q Diversified Equities Development never filed
 23 a Form BD with the SEC?
 24 A Fifth Amendment.
 25 Q The SEC therefore never granted DED

1 registration as a broker-dealer?
 2 A Fifth Amendment.
 3 Q DED never became a member of any
 4 self-regulatory organization?
 5 A Fifth Amendment.
 6 Q DED never became a member of the Securities
 7 Investor Protection Corporation?
 8 A Fifth Amendment.
 9 Q DED never complied with all applicable state
 10 requirements?
 11 A Fifth Amendment.
 12 Q You understand when I say DED, I mean
 13 Diversified Equities Development?
 14 A Fifth Amendment.
 15 Q Diversified Equities Development has never
 16 had its associated persons satisfy applicable
 17 qualification requirements?
 18 A Fifth Amendment.
 19 Q Diversified Equities Development has never
 20 had its associated persons complete and submit a Form
 21 U4?
 22 A Fifth Amendment.
 23 Q In your connection with your work for
 24 Diversified Equities Development, you did not file a
 25 Form U4?

1 A Fifth Amendment.
 2 Q Now, you personally invest -- invested in
 3 New Global Energy stock in its initial public offering
 4 in 2012, correct?
 5 A Fifth Amendment.
 6 Q You purchased 100 shares of New Global
 7 Energy stock?
 8 A Fifth Amendment.
 9 Q You purchased that stock at \$5 per share?
 10 A Fifth Amendment.
 11 Q You paid the company five -- a total of \$500
 12 for those shares?
 13 A Fifth Amendment.
 14 Q You invested in that initial offering
 15 because New Global Energy needed a minimum number of
 16 investors?
 17 A Fifth Amendment.
 18 Q You recruited family and friends to invest
 19 in New Global Energy stock?
 20 A Fifth Amendment.
 21 Q You also recruited your salespeople to
 22 invest in the stock?
 23 A Fifth Amendment.
 24 Q Joy Bryant also initially invested in New
 25 Global Energy stock?

1 A Fifth Amendment.
 2 Q Is Joy Bryant your mother?
 3 A Fifth Amendment.
 4 Q David Welch also invested in New Global
 5 Energy?
 6 A Fifth Amendment.
 7 Q David Welch is your brother?
 8 A Fifth Amendment.
 9 Q Darren John -- I am marking as Exhibit 49 a
 10 document Bates stamped SEC-MT-E-14289.
 11 (SEC Exhibit No. 49 was marked for
 12 identification.)
 13 BY MS. MOESSNER:
 14 Q Mr. Bryant, I'd ask you to turn to the page
 15 Bates stamped 14294. This is a corporate resolution
 16 of Bio-Global Resources, Incorporated?
 17 A Fifth Amendment.
 18 Q That is Bio-Global Resources, Incorporated,
 19 logo at the top of the page?
 20 A Fifth Amendment.
 21 Q This is a corporate resolution signed by
 22 Leon Bryant?
 23 A Fifth Amendment.
 24 Q The resolution states that president, David
 25 Welch, secretary/treasurer, Leon Bryant, and vice

1 president, Marc Bryant, are authorized to sell, assign
 2 and endorse for transfer certificates representing
 3 stocks, bonds or other securities now registered or
 4 hereafter registered in the name of Bio-Global
 5 Resources?
 6 A Fifth Amendment.
 7 Q You were the vice president of Bio-Global
 8 Resources?
 9 A Fifth Amendment.
 10 Q You have sent correspondence to New Global's
 11 transfer agent on behalf of Bio-Global Resources?
 12 A Fifth Amendment.
 13 Q I am marking as Exhibit 50 a document Bates
 14 stamped SEC-MT-E-2982.
 15 (SEC Exhibit No. 50 was marked for
 16 identification.)
 17 BY MS. MOESSNER:
 18 Q The cover e-mail is dated March 27, 2015,
 19 and attached to this e-mail is a resolution -- a
 20 letter from Bio-Global Resources?
 21 A Fifth Amendment.
 22 Q This letter is addressed to Mr. John Ahearn
 23 of the Manhattan Register Company?
 24 A Fifth Amendment.
 25 Q You write, "Dear John, Bio-Global Resources

1 inadvertently transferred 2,656 shares of New -- NGEY,
 2 Series A, to Manhattan Transfer. These shares should
 3 be properly credited in book form to Diversified
 4 Equities Development, Inc. Please expedite this
 5 correction."
 6 A Fifth Amendment.
 7 Q You signed this letter on behalf of
 8 Bio-Global Resources?
 9 A Fifth Amendment.
 10 Q I am marking as Exhibit 51 a document Bates
 11 stamped SEC-BOA-P-147.
 12 (SEC Exhibit No. 51 was marked for
 13 identification.)
 14 BY MS. MOESSNER:
 15 Q Mr. Bryant, these are the account opening
 16 documents for a corporation called One World Energy
 17 Advisors?
 18 A Um-hum -- oh, Fifth Amendment. Sorry.
 19 Q These -- One World Energy Advisors is a
 20 corporation that you created?
 21 A Fifth Amendment.
 22 Q You are the listed as a manager/member of
 23 the corporation?
 24 A Fifth Amendment.
 25 Q In creating this corporation, you used the

1 name Marc Welch?
 2 A Fifth Amendment.
 3 Q Looking at the page ending Bates stamped
 4 149, it's -- the bank notes that the ID type was a
 5 U.S. driver's license with photo issued from
 6 California?
 7 A Fifth Amendment.
 8 Q You have a license under the name Marc J.
 9 Welch from California?
 10 A Fifth Amendment.
 11 Q You also have a license under the name Marc
 12 Bryant?
 13 A Fifth Amendment.
 14 Q Do you have a passport under the name Marc
 15 Welch?
 16 A Fifth -- Fifth Amendment.
 17 Q Do you have a passport under the name Marc
 18 Bryant?
 19 A Fifth Amendment.
 20 Q Gwen Bryant is also listed as a member of
 21 One World Energy Advisor?
 22 A Fifth Amendment.
 23 Q Who is Ms. Bryant?
 24 A Fifth Amendment.
 25 Q Looking at page ending Bates stamped 148,

1 that is your signature under the name Marc Welch?
 2 A Fifth Amendment.
 3 Q One World Energy Advisor assisted in the
 4 sales of Green Automotive Company stock?
 5 A Fifth Amendment.
 6 Q One World Energy Advisors prepared a
 7 research report on Green Automotive Company?
 8 A Fifth Amendment.
 9 Q I'm marking as Exhibit 52 a document that
 10 appears to be a letter or the body of an e-mail signed
 11 by David Welch of One World Energy Advisors, and
 12 attaching a Green Automotive Company research report.
 13 (SEC Exhibit No. 52 was marked for
 14 identification.)
 15 BY MS. MOESSNER:
 16 Q Is your brother, Mr. David Welch, also
 17 involved in One World Energy Advisors?
 18 A Fifth Amendment.
 19 Q This cover letter is a letter that was sent
 20 to investors for the purposes of providing information
 21 of Green Automotive Company?
 22 A Fifth Amendment.
 23 Q You prepared the attached research report?
 24 A Fifth Amendment.
 25 Q The attached research report was designed to

1 provide information to investors about Green
 2 Automotive Company?
 3 A Fifth Amendment.
 4 Q On Page 3 of 9 of the attachments, under
 5 disclaimer, it asserts that this research report is a
 6 product of independent analysis of One World based on
 7 information contained in the public domain concerning
 8 Green Automotive?
 9 A Fifth Amendment.
 10 Q You were intimately involved in Green
 11 Automotive Company?
 12 A Fifth Amendment.
 13 Q You had inside knowledge of Green Automotive
 14 Company?
 15 A Fifth Amendment.
 16 Q This research report was intended to
 17 convince investors to invest in Green Automotive
 18 Company?
 19 A Fifth Amendment.
 20 Q On Page 7 of 9 of the research report, it
 21 lists Green Automotive board and management team. Fred
 22 Luke is someone that you have had a relationship with?
 23 A Fifth Amendment.
 24 Q Ean Hogday was listed as the CEO. You've
 25 also had a relationship with him?

1 A Fifth Amendment.
 2 Q Darren West is listed as the CFO?
 3 A Fifth Amendment.
 4 Q He was also someone that was involved in New
 5 Global Energy?
 6 A Fifth Amendment.
 7 Q I am handing you a document that is Bates
 8 stamped SEC-BOA-4311, which I am marking as Exhibit
 9 53.
 10 (SEC Exhibit No. 53 was marked for
 11 identification.)
 12 BY MS. MOESSNER:
 13 Q This is a copy of check that you wrote?
 14 A Fifth Amendment.
 15 Q That is your signature as Marc Welch on the
 16 check?
 17 A Fifth Amendment.
 18 Q You wrote the check to your company, One
 19 World Energy Advisors?
 20 A Fifth Amendment.
 21 Q You have also incorporated a company called
 22 First Market Services?
 23 A Fifth Amendment.
 24 Q You were the authorized signer on an
 25 account -- bank account for First Market Services?

1 A Fifth Amendment.
 2 Q You have paid salespeople a commission
 3 through First Market Services?
 4 A Fifth Amendment.
 5 Q You also formed a company called Equity
 6 Market Development?
 7 A Fifth Amendment.
 8 Q You are the president, secretary, treasurer
 9 and director of Equity Market Development?
 10 A Fifth Amendment.
 11 Q I am marking as Exhibit 54 a document Bates
 12 stamped SEC-WFB-E-7213.
 13 (SEC Exhibit No. 54 was marked for
 14 identification.)
 15 BY MS. MOESSNER:
 16 Q This is an addendum to certificate of
 17 authority for First Market Services account at Wells
 18 Fargo?
 19 A Fifth Amendment.
 20 Q You are added as an authorized signer in
 21 this addendum?
 22 A Fifth Amendment.
 23 Q Looking at the second page, that is your
 24 signature?
 25 A Fifth Amendment.

1 Q Gween, G-w-e-e-n, Ratovitz,
 2 R-a-t-o-v-i-t-z --
 3 A Fifth Amendment.
 4 Q -- also is an authorized signer?
 5 A Fifth Amendment.
 6 Q She later changes her name to Gween Bryant?
 7 A Fifth Amendment.
 8 Q I am marking as Exhibit 55 a document Bates
 9 stamped SEC-USB-P-21.
 10 (SEC Exhibit No. 55 was marked for
 11 identification.)
 12 BY MS. MOESSNER:
 13 Q Turning to the page that ends in Bates stamp
 14 29, there's a resolution of corporation, and you, Marc
 15 J. Bryant, certified that you are the duly elected,
 16 qualified and acting secretary/authorized officer of
 17 the corporation named Equity Market Development?
 18 A Fifth Amendment.
 19 Q That is your signature on this resolution?
 20 A Fifth Amendment.
 21 Q You listed yourself as an authorized agent
 22 of Equity Market Development?
 23 A Fifth Amendment.
 24 Q You listed yourself as the president of
 25 Equity Marketing Development?

1 A Fifth Amendment.
 2 Q You sold stock in Green Automotive Company
 3 to public market through Equity Market Development?
 4 A Fifth Amendment.
 5 Q You sold stock in New Global Energy on the
 6 public market through Equity Market Development?
 7 A Fifth Amendment.
 8 Q You are also currently the secretary,
 9 pres -- president, treasurer and director of Brand
 10 Marketing Development?
 11 A Fifth Amendment.
 12 Q Brand Marketing Development has also paid
 13 salespeople commissions for their sales of stock?
 14 A Fifth Amendment.
 15 Q I'm just going to do a little cleanup and
 16 we're almost done.
 17 Going back to Bechtel, I am handing you a
 18 document that's been marked as Exhibit 56, Bates
 19 stamped SEC-ESHKARO-E-17.
 20 (SEC Exhibit No. 56 was marked for
 21 identification.)
 22 BY MS. MOESSNER:
 23 Q This is a copy of an e-mail that was sent to
 24 Orli Eshker, who was a potential investor?
 25 A Fifth Amendment.

1 Q The e-mail is from Mike Luther, who was a
 2 salesperson for Bechtel?
 3 A Fifth Amendment.
 4 Q It was sent from Mr. Luther's e-mail,
 5 mike@bechtelgroup.net?
 6 A Fifth Amendment.
 7 Q Bechtelgroup.net was the website that Bechtel
 8 used?
 9 A Fifth Amendment.
 10 Q Mike is offering an investment to Ms. Eshker
 11 here?
 12 A Fifth Amendment.
 13 Q This e-mail is dated May 17th, 2014, which
 14 is over a year after New Global Energy was formed?
 15 A Fifth Amendment.
 16 Q Why are you still offering Global Energy
 17 Technology Group sales after the public offering with
 18 New Global Energy?
 19 A Fifth Amendment.
 20 Q You state -- or Mr. Luther states in this
 21 e-mail that Global Energy Group is a pre-public
 22 holding company, correct?
 23 A Fifth Amendment.
 24 Q That's misleading, isn't it, given that New
 25 Global had been created as a public company by that

1 point?
 2 A Fifth Amendment.
 3 Q And New Global was acquiring all of the
 4 assets that Global Energy Technology Group had?
 5 A Fifth Amendment.
 6 Q I am marking as Exhibit 57 a document that
 7 begins with the Bates stamp SEC-WFB-E-7561.
 8 (SEC Exhibit No. 57 was marked for
 9 identification.)
 10 BY MS. MOESSNER:
 11 Q Turning to the second page of the document,
 12 Bates stamped 7562, this is a copy of a check from
 13 Diversified Equities Development to Bio-Global
 14 Resources dated April 1st, 2015. That is your
 15 handwriting on the line, Paid to the Order of
 16 Bio-Global Resources?
 17 A Fifth Amendment.
 18 Q You have -- your handwriting has that little
 19 line through the S?
 20 A Fifth Amendment.
 21 Q The check is purportedly signed by Leon
 22 Bryant?
 23 A Fifth Amendment.
 24 Q Your father had passed away by the date of
 25 this check?

1 A Fifth Amendment.
 2 Q Mr. Bryant, is it your intention to assert
 3 the Fifth Amendment with respect to all questions that
 4 the staff asks you about this investigation, including
 5 New Global Energy, Incorporated, and Global Energy
 6 Technology Group?
 7 A Yes.
 8 MS. MOESSNER: Let's take a brief break.
 9 We're off the record.
 10 THE VIDEOGRAPHER: The time is 11:38. We
 11 are going off the record.
 12 (Break taken 11:38 a.m. to 11:50 a.m.)
 13 THE VIDEOGRAPHER: The time is 11:50. We
 14 are back on the record.
 15 BY MS. MOESSNER:
 16 Q Mr. Bryant, do you wish to clarify anything
 17 or add anything to the statements that you have made
 18 today?
 19 A Fifth Amendment.
 20 MS. MOESSNER: Counsel, do you wish to ask
 21 any clarifying questions?
 22 MR. NAYAGAM: No.
 23 MS. MOESSNER: Mr. Bryant, we have no
 24 further questions at this time. However, you remain
 25 under subpoena and we may call you again to testify in

1 this investigation. Should this be necessary, we will
2 contact your counsel.
3 Off the record at?
4 THE VIDEOGRAPHER: 11:50.
5 (Whereupon, at 11:50 a.m., the examination
6 was concluded.)

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1 PROOFREADER'S CERTIFICATE

2
3 In the Matter of: NEW GLOBAL ENERGY
4 Witness: Marc Jay Bryant
5 File Number: D-03480-A
6 Date: Wednesday, September 7, 2016
7 Location: Denver, Colorado 80294-1961

8
9 This is to certify that I, Donna S. Raya,
10 (the undersigned), do hereby swear and affirm that the
11 attached proceedings before the U.S. Securities and
12 Exchange Commission were held according to the record
13 and that this is the original, complete, true and
14 accurate transcript that has been compared to the
15 reporting or recording accomplished at the hearing.

16
17 _____
18 (Proofreader's Name) (Date)

19
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22
23
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25

1 Leslie J. Hughes (CO Bar No. 15043)
 Email: Hugheslj@sec.gov
 2 Jacqueline M. Moessner (NY Bar No. 4456521)
 3 Email: Moessnerj@sec.gov
 Attorneys for Plaintiff
 4 Securities and Exchange Commission
 5 1961 Stout Street, Suite 1700
 6 Denver, Colorado 80294-1961
 Telephone: (303) 844-1000
 7 Facsimile: (303) 297-3529

8
 9 Local Counsel: Douglas M. Miller (Cal. Bar No. 240398)
 Email: Millerdou@sec.gov
 10 Securities and Exchange Commission
 11 444 S. Flower Street, Suite 900
 Los Angeles, California 90071
 12 Telephone: (323) 965-3998
 13 Facsimile: (213) 443-1904

14 **UNITED STATES DISTRICT COURT**
 15
 16 **CENTRAL DISTRICT OF CALIFORNIA**

17 SECURITIES AND EXCHANGE
 18 COMMISSION,
 19 Plaintiff,

20 vs.

21 DAVID HOWARD WELCH,
 22 MARC JAY BRYANT,
 23 JOHN CHARLES KNIGHT,
 24 PERRY DOUGLAS WEST,
 25 BIO-GLOBAL RESOURCES, INC.,
 26 DIVERSIFIED EQUITIES, INC.,
 27 DIVERSIFIED EQUITIES
 DEVELOPMENT, INC.,
 and NEW GLOBAL ENERGY, INC.,
 28 Defendants.

Case No. 5:17-cv-1968-JFW (AGRx)

**Declaration of Jacqueline M.
 Moessner in Support of Plaintiff's
 Motion for Default Judgment
 Against Defendants David Howard
 Welch, Marc Jay Bryant, Bio-
 Global Resources, Inc., and
 Diversified Equities Development,
 Inc.**

1 **DECLARATION OF JACQUELINE M. MOESSNER**

2 I, Jacqueline M. Moessner, declare:

3 1. I am an active member of the Bar of the State of New York and
4 employed by the Securities and Exchange Commission (“Commission”) as an
5 attorney in its Denver Regional Office. I am one of the attorneys representing the
6 Commission in this matter. I have personal knowledge of the facts set forth in
7 this declaration.

8 2. The clerk entered default against David Howard Welch (“Welch”) on
9 November 6, 2017, ECF No. 31; Marc Jay Bryant (“Bryant”) on November
10 13, 2017, ECF No. 34; Bio-Global Resources Inc. (“Bio-Global”) on October 25,
11 2017, ECF No. 28, and Diversified Equities Development Inc. (“DED”) on
12 October 25, 2017, ECF No. 29.

13 3. The pleading to which default was entered was the Complaint filed
14 on September 27, 2017, ECF No. 1.

15 4. Since the clerk entered defaults, Defendants Welch, Bryant, Bio-
16 Global, and DED have not filed an answer or other responsive pleading, or
17 appeared personally or by a representative. Exhibit 1 is a true and correct copy of
18 the PACER civil docket obtained from the Court’s CM/ECF system, which
19 reflects no answer or otherwise responsive pleading to the complaint have been
20 filed by Defendants Welch, Bryant, Bio-Global or DED.

21 5. Based upon information gathered during the investigation of this
22 matter, Welch is not a minor, incompetent person, or person currently on active
23 duty in the military. Welch’s driver’s license lists his year of birth as 1955,
24 establishing that he is not a minor. During the past several years, Welch has been
25 engaged in the business of raising money for public companies. When Welch
26 appeared for investigative testimony in this matter before the staff of the
27 Commission in September 2016, he did not appear to be incompetent, and was
28 represented by counsel who did not raise incompetence as an issue.

1 6. On May 17, 2018, I input David Howard Welch’s name, date of
2 birth and social security number into the Department of Defense Manpower Data
3 Center website for tracking active duty military status under the Servicemembers
4 Civil Relief Act of 2003, /<https://scra.dmdc.osd.mil/scra/#/home>. I received the
5 attached certification from the Department of Defense Manpower Data Center
6 indicating that Welch is not serving on active duty status in the military. Exhibit
7 2.

8 7. Welch and Bio-Global invoked the Fifth Amendment privilege
9 against self-incrimination during the investigation and refused to provide
10 testimony or documents.

11 8. Welch previously used the name David Howard Bryant. Exhibit 3 is
12 a true and correct copy of a report on David Howard Bryant obtained from
13 FINRA’s Legacy Web CRD (Central Registration Depository) program, which
14 contains information about individuals associated with registered broker dealers.
15 Welch, using the name David Howard Bryant was previously associated with a
16 registered broker-dealer in 1982.

17 9. Based upon information gathered during the investigation of this
18 matter, Bryant is not a minor, incompetent person, or person currently on active
19 duty in the military. Bryant’s driver’s license lists his year of birth as 1956,
20 establishing that he is not a minor. During the past several years, Bryant has
21 been engaged in the business of raising money for public companies. When
22 Bryant appeared for investigative testimony in this matter before the staff of the
23 Commission in September 2016, he did not appear to be incompetent, and was
24 represented by counsel who did not raise incompetence as an issue.

25 10. On May 17, 2018, I input Marc Jay Bryant’s name, date of birth and
26 social security number into the Department of Defense Manpower Data Center
27 website for tracking active duty military status under the Servicemembers Civil
28 Relief Act of 2003, /<https://scra.dmdc.osd.mil/scra/#/home>. I received the

1 attached certification from the Department of Defense Manpower Data Center
2 indicating that Bryant is not serving on active duty status in the military. Exhibit
3 4.

4 11. Exhibit 5 is a true and correct copy of a report on Marc Jay Bryant
5 obtained from FINRA's Legacy Web CRD (Central Registration Depository)
6 program, which contains information about individuals associated with registered
7 broker dealers. Bryant was previously associated with a registered broker-dealer
8 in 1990.

9 12. Bryant, Vertex, Bechtel, and DED all invoked the Fifth Amendment
10 privilege against self-incrimination during the investigation and refused to
11 provide testimony or documents.

12 13. Bio-Global and DED are corporations, so they are not infants or
13 incompetent persons.

14 14. The Servicemembers Civil Relief Act (50 U.S.C. App. § 521) does
15 not apply to these four Defendants.

16 15. To the SEC's knowledge Defendants Welch, Bryant, Bio-Global and
17 DED have not retained counsel in this action to this date. As corporate entities,
18 Bio-Global and DED cannot appear in this matter without counsel. Defendants
19 have not appeared personally or by representative in this case.

20 16. On May 14, 2018, counsel for the SEC sent a letter to Welch,
21 Bryant, Bio-Global and DED asking them to meet and confer on this motion, but
22 they have not responded.

23 17. On May 18, 2018, counsel for the SEC sent the Plaintiff's Notice
24 and Motion for Default Judgment, Memorandum of Points and Authorities in
25 Support, and the supporting declarations and exhibits to the Defendants at the
26 addresses where they were served with the summons and Complaint.

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I declare under penalty of perjury that the foregoing is true and correct and that this Declaration was executed this 18th day of May, 2018, in Denver, Colorado.

/s/ Jacqueline M. Moessner

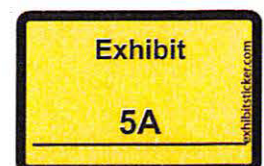
Jacqueline M. Moessner

Legacy Residential History Information

Individual CRD#: [1931839](#)

Individual Name: BRYANT, MARC J

Period	Street	City	State/Country	Zip
08/01/1990 -- Present	[REDACTED]	LOS ANGELES	CA	[REDACTED]
05/01/1988 -- 07/01/1990	[REDACTED]	MALIBU	CA	[REDACTED]
10/01/1985 -- 04/01/1988	[REDACTED]	WESTWOOD	CA	[REDACTED]
11/01/1984 -- 09/01/1985	[REDACTED]	AGOURA	CA	[REDACTED]



Legacy Employment History

Individual CRD#: [1931839](#)

Individual Name: BRYANT, MARC J

Legacy Employment History

From	To	Firm	City	State	Position
	03/1991	ARBUCKLE SECURITIES, INC. (25185)	LOS ANGELES	CA	
03/1991		THE ROCKFORD GROUP, INC. (25185)	LOS ANGELES	CA	
05/1989	03/1991	ZENITH ENERGY	LOS ANGELES	CA	CONSULTANT
04/1989	12/1990	REMINGTON SECURITIES, INC. (16695)	LOS ANGELES	CA	
02/1988	04/1989	FREEMPORT ENERGY	LOS ANGELES	CA	CONSULTANT
08/1985	02/1988	BRIGHTON CAPITAL	LOS ANGELES	CA	VICE PRES.
03/1983	08/1985	PARKER BRYANT	LOS ANGELES	CA	SALESMAN
08/1982	02/1983	ALASKA LAND LEASING	LOS ANGELES	CA	SALESMAN
03/1980	08/1982	UNEMPLOYED	LOS ANGELES	CA	NOT GIVEN
10/1979	03/1980	FEDERAL LEASE FILING CORP.	LOS ANGELES	CA	SALESMAN
09/1975	10/1979	U.S. NAVY	GOVERNMENT	VA	A-T RATING

Legacy Disclosure Summary

Individual CRD#: [1931839](#)

Individual Name: BRYANT, MARC J

Occurrence ID	Last Filings		
	Source	Action Date	Question Numbers
-2	U4	05/02/1989	22B
-1	U4	05/02/1989	22A3

Legacy Registrations Summary

Individual CRD#: [1931839](#)

Individual Name: BRYANT, MARC J

Firm Name	Firm CRD
THE ROCKFORD GROUP, INC.	25185
REMINGTON SECURITIES, INC.	16695

Legacy Filing History

Individual CRD#: 1931839

Individual Name: BRYANT, MARC J

Received Date	Filing Date	Form Type	Filing Type	Electronic Filing	Firm Name	Questions
	06/11/1991	U5	DRP	N	THE ROCKFORD GROUP, INC.	
04/11/1991	04/15/1991	U4	DRP	N	THE ROCKFORD GROUP, INC.	
03/26/1991	04/05/1991	U4	FULL	N	THE ROCKFORD GROUP, INC.	22A3
01/25/1991	01/28/1991	U4	FULL	N	ARBUCKLE SECURITIES, INC.	22A3, 22B
01/14/1991	01/14/1991	U5	FULL	N	REMINGTON SECURITIES, INC.	
10/08/1990	10/09/1990	U4	PAGE 1 ONLY	N	REMINGTON SECURITIES, INC.	
06/14/1990	06/19/1990	U4	PAGE 1 ONLY	N	REMINGTON SECURITIES, INC.	
10/30/1989	11/07/1989	U4	PAGE 1 ONLY	N	REMINGTON SECURITIES, INC.	
	07/21/1989	U4	EXAM SCHEDULE (FROM EXAMREQ)	N	REMINGTON SECURITIES, INC.	
05/10/1989	05/17/1989	U4	PAGE 2 ONLY	N	REMINGTON SECURITIES, INC.	
04/28/1989	05/02/1989	U4	FULL	N	REMINGTON SECURITIES, INC.	22A3, 22B

1 Leslie J. Hughes (CO Bar No. 15043)
Email: Hugheslj@sec.gov
2 Jacqueline M. Moessner (NY Bar No. 4456521)
3 Email: Moessnerj@sec.gov
Attorneys for Plaintiff
4 Securities and Exchange Commission
5 1961 Stout Street, Suite 1700
6 Denver, Colorado 80294-1961
7 Telephone: (303) 844-1000
Facsimile: (303) 297-3529

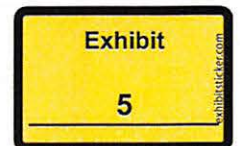
8 Local Counsel:
9 Douglas M. Miller (Cal. Bar No. 240398)
10 Email: Millerdou@sec.gov
11 Securities and Exchange Commission
444 S. Flower Street, Suite 900
12 Los Angeles, California 90071
13 Telephone: (323) 965-3998
14 Facsimile: (213) 443-1904

15 **UNITED STATES DISTRICT COURT**
16 **CENTRAL DISTRICT OF CALIFORNIA**

17 **SECURITIES AND EXCHANGE**
18 **COMMISSION,**
19 **Plaintiff,**
20 **vs.**
21 **DAVID HOWARD WELCH,**
22 **MARC JAY BRYANT,**
23 **JOHN CHARLES KNIGHT,**
24 **PERRY DOUGLAS WEST,**
25 **BIO-GLOBAL RESOURCES, INC.,**
26 **DIVERSIFIED EQUITIES, INC.,**
27 **DIVERSIFIED EQUITIES**
DEVELOPMENT, INC.,
28 **and NEW GLOBAL ENERGY, INC.,**
Defendants.

Case No. 5:17-cv-1968-JFW (AGRx)

**Declaration of Kerry Matticks in
Support of Plaintiff's Motion for
Default Judgment Against
Defendants David Howard Welch,
Marc Jay Bryant, Bio-Global
Resources, Inc., and Diversified
Equities Development, Inc.**



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DECLARATION OF KERRY MATTICKS

1. I, Kerry Matticks, declare under penalty of perjury, in accordance with 28 U.S.C. § 1746, that the following is true and correct, that I am over 18 years of age, and I am competent to testify to the matters stated herein.

2. I am employed as a staff accountant with the Securities and Exchange Commission (“Commission”) in the Denver Regional Office since 1995.

3. Among other things, the federal securities laws authorize the Commission to conduct investigations to determine whether federal securities laws have been violated and to bring civil actions before the United States District Courts to enforce and secure compliance with those laws.

4. As part of my job duties, I am responsible for investigating securities laws violations. My responsibilities include obtaining bank and transfer agent records and analyzing the activities that occur in those accounts.

Bank & Transfer Agent Record Analysis Background

5. The Commission obtained business records for the following bank accounts of Vertex International Group, LLC (“Vertex”), Bechtel Advisory Group, Inc. (“Bechtel”), Diversified Equities Inc. (“DEI”), Bio-Global Resources, Inc. (“Bio-Global”), and Diversified Equities Development, Inc. (“DED”). The bank records included statements, deposit and withdrawal items and wire transfer information:

Entity	Bank	Account Number Ending	Date Range of Records
Bio-Global	Wells Fargo	xxxxxxx [REDACTED]	1/3/2011 – 3/31/2016
Vertex	JP Morgan	xxxxxxx [REDACTED]	11/14/2011- 12/24/2012

1	Vertex	Bank of America	xxxxxxxxx [REDACTED]	11/30/2012 – 4/7/2016
2				
3	Bechtel	Bank or America	xxxxxxxxx [REDACTED]	2/22/2013 – 7/11/2014
4				
5	DEI	Wells Fargo	xxxxxxx [REDACTED]	5/23/2013- 7/21/2015
6				
7	DEI	Wells Fargo	xxxxxxx [REDACTED]	5/23/2013 – 7/21/2015
8				
9	DEI	Wells Fargo	xxxxxxx [REDACTED]	12/18/2013 – 9/30/2016
10				
11	DEI	Wells Fargo	xxxxxxx [REDACTED]	11/16/2015- 12/31/2015
12				
13	DED	Bank of America	xxxxxxxxx [REDACTED]	4/30/2015 – 8/31/2016
14				
15	DED	Bank of America	xxxxxxxxx [REDACTED]	4/30/2015 – 8/31/2016
16				
17	New Global	Bank of America	xxxxxxxxx [REDACTED]	1/25/2012 – 2/27/2015
18				

19

20

21 6. In addition, the Commission obtained teller and ATM transaction

22 detail, including the specific location and time of the transaction for the Wells

23 Fargo accounts of Bio-Global, DEI, and DED. This information allowed me to

24 trace large cash deposits that were withdrawn from one account and immediately

25 deposited into another account.

- 26 7. Welch is a signatory on the Bio-Global account.
- 27 8. Bryant is a signatory on both of Vertex’s bank accounts.
- 28 9. Bryant is a signatory on Bechtel’s account.
10. John Knight is a signatory on DEI’s accounts.

1 11. Bryant is a signatory on DED's accounts.

2 12. West was a signatory on New Global's account.

3 13. From the bank records, I identified the names of persons or entities
4 that deposited funds into the accounts of Vertex, Bechtel, Bio-Global, DEI and
5 DED between January 2012 and August 31, 2016.

6 14. The Commission also obtained business records from Action Stock
7 Transfer Corp., the transfer agent for Global Energy Technology Group Inc.
8 ("Global Energy"). New Global Energy Inc. (New Global") had two transfer
9 agents during the relevant timeframe. The Commission obtained and I analyzed
10 business records from both transfer agents. New Global's transfer agent was
11 Island Stock Transfer from August 30, 2012 through September 30, 2014 and
12 Manhattan Transfer Registrar Company from October 1, 2014 to the present.
13 Transfer agents are required under the federal securities laws to make and keep
14 records of transfers of securities in the regular course of their business.

15 15. I compared the names of the depositors listed in the bank records to
16 the transfer agents' records that identify shareholders who acquired shares of
17 Global Energy Technology Group Inc. ("Global Energy") or New Global Energy
18 Inc. ("New Global") to identify whether the depositors were investors who
19 purchased shares of stock.

20 16. I was able to match investors' names from the transfer agents'
21 records with depositors in the bank account records.

22 **Sales of Global Energy to the Public Through Vertex and Bechtel**

23 17. Using the transfer agent records and bank records, I traced funds
24 paid by Global Energy investors to Vertex and Bechtel.

25 18. The transfer agent's business records show that from February 2012
26 through May 2013, Vertex acquired 22,002,428 shares of Global Energy from at
27 least eleven third-parties, and held these shares in its name. Of these shares,
28 Vertex acquired 1,022,898 of these shares from Bio-Global.

1 19. From December 28, 2011 through September 26, 2012, Vertex
2 received **\$2,007,497.25** in deposits from investors in Global Energy. In this
3 timeframe, Vertex offered and sold at least 9,355,560 shares to investors that I
4 identified in the bank records in approximately 258 transactions. A copy of the
5 summary spreadsheet, I prepared concerning this information is attached as
6 Exhibit 6.

7 20. From October 1, 2012 through March 25, 2013, Vertex received at
8 least **\$1,458,870** from investors in Global Energy. In this timeframe, Vertex
9 offered and sold 6,014,480 shares in approximately 247 transactions. A copy of
10 the summary spreadsheet that I prepared concerning this information is attached
11 as Exhibit 7. There is a variance from the number that appears in paragraph 51 of
12 the Complaint as I have removed transactions that occurred on September 26,
13 2012.

14 21. Vertex paid the Frederick G. Luke Trust **\$38,000** in eight payments
15 on and after October 9, 2012. This is one of the entities from whom Vertex
16 acquired the Global Energy stock it sold after September 27, 2012. A copy of the
17 summary spreadsheet I prepared concerning this information is attached as
18 Exhibit 8.

19 22. Vertex did not make any payments to the other five entities and
20 individuals from whom it obtained Global Energy stock that it sold after
21 September 27, 2012 (Helium Investments Inc., SB Trust, Lindsay Alyse Ensell,
22 First Orient Holdings Limited, and Blue Sky Trust).

23 23. Vertex's net proceeds from sales of Global Energy stock after
24 September 26, 2012, after taking into account the \$38,000 that it paid to acquire
25 the stock, is **\$1,420,870**.

26 24. Between March 12, 2013 and July 3, 2013, Bechtel received
27 deposits totaling **\$540,982** from investors who purchase Global Energy stock
28 from Bechtel, but received delivery of their stock from Vertex. During this time,

1 Bechtel offered and sold 2,375,250 shares of Global Energy that were held in
2 Vertex's name in approximately 69 transactions. A copy of the summary
3 spreadsheet that I prepared concerning this information is attached as Exhibit 9.

4 25. In these summary tables, the headings mean the following:

5 "Line No." is unique line item number I have assigned to each entry in the
6 these summaries.

7 "Company" is the entity whose bank records were obtained and scheduled

8 "Statement Institution" is the bank from whom we obtained the records.

9 "Trn Date" is the date of the transaction according to the bank account
10 statements.

11 "Payor/Payee" is the name that appears on the backup deposit or
12 withdrawal detail.

13 "Surname" is the investor's last name.

14 "Memo" is the note or memo that appears on checks, if any.

15 "Shares per Transfer Agent Record" reflects the number of shares that
16 the investor received in exchange for the payment that is reflected in the bank
17 records. This information was determined based upon the transfer agent records.
18 Sometimes an investor made multiple deposits that correspond to one transfer of
19 shares or vice versa. If this occurred, I divided the number of shares among the
20 deposits.

21 "Agent Record Business Notes" reflects the entity that transferred the
22 shares to investors. The entity that transferred the shares to investors was not
23 always the entity that received payment from the investors.

24 "Date of Transfer of Shares Per Transfer Agent" reflects the date of the
25 transfer of the shares as it appears in the transfer agent records.

26 "Credits" reflects the amount of the investor's or entity's payments.
27
28

1 **Sales of New Global to the Public through Bechtel, DEI, and DED**

2 26. I reviewed agreements produced by New Global showing that Bio-
3 Global entered into three promissory notes with New Global in January 2012,
4 November 2012, and July 2013 through which Bio-Global agreed to loan a total
5 of \$700,000 to New Global. In each agreement, New Global gave Bio-Global the
6 right to convert the principal amounts due under the loan agreements into
7 common shares of New Global and to exercise warrants for more shares.

8 27. I reviewed Bio-Global's bank records dated between January 3, 2011
9 and March 31, 2016. I created the attached summary showing the payments that
10 Bio-Global made to New Global, which occurred between February 6, 2012 and
11 October 13, 2015, which is attached as Exhibit 10.

12 28. The agreements produced by New Global show that between
13 September 2012 and June 2014, Bio-Global converted the three promissory notes
14 into 2,500,000 common shares of New Global.

15 29. The transfer records show that in or about November 2013, Bio-
16 Global acquired an additional 500,000 New Global common shares from New
17 Global's former president.

18 30. The transfer agent records show that between August 5, 2013 and
19 June 11, 2014, Bio-Global sold 1,340,230 New Global common shares it
20 acquired from New Global to DEI, which sold the shares to public investors.
21 DEI did not pay Bio-Global the purported purchase price at the time of delivery
22 of the shares. Instead, as discussed below, DEI made a series of payments to
23 Bio-Global.

24 31. From June 7, 2013 through October 1, 2014, DEI received deposits
25 totaling \$1,108,749 in payments from New Global investors. During this time,
26 DEI offered and sold 574,072 shares in 114 transactions with investors. A copy
27 of the summary spreadsheet I prepared concerning this information is attached as
28 Exhibit 11.

1 32. Between June 24, 2013 and November 5, 2013, Bechtel received
2 deposits totaling **\$509,274.92** in payments from New Global investors who
3 received their stock from DEI. DEI and Bechtel sold approximately 315,917
4 shares to investors in 54 transactions. A copy of the summary spreadsheet I
5 prepared concerning this information is attached as Exhibit 12.

6 33. Bechtel received total proceeds from Global Energy and New Global
7 investors of **\$1,050,256.92**. Bechtel never acquired any stock in its own name
8 and therefore it had no ostensible costs to acquire the stock.

9 34. From March 6, 2014 through October 7, 2015, DED received
10 **\$4,254,009.85** from New Global investors who received delivery of their
11 1,305,378 shares from either DEI or DED. This total includes both investors in
12 common shares and in preferred shares. A copy of the summary spreadsheet I
13 prepared concerning this information is attached as Exhibit 13.

14 35. In 2015, Bio-Global obtained 20,000 preferred shares from New
15 Global and transferred 4,160 of those preferred shares to DEI and 15,840 of those
16 preferred shares to DED.

17 36. From November 27, 2013 through October 10, 2014, DEI paid Bio-
18 Global a total of **\$886,347.00** in 42 payments. Broken down by year, DEI paid
19 Bio-Global \$101,358 in 2013 and \$784,989 in 2014. A copy of the summary that
20 I prepared of these payments is attached as Exhibit 14.

21 37. From August 26, 2014 through November 12, 2015, DED paid Bio-
22 Global **\$2,276,274.00** in 145 payments ranging from \$650 to \$149,585. Broken
23 down by year, DED paid Bio-Global \$730,788 in 2014 and \$1,545,486 in 2015.
24 A copy of the summary spreadsheet I prepared concerning this information is
25 attached as Exhibit 15.

26 38. If DED's payments to Bio-Global are considered as payments to
27 acquire the stock, DED's net proceeds from the New Global stock sales is
28 **\$1,977,735.85**.

1 39. The total net proceeds from sales by Vertex of Global Energy stock,
2 by Bechtel of Global Energy stock and New Global stock, and by DED of New
3 Global common and preferred shares is **\$4,483,862.77**.

4 40. In total, Bio-Global received \$3,162,621 from DEI and DED from
5 the proceeds of their sales of New Global securities to investors.

6 41. Between October 23, 2012 and October 13, 2015, Bio-Global paid
7 New Global \$1,840,800 in 106 payments. Broken down by year, the payments
8 were as follows: 2012 - \$37,000; 2013 - \$357,500; 2014 - \$943,000; 2015 –
9 503,300. A copy of the summary spreadsheet I prepared concerning this
10 information is attached as Exhibit 10.

11 42. Bio-Global's net proceeds from the sale of New Global securities is
12 the total funds received from DEI and DED, \$3,162,621, minus the amount it
13 paid to New Global, \$1,840,800, which equals \$1,321,821.

14 **Prejudgment Interest Calculations**

15 43. I used the Internal Revenue Service's rate of interest on tax
16 underpayments and refunds listed in 26 U.S.C. § 6621(a)(2) to calculate
17 prejudgment interest of **\$276,088.17** on Bryant and Vertex's net proceeds from
18 Global Energy investors of \$1,420,870, from March 25, 2013 (the date Vertex
19 last received Global Energy investor proceeds) through May 31, 2018. A copy of
20 my calculations appears as Exhibit 16.

21 44. I used the Internal Revenue Service's rate of interest on tax
22 underpayments and refunds listed in 26 U.S.C. § 6621(a)(2) to calculate
23 prejudgment interest of **\$179,270.05** on Bryant and Bechtel's total proceeds from
24 Global Energy and New Global investors of \$1,050,256.92 from November 5,
25 2013 (the date Bechtel last received investor proceeds) through May 31, 2018. A
26 copy of my calculations appears as Exhibit 17. Bryant's prejudgment interest on
27 the transactions through Vertex and Bechtel total \$455,358.22.

28

1 45. I used the Internal Revenue Service's rate of interest on tax
2 underpayments and refunds listed in 26 U.S.C. § 6621(a)(2) to calculate
3 prejudgment interest of **\$245,099.11** on Bryant and DED's ill-gotten gains from
4 New Global sales. I calculated the prejudgment interest of \$189,396.96 on
5 Bryant and DED's net proceeds in 2014 of \$1,420,491.85 from New Global
6 investors from December 31, 2014 through May 31, 2018. I also calculated
7 prejudgment interest of \$55,702.15 on Bryant and DED's 2015 net proceeds of
8 \$557,244 from New Global investors from December 31, 2015 through May 31,
9 2018. These two amounts added together equal \$245,099.11. A copy of my
10 calculations appears as Exhibit 18.

11 46. I used the Internal Revenue Service's rate of interest on tax
12 underpayments and refunds listed in 26 U.S.C. § 6621(a)(2) to calculate
13 prejudgment interest of **\$151,243.97** on Bio-Global's ill-gotten gains from New
14 Global sales. I have calculated this amount by calculating prejudgment interest
15 on Bio-Global's 2014 net proceeds from illegal stock sales of \$572,777 from
16 December 31, 2014 through May 31, 2018, which is interest of \$76,369.49. I
17 also calculated interest on Bio-Global's 2015 net proceeds received from illegal
18 stock sales in 2015 of \$749,044, from December 31, 2015 through May 31, 2018,
19 which is interest of \$74,874.48. These two amounts added together equal
20 \$151,243.97. A copy of my calculations appears as Exhibit 19.

21 I declare under penalty of perjury that the foregoing is true and correct and
22 that this Declaration was executed this 18th day of May, 2018, in Denver,
23 Colorado.

24
25
26
27
28


Kerry Matticks

Vertex Sales of Global Energy Stock
From December 28, 2011 to September 26, 2012

Line No.	Company	Trn Date	Payor/Payee	Surname	Memo	Shares per Transfer Agent Record	Date of Transfer of shares per Transfer Agent Records	Credits
1	Vertex	12/28/11				48,000	02/01/12	\$ 12,000.00
2	Vertex	01/12/12				40,000	02/01/12	\$ 10,000.00
3	Vertex	01/17/12			10,000 shares	10,000	02/01/12	\$ 2,500.00
4	Vertex	01/17/12			GETG	20,000	02/09/12	\$ 5,000.00
5	Vertex	01/19/12			20,000 shares	20,000	02/09/12	\$ 5,000.00
6	Vertex	01/19/12				40,000	02/09/12	\$ 10,000.00
7	Vertex	01/23/12			20,000 shares	20,000	02/09/12	\$ 5,000.00
8	Vertex	01/25/12			20,000 shares	20,000	02/09/12	\$ 5,000.00
9	Vertex	01/26/12				20,000	02/09/12	\$ 5,000.00
10	Vertex	01/26/12			50,000 shares	50,000	03/13/12	\$ 12,500.00
11	Vertex	01/27/12			10,000 shares	10,000	02/09/12	\$ 2,500.00
12	Vertex	01/27/12			40,000 shares	40,000	02/09/12	\$ 10,000.00
13	Vertex	01/31/12				10,000	02/21/12	\$ 2,500.00
14	Vertex	01/31/12				20,000	02/21/12	\$ 5,000.00
15	Vertex	01/31/12			40,000 shares	40,000	2/21/12 & 3/13/12	\$ 10,000.00
16	Vertex	02/01/12			4,000 shares	4,000	02/21/12	\$ 1,000.00
17	Vertex	02/01/12			50,000 shares	50,000	02/21/12	\$ 12,500.00
18	Vertex	02/02/12				42,000	03/13/12	\$ 10,500.00
19	Vertex	02/06/12				12,000	02/21/12	\$ 3,000.00
20	Vertex	02/06/12			GETG	20,000	02/21/12	\$ 5,000.00
21	Vertex	02/06/12				20,000	02/21/12	\$ 5,000.00
22	Vertex	02/06/12			40,000 shares	40,000	02/21/12	\$ 9,982.00
23	Vertex	02/06/12			40,000 shares	40,000	02/21/12	\$ 10,000.00
24	Vertex	02/07/12			Global Energy Tech - Farm	100,000	03/13/12	\$ 25,000.00
25	Vertex	02/08/12				4,000	03/13/12	\$ 1,000.00
26	Vertex	02/08/12				8,000	03/13/12	\$ 2,000.00
27	Vertex	02/08/12			GETG	100,000	03/13/12	\$ 25,000.00
28	Vertex	02/08/12			125,000 shares	125,000	03/13/12	\$ 25,000.00
29	Vertex	02/16/12			12,000 shares	12,000	03/13/12	\$ 3,000.00
30	Vertex	02/16/12			20,000 shares	20,000	03/13/12	\$ 5,000.00
31	Vertex	02/17/12				20,000	03/13/12	\$ 5,000.00
32	Vertex	02/22/12				80,000	03/13/12	\$ 10,000.00
33	Vertex	02/23/12			20,000 shares	20,000	03/13/12	\$ 5,000.00
34	Vertex	02/23/12			20,000 shares	20,000	03/13/12	\$ 5,000.00
35	Vertex	02/23/12				80,000	03/13/12	\$ 10,000.00
36	Vertex	02/23/12				150,000	05/09/12	\$ 18,750.00
37	Vertex	02/24/12				20,000	03/13/12	\$ 5,000.00
38	Vertex	02/27/12				40,000	03/13/12	\$ 5,000.00
39	Vertex	02/28/12			20,000 shares	20,000	03/29/12	\$ 5,000.00
40	Vertex	02/28/12			48,000 shares	48,000	03/29/12	\$ 12,000.00
41	Vertex	02/29/12				40,000	02/01/12	\$ 10,000.00

Line No.	Company	Trn Date	Payor/Payee	Surname	Memo	Shares per Transfer Agent Record	Date of Transfer of shares per Transfer Agent Records	Credits
42	Vertex	03/01/12			Fish Farm	40,000	3/29/12 & 4/6/12	\$ 10,000.00
43	Vertex	03/02/12			20,000 shares	20,000	03/29/12	\$ 5,000.00
44	Vertex	03/02/12				40,000	03/29/12	\$ 5,000.00
45	Vertex	03/02/12			25,000 shares	25,000	03/29/12	\$ 6,250.00
46	Vertex	03/02/12				80,000	03/29/12	\$ 10,000.00
47	Vertex	03/07/12			GETG FF	20,000	03/29/12	\$ 5,000.00
48	Vertex	03/07/12			Asset Purchase - Alan Niebel Jr	40,000	03/29/12	\$ 10,000.00
49	Vertex	03/07/12			Shares GETG	80,000	03/29/12	\$ 20,000.00
50	Vertex	03/08/12				40,000	03/29/12	\$ 10,000.00
51	Vertex	03/13/12			20,000 shares	20,000	02/01/12	\$ 5,000.00
52	Vertex	03/13/12				80,000	03/29/12	\$ 10,000.00
53	Vertex	03/14/12			52,000 shares	52,000	03/29/12	\$ 13,000.00
54	Vertex	03/14/12				350,000	3/29/12 & 4/6/12	\$ 34,980.00
55	Vertex	03/15/12			shares	10,000	04/09/12	\$ 2,500.00
56	Vertex	03/19/12				40,000	03/29/12	\$ 5,000.00
57	Vertex	03/20/12			10,000 shares	10,000	03/29/12	\$ 2,500.00
58	Vertex	03/20/12			24,000 shares	24,000	03/29/12	\$ 3,000.00
59	Vertex	03/20/12			20k shares	20,000	03/29/12	\$ 5,000.00
60	Vertex	03/20/12			50,000 shares	50,000	03/29/12	\$ 12,500.00
61	Vertex	03/21/12			20,000 shares	20,000	03/29/12	\$ 5,000.00
62	Vertex	03/21/12			stocks	40,000	03/29/12	\$ 10,000.00
63	Vertex	03/21/12			40,000 shares	40,000	03/29/12	\$ 10,000.00
64	Vertex	03/21/12			stock	80,000	03/29/12	\$ 10,000.00
65	Vertex	03/23/12			40,000 shares	40,000	04/06/12	\$ 10,000.00
66	Vertex	03/23/12			40,000 shares	40,000	04/06/12	\$ 10,000.00
67	Vertex	03/23/12			60,000 shares	60,000	04/06/12	\$ 15,000.00
68	Vertex	03/23/12				750,000	04/06/12	\$ 74,982.00
69	Vertex	03/26/12			shares	20,000	04/06/12	\$ 5,000.00
70	Vertex	03/27/12			12,000 shares	12,000	04/06/12	\$ 3,000.00
71	Vertex	04/03/12				40,000	05/02/12	\$ 5,000.00
72	Vertex	04/03/12				40,000	05/02/12	\$ 5,000.00
73	Vertex	04/04/12				24,000	05/02/12	\$ 3,000.00
74	Vertex	04/04/12			20,000 shares	20,000	05/02/12	\$ 5,000.00
75	Vertex	04/04/12			shares	50,000	05/02/12	\$ 12,500.00
76	Vertex	04/06/12			20,000 shares	20,000	05/02/12	\$ 5,000.00
77	Vertex	04/06/12				200,000	05/02/12	\$ 49,975.00
78	Vertex	04/09/12			60,000 shares	60,000	05/02/12	\$ 15,000.00
79	Vertex	04/11/12				3,600	05/09/12	\$ 1,000.00
80	Vertex	04/11/12			shares	20,000	05/02/12	\$ 5,000.00
81	Vertex	04/12/12			GETG	8,000	05/02/12	\$ 2,000.00
82	Vertex	04/12/12			12,000 shares	12,000	05/02/12	\$ 3,000.00

Line No.	Company	Trn Date	Payor/Payee	Surname	Memo	Shares per Transfer Agent Record	Date of Transfer of shares per Transfer Agent Records	Credits
83	Vertex	04/12/12			stock	16,000	05/02/12	\$ 4,000.00
84	Vertex	04/13/12			40,000 shares	40,000	05/02/12	\$ 10,000.00
85	Vertex	04/13/12			stock	40,000	05/02/12	\$ 10,000.00
86	Vertex	04/17/12			20,000 shares	20,000	05/02/12	\$ 5,000.00
87	Vertex	04/17/12			GETG	40,000	05/02/12	\$ 10,000.00
88	Vertex	04/19/12				20,000	05/09/12	\$ 5,000.00
89	Vertex	04/19/12			20,000 shares	20,000	05/09/12	\$ 5,000.00
90	Vertex	04/24/12			40,000 shares	40,000	05/09/12	\$ 10,000.00
91	Vertex	04/27/12			10,000 shares	10,000	05/09/12	\$ 2,500.00
92	Vertex	04/27/12			40,000 shares	40,000	05/09/12	\$ 10,000.00
93	Vertex	04/30/12				150,000	03/29/12	\$ 14,980.00
94	Vertex	05/01/12				20,000	05/09/12	\$ 3,000.00
95	Vertex	05/01/12				20,000	05/09/12	\$ 7,000.00
96	Vertex	05/01/12			James Nibel IRA	40,000	05/02/12	\$ 10,000.00
97	Vertex	05/02/12				12,500	05/09/12	\$ 3,125.00
98	Vertex	05/02/12				20,000	05/09/12	\$ 5,000.00
99	Vertex	05/08/12				20,000	05/22/12	\$ 4,985.00
100	Vertex	05/08/12			GETG	20,000	05/22/12	\$ 5,000.00
101	Vertex	05/09/12				40,000	05/22/12	\$ 10,000.00
102	Vertex	05/10/12			20,000 shares	20,000	02/21/12	\$ 5,000.00
103	Vertex	05/10/12			80,000 shares	80,000	05/22/12	\$ 20,000.00
104	Vertex	05/10/12			100,000 shares	100,000	05/22/12	\$ 25,000.00
105	Vertex	05/11/12				12,000	05/22/12	\$ 3,000.00
106	Vertex	05/11/12				12,000	05/22/12	\$ 3,000.00
107	Vertex	05/11/12			40,000 shares	40,000	05/22/12	\$ 10,000.00
108	Vertex	05/14/12				5,000	05/22/12	\$ 2,500.00
109	Vertex	05/14/12				18,800	05/22/12	\$ 4,700.00
110	Vertex	05/14/12			60,000 shares	60,000	05/22/12	\$ 15,000.00
111	Vertex	05/14/12			100,000 shares	100,000	05/22/12	\$ 25,000.00
112	Vertex	05/15/12			10,000 shares	10,000	05/22/12	\$ 2,500.00
113	Vertex	05/15/12			20,000 shares	20,000	05/22/12	\$ 5,000.00
114	Vertex	05/17/12			20,000 shares	20,000	05/31/12	\$ 5,000.00
115	Vertex	05/18/12			32,000 shares	32,000	05/31/12	\$ 8,000.00
116	Vertex	05/18/12				40,000	05/31/12	\$ 10,000.00
117	Vertex	05/21/12			10,000 shares	10,000	05/31/12	\$ 2,500.00
118	Vertex	05/21/12			GETG	10,000	05/31/12	\$ 2,500.00
119	Vertex	05/21/12			20,000 shares	20,000	05/31/12	\$ 5,000.00
120	Vertex	05/21/12			GETG	20,000	05/31/12	\$ 5,000.00
121	Vertex	05/21/12				40,000	05/31/12	\$ 10,000.00
122	Vertex	05/22/12				20,000	05/31/12	\$ 5,000.00
123	Vertex	05/22/12			32,000 shares	32,000	05/31/12	\$ 8,000.00

Line No.	Company	Trn Date	Payor/Payee	Surname	Memo	Shares per Transfer Agent Record	Date of Transfer of shares per Transfer Agent Records	Credits
124	Vertex	05/25/12			GETG	8,000	05/31/12	\$ 2,000.00
125	Vertex	06/05/12			12,000 shares	12,000	06/15/12	\$ 3,000.00
126	Vertex	06/06/12			10,000 shares	10,000	06/15/12	\$ 2,500.00
127	Vertex	06/06/12				20,000	06/15/12	\$ 5,000.00
128	Vertex	06/06/12			20,000 shares	20,000	06/15/12	\$ 5,000.00
129	Vertex	06/07/12			40,000 shares	40,000	06/15/12	\$ 10,000.00
130	Vertex	06/11/12			4,000 shares	4,000	06/15/12	\$ 1,000.00
131	Vertex	06/11/12			20,000 shares	20,000	06/15/12	\$ 5,000.00
132	Vertex	06/11/12			20,000 shares	20,000	06/15/12	\$ 5,000.00
133	Vertex	06/12/12				10,000	06/15/12	\$ 2,500.00
134	Vertex	06/12/12			20,000 shares	20,000	06/15/12	\$ 5,000.00
135	Vertex	06/13/12				80,000	06/15/12	\$ 20,000.00
136	Vertex	06/15/12				50,000	07/09/12	\$ 12,500.00
137	Vertex	06/18/12			40,000 shares	40,000	07/09/12	\$ 10,000.00
138	Vertex	06/18/12			40,000 shares	40,000	07/09/12	\$ 10,000.00
139	Vertex	06/20/12				12,000	07/24/12	\$ 3,000.00
140	Vertex	06/20/12			IRA Resources, Inc Fbo Terry	60,000	07/09/12	\$ 15,000.00
141	Vertex	06/21/12			8000 shares	8,000	07/09/12	\$ 2,000.00
142	Vertex	06/21/12				40,000	07/09/12	\$ 10,000.00
143	Vertex	06/22/12			10,000 shares	10,000	07/09/12	\$ 2,500.00
144	Vertex	06/25/12			20,000 shares	20,000	07/09/12	\$ 5,000.00
145	Vertex	06/25/12			stock	20,000	07/09/12	\$ 5,000.00
146	Vertex	06/25/12			shares	20,000	07/09/12	\$ 5,000.00
147	Vertex	06/25/12				20,000	07/09/12	\$ 7,000.00
148	Vertex	06/26/12			10,000 shares	10,000	07/09/12	\$ 2,500.00
149	Vertex	06/26/12			24,000 shares	24,000	07/09/12	\$ 6,000.00
150	Vertex	06/26/12			40,000 shares	40,000	07/09/12	\$ 10,000.00
151	Vertex	06/26/12				60,000	07/09/12	\$ 15,000.00
152	Vertex	06/26/12				80,000	07/09/12	\$ 20,000.00
153	Vertex	06/27/12			4,000 shares	4,000	07/09/12	\$ 1,000.00
154	Vertex	06/27/12			40,000 shares	40,000	07/09/12	\$ 10,000.00
155	Vertex	07/03/12			4,000 Global Energy Tech	4,000	07/09/12	\$ 1,000.00
156	Vertex	07/03/12			12,000 shares	12,000	07/09/12	\$ 3,000.00
157	Vertex	07/03/12			18,000 shares	18,000	07/09/12	\$ 4,500.00
158	Vertex	07/03/12			GETG Stock purchase	20,000	07/09/12	\$ 5,000.00
159	Vertex	07/03/12			50,000 shares	50,000	07/09/12	\$ 10,000.00
160	Vertex	07/05/12			GETG	10,000	07/09/12	\$ 2,500.00
161	Vertex	07/05/12				20,000	08/09/12	\$ 5,000.00
162	Vertex	07/05/12			20,000 sh. GET	20,000	07/24/12	\$ 5,000.00
163	Vertex	07/06/12			Asset Purchase - F Gilbert	5,288	07/24/12	\$ 1,322.00
164	Vertex	07/06/12				50,000	07/24/12	\$ 10,000.00

Line No.	Company	Trn Date	Payor/Payee	Surname	Memo	Shares per Transfer Agent Record	Date of Transfer of shares per Transfer Agent Records	Credits
165	Vertex	07/06/12			80,000 shares	80,000	07/24/12	\$ 20,000.00
166	Vertex	07/10/12				20,000	07/24/12	\$ 5,000.00
167	Vertex	07/10/12			20,000 shares .25	20,000	07/24/12	\$ 5,000.00
168	Vertex	07/10/12			40,000 shares	40,000	07/24/12	\$ 10,000.00
169	Vertex	07/11/12			10,000 shares	10,000	07/24/12	\$ 2,500.00
170	Vertex	07/11/12				20,000	07/24/12	\$ 5,000.00
171	Vertex	07/12/12				10,000	07/24/12	\$ 1,200.00
172	Vertex	07/12/12				10,000	07/24/12	\$ 3,800.00
173	Vertex	07/12/12			20,000 shares	20,000	07/24/12	\$ 5,000.00
174	Vertex	07/12/12			stock investment	20,000	07/24/12	\$ 5,000.00
175	Vertex	07/12/12			25,000 shares	25,000	07/24/12	\$ 6,250.00
176	Vertex	07/13/12			30k shares	30,000	07/24/12	\$ 7,500.00
177	Vertex	07/16/12			30,000 shares GETG	30,000	07/24/12	\$ 7,500.00
178	Vertex	07/17/12				20,000	07/09/12	\$ 3,000.00
179	Vertex	07/19/12			8,000 shares	8,000	08/09/12	\$ 2,000.00
180	Vertex	07/20/12			16,000 shares	16,000	08/09/12	\$ 4,000.00
181	Vertex	07/20/12			stock investment	20,000	07/24/12	\$ 5,000.00
182	Vertex	07/25/12			Asset Purchase - R Gilbert	11,872	08/09/12	\$ 2,966.25
183	Vertex	07/25/12			20,000 shares	20,000	08/09/12	\$ 5,000.00
184	Vertex	07/27/12				40,000	08/09/12	\$ 10,000.00
185	Vertex	07/27/12			100000 shares GETG	100,000	08/09/12	\$ 25,000.00
186	Vertex	07/30/12			8,000 shares	8,000	08/09/12	\$ 2,000.00
187	Vertex	07/30/12			20,000 shares GETE	20,000	08/09/12	\$ 5,000.00
188	Vertex	07/31/12				200,000	08/09/12	\$ 25,000.00
189	Vertex	07/31/12			135,000 shares / 984976266	135,000	08/09/12	\$ 30,000.00
190	Vertex	08/01/12			5,000 shares	5,000	08/09/12	\$ 1,250.00
191	Vertex	08/01/12			12,000 shares	12,000	08/09/12	\$ 3,000.00
192	Vertex	08/01/12				14,000	08/22/12	\$ 3,500.00
193	Vertex	08/01/12			GETG	20,000	08/09/12	\$ 5,000.00
194	Vertex	08/01/12			GETG stock	30,000	08/22/12	\$ 7,500.00
195	Vertex	08/03/12			6000 shares	6,000	08/22/12	\$ 1,500.00
196	Vertex	08/07/12			12,000 shares	12,000	08/22/12	\$ 3,000.00
197	Vertex	08/07/12			GETG	40,000	08/22/12	\$ 10,000.00
198	Vertex	08/08/12			32,000 shares	32,000	08/22/12	\$ 8,000.00
199	Vertex	08/09/12				2,000	09/14/12	\$ 2,000.00
200	Vertex	08/09/12			30,000 shares	30,000	08/22/12	\$ 7,500.00
201	Vertex	08/09/12			40,000 shares	40,000	08/22/12	\$ 10,000.00
202	Vertex	08/13/12			20,000 shares	20,000	08/22/12	\$ 5,000.00
203	Vertex	08/13/12			20,000 shares	20,000	08/22/12	\$ 5,000.00
204	Vertex	08/13/12			40,000 shares	40,000	08/22/12	\$ 10,000.00
205	Vertex	08/14/12				20,000	05/17/13	\$ 5,000.00

Line No.	Company	Trn Date	Payor/Payee	Surname	Memo	Shares per Transfer Agent Record	Date of Transfer of shares per Transfer Agent Records	Credits
206	Vertex	08/15/12			8,000 shares	8,000	08/22/12	\$ 2,000.00
207	Vertex	08/15/12				40,000	08/22/12	\$ 10,000.00
208	Vertex	08/15/12			80,000 shares	80,000	08/22/12	\$ 20,000.00
209	Vertex	08/15/12				100,000	08/22/12	\$ 25,000.00
210	Vertex	08/16/12				21,500	10/26/12	\$ 5,000.00
211	Vertex	08/16/12			20,000 shares	20,000	08/29/12	\$ 5,000.00
212	Vertex	08/16/12				20,000	03/29/12	\$ 5,000.00
213	Vertex	08/16/12			28,000 shares	28,000	08/29/12	\$ 7,000.00
214	Vertex	08/20/12				4,000	08/29/12	\$ 1,000.00
215	Vertex	08/20/12			100,000 shares	100,000	08/29/12	\$ 25,000.00
216	Vertex	08/21/12			20,000 shares	20,000	08/29/12	\$ 5,000.00
217	Vertex	08/22/12			10,000 shares	10,000	08/29/12	\$ 2,500.00
218	Vertex	08/22/12				12,000	08/29/12	\$ 3,000.00
219	Vertex	08/22/12			20,000 shares	20,000	08/29/12	\$ 5,000.00
220	Vertex	08/22/12			100,000 shares	100,000	08/29/12	\$ 25,000.00
221	Vertex	08/23/12			40,000 shares	40,000	09/14/12	\$ 10,000.00
222	Vertex	08/24/12				20,000	09/14/12	\$ 5,000.00
223	Vertex	08/24/12			20,000 shares	20,000	09/14/12	\$ 5,000.00
224	Vertex	08/24/12			40000 shares	40,000	09/14/12	\$ 10,000.00
225	Vertex	08/24/12			50,000 shares	50,000	09/14/12	\$ 12,500.00
226	Vertex	08/27/12			4,000 shares	4,000	09/14/12	\$ 1,000.00
227	Vertex	08/27/12				44,500	09/14/12	\$ 10,000.00
228	Vertex	08/27/12			60,000 shares	60,000	09/14/12	\$ 15,000.00
229	Vertex	08/30/12			20,000 shares	20,000	09/14/12	\$ 5,000.00
230	Vertex	08/30/12			22,000 shares	22,000	09/14/12	\$ 5,500.00
231	Vertex	08/31/12			stock purchase	4,000	09/14/12	\$ 1,000.00
232	Vertex	09/04/12			20,000 shares	20,000	09/14/12	\$ 5,000.00
233	Vertex	09/04/12			28,000 shares	28,000	09/14/12	\$ 7,000.00
234	Vertex	09/04/12			33,000 shares	33,000	09/14/12	\$ 8,000.00
235	Vertex	09/06/12			20,000 shares	20,000	09/19/12	\$ 5,000.00
236	Vertex	09/06/12			32,000 shares	32,000	09/27/12	\$ 8,000.00
237	Vertex	09/06/12			40,000 shares	40,000	09/19/12	\$ 10,000.00
238	Vertex	09/11/12			8,000 shares GETG	8,000	09/19/12	\$ 2,000.00
239	Vertex	09/11/12			Global Energy	10,000	09/19/12	\$ 2,500.00
240	Vertex	09/11/12			20,000 shares	20,000	09/19/12	\$ 5,000.00
241	Vertex	09/12/12			20,000 shares	20,000	09/19/12	\$ 5,000.00
242	Vertex	09/12/12			20,000 shares	20,000	09/19/12	\$ 5,000.00
243	Vertex	09/12/12			21,000 shares	21,500	09/19/12	\$ 5,000.00
244	Vertex	09/17/12			20,000 shares	20,000	09/27/12	\$ 5,000.00
245	Vertex	09/18/12				13,000	09/27/12	\$ 3,500.00
246	Vertex	09/18/12			42,500 shares	42,500	10/26/12	\$ 10,000.00

Line No.	Company	Trn Date	Payor/Payee	Surname	Memo	Shares per Transfer Agent Record	Date of Transfer of shares per Transfer Agent Records	Credits
247	Vertex	09/19/12			20,000 units	20,000	09/27/12	\$ 5,000.00
248	Vertex	09/20/12			4,000 shares GETG	4,000	10/05/12	\$ 1,000.00
249	Vertex	09/20/12			10,000 shares	10,000	10/05/12	\$ 2,500.00
250	Vertex	09/20/12				13,000	09/27/12	\$ 3,000.00
251	Vertex	09/20/12			20,500 shares	20,500	10/05/12	\$ 5,000.00
252	Vertex	09/21/12			20,000 shares	20,000	10/05/12	\$ 5,000.00
253	Vertex	09/21/12				20,000	10/05/12	\$ 5,000.00
254	Vertex	09/26/12				20,000	01/16/13	\$ 1,000.00
255	Vertex	09/26/12			8,000 shares	8,000	10/05/12	\$ 2,000.00
256	Vertex	09/26/12				12,000	10/05/12	\$ 3,000.00
257	Vertex	09/26/12			20,000 shares	20,000	10/05/12	\$ 5,000.00
258	Vertex	09/26/12			50,000 shares	50,000	10/05/12	\$ 12,500.00
					Totals:	9,355,560		\$ 2,007,497.25

Vertex Sales of Global Energy Securities
October 1, 2012 through March 25, 2013

Line No.	Company	Stmt Institution	Trn Date	Payor/Payee	Surname	Memo	Shares per Transfer Agent Record	Agent Record Business Notes	Date of Transfer of shares per Transfer Agent Records	Credits
1	Vertex	JPMorgan	10/01/12			10,000 shares	10,000	From Vertex	10/26/12	\$ 2,500.00
2	Vertex	JPMorgan	10/01/12			vertex stock	12,000	From Vertex	10/26/12	\$ 3,000.00
3	Vertex	JPMorgan	10/01/12			40,000 shares	40,000	From Vertex	09/27/12	\$ 10,000.00
4	Vertex	JPMorgan	10/02/12			4,000 shares	4,000	From Vertex	10/26/12	\$ 1,000.00
5	Vertex	JPMorgan	10/02/12			12,000 shares	12,000	From Vertex	10/26/12	\$ 3,000.00
6	Vertex	JPMorgan	10/02/12			20,000 shares	20,000	From Vertex	10/26/12	\$ 5,000.00
7	Vertex	JPMorgan	10/03/12			GETG shares	10,000	From Vertex	10/05/12	\$ 2,500.00
8	Vertex	JPMorgan	10/03/12			12,000 shares	12,000	From Vertex	10/26/12	\$ 3,000.00
9	Vertex	JPMorgan	10/03/12			12,000 shares	12,000	From Vertex	10/26/12	\$ 3,000.00
10	Vertex	JPMorgan	10/03/12			20,000 shares	20,000	From Vertex	10/26/12	\$ 5,000.00
11	Vertex	JPMorgan	10/03/12				20,000	From Vertex	12/20/12	\$ 5,000.00
12	Vertex	JPMorgan	10/03/12			40,000 shares Vertex International	40,000	From Vertex	10/26/12	\$ 10,000.00
13	Vertex	JPMorgan	10/04/12				10,000	From Vertex	10/26/12	\$ 2,500.00
14	Vertex	JPMorgan	10/04/12				10,000	From Vertex	10/26/12	\$ 2,500.00
15	Vertex	JPMorgan	10/04/12			20,000 shares	20,000	From Vertex	10/26/12	\$ 5,000.00
16	Vertex	JPMorgan	10/04/12				40,000	From Vertex	10/26/12	\$ 10,000.00
17	Vertex	JPMorgan	10/09/12			6000 shares	6,000	From Vertex	10/26/12	\$ 1,500.00
18	Vertex	JPMorgan	10/09/12			10,000 shares	10,000	From Vertex	10/26/12	\$ 2,500.00
19	Vertex	JPMorgan	10/09/12			20,000 shares	20,000	From Vertex	10/26/12	\$ 5,000.00
20	Vertex	JPMorgan	10/09/12			40,000 shares	40,000	From Vertex	10/26/12	\$ 10,000.00
21	Vertex	JPMorgan	10/09/12			Wolf IRA	48,000	From Vertex	10/26/12	\$ 12,000.00
22	Vertex	JPMorgan	10/10/12			40k shares	40,000	From Vertex	10/26/12	\$ 10,000.00
23	Vertex	JPMorgan	10/11/12			32,000 shares	32,000	From Vertex	10/26/12	\$ 7,500.00
24	Vertex	JPMorgan	10/11/12			32,000 shares	32,000	From Vertex	10/26/12	\$ 7,500.00
25	Vertex	JPMorgan	10/12/12			4,000 shares	4,000	From Vertex	10/26/12	\$ 1,000.00
26	Vertex	JPMorgan	10/12/12			10,000 shares	10,000	From Vertex	10/26/12	\$ 2,500.00
27	Vertex	JPMorgan	10/12/12			20,000 shares	20,000	From Vertex	10/26/12	\$ 5,000.00
28	Vertex	JPMorgan	10/12/12			32000 shares	32,000	From Vertex	10/26/12	\$ 8,000.00
29	Vertex	JPMorgan	10/12/12			40,000 shares	40,000	From Vertex	10/26/12	\$ 10,000.00
30	Vertex	JPMorgan	10/12/12			80,000 shares	80,000	From Vertex	10/26/12	\$ 20,000.00
31	Vertex	JPMorgan	10/15/12			10,000 shares	10,000	From Vertex	10/26/12	\$ 2,500.00
32	Vertex	JPMorgan	10/16/12			4,000 shares	4,000	From Vertex	10/26/12	\$ 1,000.00
33	Vertex	JPMorgan	10/16/12			12,000 shares	12,000	From Vertex	10/26/12	\$ 3,000.00
34	Vertex	JPMorgan	10/16/12			15,000 shares	15,000	From Vertex	10/26/12	\$ 3,500.00
35	Vertex	JPMorgan	10/17/12				4,000	From Vertex	11/13/12	\$ 1,000.00
36	Vertex	JPMorgan	10/17/12				21,500	From Vertex	10/26/12	\$ 5,000.00
37	Vertex	JPMorgan	10/17/12				40,000	From Vertex	10/26/12	\$ 10,000.00
38	Vertex	JPMorgan	10/18/12			13,000 shares	13,000	From Vertex	11/13/12	\$ 3,000.00
39	Vertex	JPMorgan	10/18/12			GETG	14,000	From Vertex	11/13/12	\$ 3,500.00
40	Vertex	JPMorgan	10/18/12				40,000	From Vertex	11/13/12	\$ 10,000.00
41	Vertex	JPMorgan	10/19/12				20,000	From Vertex	11/13/12	\$ 5,000.00
42	Vertex	JPMorgan	10/22/12				10,000	From Vertex	11/13/12	\$ 2,500.00

Exhibit

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43	Vertex	JPMorgan	10/22/12	[REDACTED]	20,000 shares	20,000	From Vertex	11/13/12	\$	5,000.00
44	Vertex	JPMorgan	10/22/12	[REDACTED]	20,000 shares	20,000	From Vertex	11/13/12	\$	5,000.00
45	Vertex	JPMorgan	10/23/12	[REDACTED]	2,000 shares	2,000	From Vertex	09/14/12	\$	200.00
46	Vertex	JPMorgan	10/23/12	[REDACTED]		6,400	From Vertex	11/13/12	\$	500.00
47	Vertex	JPMorgan	10/23/12	[REDACTED]		4,000	From Vertex	11/13/12	\$	1,000.00
48	Vertex	JPMorgan	10/23/12	[REDACTED]	4,000 shares	4,000	From Vertex	11/13/12	\$	1,000.00
49	Vertex	JPMorgan	10/23/12	[REDACTED]	8,000 shares GETG	8,000	From Vertex	11/13/12	\$	2,000.00
50	Vertex	JPMorgan	10/23/12	[REDACTED]		6,400	From Vertex	11/13/12	\$	2,500.00
51	Vertex	JPMorgan	10/23/12	[REDACTED]	10,000 shares	10,000	From Vertex	11/13/12	\$	2,500.00
52	Vertex	JPMorgan	10/23/12	[REDACTED]	120,000 shares	120,000	From Vertex	11/13/12	\$	30,000.00
53	Vertex	JPMorgan	10/24/12	[REDACTED]		4,000	From Vertex	11/13/12	\$	1,000.00
54	Vertex	JPMorgan	10/24/12	[REDACTED]	10,000 shares	10,000	From Vertex	11/13/12	\$	2,500.00
55	Vertex	JPMorgan	10/24/12	[REDACTED]	Investment	20,000	From Vertex	11/13/12	\$	5,000.00
56	Vertex	JPMorgan	10/24/12	[REDACTED]	20,000 shares	20,000	From Vertex	11/13/12	\$	5,000.00
57	Vertex	JPMorgan	10/24/12	[REDACTED]	22,000 shares	22,000	From Vertex	11/13/12	\$	5,500.00
58	Vertex	JPMorgan	10/24/12	[REDACTED]	40,000 shares	40,000	From Vertex	11/13/12	\$	10,000.00
59	Vertex	JPMorgan	10/24/12	[REDACTED]	56,000 shares	56,000	From Vertex	11/13/12	\$	14,000.00
60	Vertex	JPMorgan	10/24/12	[REDACTED]	80,000 shares	80,000	From Vertex	11/13/12	\$	20,000.00
61	Vertex	JPMorgan	10/25/12	[REDACTED]		4,000	From Vertex	11/13/12	\$	1,000.00
62	Vertex	JPMorgan	10/25/12	[REDACTED]	20,000 shares	20,000	From Vertex	11/13/12	\$	5,000.00
63	Vertex	JPMorgan	10/25/12	[REDACTED]	60,000 shares	60,000	From Vertex	11/13/12	\$	15,000.00
64	Vertex	JPMorgan	10/26/12	[REDACTED]		4,000	From Vertex	11/13/12	\$	1,000.00
65	Vertex	JPMorgan	10/26/12	[REDACTED]		4,000	From Vertex	11/13/12	\$	1,000.00
66	Vertex	JPMorgan	10/26/12	[REDACTED]	GETG	4,000	From Vertex	11/13/12	\$	1,000.00
67	Vertex	JPMorgan	10/26/12	[REDACTED]	stock	10,000	From Vertex	11/13/12	\$	2,500.00
68	Vertex	JPMorgan	10/26/12	[REDACTED]	12,000 shares	12,000	From Vertex	11/13/12	\$	3,000.00
69	Vertex	JPMorgan	10/26/12	[REDACTED]		20,000	From Vertex	11/13/12	\$	5,000.00
70	Vertex	JPMorgan	10/26/12	[REDACTED]	20,000 shares	20,000	From Vertex	11/13/12	\$	5,000.00
71	Vertex	JPMorgan	10/26/12	[REDACTED]	40,000 shares	40,000	From Vertex	11/13/12	\$	10,000.00
72	Vertex	JPMorgan	10/29/12	[REDACTED]	4000 shares	4,000	From Vertex	11/13/12	\$	1,000.00
73	Vertex	JPMorgan	10/29/12	[REDACTED]		4,000	From Vertex	11/13/12	\$	1,000.00
74	Vertex	JPMorgan	10/29/12	[REDACTED]		10,000	From Vertex	11/13/12	\$	2,500.00
75	Vertex	JPMorgan	10/29/12	[REDACTED]		10,000	From Vertex	11/13/12	\$	2,500.00
76	Vertex	JPMorgan	10/29/12	[REDACTED]	10,000 shares	10,000	From Vertex	11/13/12	\$	2,500.00
77	Vertex	JPMorgan	10/29/12	[REDACTED]	12,000 shares	12,000	From Vertex	11/13/12	\$	3,000.00
78	Vertex	JPMorgan	10/29/12	[REDACTED]	20,000 shares	20,000	From Vertex	11/13/12	\$	5,000.00
79	Vertex	JPMorgan	10/29/12	[REDACTED]	24,000 shares	24,000	From Vertex	11/13/12	\$	6,000.00
80	Vertex	JPMorgan	10/31/12	[REDACTED]	4000 shares	4,000	From Vertex	11/13/12	\$	1,000.00
81	Vertex	JPMorgan	10/31/12	[REDACTED]	20,000 shares	20,000	From Vertex	11/13/12	\$	5,000.00
82	Vertex	JPMorgan	11/01/12	[REDACTED]	20,000 shares	20,000	From Vertex	11/13/12	\$	5,000.00
83	Vertex	JPMorgan	11/02/12	[REDACTED]	10,000 shares	10,000	From Vertex	11/13/12	\$	2,500.00
84	Vertex	JPMorgan	11/05/12	[REDACTED]	10,000 shares	10,000	From Vertex	11/13/12	\$	2,500.00
85	Vertex	JPMorgan	11/05/12	[REDACTED]	Global Energy	10,000	From Vertex	12/06/12	\$	2,500.00
86	Vertex	JPMorgan	11/05/12	[REDACTED]	Global Energy	10,000	From Vertex	11/13/12	\$	2,500.00
87	Vertex	JPMorgan	11/05/12	[REDACTED]		12,000	From Vertex	11/13/12	\$	3,000.00
88	Vertex	JPMorgan	11/06/12	[REDACTED]	shares	4,000	From Vertex	11/13/12	\$	1,000.00
89	Vertex	JPMorgan	11/06/12	[REDACTED]	60,000 shares	60,000	From Vertex	11/13/12	\$	2,000.00
90	Vertex	JPMorgan	11/06/12	[REDACTED]	8,000 shares	8,000	From Vertex	11/13/12	\$	2,000.00

Vertex Sales of Global Energy Securities
October 1, 2012 through March 25, 2013

91	Vertex	JPMorgan	11/06/12		8,000 shares	8,000	From Vertex	11/13/12	\$	2,000.00
92	Vertex	JPMorgan	11/06/12		8,000 shares	8,000	From Vertex	01/16/13	\$	2,000.00
93	Vertex	JPMorgan	11/06/12			8,000	From Vertex	11/13/12	\$	2,000.00
94	Vertex	JPMorgan	11/06/12		8,000 shares	8,000	From Vertex	11/13/12	\$	2,000.00
95	Vertex	JPMorgan	11/06/12		10,000 shares	10,000	From Vertex	11/13/12	\$	2,500.00
96	Vertex	JPMorgan	11/06/12			20,000	From Vertex	01/16/13	\$	5,000.00
97	Vertex	JPMorgan	11/06/12		20,000 shares	20,000	From Vertex	11/13/12	\$	5,000.00
98	Vertex	JPMorgan	11/06/12		stock/NGEY	20,000	From Vertex	11/13/12	\$	5,000.00
99	Vertex	JPMorgan	11/06/12		80,000 shares	80,000	From Vertex	11/13/12	\$	20,000.00
100	Vertex	JPMorgan	11/07/12		GETG	8,000	From Vertex	11/13/12	\$	2,000.00
101	Vertex	JPMorgan	11/07/12		10,000 shares	10,000	From Vertex	11/19/12	\$	2,500.00
102	Vertex	JPMorgan	11/07/12		10,000 shares	10,000	From Vertex	11/19/12	\$	2,500.00
103	Vertex	JPMorgan	11/07/12		16,000 shares	16,000	From Vertex	11/13/12	\$	4,000.00
104	Vertex	JPMorgan	11/07/12		shares	20,000	From Vertex	11/13/12	\$	5,000.00
105	Vertex	JPMorgan	11/07/12			40,000	From Vertex	12/06/12	\$	6,000.00
106	Vertex	JPMorgan	11/07/12		40,000 shares	40,000	From Vertex	11/13/12	\$	10,000.00
107	Vertex	JPMorgan	11/07/12		40,000 shares	40,000	From Vertex	11/19/12	\$	10,000.00
108	Vertex	JPMorgan	11/07/12			40,000	From Vertex	12/06/12	\$	14,000.00
109	Vertex	JPMorgan	11/08/12		4,000 shares	4,000	From Vertex	11/19/12	\$	1,000.00
110	Vertex	JPMorgan	11/08/12		10,000 shares	10,000	From Vertex	11/19/12	\$	2,500.00
111	Vertex	JPMorgan	11/08/12		20,000 shares	20,000	From Vertex	11/19/12	\$	5,000.00
112	Vertex	JPMorgan	11/09/12		8,000 shares GETG	8,000	From Vertex	11/19/12	\$	2,000.00
113	Vertex	JPMorgan	11/09/12		40,000 shares	40,000	From Vertex	11/19/12	\$	10,000.00
114	Vertex	JPMorgan	11/09/12		40,000 shares	40,000	From Vertex	11/19/12	\$	10,000.00
115	Vertex	JPMorgan	11/09/12		Asset Purchase - Thomas Camara	40,000	From Vertex	05/09/12	\$	10,000.00
116	Vertex	JPMorgan	11/09/12		80,000 Shares	80,000	From Vertex	11/19/12	\$	20,000.00
117	Vertex	JPMorgan	11/13/12		20,000 shares	20,000	From Vertex	11/19/12	\$	5,000.00
118	Vertex	JPMorgan	11/13/12			20,000	From Vertex	11/19/12	\$	5,000.00
119	Vertex	JPMorgan	11/13/12		20,000 shares	20,000	From Vertex	11/19/12	\$	5,000.00
120	Vertex	JPMorgan	11/13/12		20,500 shares	20,500	From Vertex	11/19/12	\$	5,000.00
121	Vertex	JPMorgan	11/13/12			40,000	From Vertex	11/19/12	\$	10,000.00
122	Vertex	JPMorgan	11/14/12			2,000	From Vertex	11/19/12	\$	500.00
123	Vertex	JPMorgan	11/14/12			4,000	From Vertex	11/19/12	\$	1,000.00
124	Vertex	JPMorgan	11/14/12			4,000	From Vertex	11/19/12	\$	1,000.00
125	Vertex	JPMorgan	11/15/12		2,000 shares	2,000	From Vertex	11/19/12	\$	500.00
126	Vertex	JPMorgan	11/15/12		2,000 shares @ 0.25 per -	2,000	From Vertex	11/19/12	\$	500.00
127	Vertex	JPMorgan	11/15/12		2,000 shares	2,000	From Vertex	11/19/12	\$	500.00
128	Vertex	JPMorgan	11/15/12			4,000	From Vertex	11/19/12	\$	1,000.00
129	Vertex	JPMorgan	11/15/12		20,000 shares	20,000	From Vertex	12/06/12	\$	5,000.00
130	Vertex	JPMorgan	11/19/12			24,000	From Vertex	12/06/12	\$	6,000.00
131	Vertex	JPMorgan	11/19/12		80,000 shares	80,000	From Vertex	12/06/12	\$	20,000.00
132	Vertex	JPMorgan	11/20/12		GTEG	4,000	From Vertex	12/06/12	\$	1,000.00
133	Vertex	JPMorgan	11/20/12			20,000	From Vertex	12/06/12	\$	5,000.00
134	Vertex	JPMorgan	11/21/12		Asset Purchase - F Rocheleau	128,000	From Vertex	12/06/12	\$	32,000.00
135	Vertex	JPMorgan	11/27/12			10,000	From Vertex	12/06/12	\$	2,500.00
136	Vertex	JPMorgan	11/28/12		20,000 shares	20,000	From Vertex	12/06/12	\$	5,000.00

October 1, 2012 through March 25, 2013

137	Vertex	JPMorgan	11/28/12		20,000 shares	20,000	From Vertex	12/06/12	\$	5,000.00
138	Vertex	JPMorgan	11/28/12		20,000 shares	20,000	From Vertex	12/06/12	\$	5,000.00
139	Vertex	JPMorgan	11/28/12		20,000 shares	20,000	From Vertex	12/06/12	\$	5,000.00
140	Vertex	JPMorgan	11/28/12		Asset Purchase - M Rocheleau	60,000	From Vertex	12/06/12	\$	15,000.00
141	Vertex	JPMorgan	11/28/12		Asset Purchase - F Rocheleau	200,000	From Vertex	12/06/12	\$	50,000.00
142	Vertex	JPMorgan	11/30/12		40,000 shares	40,000	From Vertex	12/12/12	\$	10,000.00
143	Vertex	Bank of America	12/03/12		getg	32,000	From Vertex	12/12/12	\$	8,000.00
144	Vertex	Bank of America	12/03/12		40,000 shares	40,000	From Vertex	12/12/12	\$	10,000.00
145	Vertex	Bank of America	12/03/12		getg stock	40,000	From Vertex	12/12/12	\$	10,000.00
146	Vertex	Bank of America	12/04/12		purch 8000 shares	8,000	From Vertex	12/12/12	\$	2,000.00
147	Vertex	Bank of America	12/04/12			12,500	From Vertex	12/12/12	\$	3,125.00
148	Vertex	Bank of America	12/04/12		16000 shares	16,000	From Vertex	12/12/12	\$	4,000.00
149	Vertex	Bank of America	12/05/12			20,000	From Vertex	12/12/12	\$	3,000.00
150	Vertex	Bank of America	12/05/12		\$0.25 per share	20,000	From Vertex	12/12/12	\$	5,000.00
151	Vertex	Bank of America	12/05/12			20,000	From Vertex	12/12/12	\$	7,000.00
152	Vertex	Bank of America	12/06/12		100,000 shares private Placement	100,000	From Vertex	12/20/12	\$	25,000.00
153	Vertex	Bank of America	12/07/12		24,000	24,000	From Vertex	12/20/12	\$	3,000.00
154	Vertex	Bank of America	12/07/12			20,000	From Vertex	12/20/12	\$	5,000.00
155	Vertex	Bank of America	12/07/12		shares	64,000	From Vertex	12/20/12	\$	16,000.00
156	Vertex	Bank of America	12/11/12			12,000	From Vertex	12/20/12	\$	3,000.00
157	Vertex	Bank of America	12/11/12			20,000	From Vertex	01/16/13	\$	5,000.00
158	Vertex	Bank of America	12/11/12			22,500	From Vertex	12/20/12	\$	5,000.00
159	Vertex	Bank of America	12/11/12		100,000 shares	100,000	From Vertex	12/20/12	\$	25,000.00
160	Vertex	Bank of America	12/12/12			20,000	From Vertex	12/20/12	\$	5,000.00
161	Vertex	Bank of America	12/12/12		20,000 shares	20,000	From Vertex	12/20/12	\$	5,000.00
162	Vertex	Bank of America	12/12/12		.25 shares	20,000	From Vertex	12/20/12	\$	5,000.00
163	Vertex	Bank of America	12/14/12		15,000 shares	15,000	From Vertex	12/20/12	\$	3,000.00
164	Vertex	Bank of America	12/17/12		20,000 shares .25	20,000	From Vertex	12/20/12	\$	5,000.00
165	Vertex	Bank of America	12/18/12		stock purchase	6,000	From Vertex	12/20/12	\$	1,500.00
166	Vertex	Bank of America	12/18/12		20,000 shares	20,000	From Vertex	12/20/12	\$	5,000.00
167	Vertex	Bank of America	12/19/12		20,000 shares	20,000	From Vertex	02/18/13	\$	5,000.00
168	Vertex	Bank of America	12/19/12		20,000 shares	20,000	From Vertex	01/16/13	\$	5,000.00
169	Vertex	Bank of America	12/20/12		80,000 shares	80,000	From Vertex	02/18/13	\$	20,000.00
170	Vertex	Bank of America	12/27/12		12000 shares	12,000	From Vertex	01/16/13	\$	3,000.00
171	Vertex	Bank of America	12/27/12			20,000	From Vertex	02/18/13	\$	5,000.00
172	Vertex	Bank of America	12/27/12		80,000 shares	80,000	From Vertex	02/18/13	\$	20,000.00
173	Vertex	Bank of America	12/28/12		30,000 NGEY	30,000	From Vertex	02/18/13	\$	7,500.00
174	Vertex	Bank of America	01/02/13			4,000	From Vertex	02/18/13	\$	1,000.00
175	Vertex	Bank of America	01/02/13			10,000	From Vertex	01/16/13	\$	2,500.00
176	Vertex	Bank of America	01/02/13			12,000	From Vertex	02/18/13	\$	3,000.00
177	Vertex	Bank of America	01/02/13			27,500	From Vertex	01/16/13	\$	5,500.00
178	Vertex	Bank of America	01/02/13			27,500	From Vertex	01/16/13	\$	5,500.00
179	Vertex	Bank of America	01/02/13			27,500	From Vertex	01/16/13	\$	5,500.00
180	Vertex	Bank of America	01/02/13			27,500	From Vertex	01/16/13	\$	5,500.00
181	Vertex	Bank of America	01/02/13		40,000 shares	40,000	From Vertex	01/16/13	\$	10,000.00
182	Vertex	Bank of America	01/07/13			10,000	From Vertex	01/16/13	\$	2,500.00

October 1, 2012 through March 25, 2013

183	Vertex	Bank of America	01/07/13			12,000	From Vertex	01/16/13	\$	3,000.00
184	Vertex	Bank of America	01/09/13		4K Shares GETG	4,000	From Vertex	02/18/13	\$	1,000.00
185	Vertex	Bank of America	01/09/13			10,000	From Vertex	01/16/13	\$	2,500.00
186	Vertex	Bank of America	01/09/13		GETG	12,000	From Vertex	01/16/13	\$	3,000.00
187	Vertex	Bank of America	01/09/13		16,000 shares	16,000	From Vertex	01/16/13	\$	4,000.00
188	Vertex	Bank of America	01/09/13		20,000 GETG @.25	20,000	From Vertex	01/16/13	\$	5,000.00
			01/15/13		30,000 shares New					
189	Vertex	Bank of America	01/15/13		Global Energy	30,000	From Vertex	02/18/13	\$	7,500.00
190	Vertex	Bank of America	01/16/13		10,000 shares	10,000	From Vertex	02/18/13	\$	2,500.00
191	Vertex	Bank of America	01/16/13		20,000 sh GETG	20,000	From Vertex	02/18/13	\$	5,000.00
192	Vertex	Bank of America	01/16/13			20,000	From Vertex	02/18/13	\$	5,000.00
193	Vertex	Bank of America	01/16/13			40,000	From Vertex	02/18/13	\$	10,000.00
194	Vertex	Bank of America	01/17/13			20,000	From Vertex	02/18/13	\$	5,000.00
195	Vertex	Bank of America	01/17/13		vertex	40,000	From Vertex	02/18/13	\$	10,000.00
196	Vertex	Bank of America	01/22/13		20,000 shares	20,000	From Vertex	02/18/13	\$	5,000.00
197	Vertex	Bank of America	01/22/13		100,000 share GETG	100,000	From Vertex	02/18/13	\$	25,000.00
198	Vertex	Bank of America	01/24/13		Stock Purch	30,000	From Vertex	02/18/13	\$	7,500.00
199	Vertex	Bank of America	01/24/13			30,000	From Vertex	02/18/13	\$	7,500.00
200	Vertex	Bank of America	01/28/13			10,000	From Vertex	02/18/13	\$	2,500.00
201	Vertex	Bank of America	01/29/13		shares	10,000	From Vertex	02/18/13	\$	2,500.00
202	Vertex	Bank of America	01/29/13		stock	10,000	From Vertex	02/18/13	\$	2,500.00
203	Vertex	Bank of America	01/29/13		stocks	10,000	From Vertex	02/18/13	\$	2,500.00
			01/30/13							
204	Vertex	Bank of America	01/30/13			2,000	From Vertex	02/18/13	\$	1,000.00
			01/30/13							
205	Vertex	Bank of America	01/30/13			2,000	From Vertex	02/18/13	\$	1,000.00
206	Vertex	Bank of America	01/30/13		stock shares	4,000	From Vertex	02/18/13	\$	1,000.00
207	Vertex	Bank of America	01/30/13		4,800 shares	4,800	From Vertex	02/18/13	\$	1,200.00
208	Vertex	Bank of America	01/30/13		NGE @.25	8,000	From Vertex	02/18/13	\$	2,000.00
209	Vertex	Bank of America	01/30/13			20,000	From Vertex	02/18/13	\$	5,000.00
210	Vertex	Bank of America	01/31/13		GTG	8,000	From Vertex	02/18/13	\$	2,000.00
211	Vertex	Bank of America	01/31/13		Stock Purchase	24,000	From Vertex	02/18/13	\$	6,000.00
212	Vertex	Bank of America	02/05/13		GETG	20,000	From Vertex	02/18/13	\$	5,000.00
213	Vertex	Bank of America	02/06/13		20,000 shares	20,000	From Vertex	02/18/13	\$	5,000.00
214	Vertex	Bank of America	02/08/13		40,000 shares	40,000	From Vertex	02/18/13	\$	10,000.00
215	Vertex	Bank of America	02/13/13			20,000	From Vertex	02/18/13	\$	500.00
216	Vertex	Bank of America	02/13/13			4,000	From Vertex	02/18/13	\$	1,000.00
217	Vertex	Bank of America	02/13/13			80,000	From Vertex	02/18/13	\$	15,500.00
218	Vertex	Bank of America	02/14/13			10,000	From Vertex	03/06/13	\$	2,500.00
219	Vertex	Bank of America	02/19/13			16,000	From Vertex	03/06/13	\$	4,000.00
220	Vertex	Bank of America	02/19/13		GETG	20,000	From Vertex	03/06/13	\$	4,000.00
221	Vertex	Bank of America	02/19/13			21,000	From Vertex	03/06/13	\$	5,000.00
222	Vertex	Bank of America	02/19/13		20,000	20,000	From Vertex	03/06/13	\$	5,000.00
223	Vertex	Bank of America	02/19/13			40,000	From Vertex	03/06/13	\$	10,000.00
224	Vertex	Bank of America	02/20/13			40,000	From Vertex	03/06/13	\$	10,000.00
225	Vertex	Bank of America	02/25/13			25,000	From Vertex	03/06/13	\$	5,000.00
226	Vertex	Bank of America	02/27/13		Roth Ira	8,000	From Vertex	03/06/13	\$	2,000.00

Vertex Sales of Global Energy Securities
 October 1, 2012 through March 25, 2013

227	Vertex	Bank of America	02/27/13		Asset Purchase - R Gilbert	9,380	From Vertex	03/06/13	\$	2,345.00
228	Vertex	Bank of America	02/27/13		for stock	16,000	From Vertex	03/20/13	\$	4,000.00
229	Vertex	Bank of America	02/27/13		40,000 shares	40,000	From Vertex	03/06/13	\$	8,000.00
230	Vertex	Bank of America	02/27/13			40,000	From Vertex	03/06/13	\$	10,000.00
231	Vertex	Bank of America	02/27/13		stock .20/shares	125,000	From Vertex	03/20/13	\$	25,000.00
232	Vertex	Bank of America	02/27/13		100,000 shares	100,000	From Vertex	03/06/13	\$	25,000.00
233	Vertex	Bank of America	02/28/13		shares stock	10,000	From Vertex	03/06/13	\$	2,500.00
234	Vertex	Bank of America	02/28/13			10,000	From Vertex	03/06/13	\$	2,500.00
235	Vertex	Bank of America	02/28/13			20,000	From Vertex	03/06/13	\$	5,000.00
236	Vertex	Bank of America	02/28/13		20 thousand Shares GETG	20,000	From Vertex	03/06/13	\$	5,000.00
237	Vertex	Bank of America	02/28/13		Global Energy	20,000	From Vertex	03/06/13	\$	5,000.00
238	Vertex	Bank of America	02/28/13			20,000	From Vertex	03/06/13	\$	5,000.00
239	Vertex	Bank of America	02/28/13		102,500 shares	102,500	From Vertex	03/20/13	\$	25,000.00
240	Vertex	Bank of America	03/04/13			8,000	From Vertex	03/06/13	\$	2,000.00
241	Vertex	Bank of America	03/06/13		12,000 shares	12,000	From Vertex	03/20/13	\$	3,000.00
242	Vertex	Bank of America	03/06/13			20,000	From Vertex	03/20/13	\$	5,000.00
243	Vertex	Bank of America	03/06/13		stock purchase	40,000	From Vertex	03/20/13	\$	10,000.00
244	Vertex	Bank of America	03/07/13			4,000	From Vertex	03/20/13	\$	1,000.00
245	Vertex	Bank of America	03/07/13		10,000 sh GETE	10,000	From Vertex	03/20/13	\$	2,500.00
246	Vertex	Bank of America	03/08/13		.25/sh = 20,000	20,000	From Vertex	03/20/13	\$	5,000.00
247	Vertex	Bank of America	03/25/13		20,000 shares .25	20,000	From Vertex	03/29/13	\$	5,000.00
Totals:						6,014,480			\$	1,458,870.00

March 12, 2013 through July 3, 2013

Line No.	Company	Stmt Institution	Trn Date	Payor/Payee	Surname	Memo	Shares per Transfer Agent Record	Agent Record Business Notes	Date of Transfer of shares per Transfer Agent	Credits
1	Bechtel	Bank of America	03/12/13				20,000	From Vertex	03/20/13	\$ 5,000.00
2	Bechtel	Bank of America	03/13/13			stock purchase	40,000	From Vertex	03/20/13	\$ 10,000.00
3	Bechtel	Bank of America	03/19/13				8,000	From Vertex	02/18/13	\$ 1,000.00
4	Bechtel	Bank of America	03/19/13				12,000	From Vertex	02/18/13	\$ 1,500.00
5	Bechtel	Bank of America	03/20/13			GETG Stock	50,000	From Vertex	03/29/13	\$ 10,000.00
6	Bechtel	Bank of America	03/21/13			20,500 shares	20,500	From Vertex	03/29/13	\$ 5,000.00
7	Bechtel	Bank of America	03/21/13			30,000 shares	30,000	From Vertex	03/29/13	\$ 7,500.00
8	Bechtel	Bank of America	03/22/13			80,000 shares .25	80,000	From Vertex	03/29/13	\$ 20,000.00
9	Bechtel	Bank of America	03/25/13			20,000 shares .25	20,000	From Vertex	03/29/13	\$ 5,000.00
10	Bechtel	Bank of America	03/26/13			10,250 shares	10,250	From Vertex	03/29/13	\$ 2,500.00
11	Bechtel	Bank of America	03/26/13				25,000	From Vertex	04/12/13	\$ 4,982.00
12	Bechtel	Bank of America	03/27/13				10,000	From Vertex	12/20/12	\$ 2,500.00
13	Bechtel	Bank of America	03/28/13				20,000	From Vertex	04/12/13	\$ 5,000.00
14	Bechtel	Bank of America	03/29/13			fish farm shares	10,000	From Vertex	04/17/13	\$ 2,500.00
15	Bechtel	Bank of America	03/29/13			40,000 shares	40,000	From Vertex	04/12/13	\$ 10,000.00
16	Bechtel	Bank of America	04/02/13			6000 shares	6,000	From Vertex	04/12/13	\$ 1,500.00
17	Bechtel	Bank of America	04/08/13			fish farm shares	10,000	From Vertex	06/11/13	\$ 2,500.00
18	Bechtel	Bank of America	04/08/13			20,000 sh vertex	20,000	From Vertex	04/17/13	\$ 5,000.00
19	Bechtel	Bank of America	04/08/13			Talapia Farming	25,000	From Vertex	04/17/13	\$ 5,000.00
20	Bechtel	Bank of America	04/11/13			9,000 shares	9,000	From Vertex	04/26/13	\$ 2,000.00
21	Bechtel	Bank of America	04/12/13			10,000 shares	10,000	From Vertex	04/26/13	\$ 2,500.00
22	Bechtel	Bank of America	04/17/13				100,000	From Vertex	04/26/13	\$ 12,500.00
23	Bechtel	Bank of America	04/17/13				250,000	From Vertex	05/17/13	\$ 49,000.00
24	Bechtel	Bank of America	04/18/13				21,000	From Vertex	05/17/13	\$ 5,000.00
25	Bechtel	Bank of America	04/19/13				10,000	From Vertex	05/02/13	\$ 2,500.00
26	Bechtel	Bank of America	04/19/13			stock purchase	10,000	From Vertex	05/02/13	\$ 2,500.00
27	Bechtel	Bank of America	04/22/13			stock purchase 20,000	20,000	From Vertex	05/02/13	\$ 5,000.00
28	Bechtel	Bank of America	04/23/13			10,000 shares	10,000	From Vertex	05/02/13	\$ 2,500.00
29	Bechtel	Bank of America	04/23/13				20,000	From Vertex	05/02/13	\$ 5,000.00
30	Bechtel	Bank of America	04/24/13			F Ponstingle	41,000	From Vertex	05/02/13	\$ 10,000.00
31	Bechtel	Bank of America	04/24/13			stock .20/shares	250,000	From Vertex	05/02/13	\$ 50,000.00
32	Bechtel	Bank of America	04/29/13			4200 shares	4,200	From Vertex	05/02/13	\$ 1,000.00
33	Bechtel	Bank of America	04/30/13				21,000	From Vertex	05/17/13	\$ 5,000.00
34	Bechtel	Bank of America	04/30/13				21,000	From Vertex	05/17/13	\$ 5,000.00
35	Bechtel	Bank of America	05/01/13			BOA 164-107-595-215	9,000	From Vertex	05/17/13	\$ 2,000.00
36	Bechtel	Bank of America	05/01/13				20,000	From Vertex	05/17/13	\$ 5,000.00
37	Bechtel	Bank of America	05/06/13			A2000 shares	42,000	From Vertex	05/17/13	\$ 10,000.00
38	Bechtel	Bank of America	05/06/13			63,000 shares	63,000	From Vertex	05/17/13	\$ 15,000.00
39	Bechtel	Bank of America	05/07/13				21,000	From Vertex	05/17/13	\$ 5,000.00
40	Bechtel	Bank of America	05/07/13			pur shares Global energy	21,000	From Vertex	05/17/13	\$ 5,000.00
41	Bechtel	Bank of America	05/09/13			65,000 shares	65,000	From Vertex	05/17/13	\$ 15,500.00
42	Bechtel	Bank of America	05/10/13				9,000	From Vertex	05/17/13	\$ 2,500.00

Exhibit

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March 12, 2013 through July 3, 2013

43	Bechtel	Bank of America	05/10/13		21k share fish farm	21,000	From Vertex	05/17/13	\$ 5,000.00
44	Bechtel	Bank of America	05/10/13		42,000 shares	42,000	From Vertex	06/21/13	\$ 10,000.00
45	Bechtel	Bank of America	05/14/13		8,000 shares @ .25	8,000	From Vertex	05/28/13	\$ 2,000.00
46	Bechtel	Bank of America	05/17/13		40,000 shares GETG	40,000	From Vertex	05/28/13	\$ 10,000.00
47	Bechtel	Bank of America	05/20/13		20,000 Shares GETG	20,000	From Vertex	05/28/13	\$ 5,000.00
48	Bechtel	Bank of America	05/20/13			80,000	From Vertex	05/28/13	\$ 20,000.00
49	Bechtel	Bank of America	05/21/13		21,000 shares GETG	21,000	From Vertex	05/28/13	\$ 5,000.00
50	Bechtel	Bank of America	05/23/13		20,000 shares	20,000	From Vertex	05/28/13	\$ 5,000.00
51	Bechtel	Bank of America	05/24/13		21,000 shares	21,000	From Vertex	06/11/13	\$ 5,000.00
52	Bechtel	Bank of America	05/28/13			10,000	From Vertex	06/11/13	\$ 2,500.00
53	Bechtel	Bank of America	05/28/13		10,000 shares	10,000	From Vertex	06/11/13	\$ 2,500.00
54	Bechtel	Bank of America	05/28/13		stock	40,000	From Vertex	06/11/13	\$ 10,000.00
55	Bechtel	Bank of America	05/30/13		Asset Purchase - F Eastman	20,000	From Vertex	06/11/13	\$ 5,000.00
56	Bechtel	Bank of America	06/07/13		24000 shs	24,000	From Vertex	06/11/13	\$ 6,000.00
57	Bechtel	Bank of America	06/07/13			200,000	From Vertex	06/11/13	\$ 50,000.00
58	Bechtel	Bank of America	06/11/13			20,000	From Vertex	06/21/13	\$ 5,000.00
59	Bechtel	Bank of America	06/11/13		42,000 shares	42,000	From Vertex	6/21/13 & 6/28/13	\$ 10,000.00
60	Bechtel	Bank of America	06/12/13		Asset Purchase - F Eastman	20,000	From Vertex	06/21/13	\$ 5,000.00
61	Bechtel	Bank of America	06/12/13		63,000 shares	63,000	From Vertex	06/21/13	\$ 15,000.00
62	Bechtel	Bank of America	06/13/13			20,000	From Vertex	05/17/13	\$ 3,500.00
63	Bechtel	Bank of America	06/13/13		20,000 shares	20,000	From Vertex	06/21/13	\$ 5,000.00
64	Bechtel	Bank of America	06/13/13			21,000	From Vertex	05/17/13	\$ 6,500.00
65	Bechtel	Bank of America	06/13/13			60,000	From Vertex	06/21/13	\$ 15,000.00
66	Bechtel	Bank of America	06/18/13		8,000 shares	8,000	From Vertex	06/21/13	\$ 2,000.00
67	Bechtel	Bank of America	06/20/13		4,000 shares @ .25	4,000	From Vertex	06/28/13	\$ 1,000.00
68	Bechtel	Bank of America	06/25/13			10,000	From Vertex	06/28/13	\$ 2,500.00
69	Bechtel	Bank of America	07/03/13			6,300	From Vertex	07/10/13	\$ 1,500.00
					Total:	2,375,250			\$ 540,982.00

June 7, 2013 through June 11, 2014

Line No.	Company	Stmt Institution	Trn Date	Payor/Payee	Surname	Memo	Shares per Transfer Agent Record	Agent Record Business Notes	Date of Transfer of shares per Transfer Agent Records	Credits
1	Diversified Equities Inc	Wells Fargo Bank	06/07/13				5,988	From DEI	08/19/13	\$ 10,000.00
2	Diversified Equities Inc	Wells Fargo Bank	06/07/13			stock	5,988	From DEI	08/19/13	\$ 10,000.00
3	Diversified Equities Inc	Wells Fargo Bank	06/07/13				5,988	From DEI	08/19/13	\$ 10,000.00
4	Diversified Equities Inc	Wells Fargo Bank	06/14/13				14,970	From DEI	08/19/13	\$ 25,000.00
5	Diversified Equities Inc	Wells Fargo Bank	07/02/13				1,497	From DEI	08/19/13	\$ 2,500.00
6	Diversified Equities Inc	Wells Fargo Bank	07/05/13				1,497	From DEI	08/19/13	\$ 2,500.00
7	Diversified Equities Inc	Wells Fargo Bank	07/11/13			1500 shares NGEY Stock	1,500	From DEI	08/19/13	\$ 2,490.00
8	Diversified Equities Inc	Wells Fargo Bank	07/15/13				1,500	From DEI	08/19/13	\$ 2,490.00
9	Diversified Equities Inc	Wells Fargo Bank	07/18/13				1,497	From DEI	08/19/13	\$ 2,500.00
10	Diversified Equities Inc	Wells Fargo Bank	07/22/13				12,000	From DEI	08/19/13	\$ 20,040.00
11	Diversified Equities Inc	Wells Fargo Bank	07/24/13				5,988	From DEI	08/19/13	\$ 10,000.00
12	Diversified Equities Inc	Wells Fargo Bank	07/26/13				5,988	From DEI	08/19/13	\$ 10,000.00
13	Diversified Equities Inc	Wells Fargo Bank	07/29/13			3,000 shares talipia stocks	3,000	From DEI	08/19/13	\$ 4,980.00
14	Diversified Equities Inc	Wells Fargo Bank	08/08/13			Global Energy Inc	1,250	From DEI	10/14/13	\$ 2,075.00
15	Diversified Equities Inc	Wells Fargo Bank	08/27/13				6,000	From DEI	10/14/13	\$ 10,020.00
16	Diversified Equities Inc	Wells Fargo Bank	09/25/13			ngey \$1.67 per share	11,977	From DEI	10/14/13	\$ 20,000.00
17	Diversified Equities Inc	Wells Fargo Bank	10/01/13			3,000 shares Global Energy Inc	3,000	From DEI	10/14/13	\$ 5,000.00
18	Diversified Equities Inc	Wells Fargo Bank	10/04/13				5,988	From DEI	10/14/13	\$ 10,000.00
19	Diversified Equities Inc	Wells Fargo Bank	10/16/13			shares	2,994	From DEI	11/20/13	\$ 5,000.00
20	Diversified Equities Inc	Wells Fargo Bank	10/24/13			1205 shares ngey	1,205	From DEI	11/20/13	\$ 2,000.30
21	Diversified Equities Inc	Wells Fargo Bank	11/12/13			3012 shares of stock	3,012	From DEI	11/20/13	\$ 5,000.00
22	Diversified Equities Inc	Wells Fargo Bank	11/13/13			3012 shares @ 1.66	3,012	From DEI	11/20/13	\$ 5,000.00
23	Diversified Equities Inc	Wells Fargo Bank	11/14/13				3,012	From DEI	12/18/13	\$ 5,000.00
24	Diversified Equities Inc	Wells Fargo Bank	11/14/13			4,869 shares	4,869	From DEI	12/18/13	\$ 8,000.00
25	Diversified Equities Inc	Wells Fargo Bank	11/14/13			10,000 shares ngey	10,000	From DEI	12/18/13	\$ 16,600.00
26	Diversified Equities Inc	Wells Fargo Bank	11/14/13			12,050 shares	12,050	From DEI	12/18/13	\$ 20,000.00
27	Diversified Equities Inc	Wells Fargo Bank	11/18/13			3012 shares	3,012	From DEI	12/18/13	\$ 5,000.00
28	Diversified Equities Inc	Wells Fargo Bank	11/19/13			3012 shares ngey	3,012	From DEI	12/18/13	\$ 5,000.00
29	Diversified Equities Inc	Wells Fargo Bank	11/19/13			6100 shares	6,100	From DEI	12/18/13	\$ 10,004.00
30	Diversified Equities Inc	Wells Fargo Bank	11/19/13			10,000 sh ngey	10,000	From DEI	12/18/13	\$ 16,600.00
31	Diversified Equities Inc	Wells Fargo Bank	11/26/13				10,000	From DEI	01/22/14	\$ 10,000.00
32	Diversified Equities Inc	Wells Fargo Bank	11/26/13				10,000	From DEI	01/22/14	\$ 16,600.00
33	Diversified Equities Inc	Wells Fargo Bank	12/03/13				5,000	From DEI	01/16/14	\$ 8,300.00
34	Diversified Equities Inc	Wells Fargo Bank	12/06/13			3012 shares New Global Energy	3,012	From DEI	01/16/14	\$ 5,000.00
35	Diversified Equities Inc	Wells Fargo Bank	12/10/13			6024 shares New Global Energy	6,024	From DEI	01/16/14	\$ 10,000.00
36	Diversified Equities Inc	Wells Fargo Bank	12/13/13				15,090	From DEI	01/16/14	\$ 25,050.00
37	Diversified Equities Inc	Wells Fargo Bank	12/17/13			13,253 shares of NGEY	13,253	From DEI	01/16/14	\$ 22,000.00
38	Diversified Equities Inc	Wells Fargo Bank	12/20/13			\$5K x \$2.00 = 2500 shares	2,500	From DEI	01/16/14	\$ 5,000.00
39	Diversified Equities Inc	Wells Fargo Bank	12/23/13			10,000 shares	10,000	From DEI	01/16/14	\$ 16,600.00
40	Diversified Equities Inc	Wells Fargo Bank	12/23/13				12,952	From DEI	01/16/14	\$ 21,500.00
41	Diversified Equities Inc	Wells Fargo Bank	12/31/13				6,025	From DEI	01/16/14	\$ 10,000.00

June 7, 2013 through June 11, 2014

Line No.	Company	Stmt Institution	Trn Date	Payor/Payee	Surname	Memo	Shares per Transfer Agent Record	Agent Record Business Notes	Date of Transfer of shares per Agent Records	Credits
42	Diversified Equities Inc	Wells Fargo Bank	12/31/13			10,000 shares New Global Energy	10,000	From DEI	01/16/14	\$ 16,600.00
43	Diversified Equities Inc	Wells Fargo Bank	12/31/13			12,048 shares	12,048	From DEI	01/16/14	\$ 20,000.00
44	Diversified Equities Inc	Wells Fargo Bank	01/08/14				10,000	From DEI	01/22/14	\$ 10,000.00
45	Diversified Equities Inc	Wells Fargo Bank	01/08/14			stock purchase	15,000	From DEI	02/20/14	\$ 25,050.00
46	Diversified Equities Inc	Wells Fargo Bank	01/10/14			5,250 shares of stock	5,250	From DEI	01/22/14	\$ 12,500.00
47	Diversified Equities Inc	Wells Fargo Bank	01/15/14			6,024 shares of ngey	6,024	From DEI	02/20/14	\$ 10,000.00
48	Diversified Equities Inc	Wells Fargo Bank	01/16/14			10,000 shares	10,000	From DEI	02/20/14	\$ 25,000.00
49	Diversified Equities Inc	Wells Fargo Bank	01/21/14			Global Energy - 400 shares	400	From DEI	02/20/14	\$ 1,000.00
50	Diversified Equities Inc	Wells Fargo Bank	01/21/14			2000 shares New Global Inc	2,000	From DEI	02/20/14	\$ 5,000.00
51	Diversified Equities Inc	Wells Fargo Bank	01/21/14			Global Energy Stocks 3600	3,600	From DEI	02/20/14	\$ 9,000.00
52	Diversified Equities Inc	Wells Fargo Bank	01/22/14				4,000	From DEI	02/20/14	\$ 10,000.00
53	Diversified Equities Inc	Wells Fargo Bank	01/23/14				2,000	From DEI	02/20/14	\$ 5,000.00
54	Diversified Equities Inc	Wells Fargo Bank	01/24/14				4,000	From DEI	02/20/14	\$ 10,000.00
55	Diversified Equities Inc	Wells Fargo Bank	01/27/14				1,200	From DEI	02/20/14	\$ 3,000.00
56	Diversified Equities Inc	Wells Fargo Bank	01/27/14				2,000	From DEI	02/20/14	\$ 5,000.00
57	Diversified Equities Inc	Wells Fargo Bank	01/27/14			2800 shares negy	2,800	From DEI	02/20/14	\$ 7,000.00
58	Diversified Equities Inc	Wells Fargo Bank	01/27/14				4,000	From DEI	02/20/14	\$ 10,000.00
59	Diversified Equities Inc	Wells Fargo Bank	01/27/14			cashiers check	4,000	From DEI	02/20/14	\$ 10,000.00
60	Diversified Equities Inc	Wells Fargo Bank	01/27/14			513428241	22,000	From DEI	02/20/14	\$ 55,000.00
61	Diversified Equities Inc	Wells Fargo Bank	01/29/14			peoples.com	2,000	From DEI	02/20/14	\$ 5,000.00
62	Diversified Equities Inc	Wells Fargo Bank	01/30/14				2,000	From DEI	02/20/14	\$ 5,000.00
63	Diversified Equities Inc	Wells Fargo Bank	01/30/14				2,000	From DEI	02/20/14	\$ 5,000.00
64	Diversified Equities Inc	Wells Fargo Bank	01/30/14				4,000	From DEI	02/20/14	\$ 10,000.00
65	Diversified Equities Inc	Wells Fargo Bank	01/30/14				4,000	From DEI	02/20/14	\$ 10,000.00
66	Diversified Equities Inc	Wells Fargo Bank	01/30/14			16,000 shares	16,000	From DEI	02/20/14	\$ 40,000.00
67	Diversified Equities Inc	Wells Fargo Bank	02/06/14			2000 shares ngey	2,000	From DEI	02/20/14	\$ 5,000.00
68	Diversified Equities Inc	Wells Fargo Bank	02/10/14			1000 shares for Anne Sheridan	1,000	From DEI	03/10/14	\$ 2,500.00
69	Diversified Equities Inc	Wells Fargo Bank	02/10/14			New Global Energy, Inc	1,000	From DEI	03/10/14	\$ 2,500.00
70	Diversified Equities Inc	Wells Fargo Bank	02/10/14			stock shares	2,000	From DEI	03/10/14	\$ 5,000.00
71	Diversified Equities Inc	Wells Fargo Bank	02/10/14				2,666	From DEI	03/10/14	\$ 5,000.00
72	Diversified Equities Inc	Wells Fargo Bank	02/10/14			ngey stocks	2,666	From DEI	03/10/14	\$ 5,000.00
73	Diversified Equities Inc	Wells Fargo Bank	02/10/14			ngey	2,000	From DEI	03/10/14	\$ 5,000.00
74	Diversified Equities Inc	Wells Fargo Bank	02/10/14				4,000	From DEI	03/10/14	\$ 10,000.00
75	Diversified Equities Inc	Wells Fargo Bank	02/11/14				2,000	From DEI	03/10/14	\$ 5,000.00
76	Diversified Equities Inc	Wells Fargo Bank	02/11/14				2,668	From DEI	03/10/14	\$ 10,000.00
77	Diversified Equities Inc	Wells Fargo Bank	02/14/14				2,000	From DEI	03/10/14	\$ 5,000.00
78	Diversified Equities Inc	Wells Fargo Bank	02/18/14			500 shares New Global Energy	500	From DEI	03/10/14	\$ 1,250.00
79	Diversified Equities Inc	Wells Fargo Bank	02/18/14			4000 shares ngey	4,000	From DEI	03/10/14	\$ 10,000.00
80	Diversified Equities Inc	Wells Fargo Bank	02/18/14			shares (fish farm)	2,000	From DEI	03/10/14	\$ 5,000.00
81	Diversified Equities Inc	Wells Fargo Bank	02/18/14			shares (fish farm)	2,000	From DEI	06/27/14	\$ 5,000.00
82	Diversified Equities Inc	Wells Fargo Bank	02/18/14			4,000 shares	4,000	From DEI	03/10/14	\$ 10,000.00
83	Diversified Equities Inc	Wells Fargo Bank	02/18/14			New Global Energy Inc shares	6,000	From DEI	03/10/14	\$ 15,000.00

June 7, 2013 through June 11, 2014

Line No.	Company	Stmt Institution	Trn Date	Payor/Payee	Surname	Memo	Shares per Transfer Agent Record	Agent Record Business Notes	Date of Transfer of shares per Transfer Agent Records	Credits
84	Diversified Equities Inc	Wells Fargo Bank	02/18/14			stock purchase	6,000	From DEI	03/10/14	\$ 15,000.00
85	Diversified Equities Inc	Wells Fargo Bank	02/18/14				8,000	From DEI	03/10/14	\$ 20,000.00
86	Diversified Equities Inc	Wells Fargo Bank	02/19/14			2000 shares fish farm	2,000	From DEI	03/10/14	\$ 5,000.00
87	Diversified Equities Inc	Wells Fargo Bank	02/19/14				2,000	From DEI	03/10/14	\$ 5,000.00
88	Diversified Equities Inc	Wells Fargo Bank	02/24/14			ngey shares 1,000 @ 2.5	1,000	From DEI	03/10/14	\$ 2,500.00
89	Diversified Equities Inc	Wells Fargo Bank	02/24/14			1,000 shares	1,000	From DEI	03/10/14	\$ 2,500.00
90	Diversified Equities Inc	Wells Fargo Bank	02/24/14			2000 NGEY	2,000	From DEI	03/10/14	\$ 5,000.00
91	Diversified Equities Inc	Wells Fargo Bank	02/24/14			2000 shares ngey	2,000	From DEI	03/10/14	\$ 5,000.00
92	Diversified Equities Inc	Wells Fargo Bank	02/25/14				1,200	From DEI	03/10/14	\$ 3,000.00
93	Diversified Equities Inc	Wells Fargo Bank	02/25/14			Fish Farm	2,000	From DEI	03/10/14	\$ 5,000.00
94	Diversified Equities Inc	Wells Fargo Bank	02/26/14			1000 shares of NGEY	1,000	From DEI	04/24/14	\$ 2,500.00
95	Diversified Equities Inc	Wells Fargo Bank	02/26/14				4,000	From DEI	04/24/14	\$ 10,000.00
96	Diversified Equities Inc	Wells Fargo Bank	02/27/14			2000 shares ngey	2,000	From DEI	04/24/14	\$ 5,000.00
97	Diversified Equities Inc	Wells Fargo Bank	02/28/14				2,000	From DEI	04/24/14	\$ 5,000.00
98	Diversified Equities Inc	Wells Fargo Bank	03/07/14				8,000	From DEI	04/24/14	\$ 20,000.00
99	Diversified Equities Inc	Wells Fargo Bank	03/11/14			2000 @ 2.50 Global Energy	2,000	From DEI	04/24/14	\$ 5,000.00
100	Diversified Equities Inc	Wells Fargo Bank	03/12/14				2,000	From DEI	04/24/14	\$ 5,000.00
101	Diversified Equities Inc	Wells Fargo Bank	03/12/14				5,000	From DEI	04/24/14	\$ 12,500.00
102	Diversified Equities Inc	Wells Fargo Bank	03/14/14			stock	5,000	From DEI	04/24/14	\$ 12,500.00
103	Diversified Equities Inc	Wells Fargo Bank	04/01/14			stock	2,000	From DEI	04/24/14	\$ 5,000.00
104	Diversified Equities Inc	Wells Fargo Bank	04/01/14			ngey - 2000 shares	2,000	From DEI	04/24/14	\$ 5,000.00
105	Diversified Equities Inc	Wells Fargo Bank	04/25/14			stock	2,000	From DEI	07/14/14	\$ 5,000.00
106	Diversified Equities Inc	Wells Fargo Bank	04/28/14			Sandy Goicoechea	5,000	From DEI	07/14/14	\$ 12,500.00
107	Diversified Equities Inc	Wells Fargo Bank	05/06/14			2000 shares ngey	2,000	From DEI	07/14/14	\$ 5,000.00
108	Diversified Equities Inc	Wells Fargo Bank	05/20/14				100	From DEI	06/27/14	\$ 250.00
109	Diversified Equities Inc	Wells Fargo Bank	05/21/14				2,800	From DEI	07/14/14	\$ 7,000.00
110	Diversified Equities Inc	Wells Fargo Bank	06/30/14				10,000	From DEI	07/09/14	\$ 12,500.00
111	Diversified Equities Inc	Wells Fargo Bank	07/03/14				4,000	From DEI	07/14/14	\$ 10,000.00
112	Diversified Equities Inc	Wells Fargo Bank	07/07/14				5,200	From DEI	07/14/14	\$ 6,500.00
113	Diversified Equities Inc	Wells Fargo Bank	07/25/14				31,400	From DEI	08/06/14	\$ 39,250.00
114	Diversified Equities Inc	Wells Fargo Bank	10/01/14			4800 shs New Global Energy	4,800	From DED	10/26/15	\$ 12,000.00
						Total	574,072			\$ 1,108,749.30

Line No.	Company	Stmt Institution	Trn Date	Payor/Payee	Surname	Memo	Shares per Transfer Agent Record	Agent Record Business Notes	Date of Transfer of shares per Transfer Agent Records	Credits
1	Bechtel	Bank of America	06/24/13			105,000 shares Global Energy Tech	15,814	From DEI	08/19/13	\$ 25,000.00
2	Bechtel	Bank of America	06/26/13				7,550	From DEI	08/19/13	\$ 12,500.00
3	Bechtel	Bank of America	06/28/13				3,012	From DEI	08/19/13	\$ 5,000.00
4	Bechtel	Bank of America	07/03/13			stock	30,300	From DEI	08/19/13	\$ 50,000.00
5	Bechtel	Bank of America	07/08/13			3,012 shares	3,012	From DEI	08/19/13	\$ 5,000.00
6	Bechtel	Bank of America	07/08/13			6324 shares	6,324	From DEI	08/19/13	\$ 10,000.00
7	Bechtel	Bank of America	07/10/13			1,581 shares	1,581	From DEI	08/19/13	\$ 2,500.00
8	Bechtel	Bank of America	07/10/13			3,162 shares	3,162	From DEI	08/19/13	\$ 5,000.00
9	Bechtel	Bank of America	07/12/13			3,462 shares	3,462	From DEI	10/08/13	\$ 4,999.92
10	Bechtel	Bank of America	07/12/13				3,012	From DEI	08/19/13	\$ 5,000.00
11	Bechtel	Bank of America	07/15/13			6324 shares	6,324	From DEI	08/19/13	\$ 10,000.00
12	Bechtel	Bank of America	07/26/13			3,012 shares	3,012	From DEI	08/19/13	\$ 5,000.00
13	Bechtel	Bank of America	07/29/13			3162 shares	3,162	From DEI	08/19/13	\$ 5,000.00
14	Bechtel	Bank of America	07/31/13			shares	3,012	From DEI	08/19/13	\$ 5,000.00
15	Bechtel	Bank of America	08/01/13			NGET Stock	1,205	From DEI	08/19/13	\$ 2,000.00
16	Bechtel	Bank of America	08/01/13			9436 shares	9,436	From DEI	08/19/13	\$ 15,000.00
17	Bechtel	Bank of America	08/05/13				1,566	From DEI	08/19/13	\$ 2,500.00
18	Bechtel	Bank of America	08/05/13				3,012	From DEI	08/19/13	\$ 5,000.00
19	Bechtel	Bank of America	08/05/13			ipo	6,024	From DEI	08/19/13	\$ 10,000.00
20	Bechtel	Bank of America	08/06/13			August 3, 2013 6175 shares	6,175	From DEI	08/19/13	\$ 10,000.00
21	Bechtel	Bank of America	08/09/13			14,970	14,970	From DEI	09/12/13	\$ 25,000.00
22	Bechtel	Bank of America	08/16/13				3,012	From DEI	09/12/13	\$ 5,000.00
23	Bechtel	Bank of America	08/21/13			IRA SVCS FBO CAMARA,	6,024	From DEI	09/12/13	\$ 10,000.00
24	Bechtel	Bank of America	08/23/13			3,012 SH Restricted Stk	3,012	From DEI	09/12/13	\$ 5,000.00
25	Bechtel	Bank of America	08/23/13			7500 shares	7,500	From DEI	09/12/13	\$ 12,375.00
26	Bechtel	Bank of America	08/29/13			915 shares	915	From DEI	09/12/13	\$ 1,500.00
27	Bechtel	Bank of America	08/29/13			3012 Shares	3,012	From DEI	09/12/13	\$ 5,000.00
28	Bechtel	Bank of America	09/03/13			1,506 shares	1,506	From DEI	09/12/13	\$ 2,500.00
29	Bechtel	Bank of America	09/05/13				6,024	From DEI	10/08/13	\$ 10,000.00
30	Bechtel	Bank of America	09/09/13			2,200 shares	2,200	From DEI	10/08/13	\$ 3,500.00
31	Bechtel	Bank of America	09/11/13				6,024	From DEI	10/08/13	\$ 10,000.00
32	Bechtel	Bank of America	09/12/13			3162 shares includes 150 bonus shares	3,162	From DEI	10/08/13	\$ 5,000.00
33	Bechtel	Bank of America	09/16/13			3165 shares	3,165	From DEI	10/08/13	\$ 5,000.00
34	Bechtel	Bank of America	09/16/13			3162 shares	3,162	From DEI	10/08/13	\$ 5,000.00
35	Bechtel	Bank of America	09/17/13				3,162	From DEI	10/08/13	\$ 5,000.00
36	Bechtel	Bank of America	09/18/13			stock purchase	6,024	From DEI	10/08/13	\$ 10,000.00

Bechtel's Sales of New Global Securities

June 24, 2013 to November 5, 2013

Line No.	Company	Stmt Institution	Trn Date	Payor/Payee	Surname	Memo	Shares per Transfer Agent Record	Agent Record Business Notes	Date of Transfer of shares per Transfer Agent Records	Credits
37	Bechtel	Bank of America	09/18/13				10,000	From DEI	10/08/13	\$ 16,600.00
38	Bechtel	Bank of America	09/19/13			6225 shares NGEY	6,225	From DEI	10/22/13	\$ 10,000.00
39	Bechtel	Bank of America	09/23/13			10,000 shares	10,000	From DEI	10/08/13	\$ 16,500.00
40	Bechtel	Bank of America	10/02/13			3,100 Shares	3,100	From DEI	10/22/13	\$ 5,000.00
41	Bechtel	Bank of America	10/02/13			3100 sh NGEY	3,100	From DEI	10/22/13	\$ 5,000.00
42	Bechtel	Bank of America	10/07/13			15,700 shares	15,700	From DEI	10/22/13	\$ 25,000.00
43	Bechtel	Bank of America	10/08/13			stock	1,305	From DEI	10/22/13	\$ 2,000.00
44	Bechtel	Bank of America	10/08/13			15,360 shares	15,360	From DEI	10/22/13	\$ 25,000.00
45	Bechtel	Bank of America	10/17/13			3012 shares	3,012	From DEI	01/22/14	\$ 5,000.00
46	Bechtel	Bank of America	10/17/13			6,000 shares	6,000	From DEI	01/22/14	\$ 9,960.00
47	Bechtel	Bank of America	10/29/13				108	From DEI	01/22/14	\$ 179.00
48	Bechtel	Bank of America	10/29/13				892	From DEI	01/22/14	\$ 1,481.00
49	Bechtel	Bank of America	10/29/13			3012 shares	3,012	From DEI	01/22/14	\$ 5,000.00
50	Bechtel	Bank of America	10/29/13			New Global Energy	3,012	From DEI	01/22/14	\$ 5,000.00
51	Bechtel	Bank of America	10/29/13			7000 sh	7,000	From DEI	01/22/14	\$ 11,620.00
52	Bechtel	Bank of America	10/29/13			8000 shares	8,000	From DEI	01/22/14	\$ 13,280.00
53	Bechtel	Bank of America	10/29/13			fish farm shares	8,000	From DEI	01/22/14	\$ 13,280.00
54	Bechtel	Bank of America	11/05/13			stock purchase	19,060	From DEI	01/22/14	\$ 25,000.00
						Totals:	315,917			\$ 509,274.92

Diversified Equities Development Sales of New Global Securities
 # 428
 March 6, 2014 through October 7, 2015



Line No.	Company	Stmnt Institution	Trn Date	Payor/Payee	Surname	Memo	Shares per Transfer Agent Record	Agent Record Business Notes	Date of Transfer of shares per Transfer Agent Records	Credits
	Diversified Equities Development	Wells Fargo Bank	03/06/14			4100 sh NGEY	4,100	From DED	04/30/14	\$ 10,000.00
	Diversified Equities Development	Wells Fargo Bank	03/13/14			2,000 shares	2,000	From DED	04/30/14	\$ 5,000.00
	Diversified Equities Development	Wells Fargo Bank	03/13/14			2000 shares of New Global Energy Inc @ \$2.50/share for Anthony J Denisco Nancy Denisco TTEE - Offical Ck	2,000	From DED	04/30/14	\$ 5,000.00
	Diversified Equities Development	Wells Fargo Bank	03/17/14				108	From DEI	06/27/14	\$ 268.36
	Diversified Equities Development	Wells Fargo Bank	03/17/14				2,000	From DED	04/30/14	\$ 2,000.00
	Diversified Equities Development	Wells Fargo Bank	03/17/14				2,000	From DED	04/30/14	\$ 8,000.00
	Diversified Equities Development	Wells Fargo Bank	03/18/14			New Energy Purch	2,000	From DED	04/30/14	\$ 5,000.00
	Diversified Equities Development	Wells Fargo Bank	03/31/14			2000 NGEY Shares	2,000	From DED	04/30/14	\$ 5,000.00
	Diversified Equities Development	Wells Fargo Bank	04/01/14			NGEY 4000 shares	4,000	From DED	04/30/14	\$ 10,000.00
	Diversified Equities Development	Wells Fargo Bank	04/01/14			2,000 shares	2,000	From DED	04/30/14	\$ 5,000.00
	Diversified Equities Development	Wells Fargo Bank	04/01/14			2000 shares stock NGEY	2,000	From DED	04/30/14	\$ 5,000.00
	Diversified Equities Development	Wells Fargo Bank	04/01/14			3000 shares	3,000	From DED	04/30/14	\$ 7,500.00
	Diversified Equities Development	Wells Fargo Bank	04/03/14			4,000 shares	4,000	From DED	04/30/14	\$ 10,000.00
	Diversified Equities Development	Wells Fargo Bank	04/07/14				400	From DED	04/30/14	\$ 1,000.00
	Diversified Equities Development	Wells Fargo Bank	04/08/14			Energy Inc.	2,050	From DED	04/30/14	\$ 5,000.00
	Diversified Equities Development	Wells Fargo Bank	04/10/14			Asset Purchase - Thomas Camara	5,000	From DED	04/30/14	\$ 12,500.00
	Diversified Equities Development	Wells Fargo Bank	04/14/14				2,000	From DEI	06/27/14	\$ 5,000.00
	Diversified Equities Development	Wells Fargo Bank	04/14/14				12,000	From DEI	06/27/14	\$ 30,000.00
	Diversified Equities Development	Wells Fargo Bank	04/16/14			200 Bonus Shares	4,000	From DEI	06/27/14	\$ 10,000.00
	Diversified Equities Development	Wells Fargo Bank	04/16/14				600	From DEI	06/27/14	\$ 1,500.00
	Diversified Equities Development	Wells Fargo Bank	04/18/14				4,000	From DEI	06/27/14	\$ 10,000.00
	Diversified Equities Development	Wells Fargo Bank	04/23/14			Purchase 1000 shares	1,000	From DEI	06/27/14	\$ 2,500.00
	Diversified Equities Development	Wells Fargo Bank	04/23/14			[j]k shares (N.G.E.Y.)	1,000	From DEI	06/27/14	\$ 2,500.00
	Diversified Equities Development	Wells Fargo Bank	04/29/14				4,000	From DEI	06/27/14	\$ 10,000.00
	Diversified Equities Development	Wells Fargo Bank	04/29/14			stock	4,000	From DEI	06/27/14	\$ 10,000.00
	Diversified Equities Development	Wells Fargo Bank	04/29/14				4,000	From DEI	06/27/14	\$ 10,000.00
	Diversified Equities Development	Wells Fargo Bank	04/29/14			1,000 shares	1,000	From DEI	06/27/14	\$ 2,500.00
	Diversified Equities Development	Wells Fargo Bank	04/29/14			10,000 shares	10,000	From DEI	06/27/14	\$ 25,000.00
	Diversified Equities Development	Wells Fargo Bank	04/30/14				3,000	From DEI	06/27/14	\$ 7,500.00
	Diversified Equities Development	Wells Fargo Bank	05/08/14			8,434 shares	8,434	From DEI	06/27/14	\$ 14,000.00
	Diversified Equities Development	Wells Fargo Bank	05/09/14				8,000	From DEI	06/27/14	\$ 20,000.00
	Diversified Equities Development	Wells Fargo Bank	05/12/14			3000 shares	3,000	From DEI	06/27/14	\$ 7,500.00

Diversified Equities Development Sales of New Global Securities
March 6, 2014 through October 7, 2016

Line No.	Company	Stmt Institution	Trn Date	Payor/Payee	Surname	Memo	Shares per Transfer Agent Record	Agent Record Business Notes	Date of Transfer of shares per Transfer Agent Records	Credits
	Diversified Equities Development	Wells Fargo Bank	06/19/14			4,000 shares	4,000	From DEI	06/27/14	\$ 10,000.00
	Diversified Equities Development	Wells Fargo Bank	06/22/14				4,000	From DEI	06/27/14	\$ 10,000.00
	Diversified Equities Development	Wells Fargo Bank	06/28/14			2000 shares	2,000	From DEI	06/27/14	\$ 5,000.00
	Diversified Equities Development	Wells Fargo Bank	06/30/14				2,000	From DEI	06/27/14	\$ 5,000.00
	Diversified Equities Development	Wells Fargo Bank	06/30/14				1,000	From DEI	06/27/14	\$ 2,500.00
	Diversified Equities Development	Wells Fargo Bank	06/02/14			8000 shares	8,000	From DEI	06/27/14	\$ 20,000.00
	Diversified Equities Development	Wells Fargo Bank	06/04/14			4000 shares	4,000	From DEI	06/27/14	\$ 10,000.00
	Diversified Equities Development	Wells Fargo Bank	06/06/14			2,000 shares	2,000	From DEI	06/27/14	\$ 5,000.00
	Diversified Equities Development	Wells Fargo Bank	06/09/14				1,400	From DEI	06/27/14	\$ 3,500.00
	Diversified Equities Development	Wells Fargo Bank	06/09/14				2,000	From DEI	06/27/14	\$ 5,000.00
	Diversified Equities Development	Wells Fargo Bank	06/09/14			2000 shares	2,000	From DEI	06/27/14	\$ 5,000.00
	Diversified Equities Development	Wells Fargo Bank	06/13/14			4,000 shares	4,000	From DEI	07/14/14	\$ 10,000.00
	Diversified Equities Development	Wells Fargo Bank	06/17/14				2,000	From DEI	06/27/14	\$ 5,000.00
	Diversified Equities Development	Wells Fargo Bank	06/20/14			1000 shares	1,000	From DEI	06/27/14	\$ 2,500.00
	Diversified Equities Development	Wells Fargo Bank	06/23/14			1200 shares	1,200	From DEI	07/14/14	\$ 3,000.00
	Diversified Equities Development	Wells Fargo Bank	07/03/14			800 shares	800	From DEI	07/14/14	\$ 2,000.00
	Diversified Equities Development	Wells Fargo Bank	07/07/14			1000 shares	1,000	From DEI	07/14/14	\$ 2,500.00
	Diversified Equities Development	Wells Fargo Bank	07/08/14			4000 shares	4,000	From DEI	07/14/14	\$ 10,000.00
	Diversified Equities Development	Wells Fargo Bank	07/10/14				4,000	From DEI	08/26/14	\$ 10,000.00
	Diversified Equities Development	Wells Fargo Bank	07/14/14			3000 shares	3,000	From DEI	08/26/14	\$ 7,500.00
	Diversified Equities Development	Wells Fargo Bank	07/14/14			shares	5,555	From DEI	08/26/14	\$ 12,500.00
	Diversified Equities Development	Wells Fargo Bank	07/14/14			shares	5,556	From DEI	08/26/14	\$ 12,500.00
	Diversified Equities Development	Wells Fargo Bank	07/15/14				4,100	From DEI	08/26/14	\$ 10,000.00
	Diversified Equities Development	Wells Fargo Bank	07/15/14			2000 shares	2,000	From DEI	08/26/14	\$ 5,000.00
	Diversified Equities Development	Wells Fargo Bank	07/15/14			3000 shares	3,000	From DEI	08/26/14	\$ 7,500.00
	Diversified Equities Development	Wells Fargo Bank	07/16/14			100 shares Series a	6,000	From DED	08/26/14	\$ 15,000.00
	Diversified Equities Development	Wells Fargo Bank	07/17/14			2000 shares	2,000	From DEI	08/26/14	\$ 5,000.00
	Diversified Equities Development	Wells Fargo Bank	07/17/14				2,800	From DEI	08/26/14	\$ 7,000.00
	Diversified Equities Development	Wells Fargo Bank	07/21/14				680	From DEI	08/26/14	\$ 1,250.00
	Diversified Equities Development	Wells Fargo Bank	07/28/14				1,000	From DEI	08/26/14	\$ 2,500.00
	Diversified Equities Development	Wells Fargo Bank	07/30/14			4000 shares	4,000	From DEI	08/26/14	\$ 10,000.00
	Diversified Equities Development	Wells Fargo Bank	07/31/14			1000 shares	1,000	From DEI	08/26/14	\$ 2,500.00
	Diversified Equities Development	Wells Fargo Bank	08/05/14				4,000	From DEI	08/26/14	\$ 10,000.00
	Diversified Equities Development	Wells Fargo Bank	08/06/14				2,667	From DEI	10/17/14	\$ 5,000.00
	Diversified Equities Development	Wells Fargo Bank	08/08/14				2,000	From DEI	08/26/14	\$ 5,000.00
	Diversified Equities Development	Wells Fargo Bank	08/11/14				4,000	From DEI	08/26/14	\$ 10,000.00
	Diversified Equities Development	Wells Fargo Bank	08/12/14			2050 shares	2,050	From DEI	08/26/14	\$ 5,000.00
	Diversified Equities Development	Wells Fargo Bank	08/12/14			Tagliaferri	6,941	From DEI	08/26/14	\$ 17,351.89
	Diversified Equities Development	Wells Fargo Bank	08/14/14			1000 shares	1,000	From DEI	10/17/14	\$ 2,500.00
	Diversified Equities Development	Wells Fargo Bank	08/18/14				1,000	From DEI	10/17/14	\$ 2,500.00
	Diversified Equities Development	Wells Fargo Bank	08/18/14			shares	2,778	From DEI	10/17/14	\$ 5,000.00

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Line No.	Company	Stmnt Institution	Trn Date	Payor/Payee	Surname	Memo	Shares per Transfer Agent Record	Agent Record Business Notes	Date of Transfer of shares per Transfer Agent Records	Credits
	Diversified Equities Development	Wells Fargo Bank	08/18/14			2000 shares	2,000	From DEI	10/17/14	\$ 5,000.00
	Diversified Equities Development	Wells Fargo Bank	08/19/14				4,000	From DEI	10/17/14	\$ 10,000.00
	Diversified Equities Development	Wells Fargo Bank	08/20/14				1,200	From DEI	10/17/14	\$ 2,500.00
	Diversified Equities Development	Wells Fargo Bank	08/22/14				10,000	From DEI	11/04/14	\$ 25,000.00
	Diversified Equities Development	Wells Fargo Bank	08/25/14			1000 shares	1,000	From DEI	10/17/14	\$ 2,500.00
	Diversified Equities Development	Wells Fargo Bank	08/26/14			1200 shares	1,200	From DEI	10/17/14	\$ 3,000.00
	Diversified Equities Development	Wells Fargo Bank	08/27/14				2,667	From DEI	10/17/14	\$ 5,000.00
	Diversified Equities Development	Wells Fargo Bank	08/29/14			4000 shares	4,000	From DEI	10/17/14	\$ 10,000.00
	Diversified Equities Development	Wells Fargo Bank	09/02/14			1000 shares	1,000	From DEI	10/17/14	\$ 2,500.00
	Diversified Equities Development	Wells Fargo Bank	09/03/14				2,666	From DEI	10/17/14	\$ 10,000.00
	Diversified Equities Development	Wells Fargo Bank	09/05/14			shares	1,000	From DEI	10/17/14	\$ 2,500.00
	Diversified Equities Development	Wells Fargo Bank	09/08/14				1,000	From DEI	10/17/14	\$ 2,500.00
	Diversified Equities Development	Wells Fargo Bank	09/08/14			NGEY	2,000	From DEI	10/17/14	\$ 5,000.00
	Diversified Equities Development	Wells Fargo Bank	09/08/14			fish farm	2,000	From DEI	10/17/14	\$ 5,000.00
	Diversified Equities Development	Wells Fargo Bank	09/08/14				2,000	From DEI	10/17/14	\$ 5,000.00
	Diversified Equities Development	Wells Fargo Bank	09/09/14			2000 shares @ 2.50	2,000	From DEI	10/17/14	\$ 5,000.00
	Diversified Equities Development	Wells Fargo Bank	09/10/14				2,600	From DEI	10/17/14	\$ 6,500.00
	Diversified Equities Development	Wells Fargo Bank	09/16/14				4,000	From DEI	10/17/14	\$ 10,000.00
	Diversified Equities Development	Wells Fargo Bank	09/16/14				2,000	From DEI	10/17/14	\$ 3,000.00
	Diversified Equities Development	Wells Fargo Bank	09/16/14			2000 shares	2,000	From DEI	10/17/14	\$ 5,000.00
	Diversified Equities Development	Wells Fargo Bank	09/16/14			2000 shares	2,000	From DEI	10/17/14	\$ 5,000.00
	Diversified Equities Development	Wells Fargo Bank	09/16/14				2,000	From DEI	10/17/14	\$ 7,000.00
	Diversified Equities Development	Wells Fargo Bank	09/16/14				3,000	From DEI	10/17/14	\$ 7,500.00
	Diversified Equities Development	Wells Fargo Bank	09/16/14			6000 shares	6,000	From DEI	10/17/14	\$ 15,000.00
	Diversified Equities Development	Wells Fargo Bank	09/16/14			8000 shares	8,000	From DEI	10/17/14	\$ 20,000.00
	Diversified Equities Development	Wells Fargo Bank	09/17/14			2000 shares	2,000	From DEI	10/17/14	\$ 5,000.00
	Diversified Equities Development	Wells Fargo Bank	09/17/14				5,000	From DEI	10/17/14	\$ 12,500.00
	Diversified Equities Development	Wells Fargo Bank	09/17/14				34	From DEI	04/01/15	\$ 5,000.00
	Diversified Equities Development	Wells Fargo Bank	09/18/14			1000 shares	1,000	From DEI	10/17/14	\$ 2,500.00
	Diversified Equities Development	Wells Fargo Bank	09/18/14				3,000	From DEI	10/17/14	\$ 7,500.00
	Diversified Equities Development	Wells Fargo Bank	09/18/14			10000 shares	10,000	From DEI	11/04/14	\$ 25,000.00
	Diversified Equities Development	Wells Fargo Bank	09/19/14				2,000	From DEI	10/17/14	\$ 5,000.00
	Diversified Equities Development	Wells Fargo Bank	09/19/14			investment	6,000	From DEI	11/04/14	\$ 15,000.00
	Diversified Equities Development	Wells Fargo Bank	09/19/14				6,000	From DEI	11/04/14	\$ 15,000.00
	Diversified Equities Development	Wells Fargo Bank	09/19/14				6,000	From DEI	10/17/14	\$ 15,000.00
	Diversified Equities Development	Wells Fargo Bank	09/23/14				4,000	From DEI	11/04/14	\$ 10,000.00

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Line No.	Company	Stmt Institution	Trn Date	Payor/Payee	Surname	Memo	Shares per Transfer Agent Record	Agent Record Business Notes	Date of Transfer of shares per Transfer Agent Records	Credits
	Diversified Equities Development	Wells Fargo Bank	09/23/14				4,000	From DEI	10/17/14	\$ 10,000.00
	Diversified Equities Development	Wells Fargo Bank	09/23/14			4000 shares	4,000	From DEI	11/04/14	\$ 10,000.00
	Diversified Equities Development	Wells Fargo Bank	09/23/14				2,000	From DEI	10/17/14	\$ 5,000.00
	Diversified Equities Development	Wells Fargo Bank	09/23/14			4000 shares	4,000	From DEI	11/04/14	\$ 8,640.00
	Diversified Equities Development	Wells Fargo Bank	09/24/14				2,000	From DEI	10/17/14	\$ 2,000.00
	Diversified Equities Development	Wells Fargo Bank	09/24/14			5000 sh NGEY	5,000	From DEI	11/04/14	\$ 12,500.00
	Diversified Equities Development	Wells Fargo Bank	09/24/14				5,000	From DEI	11/04/14	\$ 12,500.00
	Diversified Equities Development	Wells Fargo Bank	09/26/14			stock purchase	2,000	From DEI	11/04/14	\$ 5,000.00
	Diversified Equities Development	Wells Fargo Bank	09/26/14			stock	11,111	From DEI	11/04/14	\$ 25,000.00
	Diversified Equities Development	Wells Fargo Bank	09/30/14			4000 shares	4,000	From DEI	10/17/14	\$ 10,000.00
	Diversified Equities Development	Wells Fargo Bank	09/30/14			600 shares NGEY	600	From DEI	10/17/14	\$ 1,301.10
	Diversified Equities Development	Wells Fargo Bank	09/30/14				3,000	From DEI	10/17/14	\$ 7,500.00
	Diversified Equities Development	Wells Fargo Bank	09/30/14				4,500	From DEI	11/04/14	\$ 11,250.00
	Diversified Equities Development	Wells Fargo Bank	09/30/14			11,111 shares	11,111	From DEI	11/04/14	\$ 25,000.00
	Diversified Equities Development	Wells Fargo Bank	09/30/14			513428241	66,580	From DEI	11/04/14	\$ 149,805.00
	Diversified Equities Development	Wells Fargo Bank	10/01/14				2,778	From DEI	10/17/14	\$ 7,499.00
	Diversified Equities Development	Wells Fargo Bank	10/03/14				2,270	From DEI	10/17/14	\$ 5,675.00
	Diversified Equities Development	Wells Fargo Bank	10/07/14				4,100	From DEI	11/04/14	\$ 10,000.00
	Diversified Equities Development	Wells Fargo Bank	10/07/14				1,000	From DEI	11/04/14	\$ 2,500.00
	Diversified Equities Development	Wells Fargo Bank	10/07/14				6,000	From DEI	11/04/14	\$ 15,000.00
	Diversified Equities Development	Wells Fargo Bank	10/08/14			1000 shares @ 2.50	1,000	From DEI	11/04/14	\$ 2,500.00
	Diversified Equities Development	Wells Fargo Bank	10/08/14			2000 shares	2,000	From DEI	11/04/14	\$ 5,000.00
	Diversified Equities Development	Wells Fargo Bank	10/10/14				2,000	From DEI	11/04/14	\$ 5,000.00
	Diversified Equities Development	Wells Fargo Bank	10/10/14			5000 shares	5,000	From DEI	11/04/14	\$ 12,500.00
	Diversified Equities Development	Wells Fargo Bank	10/10/14			10000 shares	10,000	From DEI	11/04/14	\$ 25,000.00
	Diversified Equities Development	Wells Fargo Bank	10/10/14				34	From DEI	03/03/15	\$ 5,000.00
	Diversified Equities Development	Wells Fargo Bank	10/14/14				4,000	From DEI	11/04/14	\$ 10,000.00
	Diversified Equities Development	Wells Fargo Bank	10/14/14				4,000	From DEI	11/04/14	\$ 10,000.00
	Diversified Equities Development	Wells Fargo Bank	10/14/14			400 shares	400	From DEI	11/04/14	\$ 1,000.00
	Diversified Equities Development	Wells Fargo Bank	10/14/14				3,000	From DEI	11/04/14	\$ 6,750.00
	Diversified Equities Development	Wells Fargo Bank	10/14/14				22,222	From DEI	11/04/14	\$ 50,000.00
	Diversified Equities Development	Wells Fargo Bank	10/15/14				4,444	From DEI	11/04/14	\$ 10,000.00
	Diversified Equities Development	Wells Fargo Bank	10/15/14			investment	6,000	From DEI	11/04/14	\$ 15,000.00

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Line No.	Company	Stmnt Institution	Trm Date	Payor/Payee	Surname	Memo	Shares per Transfer Agent Record	Agent Record Business Notes	Date of Transfer of shares per Transfer Agent Records	Credits
	Diversified Equities Development	Wells Fargo Bank	10/16/14			2000 shares	2,000	From DEI	11/04/14	\$ 5,000.00
	Diversified Equities Development	Wells Fargo Bank	10/20/14				2,000	From DEI	11/04/14	\$ 5,000.00
	Diversified Equities Development	Wells Fargo Bank	10/20/14				2,000	From DEI	11/04/14	\$ 5,000.00
	Diversified Equities Development	Wells Fargo Bank	10/20/14			2000 shares	2,000	From DEI	11/04/14	\$ 5,000.00
	Diversified Equities Development	Wells Fargo Bank	10/21/14			4000 shares	4,000	From DEI	11/04/14	\$ 10,000.00
1	Diversified Equities Development	Wells Fargo Bank	10/22/14				4,500	From DEI	11/04/14	\$ 11,250.00
2	Diversified Equities Development	Wells Fargo Bank	10/22/14				11,111	From DEI	11/04/14	\$ 25,000.00
3	Diversified Equities Development	Wells Fargo Bank	10/24/14				1,200	From DEI	11/04/14	\$ 3,000.00
4	Diversified Equities Development	Wells Fargo Bank	10/24/14				2,666	From DEI	11/04/14	\$ 6,000.00
5	Diversified Equities Development	Wells Fargo Bank	10/24/14				2,666	From DEI	11/04/14	\$ 6,000.00
6	Diversified Equities Development	Wells Fargo Bank	10/24/14				2,666	From DEI	11/04/14	\$ 8,000.00
7	Diversified Equities Development	Wells Fargo Bank	10/24/14			10,000 shares	10,000	From DEI	11/04/14	\$ 25,000.00
8	Diversified Equities Development	Wells Fargo Bank	10/27/14				6,000	From DEI	11/04/14	\$ 15,000.00
9	Diversified Equities Development	Wells Fargo Bank	10/29/14				19,062	From DEI	11/04/14	\$ 42,889.50
10	Diversified Equities Development	Wells Fargo Bank	10/31/14			1000 shares	1,000	From DEI	12/09/14	\$ 2,500.00
11	Diversified Equities Development	Wells Fargo Bank	10/31/14				6,579	From DEI	12/09/14	\$ 16,448.00
12	Diversified Equities Development	Wells Fargo Bank	11/03/14			2000 shares	2,000	From DEI	11/04/14	\$ 5,000.00
13	Diversified Equities Development	Wells Fargo Bank	11/03/14				6,000	From DEI	12/09/14	\$ 15,000.00
14	Diversified Equities Development	Wells Fargo Bank	11/05/14				2,000	From DEI	02/18/15	\$ 5,000.00
15	Diversified Equities Development	Wells Fargo Bank	11/06/14			4000 shares @ 2.50	4,000	From DEI	12/09/14	\$ 10,000.00
16	Diversified Equities Development	Wells Fargo Bank	11/12/14			2000 shares	2,000	From DEI	12/09/14	\$ 3,500.00
17	Diversified Equities Development	Wells Fargo Bank	11/12/14				2,000	From DEI	12/09/14	\$ 5,000.00
18	Diversified Equities Development	Wells Fargo Bank	11/12/14			2000 shares	2,000	From DEI	12/09/14	\$ 5,000.00
19	Diversified Equities Development	Wells Fargo Bank	11/12/14				2,000	From DEI	12/09/14	\$ 5,000.00
20	Diversified Equities Development	Wells Fargo Bank	11/12/14			7000 shares	7,000	From DEI	12/09/14	\$ 15,750.00
21	Diversified Equities Development	Wells Fargo Bank	11/13/14				5,916	From DEI	12/09/14	\$ 12,312.00
22	Diversified Equities Development	Wells Fargo Bank	11/14/14				5,882	From DEI	12/09/14	\$ 10,000.00
23	Diversified Equities Development	Wells Fargo Bank	11/14/14				2,000	From DEI	12/09/14	\$ 5,000.00

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Line No.	Company	Stmnt Institution	Trn Date	Payor/Payee	Surname	Memo	Shares per Transfer Agent Record	Agent Record Business Notes	Date of Transfer of shares per Transfer Agent Records	Credits
24	Diversified Equities Development	Wells Fargo Bank	11/14/14				12,500	From DEI	12/09/14	\$ 25,000.00
25	Diversified Equities Development	Wells Fargo Bank	11/14/14				12,500	From DEI	12/09/14	\$ 25,000.00
26	Diversified Equities Development	Wells Fargo Bank	11/20/14			4000 shares	4,000	From DEI	12/09/14	\$ 10,000.00
27	Diversified Equities Development	Wells Fargo Bank	11/25/14				33	From DEI	04/01/15	\$ 5,000.00
28	Diversified Equities Development	Wells Fargo Bank	11/25/14				214	From DED	09/11/15	\$ 15,000.00
29	Diversified Equities Development	Wells Fargo Bank	12/01/14			1000 shares	1,000	From DEI	12/09/14	\$ 2,500.00
30	Diversified Equities Development	Wells Fargo Bank	12/01/14			NGEY	34	From DEI	03/03/15	\$ 5,000.00
31	Diversified Equities Development	Wells Fargo Bank	12/01/14			133 shares of preferred stock	133	From DEI	03/03/15	\$ 19,950.00
32	Diversified Equities Development	Wells Fargo Bank	12/04/14			Asset Purchase FBO Roger K Bost 35-35464	10,000	From DEI	03/31/15	\$ 24,190.00
33	Diversified Equities Development	Wells Fargo Bank	12/04/14			stock	33	From DEI	04/01/15	\$ 5,000.00
34	Diversified Equities Development	Wells Fargo Bank	12/04/14			negy	27	From DEI	03/03/15	\$ 5,000.00
35	Diversified Equities Development	Wells Fargo Bank	12/05/14				6,000	From DEI	02/18/15	\$ 15,000.00
36	Diversified Equities Development	Wells Fargo Bank	12/05/14				100	From DEI	03/03/15	\$ 15,000.00
37	Diversified Equities Development	Wells Fargo Bank	12/08/14			1000 shares	1,000	From DEI	02/18/15	\$ 2,500.00
38	Diversified Equities Development	Wells Fargo Bank	12/08/14				1,000	From DEI	02/18/15	\$ 2,500.00
39	Diversified Equities Development	Wells Fargo Bank	12/08/14			stock	2,000	From DEI	02/18/15	\$ 5,000.00
40	Diversified Equities Development	Wells Fargo Bank	12/08/14			2000 shares	2,000	From DEI	02/18/15	\$ 5,000.00
41	Diversified Equities Development	Wells Fargo Bank	12/10/14			100sh pref	100	From DEI	03/03/15	\$ 15,000.00
42	Diversified Equities Development	Wells Fargo Bank	12/11/14				80	From DEI	03/03/15	\$ 12,000.00
43	Diversified Equities Development	Wells Fargo Bank	12/15/14			preferred	27	From DEI	03/03/15	\$ 4,000.00
44	Diversified Equities Development	Wells Fargo Bank	12/15/14			150sh pref	100	From DEI	03/03/15	\$ 15,000.00
45	Diversified Equities Development	Wells Fargo Bank	12/15/14			134 preferred	134	From DEI	03/03/15	\$ 20,000.00
46	Diversified Equities Development	Wells Fargo Bank	12/15/14				200	From DED	03/03/15	\$ 30,000.00
47	Diversified Equities Development	Wells Fargo Bank	12/16/14				21	From DEI	03/03/15	\$ 3,000.00
48	Diversified Equities Development	Wells Fargo Bank	12/16/14			preferred	100	From DEI	03/03/15	\$ 15,000.00
49	Diversified Equities Development	Wells Fargo Bank	12/17/14				117	From DEI	03/03/15	\$ 15,000.00
50	Diversified Equities Development	Wells Fargo Bank	12/17/14				100	From DED	03/03/15	\$ 15,000.00
51	Diversified Equities Development	Wells Fargo Bank	12/19/14			1000 shares	1,000	From DEI	02/18/15	\$ 2,500.00
52	Diversified Equities Development	Wells Fargo Bank	12/19/14				17	From DEI	03/03/15	\$ 2,500.00
53	Diversified Equities Development	Wells Fargo Bank	12/19/14			series A preferred	34	From DEI	03/03/15	\$ 5,100.00
54	Diversified Equities Development	Wells Fargo Bank	12/22/14			20 Series A	20	From DEI	03/03/15	\$ 3,000.00
55	Diversified Equities Development	Wells Fargo Bank	12/22/14			25 preferred	25	From DEI	03/03/15	\$ 3,750.00
56	Diversified Equities Development	Wells Fargo Bank	12/22/14			34 preferred	34	From DEI	03/03/15	\$ 5,100.00
57	Diversified Equities Development	Wells Fargo Bank	12/22/14			50 preferred	50	From DEI	03/03/15	\$ 7,500.00

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Line No.	Company	Stmt Institution	Trn Date	Payor/Payee	Surname	Memo	Shares per Transfer Agent Record	Agent Record Business Notes	Date of Transfer of shares per Transfer Agent Records	Credits
58	Diversified Equities Development	Wells Fargo Bank	12/22/14				142	From DEI	03/03/15	\$ 21,250.00
59	Diversified Equities Development	Wells Fargo Bank	12/23/14				1,000	From DEI	02/18/15	\$ 2,500.00
60	Diversified Equities Development	Wells Fargo Bank	12/23/14				2,400	From DEI	02/18/15	\$ 5,000.00
61	Diversified Equities Development	Wells Fargo Bank	12/23/14			stock	167	From DEI	03/03/15	\$ 25,000.00
62	Diversified Equities Development	Wells Fargo Bank	12/23/14				20	From DEI	03/03/15	\$ 3,000.00
63	Diversified Equities Development	Wells Fargo Bank	12/29/14				34	From DEI	03/03/15	\$ 5,000.00
64	Diversified Equities Development	Wells Fargo Bank	12/29/14			50 preferred	50	From DEI	03/03/15	\$ 7,500.00
65	Diversified Equities Development	Wells Fargo Bank	12/29/14			200 preferred	200	From DEI	03/03/15	\$ 30,000.00
66	Diversified Equities Development	Wells Fargo Bank	12/30/14			ngey shares	100	From DEI	03/03/15	\$ 15,000.00
67	Diversified Equities Development	Wells Fargo Bank	12/30/14			ngey shares	220	From DEI	03/03/15	\$ 16,500.00
68	Diversified Equities Development	Wells Fargo Bank	12/31/14				2,000	From DEI	02/18/15	\$ 5,000.00
69	Diversified Equities Development	Wells Fargo Bank	12/31/14			Payment 167 shares of series preferred	167	From DEI	03/03/15	\$ 25,000.00
70	Diversified Equities Development	Wells Fargo Bank	01/02/15			34 preferred	34	From DEI	03/03/15	\$ 5,000.00
71	Diversified Equities Development	Wells Fargo Bank	01/02/15			99 preferred	99	From DEI	04/01/15	\$ 14,750.00
72	Diversified Equities Development	Wells Fargo Bank	01/05/15			1000 shares	1,000	From DEI	02/18/15	\$ 2,500.00
73	Diversified Equities Development	Wells Fargo Bank	01/05/15				34	From DEI	04/01/15	\$ 5,000.00
74	Diversified Equities Development	Wells Fargo Bank	01/05/15				200	From DEI	04/01/15	\$ 15,000.00
75	Diversified Equities Development	Wells Fargo Bank	01/07/15				10	From DEI	04/01/15	\$ 1,500.00
76	Diversified Equities Development	Wells Fargo Bank	01/07/15				34	From DEI	04/01/15	\$ 5,000.00
77	Diversified Equities Development	Wells Fargo Bank	01/08/15				21	From DEI	03/03/15	\$ 2,000.00
78	Diversified Equities Development	Wells Fargo Bank	01/09/15			negy	14	From DEI	04/01/15	\$ 2,500.00
79	Diversified Equities Development	Wells Fargo Bank	01/09/15				76	From DEI	04/01/15	\$ 4,000.00
80	Diversified Equities Development	Wells Fargo Bank	01/09/15				76	From DEI	04/01/15	\$ 5,000.00
81	Diversified Equities Development	Wells Fargo Bank	01/09/15				77	From DEI	04/01/15	\$ 6,000.00
82	Diversified Equities Development	Wells Fargo Bank	01/09/15				60	From DEI	03/03/15	\$ 9,000.00
83	Diversified Equities Development	Wells Fargo Bank	01/09/15				2,285	From DED	05/21/15	\$ 150,000.00
84	Diversified Equities Development	Wells Fargo Bank	01/09/15				76	From DEI	04/01/15	\$ 5,000.00
85	Diversified Equities Development	Wells Fargo Bank	01/12/15				27	From DEI	03/03/15	\$ 3,000.00
86	Diversified Equities Development	Wells Fargo Bank	01/12/15				51	From DEI	03/03/15	\$ 7,650.00
87	Diversified Equities Development	Wells Fargo Bank	01/12/15			stocks	33	From DEI	04/01/15	\$ 5,000.00

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Line No.	Company	Stmt Institution	Trn Date	Payor/Payee	Surname	Memo	Shares per Transfer Agent Record	Agent Record Business Notes	Date of Transfer of shares per Transfer Agent Records	Credits
88	Diversified Equities Development	Wells Fargo Bank	01/13/16			35 preferred	35	From DEI	03/03/15	\$ 5,250.00
89	Diversified Equities Development	Wells Fargo Bank	01/13/16			75 preferred	75	From DEI	03/03/15	\$ 11,250.00
90	Diversified Equities Development	Wells Fargo Bank	01/16/16			preferred	67	From DEI	03/03/15	\$ 10,000.00
91	Diversified Equities Development	Wells Fargo Bank	01/16/16				60	From DEI	03/03/15	\$ 9,000.00
92	Diversified Equities Development	Wells Fargo Bank	01/20/16				8	From DEI	04/01/15	\$ 800.00
93	Diversified Equities Development	Wells Fargo Bank	01/20/16				8	From DEI	04/01/15	\$ 1,600.00
94	Diversified Equities Development	Wells Fargo Bank	01/21/16				2,000	From DEI	03/31/15	\$ 5,000.00
95	Diversified Equities Development	Wells Fargo Bank	01/22/16			2000 shares	2,000	From DEI	03/31/15	\$ 4,760.00
96	Diversified Equities Development	Wells Fargo Bank	01/22/16				50	From DEI	03/03/15	\$ 7,500.00
97	Diversified Equities Development	Wells Fargo Bank	01/22/16				200	From DED	05/21/15	\$ 15,000.00
98	Diversified Equities Development	Wells Fargo Bank	01/23/16				1,000	From DEI	03/31/15	\$ 2,500.00
99	Diversified Equities Development	Wells Fargo Bank	01/23/16				15	From DEI	03/03/15	\$ 2,100.00
100	Diversified Equities Development	Wells Fargo Bank	01/23/16				76	From DEI	04/01/15	\$ 5,500.00
101	Diversified Equities Development	Wells Fargo Bank	01/23/16				76	From DEI	04/01/15	\$ 5,500.00
102	Diversified Equities Development	Wells Fargo Bank	01/23/16				50	From DEI	03/03/15	\$ 7,500.00
103	Diversified Equities Development	Wells Fargo Bank	01/23/16				79	From DEI	04/01/15	\$ 9,000.00
104	Diversified Equities Development	Wells Fargo Bank	01/23/16				34	From DED	09/11/15	\$ 5,000.00
105	Diversified Equities Development	Wells Fargo Bank	01/23/16				117	From DEI	03/03/15	\$ 10,000.00
106	Diversified Equities Development	Wells Fargo Bank	01/26/16			13 preferred	13	From DEI	04/01/15	\$ 2,000.00
107	Diversified Equities Development	Wells Fargo Bank	01/26/16				50	From DED	09/11/15	\$ 7,500.00
108	Diversified Equities Development	Wells Fargo Bank	01/26/16				201	From DEI	04/01/15	\$ 10,000.00
109	Diversified Equities Development	Wells Fargo Bank	01/27/16			preferred	34	From DEI	03/03/15	\$ 5,000.00
110	Diversified Equities Development	Wells Fargo Bank	01/27/16				200	From DEI	04/01/15	\$ 10,000.00
111	Diversified Equities Development	Wells Fargo Bank	01/28/16			34 preferred	34	From DEI	04/01/15	\$ 5,100.00

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112	Diversified Equities Development	Wells Fargo Bank	01/28/16				134	From DEI	04/01/15	\$ 7,500.00
113	Diversified Equities Development	Wells Fargo Bank	01/28/16			100 preferred	100	From DEI	03/03/15	\$ 15,000.00
114	Diversified Equities Development	Wells Fargo Bank	01/29/15			preferred stock	27	From DEI	04/01/15	\$ 4,000.00
115	Diversified Equities Development	Wells Fargo Bank	01/29/15				67	From DEI	04/01/15	\$ 10,000.00
116	Diversified Equities Development	Wells Fargo Bank	01/30/15			preferred stock	34	From DED	09/11/15	\$ 5,000.00
117	Diversified Equities Development	Wells Fargo Bank	02/04/15				500	From DED	05/21/15	\$ 37,500.00
118	Diversified Equities Development	Wells Fargo Bank	02/04/15				167	From DEI	04/01/15	\$ 10,000.00
119	Diversified Equities Development	Wells Fargo Bank	02/06/15				21	From DEI	03/03/15	\$ 3,000.00
120	Diversified Equities Development	Wells Fargo Bank	02/06/15			ngey shares	1,000	From DEI	03/31/15	\$ 2,000.00
121	Diversified Equities Development	Wells Fargo Bank	02/08/15				22	From DEI	04/01/15	\$ 3,000.00
122	Diversified Equities Development	Wells Fargo Bank	02/09/15				417	From DED	09/11/15	\$ 24,000.00
123	Diversified Equities Development	Wells Fargo Bank	02/09/15			stock	134	From DED	05/21/15	\$ 10,000.00
124	Diversified Equities Development	Wells Fargo Bank	02/11/15				14,465	From DED	02/17/15	\$ 25,000.00
125	Diversified Equities Development	Wells Fargo Bank	02/11/15				34	From DEI	04/01/15	\$ 5,000.00
126	Diversified Equities Development	Wells Fargo Bank	02/11/15				67	From DED	05/21/15	\$ 10,000.00
127	Diversified Equities Development	Wells Fargo Bank	02/12/15				34	From DED	09/11/15	\$ 5,000.00
128	Diversified Equities Development	Wells Fargo Bank	02/12/15				117	From DED	05/21/15	\$ 10,000.00
129	Diversified Equities Development	Wells Fargo Bank	02/13/15			1000 shares	1,000	From DEI	03/31/15	\$ 2,500.00
130	Diversified Equities Development	Wells Fargo Bank	02/13/15			stock	13	From DEI	04/01/15	\$ 1,500.00
131	Diversified Equities Development	Wells Fargo Bank	02/13/15				33	From DED	05/21/15	\$ 5,000.00
132	Diversified Equities Development	Wells Fargo Bank	02/17/15			7sh NGEY	7	From DED	05/21/15	\$ 1,000.00
133	Diversified Equities Development	Wells Fargo Bank	02/17/15			155 sh pfd stk	331	From DED	09/11/15	\$ 23,200.00
134	Diversified Equities Development	Wells Fargo Bank	02/19/15			A	60	From DED	05/21/15	\$ 9,000.00
135	Diversified Equities Development	Wells Fargo Bank	02/19/15				268	From DED	09/11/15	\$ 20,000.00
136	Diversified Equities Development	Wells Fargo Bank	02/24/15			1000 shares @2.50/share	1,000	From DEI	03/31/15	\$ 2,500.00
137	Diversified Equities Development	Wells Fargo Bank	02/24/15			Stock	1,667	From DED	07/24/15	\$ 2,500.00
138	Diversified Equities Development	Wells Fargo Bank	02/24/15			NGEY Preferred Stock	34	From DED	09/11/15	\$ 5,000.00
139	Diversified Equities Development	Wells Fargo Bank	02/26/15				417	From DED	09/11/15	\$ 101,000.00
140	Diversified Equities Development	Wells Fargo Bank	02/26/15			Preferred stock	34	From DEI	04/01/15	\$ 5,000.00
141	Diversified Equities Development	Wells Fargo Bank	02/27/15			New Global Energy 167 A Preferred shares	426	From DED	09/11/15	\$ 25,000.00
142	Diversified Equities Development	Wells Fargo Bank	03/02/15			467 sh pfd stk	999	From DED	09/11/15	\$ 70,000.00
143	Diversified Equities Development	Wells Fargo Bank	03/03/15			2750 shares	2,500	From DEI	03/31/15	\$ 5,000.00
144	Diversified Equities Development	Wells Fargo Bank	03/04/15				10	From DEI	04/01/15	\$ 1,500.00

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145	Diversified Equities Development	Wells Fargo Bank	03/04/15			stock	33	From DEI	04/01/15	\$ 5,000.00
146	Diversified Equities Development	Wells Fargo Bank	03/08/15			Series A Preferred	334	From DED	09/11/15	\$ 15,000.00
147	Diversified Equities Development	Wells Fargo Bank	03/09/15			500 shares	500	From DEI	03/31/15	\$ 1,000.00
148	Diversified Equities Development	Wells Fargo Bank	03/09/15			1200 shares	1,200	From DEI	03/31/15	\$ 3,000.00
149	Diversified Equities Development	Wells Fargo Bank	03/09/15			2500 sh of NGEY	2,500	From DEI	03/31/15	\$ 5,000.00
150	Diversified Equities Development	Wells Fargo Bank	03/09/15				7	From DED	09/11/15	\$ 1,050.00
151	Diversified Equities Development	Wells Fargo Bank	03/09/15			20 shares @150.00	150	From DED	09/11/15	\$ 7,500.00
152	Diversified Equities Development	Wells Fargo Bank	03/10/15			New Global Energy 2000 shares	2,000	From DEI	03/31/15	\$ 5,000.00
153	Diversified Equities Development	Wells Fargo Bank	03/10/15			Diversified Equities Development	84	From DED	09/11/15	\$ 12,500.00
154	Diversified Equities Development	Wells Fargo Bank	03/11/15			100 Preferred shares NGEY	200	From DED	05/21/15	\$ 15,000.00
155	Diversified Equities Development	Wells Fargo Bank	03/11/15			stock	34	From DED	10/29/15	\$ 5,000.00
156	Diversified Equities Development	Wells Fargo Bank	03/13/15			NGEY	133	From DED	09/11/15	\$ 10,000.00
157	Diversified Equities Development	Wells Fargo Bank	03/16/15				300	From DED	09/11/15	\$ 15,000.00
158	Diversified Equities Development	Wells Fargo Bank	03/20/15				67	From DED	09/11/15	\$ 10,000.00
159	Diversified Equities Development	Wells Fargo Bank	03/24/15			NGEY Stock	1,000	From DED	05/28/15	\$ 2,500.00
160	Diversified Equities Development	Wells Fargo Bank	03/25/15				400	From DED	05/28/15	\$ 1,000.00
161	Diversified Equities Development	Wells Fargo Bank	03/25/15			Shares	33	From DED	09/11/15	\$ 5,000.00
162	Diversified Equities Development	Wells Fargo Bank	03/27/15				1,000	From DED	05/28/15	\$ 1,900.00
163	Diversified Equities Development	Wells Fargo Bank	03/27/15			1000 shares	1,000	From DED	05/28/15	\$ 1,900.00
164	Diversified Equities Development	Wells Fargo Bank	03/30/15				1,000	From DED	05/28/15	\$ 1,800.00
165	Diversified Equities Development	Wells Fargo Bank	03/31/15			Stock NGEY 3335 shares	3,335	From DED	05/28/15	\$ 5,000.00
166	Diversified Equities Development	Wells Fargo Bank	03/31/15			NGEY	100	From DED	05/21/15	\$ 15,000.00
167	Diversified Equities Development	Wells Fargo Bank	04/01/15			in April	1,600	From DED	05/28/15	\$ 4,000.00
168	Diversified Equities Development	Wells Fargo Bank	04/01/15				10	From DED	05/21/15	\$ 1,500.00
169	Diversified Equities Development	Wells Fargo Bank	04/01/15			NGEY	67	From DED	09/11/15	\$ 5,000.00
170	Diversified Equities Development	Wells Fargo Bank	04/02/15			2500 shares	2,500	From DED	05/28/15	\$ 5,000.00
171	Diversified Equities Development	Wells Fargo Bank	04/07/15			40 Preferred shares	120	From DED	09/11/15	\$ 6,000.00
172	Diversified Equities Development	Wells Fargo Bank	04/07/15			Stock	83	From DED	09/11/15	\$ 12,500.00
173	Diversified Equities Development	Wells Fargo Bank	04/08/15				67	From DED	09/11/15	\$ 10,000.00
174	Diversified Equities Development	Wells Fargo Bank	04/08/15			167 shares Series A	167	From DED	09/11/15	\$ 10,000.00
175	Diversified Equities Development	Wells Fargo Bank	04/10/15				17	From DED	05/21/15	\$ 2,500.00
176	Diversified Equities Development	Bank of America	04/16/15			2500 shares @ \$2/share	2,500	From DED	05/28/15	\$ 5,000.00
177	Diversified Equities Development	Bank of America	04/16/15			Preferred Shares	120	From DED	09/11/15	\$ 7,000.00

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178	Diversified Equities Development	Bank of America	04/17/15			Richard Tagliaferri IRA	600	From DED	09/11/15	\$ 58,500.00
179	Diversified Equities Development	Bank of America	04/17/15			234 Preferred Shares	234	From DED	05/21/15	\$ 17,500.00
180	Diversified Equities Development	Bank of America	04/20/15			1666 shares @ 1.80	1,666	From DED	05/28/15	\$ 3,000.00
181	Diversified Equities Development	Bank of America	04/24/15			150 Sh Ser A Prf	100	From DEI	03/03/15	\$ 15,000.00
182	Diversified Equities Development	Bank of America	04/28/15			Energy Inc	4,000	From DED	05/28/15	\$ 10,000.00
183	Diversified Equities Development	Bank of America	04/30/15			Diver Eq Devel Stock	1,200	From DED	05/28/15	\$ 2,220.00
184	Diversified Equities Development	Bank of America	05/04/15			2500 Shares @ 1.90/share	2,500	From DED	05/28/15	\$ 4,750.00
185	Diversified Equities Development	Bank of America	05/04/15				2,000	From DED	07/24/15	\$ 3,000.00
186	Diversified Equities Development	Bank of America	05/05/15				1,670	From DED	05/28/15	\$ 2,505.00
187	Diversified Equities Development	Bank of America	05/06/15			1000 Sh at \$1.50/sh	1,000	From DED	05/28/15	\$ 1,500.00
188	Diversified Equities Development	Bank of America	05/06/15				67	From DED	09/11/15	\$ 10,000.00
189	Diversified Equities Development	Bank of America	05/07/15			Global Energy Inc Common Stock	3,000	From DED	05/28/15	\$ 4,500.00
190	Diversified Equities Development	Bank of America	05/07/15			34 shares Ser A Pref (NGEY)	34	From DED	09/11/15	\$ 5,000.00
191	Diversified Equities Development	Bank of America	05/08/15				2,000	From DEI	12/08/14	\$ 5,000.00
192	Diversified Equities Development	Bank of America	05/08/15			Series Purchase	3,340	From DED	07/24/15	\$ 5,000.00
193	Diversified Equities Development	Bank of America	05/08/15			Series A Stock	134	From DED	09/11/15	\$ 20,000.00
194	Diversified Equities Development	Bank of America	05/08/15			Fish 67 Preferred	67	From DED	10/29/15	\$ 10,050.00
195	Diversified Equities Development	Bank of America	05/08/15			Energy	100	From DED	06/21/16	\$ 15,000.00
196	Diversified Equities Development	Bank of America	05/08/15				34	From DED	06/21/16	\$ 5,000.00
197	Diversified Equities Development	Bank of America	05/08/15			NGEY Stock	32	From DED	09/11/15	\$ 5,000.00
198	Diversified Equities Development	Bank of America	05/08/15			Stock Purchase	33	From DED	09/11/15	\$ 5,000.00
199	Diversified Equities Development	Bank of America	05/11/15			1000 Shares \$1500, 1.50/sh	1,000	From DED	07/24/15	\$ 1,500.00
200	Diversified Equities Development	Bank of America	05/11/15			3000 Sh @\$1.50/share	2,000	From DED	07/24/15	\$ 3,000.00
201	Diversified Equities Development	Bank of America	05/11/15				14	From DED	06/21/16	\$ 2,100.00
202	Diversified Equities Development	Bank of America	05/15/15			4000 Shares @ 1.50	4,000	From DED	07/24/15	\$ 6,000.00
203	Diversified Equities Development	Bank of America	05/15/15			500 sh of NGEY	500	From DED	07/24/15	\$ 750.00
204	Diversified Equities Development	Bank of America	05/15/15				200	From DED	06/21/16	\$ 30,000.00
205	Diversified Equities Development	Bank of America	05/15/15			Preferred shares of stock	67	From DED	09/11/15	\$ 10,000.00
206	Diversified Equities Development	Bank of America	05/15/15			67 Preferred A shares	67	From DED	06/21/16	\$ 10,000.00
207	Diversified Equities Development	Bank of America	05/18/15			667 Common Shares	667	From DED	07/24/15	\$ 1,000.00
208	Diversified Equities Development	Bank of America	05/21/15			5000 NGEY	5,000	From DED	07/24/15	\$ 6,250.00
209	Diversified Equities Development	Bank of America	05/21/15			7500 shares @2.00 share	7,500	From DED	07/24/15	\$ 15,000.00
210	Diversified Equities Development	Bank of America	05/26/15			0XRA210	5,000	From DED	07/24/15	\$ 10,000.00
211	Diversified Equities Development	Bank of America	05/27/15				1,667	From DED	07/24/15	\$ 2,500.00
212	Diversified Equities Development	Bank of America	05/29/15				2,000	From DED	05/28/15	\$ 5,000.00
213	Diversified Equities Development	Bank of America	06/08/15			Global	27	From DED	09/11/15	\$ 2,500.00
214	Diversified Equities Development	Bank of America	06/15/15			1500 Sh NGEY	1,500	From DED	07/24/15	\$ 1,500.00

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215	Diversified Equities Development	Bank of America	06/16/15			9262 Gallon	1,500	From DED	07/24/15	\$ 1,500.00
216	Diversified Equities Development	Bank of America	06/17/15			10000 Shares @ 1.90/share	10,000	From DED	07/24/15	\$ 19,000.00
217	Diversified Equities Development	Bank of America	06/22/15			Energy	5,000	From DED	07/24/15	\$ 7,000.00
218	Diversified Equities Development	Bank of America	06/22/15			Subscription 3500 sh common @1.40/shr	2,500	From DED	07/24/15	\$ 3,500.00
219	Diversified Equities Development	Bank of America	06/24/15			2500 Shares NGEY	2,500	From DED	07/24/15	\$ 4,750.00
220	Diversified Equities Development	Bank of America	06/24/15			3500 Shares of NGEY	3,500	From DED	08/13/15	\$ 5,250.00
221	Diversified Equities Development	Bank of America	06/24/15			Preferred 341 Shares NGEY	341	From DED	09/11/15	\$ 20,000.00
222	Diversified Equities Development	Bank of America	06/26/15			Stock	2,500	From DED	07/24/15	\$ 2,500.00
223	Diversified Equities Development	Bank of America	06/26/15			NGEY Stock	67	From DED	09/11/15	\$ 10,000.00
224	Diversified Equities Development	Bank of America	06/30/15				25	From DED	06/21/16	\$ 3,750.00
225	Diversified Equities Development	Bank of America	07/02/15			JUIA23	1,000	From DED	08/13/15	\$ 1,400.00
226	Diversified Equities Development	Bank of America	07/02/15				67	From DED	09/11/15	\$ 5,000.00
227	Diversified Equities Development	Bank of America	07/06/15			24,691 Shares New Global Energy Inc	25,000	From DED	08/13/15	\$ 40,000.00
228	Diversified Equities Development	Bank of America	07/06/15				35	From DED	06/21/16	\$ 5,000.00
229	Diversified Equities Development	Bank of America	07/08/15			Energy	3,332	From DED	08/13/15	\$ 5,000.00
230	Diversified Equities Development	Bank of America	07/08/15			stocks	67	From DED	10/29/15	\$ 10,000.00
231	Diversified Equities Development	Bank of America	07/09/15			share	13,333	From DED	08/13/15	\$ 20,000.00
232	Diversified Equities Development	Bank of America	07/09/15			6,667 Shares at 1.50	6,667	From DED	08/13/15	\$ 10,000.00
233	Diversified Equities Development	Bank of America	07/13/15				2,000	From DED	08/13/15	\$ 3,000.00
234	Diversified Equities Development	Bank of America	07/20/15				1,000	From DED	08/13/15	\$ 1,400.00
235	Diversified Equities Development	Bank of America	07/21/15			SCGS43	2,500	From DED	08/13/15	\$ 5,000.00
236	Diversified Equities Development	Bank of America	07/27/15				1,667	From DED	08/13/15	\$ 2,500.00
237	Diversified Equities Development	Bank of America	07/27/15			3333 Shares NGEY	3,333	From DED	08/13/15	\$ 5,000.00
238	Diversified Equities Development	Bank of America	07/29/15			23,333 Shares NGEY	23,333	From DED	08/13/15	\$ 35,000.00
239	Diversified Equities Development	Bank of America	07/29/15			NGEY 75/10K	67	From DED	06/21/16	\$ 10,000.00
240	Diversified Equities Development	Bank of America	07/30/15			NGEY Stock 6,897 shares	6,897	From DED	08/13/15	\$ 10,000.00
241	Diversified Equities Development	Bank of America	08/03/15			2000 Shares @ 1.75/share Attn:Jeff Cooper	2,000	From DED	10/07/15	\$ 3,500.00
242	Diversified Equities Development	Bank of America	08/04/15			2000 Shares 1.50 per share	2,000	From DED	10/07/15	\$ 3,000.00
243	Diversified Equities Development	Bank of America	08/06/15			500 Shares at 1.85 per share	500	From DED	10/07/15	\$ 925.00
244	Diversified Equities Development	Bank of America	08/06/15			NGEY Perferred Shares	67	From DED	09/11/15	\$ 10,000.00
245	Diversified Equities Development	Bank of America	08/10/15			NGEY 2500 sh@1.90	1,250	From DED	10/07/15	\$ 2,750.00
246	Diversified Equities Development	Bank of America	08/10/15				1,000	From DED	10/07/15	\$ 1,500.00
247	Diversified Equities Development	Bank of America	08/10/15			NGEY 2500 Sh @ 1.90	1,250	From DED	10/07/15	\$ 2,000.00
248	Diversified Equities Development	Bank of America	08/10/15			NGEY Investment	67	From DED	09/11/15	\$ 10,000.00
249	Diversified Equities Development	Bank of America	08/14/15			New Global Energy	5,000	From DED	10/07/15	\$ 7,450.00

Diversified Equities Development Sales of New Global Securities
March 6, 2014 through October 7, 2015

Line No.	Company	Stmnt Institution	Trn Date	Payor/Payee	Surname	Memo	Shares per Transfer Agent Record	Agent Record Business Notes	Date of Transfer of shares per Transfer Agent Records	Credits
250	Diversified Equities Development	Bank of America	08/14/15				666	From DED	10/07/15	\$ 1,000.00
251	Diversified Equities Development	Bank of America	08/14/15			Goss	667	From DED	10/07/15	\$ 1,000.00
252	Diversified Equities Development	Bank of America	08/14/15			Goss	667	From DED	10/07/15	\$ 1,000.00
253	Diversified Equities Development	Bank of America	08/14/15			Goss	667	From DED	10/07/15	\$ 1,000.00
254	Diversified Equities Development	Bank of America	08/14/15				666	From DED	10/07/15	\$ 1,000.00
255	Diversified Equities Development	Bank of America	08/14/15				666	From DED	10/07/15	\$ 1,000.00
256	Diversified Equities Development	Bank of America	08/14/15				667	From DED	10/07/15	\$ 1,000.00
257	Diversified Equities Development	Bank of America	08/14/15				667	From DED	10/07/15	\$ 1,000.00
258	Diversified Equities Development	Bank of America	08/14/15				667	From DED	10/07/15	\$ 1,000.00
259	Diversified Equities Development	Bank of America	08/14/15				666	From DED	10/07/15	\$ 1,000.00
260	Diversified Equities Development	Bank of America	08/14/15				1,500	From DED	10/07/15	\$ 2,235.00
261	Diversified Equities Development	Bank of America	08/14/15			167 Shares Series A convertible preferred	167	From DED	06/21/16	\$ 25,000.00
262	Diversified Equities Development	Bank of America	08/19/15			NGEY Investment	134	From DED	09/11/15	\$ 20,000.00
263	Diversified Equities Development	Bank of America	08/19/15			Preferred Stock	67	From DED	06/21/16	\$ 10,000.00
264	Diversified Equities Development	Bank of America	08/20/15			Preferred	40	From DED	09/11/15	\$ 6,000.00
265	Diversified Equities Development	Bank of America	08/21/15				55,555	From DED	10/07/15	\$ 50,000.00
266	Diversified Equities Development	Bank of America	08/21/15			Preferred Stock	167	From DED	09/11/15	\$ 25,000.00
267	Diversified Equities Development	Bank of America	08/24/15			Stk - New Global	2,000	From DED	10/07/15	\$ 3,000.00
268	Diversified Equities Development	Bank of America	08/24/15			8350 Preferred & 8350 Converted to Preferred	84	From DED	06/21/16	\$ 12,500.00
269	Diversified Equities Development	Bank of America	08/28/15			2000 Shares NGEY	2,000	From DED	10/07/15	\$ 3,000.00
270	Diversified Equities Development	Bank of America	08/28/15			share	1,500	From DED	05/23/16	\$ 2,250.00
271	Diversified Equities Development	Bank of America	08/31/15			1000 Shares NGEY	1,000	From DED	10/07/15	\$ 1,750.00
272	Diversified Equities Development	Bank of America	09/02/15			NGEY 1500 Shares	1,500	From DED	10/04/14	\$ 2,250.00
273	Diversified Equities Development	Bank of America	09/02/15				3,225	From DED	07/24/15	\$ 4,999.00
274	Diversified Equities Development	Bank of America	09/02/15				3,572	From DED	01/05/16	\$ 2,501.00
275	Diversified Equities Development	Bank of America	09/03/15			1000 Shares	1,000	From DED	05/23/16	\$ 1,550.00
276	Diversified Equities Development	Bank of America	09/04/15			100 Preferred NGEY	100	From DED	06/21/16	\$ 15,000.00
277	Diversified Equities Development	Bank of America	09/10/15			Stock	3,650	From DED	05/23/16	\$ 5,110.00
278	Diversified Equities Development	Bank of America	09/10/15			1.35 per share stock	3,000	From DED	01/05/16	\$ 4,050.00
279	Diversified Equities Development	Bank of America	09/11/15			1500 Shares @1.75	1,500	From DED	01/05/16	\$ 2,625.00
280	Diversified Equities Development	Bank of America	09/16/15			NGEY	5,000	From DED	01/05/16	\$ 7,500.00
281	Diversified Equities Development	Bank of America	09/18/15			NGEY 1000 shares	1,000	From DED	05/23/16	\$ 1,000.00
282	Diversified Equities Development	Bank of America	09/21/15			New Global Energy	2,500	From DED	01/05/16	\$ 2,500.00
283	Diversified Equities Development	Bank of America	09/21/15				3,000	From DED	01/05/16	\$ 3,000.00
284	Diversified Equities Development	Bank of America	09/22/15				1,064	From DED	01/05/16	\$ 1,000.00
285	Diversified Equities Development	Bank of America	09/23/15				800	From GreenStar	01/20/16	\$ 1,500.00
286	Diversified Equities Development	Bank of America	09/23/15			NGEY Stock	67	From DED	09/11/15	\$ 10,000.00
287	Diversified Equities Development	Bank of America	09/25/15			@ .50 cent per sh 8,000	8,000	From DED	01/05/16	\$ 4,000.00
288	Diversified Equities Development	Bank of America	09/25/15			Energy	5,000	From DED	01/05/16	\$ 9,500.00

Diversified Equities Development Sales of New Global Securities
452
March 6, 2014 through October 7, 2015

Line No.	Company	Stmnt Institution	Trn Date	Payor/Payee	Surname	Memo	Shares per Transfer Agent Record	Agent Record Business Notes	Date of Transfer of shares per Transfer Agent Records	Credits
289	Diversified Equities Development	Bank of America	09/25/15			4000 Shares NGEY	4,000	From DED	05/23/16	\$ 2,000.00
290	Diversified Equities Development	Bank of America	09/25/15			Energy	10,000	From DED	06/09/16	\$ 5,000.00
291	Diversified Equities Development	Bank of America	09/28/15			share	1,000	From DED	05/23/16	\$ 1,000.00
292	Diversified Equities Development	Bank of America	09/28/15			10,000 Shares NGEY @ .90 per share	10,000	From DED	01/05/16	\$ 9,000.00
293	Diversified Equities Development	Bank of America	09/28/15			40,000 Share restricted stock	40,000	From DED	01/05/16	\$ 20,000.00
294	Diversified Equities Development	Bank of America	09/28/15				10,000	From DED	05/23/16	\$ 5,000.00
295	Diversified Equities Development	Bank of America	09/28/15			10,000 Shares	10,000	From DED	05/23/16	\$ 5,000.00
296	Diversified Equities Development	Bank of America	09/29/15			8000 Shares	8,000	From DED	05/23/16	\$ 4,000.00
297	Diversified Equities Development	Bank of America	09/29/15				200	From DED	09/11/15	\$ 10,000.00
298	Diversified Equities Development	Bank of America	09/30/15				46,428	From DED	01/05/16	\$ 32,500.00
299	Diversified Equities Development	Bank of America	09/30/15				300	From DED	09/11/15	\$ 20,000.00
300	Diversified Equities Development	Bank of America	10/05/15			5000 Shars at \$.90 per share NGEY	5,000	From DED	01/05/16	\$ 4,500.00
301	Diversified Equities Development	Bank of America	10/05/15			102500 shares New Global Energy	62,500	From DED	01/07/16	\$ 50,000.00
302	Diversified Equities Development	Bank of America	10/07/15				2,000	From DED	05/23/16	\$ 1,400.00
						Totals:	1,305,378			\$ 4,254,009.85



Business Signature Card

ACCOUNT TITLE ("DEPOSITOR")
VERTEX INTERNATIONAL GROUP LLC



ACCOUNT NUMBER [REDACTED]
TAXPAYERID NUMBER [REDACTED]
ACCOUNT TYPE Chase BusinessSelect Checking

DATE OPENED 11/14/2011
New Account

FORM OF BUSINESS Limited Liability Company
ISSUED BY JPMorgan Chase Bank, N.A. (703)
Palm Desert
JESSICA DEL REAL

BUSINESS ADDRESS
74930 COUNTRY CLUB DR STE 540-191

PALM DESERT, CA 92260-1985

PRIMARY IDENTIFICATION
State Certification of Business

ID NUMBER



ISSUER

state of wyoming

ISSUANCE

11/08/2011

EXP DATE

11/14/2011

SIGNER(S) TO BE ADDED LATER

ACKNOWLEDGEMENT - By signing this Signature Card, the Depositor applies to open a deposit account at JPMorgan Chase Bank, N.A. (the Bank). The Depositor represents and warrants that (i) the signatures appearing below are genuine or facsimile signatures of the person(s) authorized to transact business and (ii) all necessary action or formalities, where necessary, have been taken to authorize the named person(s) to so act. The Bank is entitled to rely on the authority of the named person(s) until written revocation of such authority is received by the Bank. The Depositor certifies that the information provided to the Bank is true to the best of its knowledge and authorizes the Bank, at its discretion, to obtain credit reports on the Depositor and the individual(s) listed below. The Depositor acknowledges receipt of the Bank's Account Rules and Regulations or other applicable account agreement, which includes all provisions that apply to this deposit account, and other agreements and service terms for account analysis and other treasury management services if applicable, and agree to be bound by the terms and conditions contained therein as amended from time to time.

CERTIFICATION - The undersigned certifies under penalties of perjury that (1) the Depositor's Taxpayer Identification Number shown above is correct, and (2) the Depositor is not subject to backup withholding because: (a) the Depositor is exempt from backup withholding, or (b) the Depositor has not been notified by the Internal Revenue Service (IRS) that it is subject to backup withholding as a result of failure to report all interest or dividends, or (c) the IRS has notified the Depositor that it is no longer subject to backup withholding, and (3) the Depositor is a U.S. citizen or other U.S. person (as defined in the Form W-9 instructions).

If the IRS has notified the Depositor that it is subject to backup withholding due to underreporting interest or dividends on its tax return, cross out item 2 above.

The Depositor is a foreign entity, and therefore the penalties of perjury certification on this form do not apply. In addition, the Depositor has certified its foreign status to the Bank by completing the appropriate Form W-8.

The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.

NAME	TAXPAYERID #	TITLE	DATE	SIGNATURE
MARC JAY BRYANT	[REDACTED]	Member Managed	11/14/11	[Signature]



SEC-JMPCB-P-0000572



Rev (12/08)





BUSINESS DEPOSITORY RESOLUTION



NEW CHANGE

ACCOUNT NO. [REDACTED]

BANK NAME/NUMBER JPMorgan Chase Bank, N.A. (703)

ACCOUNT TITLE VERTEX INTERNATIONAL GROUP LLC

BRANCH NAME AND NO. Palm Desert - 741135

DATE 11/14/2011

BUSINESS ADDRESS 74930 COUNTRY CLUB DR STE 540-191
PALM DESERT, CA 92260-1985

PREPARED BY JESSICA DEL REAL

PHONE NO. [REDACTED]

TAXPAYER ID NO. [REDACTED]

PRODUCT TYPE Chase BusinessSelect Checking

Legal Name of Business VERTEX INTERNATIONAL GROUP LLC (the "Business")

The individual(s) signing this Resolution hereby certifies to JPMorgan Chase Bank, N.A. ("the Bank") that the Business is (check one):

- a sole proprietorship owned entirely by the individual signing this Resolution;
- a duly formed and valid existing
 - general partnership limited partnership limited liability partnership limited liability limited partnership joint venture
 organized under the laws of the state/country of , and the persons signing this Resolution constitute all of the general partners of the partnership.
- a corporation duly organized and in good standing under the laws of the state/country of and that the individual signing this Resolution is its secretary or assistant secretary and the keeper of the records and corporate seal, if any;
- an unincorporated association or organization and the individual(s) signing this Resolution is the keeper of the records and seal, if any;
- a limited liability company organized under the laws of the state/country of USA and the individuals signing this Resolution constitute all of the members or managers, as appropriate of the company.

and that the following is a true and correct copy of the resolutions adopted by the Business and that such resolutions are now in full force and effect.

Depository and Withdrawal Authorization

RESOLVED, that the Bank is designated a depository in which the funds of the Business may be deposited and/or withdrawn by any one of the persons listed below in the manner so designated, subject to the Bank's Deposit Account Agreement. Each person so listed is authorized to endorse for collection, deposit or negotiation any and all checks, drafts, notes, bills of exchange, certificates of deposit, and orders for the payment or transfer of money between accounts at the Bank and other banks, either belonging to or coming into the possession of the Business. Endorsements "for deposit" may be written or stamped. The Bank may accept any instrument for deposit to any depository account of the Business without endorsement or may supply the endorsement of the Business. The person(s) so designated is authorized to sign any and all checks, drafts, and orders drawn against any designated account(s) of the Business (including savings accounts) at the Bank. The Bank is authorized to honor and pay all checks, drafts, and orders when so signed or endorsed without inquiry as to the circumstances of issue or disposition of the proceeds and regardless of to whom such instruments are payable or endorsed, including those drawn or endorsed to the individual order of any such person so listed.

Name	Title	Facsimile Signatures if Applicable
MARC JAY BRYANT	Member Managed	

Signing Authorization

RESOLVED, that any one of the person(s) indicated above is authorized to act for and on behalf of the Business in any matter involving any of the Business' depository accounts at the Bank, including the authority to instruct the Bank to close the account, and is further authorized to sign and implement for and in the name on behalf of the Business, as they, or any of them see fit, the terms of all agreements, instruments, drafts, certificates, or other documents relating to any depository account or other business of the Business including, but not limited to payroll agreements, repurchase agreements, night depository agreements, funds transfer agreements or safe deposit agreements.

Facsimile Signature Authorization

RESOLVED, that the Bank is authorized and directed to honor checks, drafts, and orders for the payment of money drawn on any of the accounts listed above including those drawn to the individual order of any person when the check, draft, or order bears or purports to bear the facsimile signature(s) as shown above or on the signature card. The Bank shall be indemnified and held harmless against any forgery, or unauthorized use or misuse of the facsimile signing devices.

Further Authorizations

BE IT FURTHER RESOLVED, that the secretary or assistant secretary (if a corporation or unincorporated association), the sole owner/proprietor (if a sole proprietorship), any member or manager, as appropriate (if a limited liability), or any general partner (if a partnership) is authorized to certify to the Bank the name, title, specimen signature and facsimile signature with respect to any additions or deletions of persons authorized to carry out the purposes and intent of these resolutions and that this resolution shall remain in full force and effect until express written notice of rescission or modification is received by the Bank. If the authority contained herein should be revoked or terminated by operation of law or any other reason without such notice, it is resolved that the Bank shall be indemnified and saved harmless from any and all losses suffered or liabilities incurred by it in so acting after such revocation or termination without notice.

IN WITNESS WHEREOF, the undersigned has hereunder subscribed his/her name(s) and affixed the seal of the Business this 14 day of NOV, 2011
For Corporation or Unincorporated Association or Organization: _____ For Sole Proprietorship: _____
Partner/Member/Manager _____
Partner/Member/Manager _____
Partner/Member/Manager _____

Secretary _____

Owner/Sole Proprietor _____

THIS IS A NOT-FOR-PROFIT BUSINESS

JPMorgan Chase Bank, N.A. Member FDIC
Catalog # [REDACTED] (12/06)

Scanning branches - Scan using All in One printer
DISTRIBUTION: 1) Bank Copy 2) Customer Copy



SEC-JPMCB-P-0000573

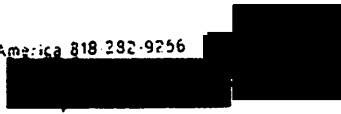
ACCOUNT

OPENING

DOCUMENTS



SEC-BOA-P-000002



DDA



BANK OF AMERICA, N.A. (THE "BANK")

Certified Copy of Limited Liability Company Resolutions
Opening and Maintaining Deposit Accounts and Services

Name of Limited Liability Company VERTEXINTERNATIONAL GROUP LLC

I, the undersigned, hereby certify to BANK OF AMERICA, N.A.

that I am the Manager and the designated keeper

of the records and minutes of VERTEXINTERNATIONAL GROUP LLC

a limited liability company professional limited liability company duly organized and existing under the laws of the State of Wyoming (the "Company"); that I have full authority to manage, represent, sign for and bind the Company, that the following is a true copy of resolutions duly adopted by a majority of the members/managers of said Company at a meeting duly held on the 30 day of November 2012 at which a quorum was present and acted thereon or adopted by the written consent of a majority of the members/managers; and that such resolutions are in full force and effect and have not been amended or rescinded.

1. Resolved, that BANK OF AMERICA, N.A. (the "Bank") is hereby designated as a depository of the Company and that deposit accounts and/or time deposits (TDs) to be opened and maintained in the name of this Company with the Bank in accordance with the terms of the Bank's Deposit Agreement and Disclosures and the applicable rules and regulations for such accounts; that any one of the following members, managers, or employees of this Company:

<u>Marc Bryant</u> Name	<u>Manager</u> Title/Status
<u>/</u> Name	<u>/</u> Title/Status
<u>/</u> Name	<u>/</u> Title/Status
<u>/</u> Name	<u>/</u> Title/Status

is hereby authorized, on behalf of this Company and its name, to execute and sign any application, deposit agreement, signature card and any other documentation required by Bank to open said accounts; to sign checks, drafts, notes, bills of exchange, acceptances, time deposits (TDs) or other orders for payment of money; to endorse checks, drafts, notes, bills, time deposits (TDs) or other instruments owned or held by this company for deposit with Bank or for collection or discount by Bank; to accept, draft, acceptances, and other instruments payable to Bank; to place orders with Bank for the purchase and sale of foreign currencies on behalf of this Company; to execute and deliver an electronic fund transfers agreement and to make transfers or withdrawals by electronic transfer on behalf of the Company; to obtain an access device (including but not limited to a card, code, or other means of access to the Company's accounts) that may be used for the purpose of initiating electronic fund transfers (Company agrees and acknowledges that neither the Electronic Funds Transfer Act (15 U.S.C. 1693 et seq.) nor Regulation E (12 C.F.R. Part 205) are applicable to any such access device); to establish and maintain a night deposit relationship; to execute and deliver a wire transfer agreement and to request, or to appoint and delegate from time to time such persons who may request, wires of funds, to enter into any agreements with the Bank for the provision by Bank of various Treasury Management services to this Company as such member, manager or employee may determine, in his or her sole discretion, and to sign any and all documents and take all actions required by Bank relative to such Treasury Management services or the performance of the Company's obligations thereunder, and that any such Treasury Management agreement(s) shall remain in full force and effect until written notice to terminate is given in accordance with the terms of any such agreement shall have been received by Bank and that such termination shall not affect any action taken by the Bank prior to such termination; to rent or lease a safe deposit box from Bank, to execute the rental agreement or lease, to enter the safe deposit box and to terminate the rental agreement or lease; to take whatever other actions or enter into whatever other agreements relating to the accounts or investment of funds in such accounts with Bank and to execute, amend, supplement and deliver to Bank such agreements on behalf of the Company upon such terms and conditions as such member, manager or employee may deem appropriate and to appoint and delegate, from time to time, such person(s) who may be authorized to enter into such agreements and take any other actions pursuant to such agreements in connection with said accounts that the member, manager or employee deems necessary; and to waive presentment, demand, protest, and notice of protest or dishonor of any check, note, bill, draft, or other instrument made, drawn or endorsed by this Company; and

2. Further Resolved, that the Bank be and is hereby authorized to honor, receive, certify, pay or exchange for money orders or other instruments all instruments signed in accordance with the foregoing resolutions even though such payment may create an overdraft or even though such instruments may be drawn or endorsed in the order of any member, manager or employee signing the same or tendered by such member, manager or employee or a third party for exchange or cashing, or to payment of the individual obligation of such member, manager or employee, or for deposit to such member's, manager's or employee's personal account and Bank shall not be required or be under any obligation to inquire as to the circumstances of the issuance or use of any instrument signed in accordance with the foregoing resolutions or the application or disposition of such instrument or the proceeds thereof, and, further, that the Bank is authorized to honor any instructions regarding withdrawals, orders for payment or transfer of funds whether oral, by telephone or electronic means if such withdrawal, order or transfer are initiated by an above authorized member, manager or employee; and

3. Further Resolved, that the Bank be and is hereby requested, authorized and directed to honor and to treat as authorized, checks, drafts or other orders for the payment of money drawn or purportedly drawn in this Company's name, including those payable to the individual order of any person whose name appears thereon as signer thereof, when bearing or purporting to bear the facsimile signature of a member, manager or employee authorized in the foregoing resolutions and the Bank shall be entitled to honor, to treat as authorized, and to charge this Company for such checks, drafts, or other orders regardless of by whom or by what means the actual or purported facsimile signature thereon may have been affixed thereto, if such signature resembles the facsimile specimen duly certified to or filed with the Bank by a member/manager of this Company or if such facsimile signature resembles any facsimile signature previously affixed to any check, draft, or other order drawn in the Company's name, which check, draft, or other order was accepted and paid without timely objection by the Company, thereby ratifying the use of such facsimile signature; and the Company hereby indemnifies and holds the Bank harmless against any and all loss, cost, damage or expense suffered or incurred by the Bank arising out of or in any way related to the misuse or unlawful or unauthorized use by a person of such facsimile signature; and

00-14-9258M 06-1999

Page 1 of 2

NCA



[Redacted] DDA

- 4. Further Resolved, that endorsements for deposit may be evidenced by the name of the Company being written or stamped on the check or other instrument deposited, without designation of the party making the endorsement, and the Bank is authorized to supply any endorsement on any instrument tendered for deposit or collection; and
- 5. Further Resolved, that a duly authorized member/manager of this Company shall certify to the Bank names and signatures of persons authorized to act on behalf of this Company under the foregoing resolutions and shall from time to time hereafter, as changes in the identity of said members, managers and employees are made, immediately report, furnish and certify such changes to the Bank and shall submit to the Bank a new account signature card reflecting such change(s) in order to make such changes effective and the Bank shall be fully protected in relying on such certifications and shall be indemnified and saved harmless from any claims, demands, expenses, losses, or damages resulting from, or growing out of, honoring the signature of any member, manager or employee so certified, or refusing to honor any signature not so certified; and
- 6. Further Resolved, that the foregoing resolutions shall remain in full force and effect and the authority herein given to all of said persons shall remain irrevocable as far as the Bank is concerned until three (3) business days after the Bank is notified in writing of the revocation of such authority and that receipt of such notice shall not affect any action taken by said Bank prior thereto; and
- 7. Further Resolved, that all transactions by any member, manager or employee of this Company on its behalf and in its name with the Bank prior to the delivery to the Bank of a certified copy of the foregoing resolutions are, in all respects, hereby ratified, confirmed, approved and adopted; and
- 8. Further Resolved, that any member/manager be and hereby is, authorized and directed to certify these resolutions to the Bank and that the provisions hereof are in conformity with the Articles of Organization and Operating Agreement of this Company.

In Witness Whereof, and intending to bind the Company, I have hereunto subscribed my name as a member/manager of this Company, this

30 day of Nov. 2012

[Handwritten signature] *[Handwritten signature]*

[Handwritten signature]

Bank Information	
Date	11/30/2012
Banking Center Name	PORTER RANCH
Associate's Name	EVANGELINA KIM
Associate's Phone Number	818-366-0241

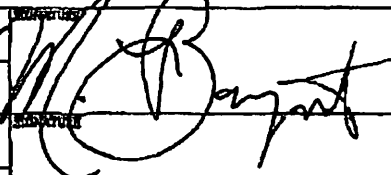


Authorization for Facsimile Signatures ("Authorization")

BUSINESS NAME ("BUSINESS UNIT") <u>Vestex International LLC</u>	ACCOUNT NUMBER [REDACTED]	BANKING CENTER OF ACCOUNT <u>Porter Ranch</u>
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I Authorization

The Business Unit hereby requests, authorizes and directs Bank of America, N.A. (hereinafter Bank) to honor, receive and/or pay all instruments, checks, drafts or other orders for payment of money drawn in the Business Unit's name (including those payable to the individual order of any person(s) whose name(s) appear thereon as signer(s) thereof and without inquiry as to the circumstances of the issuance or the use of any instrument or the application or disposition of the instrument's proceeds) when bearing or purporting to bear the facsimile signature(s) of any one of the following and regardless of by whom or by what means the actual or purported facsimile signature thereon may have been affixed:

NAME <u>Marc Bryant</u>	SIGNATURE 	FACSIMILE SIGNATURE
TITLE <u>Manager</u>		
NAME	SIGNATURE	FACSIMILE SIGNATURE
TITLE		

The Bank (including its subsidiary banks) shall be entitled to honor and to charge the Business Unit for all such instruments, checks, drafts or other orders for payment of money, regardless by whom or by what means the actual or purported facsimile signature thereon may have been affixed thereto, if such facsimile signature resembles the specimen signature set forth above.

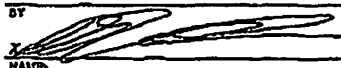
II Indemnification

The Business Unit shall unconditionally and on demand indemnify fully, defend and hold harmless the Bank against any and all liability, loss, damage or expense of any kind, including without limitation reasonable attorney fees and court costs, to the extent that the Bank may suffer such in relying on this Authorization; provided, however, that the Business Unit shall have no obligation to indemnify, defend and hold the Bank harmless for any liability, loss, damage or expense to the extent that liability, loss, damage or expense shall result from the Bank's intentional, reckless or negligent acts or omissions.

III Miscellaneous

This Authorization shall remain effective until the Bank receives the Business Unit's written notice of revocation at the banking center of account, and after the Bank has had a reasonable time to act on such notice. This Authorization supplements all previous authorizations provided by the Business Unit to the Bank, except to the extent that this Authorization conflicts with all previous authorizations provided by the Business Unit to the Bank, in which case this Authorization shall control.

IN WITNESS WHEREOF, The Business Unit has hereto executed this Authorization this 6th day of December, 2012 ("Effective Date").

BY 	BY
NAME <u>Astra Agouzi</u>	NAME
TITLE <u>Assistant Manager</u>	TITLE



Resolution of Authority ("Resolution")

As of the Effective Date, it is resolved and agreed that:

- a. Bank is authorized to act in accordance with the attached Authorization.
- b. any of the following individuals, whose name is set forth on the attached Authorization beside a true and accurate specimen of his/her signature and is authorized to execute the Authorization on behalf of the Business Unit;
- c. upon the Business Unit's execution of the Authorization as authorized by this Resolution, the Authorization shall bind the Business Unit; and
- d. this Resolution is continuing and Bank may fully rely on it without inquiry, until it is terminated in accordance with the Authorization.

As of the Effective Date, the Business Unit represents and warrants to Bank:

- a. that the Business Unit is bound by this Resolution;
- b. that the Business Unit is in good standing and authorized to do business in the State of (check one) Washington, Idaho as:

- (check one)
- a sole proprietor or individual,
 - a limited or general partnership,
 - a limited liability company or a corporation; and

- c. that this Resolution is signed by the individuals identified below (check one)
 - a sole proprietor or individual,
 - all general partners,
 - all managing partners or all members if there is not a managing partner,
 - all shareholders of the corporation, or
 - the person authorized by the corporation's board of directors as set forth in the separate board resolution. (See below.)

This Resolution is adopted as of the Effective Date on behalf of the Business Unit.

Signature	Title	Signature	Title
	Manager	X	

Separate Board Resolution

Separate Board Resolution if the Business Unit is a corporation and the Resolution is not signed by all of the shareholders.

As of the Effective Date, we certify under penalty of perjury to the Bank that:

- a. the Business Unit is a corporation in good standing and legally authorized to do business;
- b. the Resolution and Authorization were duly adopted by the board of directors of the Business Unit (i) at a meeting duly called and held on _____, _____ or (ii) by the undersigned unanimous consent of the entire board of directors; and
- c. this separate board resolution appears of record in the minute book of the Business Unit and has not been amended or revoked.

PRESIDENT OF BUSINESS UNIT

X

SECRETARY OF BUSINESS UNIT

X

Board of Directors of Business Unit (if adopted by unanimous consent):

X

X

X



BANK OF AMERICA, N.A. (THE "BANK")

Business Signature Card with Substitute Form W-9

Account Number: [REDACTED]

Bank Number: [REDACTED]

Account Type: DDA SAV CD

Account Title:

VERTEX INTERNATIONAL GROUP LLC

Legal Designation:

Individual/Sole Proprietor Trust/Estate Unincorporated Association C Corporation S Corporation

Partnership (Enter the type of partnership: General, LP, LLP or LLLP)

Limited Liability Company (Enter tax classification: C=C Corporation, S=S Corporation, P=Partnership or N=Single Member Sole Proprietor) C

Other (Default in W-9 instructions)

Social Security Number _____ (or) Employer Identification Number 45-3781516

By signing below, I/we acknowledge and agree that this account is and will be governed by the terms and conditions set forth in the account opening documents for my/our account, as they are amended from time to time. The account opening documents include the Deposit Agreement and Disclosures and the Business Schedule of Fees. Furthermore, I/we acknowledge the receipt of these documents. By signing below, I/we acknowledge and agree that the signature(s) will serve as verification for any transactions in connection with this account, and as the certification (set forth below) of the taxpayer identification number (TIN) to which I/we want interest reported. The Deposit Agreement includes a provision for alternative dispute resolution.

Substitute Form W-9, Certification - Under penalties of perjury, I certify that: (1) The number shown on this form is the correct taxpayer identification number (or I am waiting for a number to be issued to me), and (2) I am not subject to backup withholding because: (A) I am exempt from backup withholding, or (B) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (C) The IRS has notified me that I am no longer subject to backup withholding, and (3) I am a US citizen or other US person (Defined in the W-9 instructions).

Certification Instructions: You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. (Please refer to the IRS instructions for Form W-9).

Exempt Payee (check if applicable)

The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.

Nonresident Alien Status (if applicable) If the beneficial owner of this account is a foreign person, check here, and complete and sign the applicable Form(s) W-8.

	Name (typed or printed)	Title (if applicable)	Signature	Date
1	MARC BRYANT	MANAGER		11/30/12
2				
3				
4				
5				

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NCA 00-14-5297M 08-2012



Page 1 of 2

Signature Card Addendum on File

[Redacted] [Redacted] DDA

ATM/Deposit/Debit Card Request

Provided that the account referenced above is eligible to receive automated teller machine cards and/or Debit Cards, I (as authorized by the resolutions and/or covenants and/or other agreements which authorize this account) hereby request the issuance of such cards to any of the authorized signers on the account.

[Handwritten Signature]
Authorized Signer

Manager
Title

Review Information

Customer 1:

Name: MARC BRYANT

ID Type: US Passport W/Photo ID#: [Redacted] ID Issuer: USA Iss. Date: 01/2/2005 Exp. Date: 01/2/2015

ID Type: Debit Card-Other Fin Inst ID#: [Redacted] ID Issuer: CUA&B Iss. Date: N/A Exp. Date: 11/2014

Customer 2:

Name: _____

ID Type: _____ ID#: _____ ID Issuer: _____ Iss. Date: _____ Exp. Date: _____

ID Type: _____ ID#: _____ ID Issuer: _____ Iss. Date: _____ Exp. Date: _____

Customer 3:

Name: _____

ID Type: _____ ID#: _____ ID Issuer: _____ Iss. Date: _____ Exp. Date: _____

ID Type: _____ ID#: _____ ID Issuer: _____ Iss. Date: _____ Exp. Date: _____

Customer 4:

Name: _____

ID Type: _____ ID#: _____ ID Issuer: _____ Iss. Date: _____ Exp. Date: _____

ID Type: _____ ID#: _____ ID Issuer: _____ Iss. Date: _____ Exp. Date: _____

Customer 5:

Name: _____

ID Type: _____ ID#: _____ ID Issuer: _____ Iss. Date: _____ Exp. Date: _____

ID Type: _____ ID#: _____ ID Issuer: _____ Iss. Date: _____ Exp. Date: _____

Bank Information

Date: 11/02/2012

Banking Center Name: PORTER RANCH

Associate's Name: EVANGELINA KIM

Associate's Phone Number: 818-368-0241



2012-Dec-06 04:19 PM Bank of America 818 282 9256

2/4

2012-Dec-03 09:25 AM Bank of America 818.282.9256

2/8

Signature Card Addition to File



DDA

ATM/Debit/ID Card Request

Provided that the applicant herein is eligible to receive activated teller machine cards and/or Debit Cards, I (as authorized by the signature card) do hereby request the issuance of such cards to any of the following branches of the institution.

[Handwritten Signature]

Manager
Title

Branch Information

Customer 1:

Name MARC RYANT

ID Type: AT Personal W/Photo ID#: [REDACTED] ID Issuer: USA Iss. Date: 01/03/11 Exp. Date: 01/03/11

ID Type: ATM/Debit Card-Other Pkts ID#: [REDACTED] ID Issuer: CHASE Iss. Date: N/A Exp. Date: 11/2011

Customer 2:

Name _____

ID Type: _____ ID#: _____ ID Issuer: _____ Iss. Date: _____ Exp. Date: _____

ID Type: _____ ID#: _____ ID Issuer: _____ Iss. Date: _____ Exp. Date: _____

Customer 3:

Name _____

ID Type: _____ ID#: _____ ID Issuer: _____ Iss. Date: _____ Exp. Date: _____

ID Type: _____ ID#: _____ ID Issuer: _____ Iss. Date: _____ Exp. Date: _____

Customer 4:

Name _____

ID Type: _____ ID#: _____ ID Issuer: _____ Iss. Date: _____ Exp. Date: _____

ID Type: _____ ID#: _____ ID Issuer: _____ Iss. Date: _____ Exp. Date: _____

Customer 5:

Name _____

ID Type: _____ ID#: _____ ID Issuer: _____ Iss. Date: _____ Exp. Date: _____

ID Type: _____ ID#: _____ ID Issuer: _____ Iss. Date: _____ Exp. Date: _____

Bank Information

Date: 11/20/12

Branch Center Name: PORTER RANCH

Associate's Name: EVANGELINA RIM

Associate's Phone Number: 818-344-2241

MCA
06-14-9297M 09-2012



Page 2 of 2



Certified Copy of Corporate Resolutions - Opening and Maintaining Deposit Accounts and Services

BANK OF AMERICA, N.A. (THE "BANK")

Name of Corporation BECHTEL ADVISORY GROUP, INC

I, the undersigned, hereby certify to BANK OF AMERICA, N.A.

that I am the Secretary/Assistant Secretary and the designated keeper of the records and minutes of

BECHTEL ADVISORY GROUP, INC

duly organized and existing under the laws of the State of Virginia (the "Corporation"); that the following is a true copy of resolutions duly adopted by the Board of Directors of said Corporation at a meeting duly held on the 29 day of February, 2013, at which a quorum was present and acted throughout or adopted by the unanimous written consent of the Board of Directors; and that such resolutions are in full force and effect and have not been amended or rescinded.

I. Resolved, that BANK OF AMERICA, N.A. (the "Bank") is hereby designated as a depository of the Corporation and that deposit accounts and/or time deposits (CDs) be opened and maintained in the name of this Corporation with Bank in accordance with the terms of the Bank's Deposit Agreement and Disclosures and the applicable rules and regulations for such accounts; that any one of the following officers or employees of the Corporation:

<u>Marc Bryant</u> Name	<u>President / Secretary</u> Title
<u>/</u> Name	<u>/</u> Title
<u>/</u> Name	<u>/</u> Title
<u>/</u> Name	<u>/</u> Title

is hereby authorized, on behalf of this Corporation and in its name, to execute and to sign any application, deposit agreement, signature card and any other documentation required by Bank to open said accounts; to sign checks, drafts, notes, bills of exchange, acceptances, time deposits (CDs) or other orders for payment of money; to endorse checks, drafts, notes, bills, time deposits (CDs) or other instruments owned or held by this Corporation for deposit with Bank or for collection or discount by Bank; to accept drafts, acceptances, and other instruments payable at Bank; to place orders with Bank for the purchase and sale of foreign currencies on behalf of this Corporation; to execute and deliver an electronic fund transfer agreement and to make transfers or withdrawals by electronic transfer on behalf of the Corporation; to obtain an access device (including but not limited to a card, code, or other means of access to the Corporation's accounts) that may be used for the purpose of initiating electronic fund transfers (Corporation agrees and acknowledges that neither the Electronic Funds Transfer Act (15 U.S.C. 1693 et seq.) nor Regulation E (12 C.F.R. Part 205) are applicable to any such access device); to establish and maintain a night deposit relationship; to execute and deliver a wire transfer agreement and to request, or to appoint or delegate from time to time such persons who may request, wires of funds; to enter into any agreements with the Bank for the provision by Bank of various Treasury Management services to this Corporation as such officer or employee may determine, in his or her sole discretion, and to sign any and all documents and take all actions required by Bank relative to such Treasury Management services or the performance of the Corporation's obligations thereunder; and that any such Treasury Management agreement(s) shall remain in full force and effect until written notice to terminate is given in accordance with the terms of any such agreement shall have been received by Bank and that such termination shall not affect any action taken by the Bank prior to such termination; to rent or lease a safe deposit box from Bank, to execute the rental agreement or lease, to enter the safe deposit box and to terminate the rental agreement or lease; to take whatever other actions or enter in to whatever other agreements relating to the accounts or investment of funds in such accounts with Bank and to execute, amend, supplement and deliver to Bank such agreements on behalf of the Corporation upon such terms and conditions as such officer or employee may deem appropriate and to appoint and delegate, from time to time, such person(s) who may be authorized to enter into such agreements and take any other actions pursuant to such agreements in connection with said accounts that the officer or employee deems necessary; and to waive prepayment, demand, protest, and notice of protest or dishonor of any check, note, bill, draft, or other instrument made, drawn or endorsed by this Corporation; and

2. Further Resolved, that the Bank be and is hereby authorized to honor, receive, certify, pay or exchange for money orders or other instruments all instruments signed in accordance with the foregoing resolutions even though such payment may create an overdraft or even though such instruments may be drawn or endorsed to the order of any officer or employee signing the same or tendered by such officer or employee or a third party for exchange or cashing, or in payment of the individual obligation of such officer or employee, or for deposit to such officer's or employee's personal account and Bank shall not be required or be under any obligation to inquire as to the circumstances of the issuance or use of any instrument signed in accordance with the foregoing resolutions or the application or disposition of such instrument or the proceeds thereof; and, further, that the Bank is authorized to honor any instructions regarding withdrawals, orders for payment or transfer of funds whether oral, by telephone or electronic means (if such withdrawal, orders or transfer are initiated by an above authorized officer or employee); and

3. Further Resolved, that the Bank be and is hereby requested, authorized and directed to honor and to treat as authorized, checks, drafts or other orders for the payment of money drawn or purportedly drawn in this Corporation's name, including those payable to the individual order of any person whose name appears thereon as signer thereof, when bearing or purporting to bear the facsimile signature of an officer or employee authorized in the foregoing resolutions and Bank shall be entitled to honor, to treat as authorized, and to charge this Corporation for such checks, drafts, or other orders regardless of by whom or by what means the actual or purported facsimile signature thereon may have been affixed thereto, if such signature resembles the facsimile specimen duly certified to or filed with the Bank by the Secretary or Assistant Secretary or other officer of this Corporation or if such facsimile signature resembles any facsimile signature previously affixed to any check, draft, or other order drawn in the Corporation's name, which check, draft, or other order was accepted and paid without timely objection by the Corporation, thereby ratifying the use of such facsimile signature; and the Corporation hereby indemnifies and holds the Bank harmless against any and all loss, cost, damage or expense suffered or incurred by the Bank arising out of or in any way related to the misuse or unlawful or unauthorized use by a person of such facsimile signature; and

NCA
00-14-9012M 03-2011



2013-Feb-26 02:38 PM Bank of America 818 782-5256

2/4

[Redacted] 52/5

4. Further Resolved, all endorsements for deposit may be evidenced by the name of the Corporation being written or stamped on the check or other instrument deposited, without designation of the party making the endorsement, and Bank is authorized to supply any endorsement on any instrument tendered for deposit or collection; and

5. Further Resolved, that the Secretary or Assistant Secretary of this Corporation shall certify to Bank names and signatures of persons authorized to act on behalf of this Corporation under the foregoing resolutions and shall from time to time hereafter, as changes in the identity of said officers and employees are made, immediately report, furnish and certify such changes to Bank and shall submit to Bank a new account signature card reflecting such change(s) in order to make such changes effective and Bank shall be fully protected in relying on such certifications and shall be indemnified and saved harmless from any claim, demands, expenses, losses, or damages resulting from, or growing out of, honoring the signature of any officer or employee so certified, or refusing to honor any signature not so certified; and

6. Further Resolved, the foregoing resolutions shall remain in full force and effect and the authority herein given to all of said persons shall remain irrevocable as far as Bank is concerned until three (3) business days after Bank is notified in writing of the revocation of such authority and that receipt of such notice shall not affect any action taken by said Bank prior thereto; and

7. Further Resolved, that all transactions by any officer or employee of this Corporation on its behalf and in its name with Bank prior to the delivery to Bank of a certified copy of the foregoing resolutions are, in all respects, hereby ratified, confirmed, approved and adopted; and

8. Further Resolved, that the Secretary or Assistant Secretary be and hereby is, authorized and directed to certify these resolutions to said Bank and that the provisions hereof are in conformity with the Charter or Articles of Incorporation and Bylaws of this Corporation and that the Secretary or Assistant Secretary be, and hereby is, authorized and directed to certify, from time to time hereafter, the names of the holders of the above authorized titles and their signatures on any signature card or other documentation required by said Bank.

In Witness Whereof, I have hereunto subscribed my name and affixed the seal of this Corporation, this

[Signature] February 2013
Secretary/Assistant Secretary

(Corporate Seal)

[Signature]



Bank Information	
Date	02/22/2013
Banking Center Name	PORTER RANCH
Associate's Name	EVANGELINA KIM
Associate's Phone Number	818-366-0241

CO-14-9012M 02-2011



BANK OF AMERICA, N.A. (THE "BANK")

Business Signature Card with Substitute Form W-9

Account Number: [REDACTED]

Bank Number: [REDACTED]

Account Type: DDA SAV CD

Account Title: BECHTEL ADVISORY GROUP, INC

Legal Designation:

- Individual/Sole Proprietor
- Trust/Estate
- Unincorporated Association
- C Corporation
- S Corporation
- Partnership (Enter the type of partnership: General, LP, LLP or LLLP)
- Limited Liability Company (Enter tax classification: C=C Corporation, S=S Corporation, P=Partnership or M=Single Member Sole Proprietor)
- Other (Defined in W-9 instructions)

Social Security Number _____ (or) Employer Identification Number 46-1989766

By signing below, I/we acknowledge and agree that this account is and will be governed by the terms and conditions set forth in the account opening documents for my/our account, as they are amended from time to time. The account opening documents include the Deposit Agreement and Disclosures and the Business Schedule of Fees. Furthermore, I/we acknowledge the receipt of these documents. By signing below, I/we acknowledge and agree that the signature(s) will serve as verification for any transactions in connection with this account, and as the certification (set forth below) of the taxpayer identification number (TIN) to which I/we want interest reported. The Deposit Agreement includes a provision for alternative dispute resolution.

Substitute Form W-9, Certification - Under penalties of perjury, I certify that: (1) The number shown on this form is the correct taxpayer identification number (or I am waiting for a number to be issued to me), and (2) I am not subject to backup withholding because: (A) I am exempt from backup withholding, or (B) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (C) The IRS has notified me that I am no longer subject to backup withholding, and (3) I am a US citizen or other US person (Defined in the W-9 instructions).

Certification Instructions: You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. (Please refer to the IRS instructions for Form W-9).

Exempt Payee (check if applicable)

The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.

Nonresident Alien Status (if applicable) If the beneficial owner of this account is a foreign person, check here, and complete and sign the applicable Form(s) W-8.

Name (typed or printed)	Title (if applicable)	Signature	Date
1 MARC BRYANT	PRES/SEC		2/27/13
2			
3			
4			
5			

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NCA 00-14-7297M 02-2013



Page 1 of 2

10. SUCCESSOR AND ASSIGNS. This Agreement shall be applicable and inure to the benefit of all successors and assigns of the Parties.

11. COUNTERPARTS. The Agreement may be executed in multiple counter parts, each of which shall constitute one and the same instrument.

IN WITNESS WHEREOF, the Parties have executed this Consulting Agreement consisting of 3 pages, including this page, as of this first day of July 1, 2012.

COMPANY: Global Energy Technology Group Inc.

By: John Patten
President

CONSULTANT: Vertex International Group Inc.

By: [Signature]
President



P0126

Action Stock Transfer Corp.

2469 E. Fort Union Blvd, Suite 214
Salt Lake City, Utah 84121
(801) 274-1088

Bill to:	BIO-GLOBAL RESOURCES 74930 COUNTRY CLUB DRIVE, SUITE 540-191 PALM DESERT, CA 92260	Invoice Date 02/01/2012	Invoice Number 26384
Issuer	GLOBAL ENERGY TECHNOLOGY GROUP INC	Stock Type	Common
Number of certificates issued	2	Cost per certificate	\$20.00
Number of certificates cancelled	1	Total issue charge	\$40.00
OTHER=CERTIFICATE INVENTORY		Cancelled Cert Charge	\$0.00
		Recission Fees	\$0.00
		Legal Fees	\$0.00
		Rush Transfer	\$0.00
		Shipping	\$50.00
		Other	\$0.00
Please forward your check.		Check No.	0
		Amount Paid	\$0.00
Action Stock Transfer Corp.			Total Due \$90.00

TRANSFER DETAILS

We acknowledge receipt of certificates of the above company as follows:

Number	RS	SS	Shareholder	Lost?	Shares
10	R		BIO-GLOBAL RESOURCES	<input type="checkbox"/>	1,822,898
Total Shares Canceled					1,822,898

In accordance with your request we hand you herewith the following certificates:

Number	RS	Shareholder	Shares
82	R	ELECTRONIC REGISTRY INC	800,000
83	R	VERTEX INTERNATIONAL GROUP LLC	1,022,898
Total Shares Issued			1,822,898

500
 INV 26384 29 4 000
 26385

RS - Restriction Code SS - Certificate involved in Stock Split

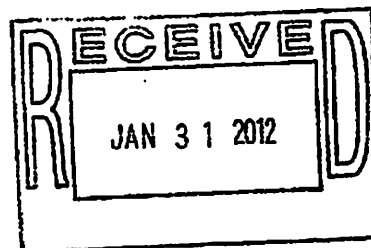


Subject: Transfer request instruction
From: Marc Bryant [REDACTED]@yahoo.com)
To: [REDACTED]@yahoo.com;
Date: Tuesday, January 31, 2012 1:40 PM

Dear Justeene,
Please transfer 800,000 shares of stock (Eight hundred thousand) from Bio Global Resources, INC. to:
Electronic registry, INC.

Sincerely,
Marc Bryant
ERI, INC.

[REDACTED]
1/31/2012

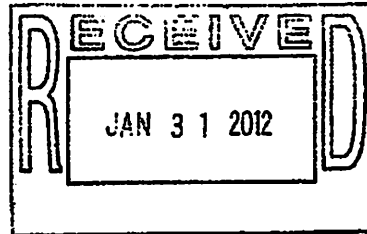


Subject: stock transfer request instructions
From: Marc Bryant [REDACTED]@yahoo.com)
To: [REDACTED]@yahoo.com;
Date: Tuesday, January 31, 2012 2:44 PM

Please transfer 22,898 shares (Twenty-two thousand, eight hundred, ninety eight) from bio Global to:

VERTEX INTERNATIONAL GROUP, LLC.

Sincerely,
Marc Bryant
Vertex, LLC
[REDACTED]



Subject: Transfer request instruction letter

From: Marc Bryant [REDACTED]@yahoo.com)

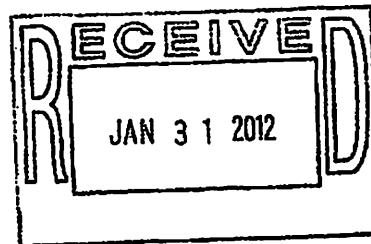
To: [REDACTED]@yahoo.com;

Date: Tuesday, January 31, 2012 1:36 PM

Dear Justeene,

Please transfer the entire amount of shares 1,000,000 (One million) of GLOBAL ENERGY TECHNOLOGIES, INC. STOCK from BIO GLOBAL TO: VERTEX INTERNATIONAL GROUP, LLC.

Marc Bryant
Vertex LLC.
[REDACTED]



Subject: Re: Transfer request instruction letter
From: Marc Bryant [redacted]@yahoo.com)
To: [redacted]@yahoo.com;
Date: Tuesday, January 31, 2012 2:32 PM

The cost basis is .10 cents per share.

From: Justeene Blankenship [redacted]@yahoo.com>
To: Marc Bryant [redacted]@yahoo.com>
Sent: Tuesday, January 31, 2012 1:10 PM
Subject: Re: Transfer request instruction letter

The Bio-Global cert is 1,822,898 shares. After I transfer 1 million to Electronic Registry and 800,000 to Vertex there are 22,898 shares remaining. What do you want me to do with the balance?

Also, what is the cost basis for these new certs I'm issuing?

****NEW ADDRESS**** Justeene Blankenship Action Stock Transfer Corp. 2469 E. Fort Union Blvd, Ste 214 Salt Lake City, UT 84121 (801) 274-1088 office [redacted] cell (801) 274-1099 fax [redacted]@yahoo.com
www.actionstocktransfer.com

From: Marc Bryant [redacted]@yahoo.com>
To: [redacted]@yahoo.com" [redacted]@yahoo.com>
Sent: Tuesday, January 31, 2012 1:36 PM
Subject: Transfer request instruction letter

Dear Justeene,

Please transfer the entire amount of shares 1,000,000 (One million) of GLOBAL ENERGY TECHNOLOGIES, INC. STOCK from BIO GLOBAL TO:
 VERTEX INTERNATIONAL GROUP, LLC.

Marc Bryant
 Vertex LLC.
 [redacted]

JS-000355

INCORPORATED UNDER THE LAWS

OF THE STATE OF NEVADA

NUMBER

SHARES

C-0010

1,822,898*

BIO-ENERGY-TECHNOLOGY-GROUP, INC.

Authorized Capital Stock = 1,000,000,000 Shares of \$0.01 Par Value

998,000,000 Shares of Common Stock

2,000,000 Shares of Preferred Stock

CANCELLED

CANCELLED

CANCELLED

This Certificate that

BIO-GLOBAL RESOURCES

is the

registered holder of

1,822,898*

Shares

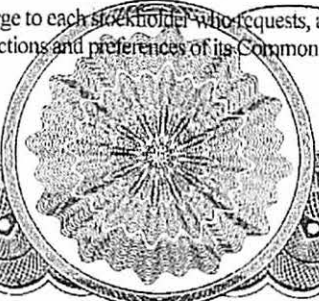
\$.001 Par Value Common Stock

transferable only on the books of the Corporation by the holder hereof in person or by Attorney upon surrender of this Certificate properly endorsed.

In Witness Whereof, the said Corporation has caused this Certificate to be signed by its duly authorized officers and its Corporate Seal to be hereunto affixed this 15th day of January A. D. 2011

The Corporation will furnish at its principal office, without charge to each stockholder who requests, a statement of the powers, designations, preferences and relative participating, optional or other special rights, limitations or restrictions and preferences of its Common Stock and Series A Convertible Preferred Stock.

John Potter
John Potter, President



Perry Douglas West
Perry Douglas West, Secretary

THE SHARES HAVE NOT BEEN REGISTERED UNDER THE SECURITIES ACT OF 1933, AS AMENDED (THE "SECURITIES ACT"), AND MAY NOT BE SOLD, PLEDGED OR OTHERWISE TRANSFERRED EXCEPT (1) PURSUANT TO AN EFFECTIVE REGISTRATION STATEMENT UNDER THE SECURITIES ACT; (2) PURSUANT TO AN EXEMPTION FROM REGISTRATION UNDER THE SECURITIES ACT PROVIDED BY RULE 144 THEREUNDER (IF AVAILABLE); OR (3) PURSUANT TO ANOTHER EXEMPTION AVAILABLE UNDER THE SECURITIES ACT, IN EACH CASE, IN ACCORDANCE WITH ALL APPLICABLE SECURITIES LAWS OF THE UNITED STATES AND OTHER JURISDICTIONS.

RECEIVED

CANCELLED

CANCELLED

CANCELLED

For Value Received, _____ hereby sell, assign and transfer unto _____

_____ Shares represented by the within Certificate, and do hereby irrevocably constitute and appoint

_____ Attorney to transfer the said Stock on the books of the within named Corporation with full power of substitution in the premises.

Dated _____ 20__

In presence of _____

NOTICE: THE SIGNATURE OF THE ASSIGNEE MUST CORRESPOND WITH THE NAME AS WRITTEN UPON THE FACE OF THE CERTIFICATE. IN EVERY INSTANCE WITHOUT ATTENTION OR REASSESSMENT OF ANY CLERK, MATCHER



**BIO-GLOBAL
RESOURCES, INC.**

CORPORATE RESOLUTION

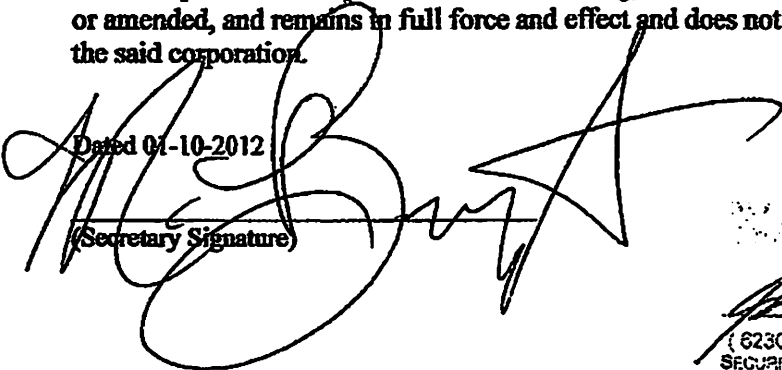
**OF
Bio-Global Resources, Inc.**


AUTHORIZING OFFICERS TO ASSIGN AND ENDORSE CERTIFICATES

LET IT BE RESOLVED, that the President, David Welch, Secretary/Treasurer, Marc Bryant

Or any one of them acting individually, are hereby authorized to sell, assign and endorse for transfer, certificates representing stocks, bonds, or other securities now registered or hereafter registered in the name of this corporation.

I, Marc Bryant, Secretary of Bio-Global Resources, Inc. Incorporated under the laws of the State of Nevada hereby certify that the forgoing is a resolution duly adopted by the Board of Directors of said corporation at a meeting held the 10th day of January, 2012, at which a quorum was represented and the voting, and that the same has not been repealed or amended, and remains in full force and effect and does not conflict with the by-laws of the said corporation.

Dated 01-10-2012

(Secretary Signature)

SIGNATURE GUARANTEE
MEDALLION GUARANTEE
JPMORGAN CHASE
BANK, N.Y.

(623CA) AUTHORIZED SIGNATURE
29010406
SECURITIES TRANSFER AGENTS MEDALLION PROGRAM

IRREVOCABLE STOCK POWER

FOR VALUE RECEIVED, the undersigned does (do) hereby sell assign and transfer unto

ACTION STOCK TRANSFER

_____ (SSN or Tax Payer ID)
_____ shares of the _____ stock of _____

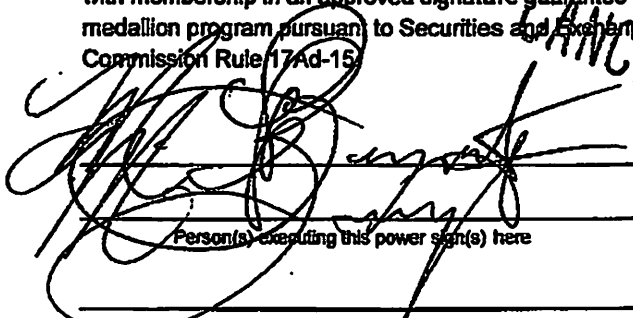
represented by certificate(s) no(s) _____

inclusive, standing in the name of the undersigned on the books of said Company.

The undersigned does (do) hereby irrevocably constitute and appoint _____

_____ Attorney to transfer the said stock on
the books of said Company, with full power of substitution in the premises.

IMPORTANT: The signature(s) of the assignor(s) must be guaranteed by an eligible guarantor institution (bank, stock broker, savings and loan association or credit union) with membership in an approved signature guarantee medallion program pursuant to Securities and Exchange Commission Rule 17Ad-15.



Person(s) executing this power sign(s) here

Signature Medallion Guaranteed

CANCELLED

CANCELLED

CANCELLED

SIGNATURE GUARANTEE!
MEDALLION GUARANTEE!
JPMORGAN CHASE
BANK N.A.

150404 AUTHORIZED SIGNATURE
29010408
SECURITIES TRANSFER AGENTS MEDALLION PROGRAM™
|||||

Action Stock Transfer Corp.

2469 E. Fort Union Blvd, Suite 214
Salt Lake City, Utah 84121
(801) 274-1088

Bill to:	VERTEX INTERNATIONAL GROUP LLC 6303 OWENSMOUTH AVEN 10TH FL WOODLAND HILLDS, CA 91367	Invoice Date	02/01/2012	Invoice Number	26385	
Issuer	GLOBAL ENERGY TECHNOLOGY GROUP INC	Stock Type	Common			
Number of certificates issued	10	Cost per certificate	\$20.00	Total issue charge	\$200.00	
Number of certificates cancelled	1			Cancelled Cert Charge	\$0.00	
MAILED Please forward your check.				Recission Fees	\$0.00	
				Legal Fees	\$0.00	
				Rush Transfer	\$0.00	
				Shipping	\$0.00	
				Other	\$0.00	
				Amount Paid	\$0.00	
				Check No.	0	
Action Stock Transfer Corp.					Total Due	\$200.00

TRANSFER DETAILS

We acknowledge receipt of certificates of the above company as follows:

Number	RS	SS	Shareholder	Lost?	Shares
83	R		VERTEX INTERNATIONAL GROUP LLC	<input type="checkbox"/>	1,022,898
Total Shares Canceled					1,022,898

In accordance with your request we hand you herewith the following certificates:

Number	RS	Shareholder	Shares
109	R	████████████████████	32,000
110	R	██	40,000
111	R	████████████████	10,000
112	R	████████████████	48,000
113	R	████████████████	96,000
114	R	████████████████	10,000
115	R	████████████████	20,000
116	R	████████████████	10,000
117	R	████████████████ ██████████████████	40,000
118	R	VERTEX INTERNATIONAL GROUP LLC	716,898
Total Shares Issued			1,022,898

mailed to
ST 2/1/12

Safe

RS - Restriction Code SS - Certificate involved in Stock Split



Action Stock Transfer Corp

Attn: Justeene Blankenship

2469 E. Fort Union Blvd, Suite 214

Salt Lake City, UT 84121

January 30, 2012

RE: Stock Certificate issue request

Hi Justeene-

Enclosed with these instructions, please find an Excel spreadsheet of investors purchasing Global Energy Technology Group (GETG) stock from Vertex International Group, LLC. You will find the name, address, Tax I. D. number, number of shares purchased, and purchase price paid, for each investor.

Please issue these Stock Certificates into GETG and ship the Certificates back to Vertex, at the address listed below. We will mail the Certs to the investors from our office.

Let me know if there is anything else you need to proceed with our request.

Respectfully Yours,

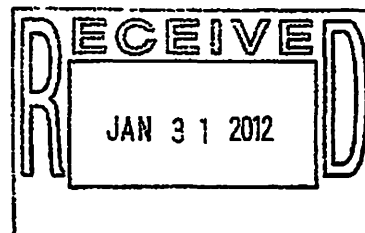
Marc Bryant

President

Vertex International Group, LLC

6303 Owensmouth Ave, 10th Floor

Woodland Hills, CA 91367



Global Energy Technology Group Certificate Transfers from VERTEX INTL GROUP

<u>Last</u>	<u>First</u>	<u>Tax I.D. #</u>	<u>Address</u>	<u>City</u>	<u>St</u>	<u>zip</u>	<u># Shares</u>	<u>\$ Amt</u>	<u>Per sh</u>
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	MINNEAPOLIS	MN	[REDACTED]	32,000	8,000	0.25
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	ESCONDIDO	CA	[REDACTED]	40,000	\$10,000	0.25
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	CHICKASHA	OK	[REDACTED]	10,000	\$2,500	0.25
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	PRINCEVILLE	IL	[REDACTED]	48,000	\$12,000	0.25
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	PRINCEVILLE	IL	[REDACTED]	96,000	\$12,000	0.125
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	SPRING VALLEY	CA	[REDACTED]	10,000	\$2,500	0.25
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	SCOTTSDALE	AZ	[REDACTED]	20,000	\$5,000	0.25
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	NEWPORT BEACH	CA	[REDACTED]	10,000	\$2,500	0.25
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	TIGARD	OR	[REDACTED]	40,000	\$10,000	0.25
							<u>306,000</u>		

JB-000361

RESTRICTED STOCK

INCORPORATED UNDER THE LAWS OF NEVADA

NUMBER

83

SHARES

1,022,898



GLOBAL ENERGY
Technology Group

Fully Paid Non Assosable \$0.001 Par Value COMMON STOCK

THIS CERTIFIES THAT ***VERTEX INTERNATIONAL GROUP LLC***

IS THE RECORD HOLDER OF ***ONE MILLION TWENTY TWO THOUSAND EIGHT HUNDRED NINETY EIGHT***

Shares of *Global Energy Technology Group, Inc.* Common Stock
transferable on the books of the Corporation by the holder in person or by duly authorized attorney upon surrender of this Certificate properly
endorsed. This Certificate is not valid until countersigned by the Transfer Agent and registered by the Registrar.

WITNESS the facsimile seal of the Corporation and the facsimile signature of its duly authorized officers.

Dated: February 1, 2012

COUNTERSIGNED AND REGISTERED
ACTION STOCK TRANSFER CORP.
2469 E. Ft. Union Blvd., #214, Salt Lake City, UT 84121

By: _____
TRANSFER AGENT AUTHORIZED SIGNATURE

Henry J. ...
PRESIDENT



Henry J. ...
SECRETARY

RESTRICTED STOCK

The following abbreviations, when used in the inscription on the face of this certificate, shall be construed as though they were written out in full according to applicable laws or regulations:

TEN COM - as tenants in common
TEN ENT - as tenants by the entireties
JT TEN - as joint tenants with rights of survivorship and not as tenants in common

UNIF GIFT MIN ACT - Custodian
(Cust) (Minor)
under Uniform Gifts to Minors Act
(State)

UNIF TRF MIN ACT - Custodian (until age.....)
(Cust)
..... Under Uniform Transfers to Minors Act.....
(Minor)
(State)

Additional abbreviations may also be used though not in the above list.

FOR VALUE RECEIVED, **CANCELLED** _____ hereby sell, assign and transfer unto

PLEASE INSERT SOCIAL SECURITY OR OTHER IDENTIFYING NUMBER OF ASSIGNEE _____

(PLEASE PRINT OR TYPE NAME AND ADDRESS, INCLUDING ZIP CODE, OF ASSIGNEE)

CANCELLED

_____ Shares
of the capital stock represented by the within Certificate, and do hereby irrevocably constitute and appoint

_____ Attorney
to transfer the said stock on the books of the within named Corporation with full power of substitution in the premises.

Dated _____

X _____
CANCELLED

X _____

NOTICE: THE SIGNATURE(S) TO THIS ASSIGNMENT MUST CORRESPOND WITH THE NAME(S) AS WRITTEN UPON THE FACE OF THE CERTIFICATE IN EVERY PARTICULAR, WITHOUT ALTERATION OR ENLARGEMENT OR ANY CHANGE WHATEVER.

"THE SHARES REPRESENTED BY THIS CERTIFICATE HAVE NOT BEEN REGISTERED UNDER THE SECURITIES ACT OF 1933, AS AMENDED (THE "SECURITIES ACT"), OR ANY APPLICABLE STATE SECURITIES LAWS. THE SHARES HAVE BEEN ACQUIRED FOR INVESTMENT PURPOSES AND MAY NOT BE OFFERED, SOLD, DELIVERED AFTER SALE, TRANSFERRED, PLEDGED, HYPOTHECATED OR OTHERWISE TRANSFERRED IN THE ABSENCE OF AN EFFECTIVE REGISTRATION STATEMENT FILED BY THE ISSUER WITH THE U.S. SECURITIES AND EXCHANGE COMMISSION COVERING THE SHARES UNDER THE SECURITIES ACT OR AN EXEMPTION FROM THE REGISTRATION REQUIREMENTS OF SAID ACT THAT IS THEN APPLICABLE TO THE SHARES. AN OPINION OF COUNSEL SATISFACTORY TO THE ISSUER MAY BE REQUIRED BY THE ISSUER OR THE TRANSFER AGENT."

IRREVOCABLE STOCK POWER

FOR VALUE RECEIVED, the undersigned does (do) hereby sell assign and transfer unto

ACTION STOCK TRANSFER

(SBI or Tax Payer ID)

_____ shares of the _____ stock of _____

represented by certificate(s) no(s) _____

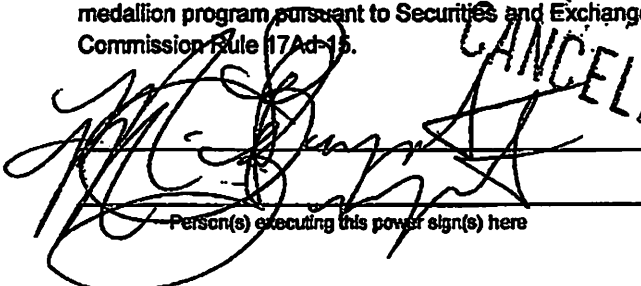
inclusive, standing in the name of the undersigned on the books of said Company.

The undersigned does (do) hereby irrevocably constitute and appoint _____

_____ Attorney to transfer the said stock on

the books of said Company, with full power of substitution in the premises.

IMPORTANT: The signature(s) of the assignor(s) must be guaranteed by an eligible guarantor institution (bank, stock broker, savings and loan association or credit union) with membership in an approved signature guarantee medallion program pursuant to Securities and Exchange Commission Rule 17Ad-15.



Person(s) executing this power sign(s) here

Signature Medallion Guaranteed

CANCELLED

CANCELLED

CANCELLED

SIGNATURE GUARANTEE
MEDALLION GUARANTEE
JPMORGAN CHASE
BANK N.A.

534CA
AUTHORIZED SIGNATURE
29030406
SECURITIES TRUST AGENTS MEDALLION PROGRAM



CORPORATE RESOLUTION
OF
Vertex International Group, LLC

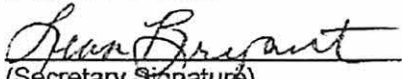
AUTHORIZING OFFICERS TO ASSIGN AND ENDORSE CERTIFICATES

LET IT BE RESOLVED, that the President, Marc Bryant, Secretary/Treasurer, Leon D. Bryant

Or any one of them acting individually, are hereby authorized to sell, assign and endorse for transfer, certificates representing stocks, bonds, or other securities now registered or hereafter registered in the name of this corporation.

I, Leon D. Bryant, Secretary of Vertex International Group, LLC Incorporated under the laws of the State of Wyoming hereby certify that the forgoing is a resolution duly adopted by the Board of Directors of said corporation at a meeting held the 17th day of January, 2012, at which a quorum was represented and the voting, and that the same has not been repealed or amended, and remains in full force and effect and does not conflict with the by-laws of the said corporation.

Dated 01-17-2012



(Secretary Signature)

Action Stock Transfer Corp.

2469 E. Fort Union Blvd, Suite 214
Salt Lake City, Utah 84121
(801) 274-1088

Bill to:	NAFTA ENVIRONMENTAL	Invoice Date 03/09/2012	Invoice Number 28036
Issuer	GLOBAL ENERGY TECHNOLOGY GROUP INC	Stock Type	Common
Number of certificates issued	2	Cost per certificate	\$20.00
Number of certificates cancelled	1	Total issue charge	\$40.00
TRANSFER		Cancelled Cert Charge	\$0.00
		Recission Fees	\$0.00
		Legal Fees	\$0.00
		Rush Transfer	\$0.00
		Shipping	\$0.00
		Other	\$0.00
Please forward your check.	Check No. 0	Amount Paid	\$0.00
Action Stock Transfer Corp.			Total Due \$40.00

TRANSFER DETAILS

We acknowledge receipt of certificates of the above company as follows:

Number	RS	SS	Shareholder	Lost?	Shares
26	R		NAFTA ENVIRONMENTAL	<input type="checkbox"/>	1,000,000
Total Shares Canceled					1,000,000

In accordance with your request we hand you herewith the following certificates:

Number	RS	Shareholder	Shares
148	R	VERTEX INTERNATIONAL GROUP LLC <i>safe</i>	900,000
149	R	ELECTRONIC REGISTRY INC <i>safe</i>	100,000
Total Shares Issued			1,000,000

RS - Restriction Code SS - Certificate involved in Stock Split



Subject: Revised Request for stock transfer
From: Marc Bryant [REDACTED]@yahoo.com)
To: [REDACTED]@yahoo.com;
Date: Friday, March 9, 2012 12:03 PM

1) Please transfer 900,000 shares of (GETG) stock from Nafta Agriculture Technologies, INC.

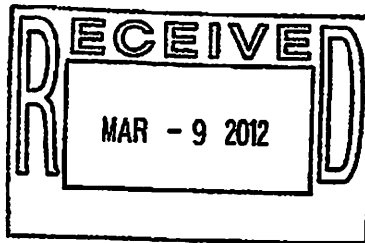
TO: Vertex International Group, LLC

2) Please Transfer 100,000 shares of (GETG) stock from Nafta International Group, LLC

TO: Electronic Registry, INC.

Thank You.
Marc Bryant
CEO
NAFTA/ERI

P.S.
I will also fax over the Nafta Resolution.



JS-000-01

INCORPORATED UNDER THE LAWS

OF THE STATE OF NEVADA

NUMBER

C-0026

SHARES

1,000,000

GEORGE ENERGY TECHNOLOGY GROUP INC.

Authorized Capital Stock, \$1,000,000,000 Shares of \$1.00 Par Value

998,000,000 Shares of Common Stock

2,000,000 Shares of Preferred Stock

~~This certifies that~~

NAFTA ENVIRONMENTAL

is the

~~registered holder of~~

~~1,000,000*~~

~~Shares~~

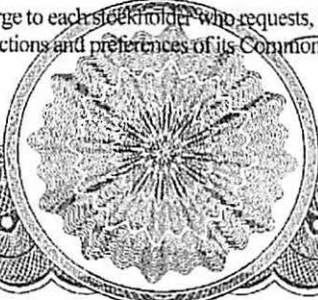
\$0.01 Par Value Common Stock

~~transferable only on the books of the Corporation by the holder hereof in person or by Attorney upon surrender of this Certificate properly endorsed.~~

In Witness Whereof, the said Corporation has caused this Certificate to be signed by its duly authorized officers and its Corporate Seal to be hereunto affixed this 15th day of January A. D. 2011

The Corporation will furnish at its principal office, without charge to each stockholder who requests, a statement of the powers, designations, preferences and relative participating, optional or other special rights, limitations or restrictions and preferences of its Common Stock and Series A Convertible Preferred Stock.

John Potter
John Potter, President



Perry Douglas West
Perry Douglas West, Secretary

IRREVOCABLE STOCK POWER

FOR VALUE RECEIVED, the undersigned does (do) hereby sell assign and transfer unto

ASTON STOCK TRANSFER

_____ (SSN or Tax Payer ID)

_____ shares of the _____ stock of _____

represented by certificate(s) no(s) _____

inclusive, standing in the name of the undersigned on the books of said Company.

The undersigned does (do) hereby irrevocably constitute and appoint _____

_____ attorney to transfer the said stock on the books of said Company, with full power of substitution in the premises.

IMPORTANT: The signature(s) of the assignor(s) must be guaranteed by an eligible guarantor institution (bank, stock broker, savings and loan association or credit union) with membership in an approved signature guarantee medallion program pursuant to Securities and Exchange Commission Rule 17Ad-15.

[Handwritten Signature]

Person(s) executing this power sign(s) here

Signature Medallion Guaranteed

CANCELLED

CANCELLED

CANCELLED

SIGNATURE GUARANTEE
MEDALLION GUARANTEE
JPMORGAN CHASE
BANK, N.A.

(5340A) AUTHORIZED SIGNATURE
Z9010406
SECURITIES TRANSFER AGENT & MEDALLION PROGRAM™

ACTION BY WRITTEN CONSENT OF

SOLE INCORPORATOR

OF

NAFTA AGRICULTURE TECHNOLOGIES INC.

The undersigned, being the sole incorporator of NAFTA AGRICULTURE TECHNOLOGIES INC. A California corporation (the "Corporation"), and acting pursuant to the provisions of California Law authorizing the incorporator to elect the directors if the initial directors have not been named in the articles, hereby takes the following action and adopts the following resolutions:

APPOINTMENT OF BOARD OF DIRECTORS

RESOLVED, that the following individuals be, and they hereby are, appointed as the directors of the Corporation, effective as of the date hereof:

MARC BRYANT

RESIGNATION OF INCORPORATOR

RESOLVED, that the undersigned, having appointed the board of directors of the Corporation, hereby resigns as the incorporator of the Corporation, effective as of the date hereof.

IN WITNESS WHEREOF, the undersigned has executed this Action by Sole Incorporator, effective as of the 5TH day of July 2011.

Nancy Hernandez
Nancy Hernandez, Incorporator

3390106

ARTS-GS	Articles of Incorporation of a General Stock Corporation
----------------	---

To form a general stock corporation in California, you can fill out this form or prepare your own document, and submit for filing along with:

- A \$100 filing fee,
- A separate, non-refundable \$15 service fee, if you drop off the completed form or document.

Important! Corporations in California may have to pay a minimum \$800 yearly tax to the California Franchise Tax Board. Go to www.ftb.ca.gov for more information.

Note: Before submitting the completed form, you should consult with a private attorney for advice about your specific business needs.

ENDORSED - FILED
in the office of the Secretary of State
of the State of California

JUL 5 2011

This Space For Office Use Only

For questions about this form, go to www.sos.ca.gov/business/be/filing-tips.htm.

Corporate Name (List the proposed corporate name. Go to www.sos.ca.gov/business/bename-availability.htm for general corporate name requirements and restrictions.)

① The name of the corporation is nafta agriculture technologies Inc.

Corporate Purpose

② The purpose of the corporation is to engage in any lawful act or activity for which a corporation may be organized under the General Corporation Law of California other than the banking business, the trust company business or the practice of a profession permitted to be incorporated by the California Corporations Code.

Service of Process (List a California resident or an active 1505 corporation in California that agrees to be your initial agent to accept service of process in case your corporation is sued. You may list any adult who lives in California. You may not list your own corporation as the agent. Do not list an address if the agent is a 1505 corporation.)

③ a. Agent's name: Golden State Documents Inc.

b. Agent's address: _____ CA
Street Address (if agent is not a corporation) City (no abbreviations) State Zip

Shares (List the number of shares the corporation is authorized to issue. Note: Before shares of stock are sold or issued, the corporation must comply with the Corporate Securities Law of 1968 administered by the California Department of Corporations. For more information, go to www.corp.ca.gov or call the California Department of Corporations at (213) 576-7500.)

④ This corporation is authorized to issue only one class of shares of stock.
The total number of shares which this corporation is authorized to issue is 1000

This form must be signed by each incorporator. If you need more space, attach extra pages that are 1-sided and on standard letter-sized paper (8 1/2" x 11"). All attachments are made part of these articles of incorporation.

Nancy Hernandez
Incorporator - Sign here

Nancy Hernandez
Print your name here

Make check/money order payable to: Secretary of State
We can give you up to 2 free certified copies of your filed form if you submit up to 2 completed copies of this form (with all attachments).

By Mail
Secretary of State
Business Entities, P.O. Box 944260
Sacramento, CA 94244-2600

Drop-Off
Secretary of State
1500 11th Street, 3rd Floor
Sacramento, CA 95814



I hereby certify that the foregoing transcript of _____ page(s) is a true, true and correct copy of the original record in the custody of the California Secretary of State's office

JUL 6 2011

Date: _____

Ad. R JB-000406

Action Stock Transfer Corp.

2469 E. Fort Union Blvd, Suite 214
Salt Lake City, Utah 84121
(801) 274-1088

Bill to:	VERTEX INTERNATIONAL GROUP LLC 6303 OWENSMOUTH AVE, 10TH FL WOODLAND HILLDS, CA 91367	Invoice Date 03/29/2012	Invoice Number 28890
Issuer	GLOBAL ENERGY TECHNOLOGY GROUP INC	Stock Type	Common
Number of certificates issued <input style="width: 40px;" type="text" value="2"/> Cost per certificate <input style="width: 60px;" type="text" value="\$20.00"/> Total issue charge <input style="width: 60px;" type="text" value="\$40.00"/>			
Number of certificates cancelled <input style="width: 40px;" type="text" value="1"/> Canceled Cert Charge <input style="width: 60px;" type="text" value="\$0.00"/>			
FEDEX; OTHER=CERTIFICATE INVENTORY Recission Fees <input style="width: 60px;" type="text" value="\$0.00"/>			
	Legal Fees <input style="width: 60px;" type="text" value="\$0.00"/>		
	Rush Transfer <input style="width: 60px;" type="text" value="\$0.00"/>		
	Shipping <input style="width: 60px;" type="text" value="\$0.00"/>		
	Other <input style="width: 60px;" type="text" value="\$50.00"/>		
Please forward your check. Check No. <input style="width: 100px;" type="text" value="0"/> Amount Paid <input style="width: 60px;" type="text" value="\$0.00"/>			
Action Stock Transfer Corp.			Total Due <input style="width: 60px;" type="text" value="\$90.00"/>

TRANSFER DETAILS

We acknowledge receipt of certificates of the above company as follows:

Number	RS	SS	Shareholder	Lost?	Shares
172	R		VERTEX INTERNATIONAL GROUP LLC	<input type="checkbox"/>	4,068,750
Total Shares Canceled					<input style="width: 60px;" type="text" value="4,068,750"/>

In accordance with your request we hand you herewith the following certificates:

Number	RS	Shareholder	Shares
173	R	ELECTRONIC REGISTRY INC	1,000,000
174	R	VERTEX INTERNATIONAL GROUP LLC	3,068,750
Total Shares Issued			<input style="width: 60px;" type="text" value="4,068,750"/>

RS - Restriction Code SS - Certificate involved in Stock Split



Subject: Global Energy stock transfer from Vertex to Electronic Registry

From: Marc Bryant ([REDACTED]@yahoo.com)

To: [REDACTED]@yahoo.com;

Date: Wednesday, March 28, 2012 6:15 PM

Hi Justeene-

For the immediate fix, I'm instructing you to transfer One Million shares of Global Energy Technology stock from Vertex International Group, LLC to Electronic Registry, Inc. This transfer should allow us to get caught up on all other transfer requests pending.

I will be calling you soon to get an assessment of which Company's we have (besides Vertex and Electronic Registry) that have an abundance of Global Energy shares, so I know where our inventory is.

Thanks for everything!

-Marc Bryant



RESTRICTED STOCK

INCORPORATED UNDER THE LAWS OF NEVADA

NUMBER

172

SHARES

4,068,750



GLOBAL ENERGY
Technology Group

Fully Paid Non Assessable \$0.001 Per Value COMMON STOCK

THIS CERTIFIES THAT *****VERTEX INTERNATIONAL GROUP LLC*****

IS THE RECORD HOLDER OF *****FOUR MILLION SIXTY EIGHT THOUSAND SEVEN HUNDRED FIFTY*****


Shares of *Global Energy Technology Group, Inc.* Common Stock transferable on the books of the Corporation by the holder in person or by duly authorized attorney upon surrender of this Certificate properly endorsed. This Certificate is not valid until countersigned by the Transfer Agent and registered by the Registrar.

WITNESS the facsimile seal of the Corporation and the facsimile signature of its duly authorized officers.

Dated: March 13, 2012

COUNTERSIGNED AND REGISTERED
ACTION STOCK TRANSFER CORP.
7469 E FL Union Blvd., #214, Salt Lake City, UT 84121

By 
TRANSFER AGENT-AUTHORIZED SIGNATURE


PRESIDENT


SECRETARY



CANCELLED

CANCELLED

CANCELLED

RESTRICTED STOCK

The following abbreviations, when used in the inscription on the face of this certificate, shall be construed as though they were written out in full according to applicable laws or regulations:

TEN COM as tenants in common
TEN ENT as tenants by the entireties
JT TEN as joint tenants with rights of survivorship and not as tenants in common

UNIF GIFT MIN ACT Custodian (State) (Minor) under Uniform Gifts to Minors Act (State)
UNIF TRF MIN ACT Custodian (until age (State)) (State) under Uniform Transfers to Minors Act (State)

Additional abbreviations may also be used though not in the above list.

FOR VALUE RECEIVED, _____ hereby sell, assign and transfer unto

PLEASE INSERT SOCIAL SECURITY OR OTHER IDENTIFYING NUMBER OF ASSIGNEE _____

(PLEASE PRINT OR TYPE NAME AND ADDRESS, INCLUDING ZIP CODE, OF ASSIGNEE)

_____ Shares of the capital stock represented by the within Certificate, do hereby irrevocably constitute and appoint

_____ Attorney to transfer the said stock on the books of the within named Corporation with full power of substitution in the premises.

Dated _____

X _____

X _____

NOTICE: THE SIGNATURE(S) TO THIS ASSIGNMENT MUST CORRESPOND WITH THE NAME(S) AS WRITTEN UPON THE FACE OF THE CERTIFICATE IN EVERY PARTICULAR, WITHOUT ALTERATION OR ENLARGEMENT OR ANY CHANGE WHATSOEVER.

THE SHARES REPRESENTED BY THIS CERTIFICATE HAVE NOT BEEN REGISTERED UNDER THE SECURITIES ACT OF 1933, AS AMENDED (THE "SECURITIES ACT"), OR ANY APPLICABLE STATE SECURITIES LAWS. THE SHARES HAVE BEEN ACQUIRED FOR INVESTMENT PURPOSES AND MAY NOT BE OFFERED, SOLD, DELIVERED AFTER SALE, TRANSFERRED, PLEDGED, HYPOTHECATED OR OTHERWISE TRANSFERRED IN THE ABSENCE OF AN EFFECTIVE REGISTRATION STATEMENT FILED BY THE ISSUER WITH THE U.S. SECURITIES AND EXCHANGE COMMISSION, COVERING THE SHARES UNDER THE SECURITIES ACT OR AN EXEMPTION FROM THE REGISTRATION REQUIREMENTS OF SAID ACT. THAT IS THEN APPLICABLE TO THE SHARES, AN OPINION OF COUNSEL SATISFACTORY TO THE ISSUER MAY BE REQUIRED BY THE ISSUER OR THE TRANSFER AGENT.

Action Stock Transfer Corp.

2469 E. Fort Union Blvd, Suite 214
Salt Lake City, Utah 84121
(801) 274-1088

Bill to:	ELECTRONIC REGISTRY INC 19360 RINALDI ST STE #141 NORTHRIDGE, CA 91326	Invoice Date 04/05/2012	Invoice Number 29231
Issuer	GLOBAL ENERGY TECHNOLOGY GROUP INC	Stock Type	Common
Number of certificates issued	2	Cost per certificate	\$20.00
Number of certificates cancelled	1	Total issue charge	\$40.00
TRANSFER		Cancelled Cert Charge	\$0.00
		Recission Fees	\$0.00
		Legal Fees	\$0.00
		Rush Transfer	\$0.00
		Shipping	\$0.00
		Other	\$0.00
Please forward your check.		Check No.	0
		Amount Paid	\$0.00
Action Stock Transfer Corp.			Total Due \$40.00

TRANSFER DETAILS

We acknowledge receipt of certificates of the above company as follows:

Number	RS	SS	Shareholder	Lost?	Shares
184	R		ELECTRONIC REGISTRY INC	<input type="checkbox"/>	746,547
Total Shares Canceled					746,547

In accordance with your request we hand you herewith the following certificates:

Number	RS	Shareholder	Shares
218	R	VERTEX INTERNATIONAL GROUP LLC	500,000
219	R	ELECTRONIC REGISTRY INC	246,547
Total Shares Issued			746,547

Safe



Subject: Re: Inventory of Global Energy Technology Group Stock
From: Marc Bryant [REDACTED]@yahoo.com)
To: [REDACTED]@yahoo.com;
Date: Thursday, April 5, 2012 12:46 PM

As always, enjoyable to work with you, Justeene.

Ok.

Please transfer 500,000 (Five Hundred Thousand) shares of Global Energy Tech. Group stock from Electronic Registry to Vertex International Group.

Thank you.

Marc

From: Justeene Blankenship [REDACTED]@yahoo.com>
To: Marc Bryant [REDACTED]@yahoo.com>
Sent: Wednesday, April 4, 2012 12:40 PM
Subject: Re: Inventory of Global Energy Technology Group Stock

Hi Marc,

The only two companies that I know that you control that have stock are Vertex and Electronic Registry. What are the names of other companies that you control?

Thanks,
Justeene

****NEW ADDRESS****

Justeene Blankenship
Action Stock Transfer Corp.
2469 E. Fort Union Blvd, Ste 214
Salt Lake City, UT 84121

(801) 274-1088 office
[REDACTED] cell
(801) 274-1099 fax
[REDACTED]@yahoo.com
www.actionstocktransfer.com



From: Marc Bryant [REDACTED]@yahoo.com>
To: Justeene Blankenship [REDACTED]@yahoo.com>
Sent: Wednesday, April 4, 2012 12:00 PM
Subject: Inventory of Global Energy Technology Group Stock

RESTRICTED STOCK

INCORPORATED UNDER THE LAWS OF NEVADA

NUMBER

184

SHARES

746,547



GLOBAL ENERGY
Technology Group

Fully Paid Non Assessable \$0.001 Par Value COMMON STOCK

THIS CERTIFIES THAT ***ELECTRONIC REGISTRY INC***

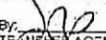
IS THE RECORD HOLDER OF ***SEVEN HUNDRED FORTY SIX THOUSAND FIVE HUNDRED FORTY SEVEN***

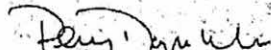
Shares of *Global Energy Technology Group, Inc.* Common Stock
transferable on the books of the Corporation by the holder in person or by duly authorized attorney upon surrender of this Certificate properly
endorsed. This Certificate is not valid until countersigned by the Transfer Agent and registered by the Registrar.

WITNESS the facsimile seal of the Corporation and the facsimile signature of its duly authorized officers.

Dated: March 29, 2012

COUNTERSIGNED AND REGISTERED
ACTION STOCK TRANSFER CORP
2450 E. F. Union Blvd., #214, Salt Lake City, UT 84121

By: 
TRANSFER AGENT-AUTHORIZED SIGNATURE


PRESIDENT




SECRETARY

RESTRICTED STOCK

The following abbreviations, when used in the inscription on the face of this certificate, shall be construed as though they were written out in full according to applicable laws or regulations:

TEN COM - as tenants in common
TEN ENT - as tenants by the entireties
JT TEN - as joint tenants with rights of survivorship and not as tenants in common

UNIF GIFT MIN ACT - Custodian
(Cust) (Minor)
under Uniform Gifts to Minors
Act
(State)
UNIF TRF MIN ACT - Custodian (until age.....)
(Cust)
..... Under Uniform Transfers
(Minor)
to Minors Act.....
(State)

Additional abbreviations may also be used though not in the above list.

FOR VALUE RECEIVED, _____ hereby sell, assign and transfer unto

PLEASE INSERT SOCIAL SECURITY OR OTHER IDENTIFYING NUMBER OF ASSIGNEE _____

CANCELLED

(PLEASE PRINT OR TYPE NAME AND ADDRESS, INCLUDING ZIP CODE, OF ASSIGNEE)

_____ Shares
of the capital stock represented by the within Certificate, and do hereby irrevocably constitute and appoint

_____ Attorney
to transfer the said stock on the books of the within named Corporation with full power of substitution in the premises.

Dated _____

X _____
X _____
CANCELLED

NOTICE: THE SIGNATURE(S) TO THIS ASSIGNMENT MUST CORRESPOND WITH THE NAME(S) AS WRITTEN UPON THE FACE OF THE CERTIFICATE IN EVERY PARTICULAR, WITHOUT ALTERATION OR ENLARGEMENT OR ANY CHANGE WHATSOEVER.

"THE SHARES REPRESENTED BY THIS CERTIFICATE HAVE NOT BEEN REGISTERED UNDER THE SECURITIES ACT OF 1933, AS AMENDED (THE "SECURITIES ACT"), OR ANY APPLICABLE STATE SECURITIES LAWS. THE SHARES HAVE BEEN ACQUIRED FOR INVESTMENT PURPOSES AND MAY NOT BE OFFERED, SOLD, DELIVERED AFTER SALE, TRANSFERRED, PLEDGED, HYPOTHECATED OR OTHERWISE TRANSFERRED IN THE ABSENCE OF AN EFFECTIVE REGISTRATION STATEMENT FILED BY THE ISSUER WITH THE U.S. SECURITIES AND EXCHANGE COMMISSION COVERING THE SHARES UNDER THE SECURITIES ACT OR AN EXEMPTION FROM THE REGISTRATION REQUIREMENTS OF SAID ACT THAT IS THEN APPLICABLE TO THE SHARES. AN OPINION OF COUNSEL SATISFACTORY TO THE ISSUER MAY BE REQUIRED BY THE ISSUER OR THE TRANSFER AGENT."

IRREVOCABLE STOCK POWER

FOR VALUE RECEIVED, the undersigned does (do) hereby sell assign and transfer unto

ACTION STOCK TRANSFER ^{M.B.}

(SSN or Tax Payer ID)

~~1000,000~~ ^{M.B.} shares of the GIEIG stock of VERTEX @ 50.54 ^{M.B.}

represented by certificate(s) no(s) _____

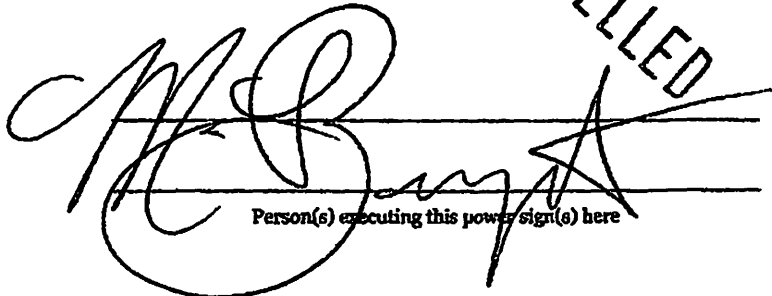
inclusive, standing in the name of the undersigned on the books of said Company.

The undersigned does (do) hereby irrevocably constitute and appoint ACTION STOCK

TRANSFER Attorney to transfer the

said stock on the books of said Company, with full power of substitution in the premises.

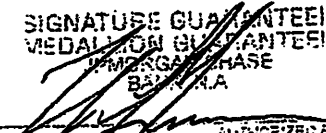
IMPORTANT: The signature(s) of the assignor(s) must be guaranteed by an eligible guarantor institution (bank, stock broker, savings and loan association or credit union) with membership in an approved signature guarantee medallion program pursuant to Securities and Exchange Commission Rule 17Ad-15.


Person(s) executing this power sign(s) here

Signature Medallion Guaranteed

CANCELLED

SIGNATURE GUARANTEE
MEDALLION GUARANTEE
WELLS FARGO BANK N.A.


AUTHORIZED SIGNATURE
153404 29516408
SECURITIES TRANSFER AGENTS MEDALLION PROGRAM™

Action Stock Transfer Corp.

2469 E. Fort Union Blvd, Suite 214
Salt Lake City, Utah 84121
(801) 274-1088

Bill to:	VERTEX INTERNATIONAL GROUP LLC 6303 OWENSMOUTH AVE, 10TH FL WOODLAND HILLDS, CA 91367	Invoice Date 05/31/2012	Invoice Number 32086
Issuer	GLOBAL ENERGY TECHNOLOGY GROUP INC	Stock Type	Common
Number of certificates issued <input type="text" value="12"/> Cost per certificate <input type="text" value="\$20.00"/> Total issue charge <input type="text" value="\$240.00"/>		Canceled Cert Charge <input type="text" value="\$0.00"/>	
Number of certificates cancelled <input type="text" value="1"/>		Recission Fees <input type="text" value="\$0.00"/>	
OTHER=CERTIFICATE INVENTORY		Legal Fees <input type="text" value="\$0.00"/>	
		Rush Transfer <input type="text" value="\$0.00"/>	
		Shipping <input type="text" value="\$0.00"/>	
		Other <input type="text" value="\$50.00"/>	
Please forward your check.		Check No. <input type="text" value="0"/>	Amount Paid <input type="text" value="\$0.00"/>
Action Stock Transfer Corp.			Total Due <input type="text" value="\$290.00"/>

TRANSFER DETAILS

We acknowledge receipt of certificates of the above company as follows:

Number	RS	SS	Shareholder	Lost?	Shares
291	R		VERTEX INTERNATIONAL GROUP LLC	<input type="checkbox"/>	1,237,958
Total Shares Canceled					<input type="text" value="1,237,958"/>

In accordance with your request we hand you herewith the following certificates:

Number	RS	Shareholder	Shares	
292	R		32,000	
293	R		20,000	
294	R		40,000	
295	R		32,000	
296	R		40,000	
297	R		20,000	
298	R		20,000	
299	R		10,000	
300	R		20,000	
301	R		10,000	
302	R		8,000	
303	R		VERTEX INTERNATIONAL GROUP LLC	985,958
Total Shares Issued			<input type="text" value="1,237,958"/>	

RS - Restriction Code SS - Certificate involved in Stock Split



From: (801) 274-1088
JUSTEENE BLANKENSHIP
ACTION STOCK TRANSFER CORP
2469 E FT UNION BLVD #214
SALT LAKE CITY, UT 84121

Origin ID: NPHA



J12101112190225

Ship Date: 31MAY12
ActWgt: 0.2 LB
CAD: 3671208/NET3250

Delivery Address Bar Code



SHIP TO: (801) 274-1088

BILL RECIPIENT

Marc Bryant
Vertex International Group Inc
6303 OWENSMOUTH AVE FL 10

WOODLAND HILLS, CA 91367

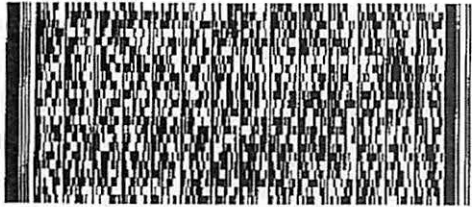
Ref #
Invoice #
PO #
Dept #

MON - 04 JUN A1
** 2DAY **

TRK#
0201

SA JTOA

91367
CA-US
BUR



512G3B1A4#278

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2. Fold the printed page along the horizontal line.
3. Place label in shipping pouch and affix it to your shipment so that the barcode portion of the label can be read and scanned.

Warning: Use only the printed original label for shipping. Using a photocopy of this label for shipping purposes is fraudulent and could result in additional billing charges, along with the cancellation of your FedEx account number.

Use of this system constitutes your agreement to the service conditions in the current FedEx Service Guide, available on fedex.com. FedEx will not be responsible for any claim in excess of \$100 per package, whether the result of loss, damage, delay, non-delivery, misdelivery, or misinformation, unless you declare a higher value, pay an additional charge, document your actual loss and file a timely claim. Limitations found in the current FedEx Service Guide apply. Your right to recover from FedEx for any loss, including intrinsic value of the package, loss of sales, income interest, profit, attorney's fees, costs, and other forms of damage whether direct, incidental, consequential, or special is limited to the greater of \$100 or the authorized declared value. Recovery cannot exceed actual documented loss. Maximum for items of extraordinary value is \$500, e.g. jewelry, precious metals, negotiable instruments and other items listed in our Service Guide. Written claims must be filed within strict time limits, see current FedEx Service Guide.

Action Stock Transfer Corp

Attn: Justeene Blankenship

2469 E. Fort Union Blvd, Suite 214

Salt Lake City, UT 84121

May 31,2012

RE: Stock Certificate issue request

Hi Justeene-

Enclosed with these instructions, please find an Excel spreadsheet of investors purchasing Global Energy Technology Group (GETG) stock from Vertex International Group, LLC. You will find the name, address, Tax I. D. number, number of shares purchased, and purchase price paid, for each investor.

Please ship the Certificates back to Vertex, at the address listed below. To expedite the shipping, please send them 2 day Fed-Ex on our Vertex FedEx account (# [REDACTED]). We will mail the Certs to the investors from our office.

Let me know if there is anything else you need to proceed with our request.

Respectfully Yours,

Marc Bryant

President

Vertex International Group, LLC

6303 Owensmouth Ave, 10th Floor

Woodland Hills, CA 91367



Global Energy Technology Group Certificate Transfers from VERTEX INTL GROUP

Last	First	Address	City	St	zip	Tax I.D. #	# Shares	\$ Amt	Price/sh
[REDACTED]	[REDACTED]	[REDACTED]	BROOKLYN	NY	[REDACTED]	[REDACTED]	32,000	\$8,000	25cents
[REDACTED]	[REDACTED]	[REDACTED]	SAN FRANCISCO	CA	[REDACTED]	[REDACTED]	20,000	\$5,000	25cents
[REDACTED]	[REDACTED]	[REDACTED]	GOODYEAR	AZ	[REDACTED]	[REDACTED]	40,000	\$10,000	25cents
[REDACTED]									
[REDACTED]	[REDACTED]	[REDACTED]	GAITHERSBURG	MD	[REDACTED]	[REDACTED]	32,000	\$8,000	25cents
[REDACTED]	[REDACTED]	[REDACTED]	MCKINNEY	TX	[REDACTED]	[REDACTED]	40,000	\$10,000	25cents
[REDACTED]	[REDACTED]	[REDACTED]	TWIN FALLS	ID	[REDACTED]	[REDACTED]	20,000	\$5,000	25cents
[REDACTED]	[REDACTED]	[REDACTED]	LAGUNA HILLS	CA	[REDACTED]	[REDACTED]	20,000	\$5,000	25cents
[REDACTED]	[REDACTED]	[REDACTED]	WADDELL	AZ	[REDACTED]	[REDACTED]	10,000	\$2,500	25cents
[REDACTED]	[REDACTED]	[REDACTED]	Plant City	FL	[REDACTED]	[REDACTED]	20,000	\$5,000	25cents
[REDACTED]									
[REDACTED]	[REDACTED]	[REDACTED]	Desert Hot Springs	CA	[REDACTED]	[REDACTED]	10,000	\$2,500	25cents
[REDACTED]	[REDACTED]	[REDACTED]	WEST DES MOINES	IA	[REDACTED]	[REDACTED]	8,000	\$2,000	25cents
							<u>252,000</u>		

4B-000538

RESTRICTED STOCK

INCORPORATED UNDER THE LAWS OF NEVADA

NUMBER

291

SHARES

***1,237,958**



GLOBAL ENERGY
Technology Group

Fully Paid Non-Assessable \$0.001 Par Value COMMON STOCK

THIS CERTIFIES THAT ***VERTEX INTERNATIONAL GROUP LLC***

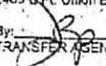
IS THE RECORD HOLDER OF ***ONE MILLION TWO HUNDRED THIRTY SEVEN THOUSAND NINE HUNDRED FIFTY EIGHT***

Shares of *Global Energy Technology Group, Inc.* Common Stock
transferable on the books of the Corporation by the holder in person or by duly authorized attorney upon surrender of this Certificate properly
endorsed. This Certificate is not valid until countersigned by the Transfer Agent and registered by the Registrar.

WITNESS the facsimile seal of the Corporation and the facsimile signature of its duly authorized officers.


Dated: May 22, 2012

COUNTERSIGNED AND REGISTERED
ACTION STOCK TRANSFER CORP.
2469 E. Union Blvd., #214, Salt Lake City, UT 84121

By: 
TRANSFER AGENT-AUTHORIZED SIGNATURE


PRESIDENT




SECRETARY

RESTRICTED STOCK

The following abbreviations, when used in the inscription on the face of this certificate, shall be construed as though they were written out in full according to applicable laws or regulations:

TEN COM - as tenants in common
TEN ENT - as tenants by the entires
JT TEN - as joint tenants with rights of survivorship and not as tenants in common

UNIF GIFT MIN ACT - Custodian
(Cust) (Minor)
under Uniform Gifts to Minors Act
(State).
UNIF TRF MIN ACT - Custodian (until age.....)
(Cust)
.....under Uniform Transfers
(Minor)
to Minors Act.....
(State)

Additional abbreviations may also be used though not in the above list.

FOR VALUE RECEIVED, _____ hereby sell, assign and transfer unto

PLEASE INSERT SOCIAL SECURITY OR OTHER IDENTIFYING NUMBER OF ASSIGNEE _____

CANCELLED

(PLEASE PRINT OR TYPE NAME AND ADDRESS, INCLUDING ZIP CODE, OF ASSIGNEE)

_____ Shares
of the capital stock represented by the within Certificate, and do hereby irrevocably constitute and appoint

_____ Attorney
to transfer the said stock on the books of the within named Corporation with full power of substitution in the premises.

Dated _____

X _____

X _____

CANCELLED

NOTICE: THE SIGNATURE(S) TO THIS ASSIGNMENT MUST CORRESPOND WITH THE NAME(S) AS WRITTEN UPON THE FACE OF THE CERTIFICATE IN EVERY PARTICULAR, WITHOUT ALTERATION OR ENLARGEMENT OR ANY CHANGE WHATSOEVER.

"THE SHARES REPRESENTED BY THIS CERTIFICATE HAVE NOT BEEN REGISTERED UNDER THE SECURITIES ACT OF 1933, AS AMENDED (THE "SECURITIES ACT"), OR ANY APPLICABLE STATE SECURITIES LAWS. THE SHARES HAVE BEEN ACQUIRED FOR INVESTMENT PURPOSES AND MAY NOT BE OFFERED, SOLD, DELIVERED AFTER SALE, TRANSFERRED, FLEDGED, HYPOTHECATED OR OTHERWISE TRANSFERRED IN THE ABSENCE OF AN EFFECTIVE REGISTRATION STATEMENT FILED BY THE ISSUER WITH THE U.S. SECURITIES AND EXCHANGE COMMISSION COVERING THE SHARES UNDER THE SECURITIES ACT OR AN EXEMPTION FROM THE REGISTRATION REQUIREMENTS OF SAID ACT THAT IS THEN APPLICABLE TO THE SHARES. AN OPINION OF COUNSEL SATISFACTORY TO THE ISSUER MAY BE REQUIRED BY THE ISSUER OR THE TRANSFER AGENT."

IRREVOCABLE STOCK POWER

FOR VALUE RECEIVED, the undersigned does (do) hereby sell assign and transfer unto

~~ACTION STOCK TRANSFER~~ ^{cm}

(SSN or Tax Payer ID)

~~1,000,000~~ ^{cm} shares of the ~~GSTG~~ ^{cm} stock of ~~VERTEX~~ ^{cm} @ ~~50.52~~ ^{cm}

represented by certificate(s) no(s) _____

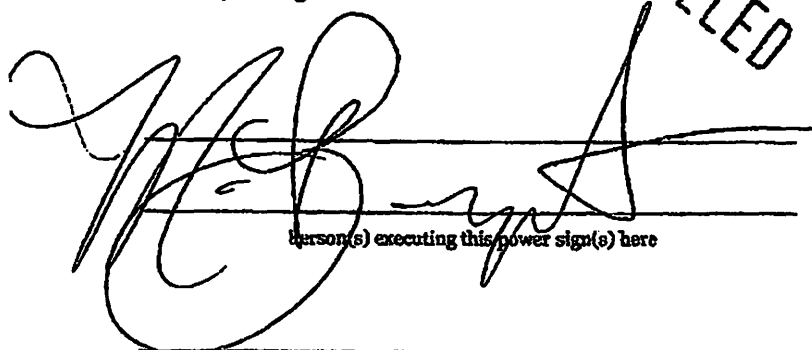
inclusive, standing in the name of the undersigned on the books of said Company.

The undersigned does (do) hereby irrevocably constitute and appoint ACTION STOCK

TRANSFER Attorney to transfer the

said stock on the books of said Company, with full power of substitution in the premises.

IMPORTANT: The signature(s) of the assignor(s) must be guaranteed by an eligible guarantor institution (bank, stock broker, savings and loan association or credit union) with membership in an approved signature guarantee medallion program pursuant to Securities and Exchange Commission Rule 17Ad-15.


Person(s) executing this power sign(s) here

Signature Medallion Guaranteed

CANCELLED

SIGNATURE GUARANTEE
MEDALLION GUARANTEE
MEMBERSHIP PURCHASE
BANK, N.A.

(SBCA) AUTHORIZED SIGNATURE
70010408
SECURITIES TRANSFER AGENTS MEDALLION PROGRAM

Action Stock Transfer Corp.

2469 E. Fort Union Blvd, Suite 214
Salt Lake City, Utah 84121
(801) 274-1088

Bill to:	VERTEX INTERNATIONAL GROUP LLC 6303 OWENSMOUTH AVE, 10TH FL WOODLAND HILLDS, CA 91367	Invoice Date 10/05/2012	Invoice Number 38174
Issuer	GLOBAL ENERGY TECHNOLOGY GROUP INC	Stock Type	Common

Number of certificates issued <input style="width: 40px;" type="text" value="2"/>	Cost per certificate <input style="width: 60px;" type="text" value="\$20.00"/>	Total issue charge <input style="width: 60px;" type="text" value="\$40.00"/>	
Number of certificates cancelled <input style="width: 40px;" type="text" value="2"/>		Cancelled Cert Charge <input style="width: 60px;" type="text" value="\$0.00"/>	
LOST CERTS; OTHER=BOND FEE; FEDEX		Recission Fees <input style="width: 60px;" type="text" value="\$0.00"/>	
		Legal Fees <input style="width: 60px;" type="text" value="\$0.00"/>	
		Rush Transfer <input style="width: 60px;" type="text" value="\$0.00"/>	
		Shipping <input style="width: 60px;" type="text" value="\$0.00"/>	
		Other <input style="width: 60px;" type="text" value="\$100.00"/>	
Thank you for your payment!		Check No. <input style="width: 60px;" type="text" value="5163"/>	Amount Paid <input style="width: 60px;" type="text" value="\$140.00"/>

Action Stock Transfer Corp.	Total Due <input style="width: 60px;" type="text" value="\$0.00"/>
------------------------------------	---

TRANSFER DETAILS

We acknowledge receipt of certificates of the above company as follows:

Number	RS	SS	Shareholder	Lost?	Shares
276	R		[REDACTED]	<input checked="" type="checkbox"/>	40,000
344	R		[REDACTED]	<input checked="" type="checkbox"/>	40,000
Total Shares Canceled					<input style="width: 60px;" type="text" value="80,000"/>

In accordance with your request we hand you herewith the following certificates:

Number	RS	Shareholder	Shares
453	R	[REDACTED]	40,000
454	R	[REDACTED]	40,000
Total Shares Issued			<input style="width: 60px;" type="text" value="80,000"/>

FY 10-5-12

RS - Restriction Code SS - Certificate involved in Stock Split



From: (801) 274-1088 Origin ID: NPHA
JUSTEENE BLANKENSHIP
ACTION STOCK TRANSFER CORP
2469 E FT UNION BLVD #214



J12201207180325

SALT LAKE CITY, UT 84121

Ship Date: 05OCT12
ActWgt: 0.2 LB
CAD: 3671208/NET3300

Delivery Address Bar Code



SHIP TO: (861) 274-1088

BILL RECIPIENT

Marc Bryant
Vertex International Group Inc
6303 OWENSMOUTH AVE
FL 10
WOODLAND HILLS, CA 91367

Ref #
Invoice #
PO #
Dept #

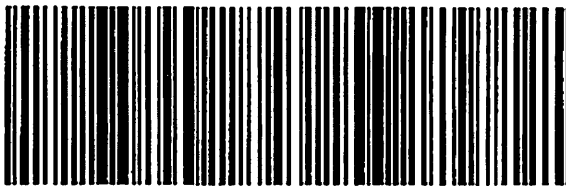
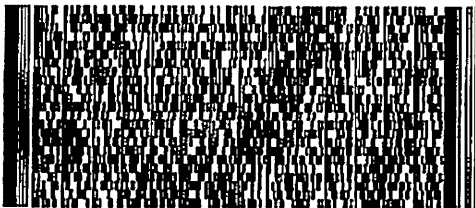
TUE - 09 OCT A1
** 2DAY **

TRK#

8201

SA JTOA

91367
CA-US
BUR



515GUSCC01A44

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Warning: Use only the printed original label for shipping. Using a photocopy of this label for shipping purposes is fraudulent and could result in additional billing charges, along with the cancellation of your FedEx account number.

Use of this system constitutes your agreement to the service conditions in the current FedEx Service Guide, available on fedex.com. FedEx will not be responsible for any claim in excess of \$100 per package, whether the result of loss, damage, delay, non-delivery, misdelivery, or misinformation, unless you declare a higher value, pay an additional charge, document your actual loss and file a timely claim. Limitations found in the current FedEx Service Guide apply. Your right to recover from FedEx for any loss, including intrinsic value of the package, loss of sales, income interest, profit, attorney's fees, costs, and other forms of damage whether direct, incidental, consequential, or special is limited to the greater of \$100 or the authorized declared value. Recovery cannot exceed actual documented loss. Maximum for items of extraordinary value is \$500, e.g. jewelry, precious metals, negotiable instruments and other items listed in our Service Guide. Written claims must be filed within strict time limits, see current FedEx Service Guide.



Justeene Blankenship c/o

Action Stock Transfer Co.

Subject: Stock Cert cancellation / re-issue for Emerson Wetzel

Sept 27, 2012

Dear Justeene:

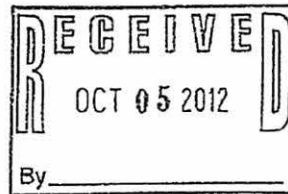
Enclosed please find 2 Lost Cert Affidavits from Emerson Wetzel to replace Global Energy Technology Group stock certificates, (cert numbers 276 and 344). Also enclosed is his check in the amount of \$140.00 to cover the fees for this request.

Please send the new stock certs back to our Vertex office (along with the next batch of certs you are processing for us), and we will send them to Mr. Wetzel from here. Feel free to contact us with any questions or concerns. Thank You.

Sincerely,

Nick

Vertex International Group LLC



Vertex International Group, LLC. 6303 Owensmouth Ave, 10th Floor, Woodland Hills, CA 91367

(818) 936-3367 www.Verrtex.com

Action Stock Transfer Corp.

2469 E. Fort Union Blvd, Suite 214
Salt Lake City, Utah 84121
(801) 274-1088

Bill to:	VERTEX INTERNATIONAL GROUP LLC 6303 OWENSMOUTH AVE, 10TH FL WOODLAND HILLDS, CA 91367	Invoice Date 12/12/2012	Invoice Number 40398
Issuer	GLOBAL ENERGY TECHNOLOGY GROUP INC	Stock Type	Common
Number of certificates issued <input type="text" value="10"/> Cost per certificate <input type="text" value="\$20.00"/> Total issue charge <input type="text" value="\$200.00"/>		Canceled Cert Charge <input type="text" value="\$0.00"/>	
Number of certificates cancelled <input type="text" value="1"/>		Recission Fees <input type="text" value="\$0.00"/>	
FEDEX; OTHER=CERTIFICATE INVENTORY		Legal Fees <input type="text" value="\$0.00"/>	
		Rush Transfer <input type="text" value="\$0.00"/>	
		Shipping <input type="text" value="\$0.00"/>	
		Other <input type="text" value="\$25.00"/>	
Please forward your check.		Check No. <input type="text" value="0"/>	Amount Paid <input type="text" value="\$0.00"/>
Action Stock Transfer Corp.			Total Due <input type="text" value="\$225.00"/>

TRANSFER DETAILS

We acknowledge receipt of certificates of the above company as follows:

Number	RS	SS	Shareholder	Lost?	Shares
619	R		VERTEX INTERNATIONAL GROUP LLC	<input type="checkbox"/>	1,117,048
Total Shares Canceled					<input type="text" value="1,117,048"/>

In accordance with your request we hand you herewith the following certificates:

Number	RS	Shareholder	Shares	
620	R		40,000	
621	R		8,000	
622	R		12,500	
623	R		40,000	
624	R		40,000	
625	R		32,000	
626	R		40,000	
627	R		16,000	
628	R		20,000	
629	R		VERTEX INTERNATIONAL GROUP LLC	868,548
Total Shares Issued			<input type="text" value="1,117,048"/>	

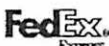
791212112

RS - Restriction Code SS - Certificate Involved In Stock Split



From: (801) 274-1088
JUSTEENE BLANKENSHIP
ACTION STOCK TRANSFER CORP
2469 E FT UNION BLVD #214

Origin ID: NPHA



J12201208200325

SALT LAKE CITY, UT 84121

Ship Date: 12DEC12
ActWgt: 0.2 LB
CAD: 3671208/INET3300

Delivery Address Bar Code



SHIP TO: (801) 274-1088

BILL RECIPIENT

Marc Bryant
Vertex International Group Inc
6303 OWENSMOUTH AVE FL 10

WOODLAND HILLS, CA 91367

Ref #
Invoice #
PO #
Dept #

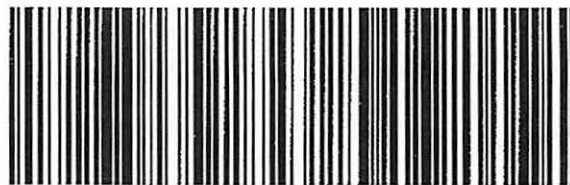
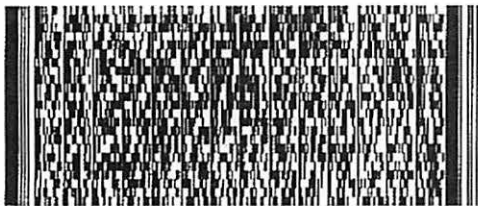
FRI - 14 DEC A1
** 2DAY **

TRK#

0201

SA JTOA

91367
CA-US
BUR



515G1/B2B3/AA44

After printing this label:

1. Use the 'Print' button on this page to print your label to your laser or inkjet printer.
2. Fold the printed page along the horizontal line.
3. Place label in shipping pouch and affix it to your shipment so that the barcode portion of the label can be read and scanned.

Warning: Use only the printed original label for shipping. Using a photocopy of this label for shipping purposes is fraudulent and could result in additional billing charges, along with the cancellation of your FedEx account number.

Use of this system constitutes your agreement to the service conditions in the current FedEx Service Guide, available on fedex.com. FedEx will not be responsible for any claim in excess of \$100 per package, whether the result of loss, damage, delay, non-delivery, misdelivery, or misinformation, unless you declare a higher value, pay an additional charge, document your actual loss and file a timely claim. Limitations found in the current FedEx Service Guide apply. Your right to recover from FedEx for any loss, including intrinsic value of the package, loss of sales, income interest, profit, attorney's fees, costs, and other forms of damage whether direct, incidental, consequential, or special is limited to the greater of \$100 or the authorized declared value. Recovery cannot exceed actual documented loss. Maximum for items of extraordinary value is \$1,000, e.g. jewelry, precious metals, negotiable instruments and other items listed in our ServiceGuide. Written claims must be filed within strict time limits, see current FedEx Service Guide.

Action Stock Transfer Corp

Attn: Justeene Blankenship

2469 E. Fort Union Blvd, Suite 214

Salt Lake City, UT 84121

December 12, 2012

RE: Stock Certificate issue request

Hi Justeene-

Enclosed with these instructions, please find an Excel spreadsheet of investors purchasing Global Energy Technology Group (GETG) stock from Vertex International Group, LLC. You will find the name, address, Tax I. D. number, number of shares purchased, and purchase price paid, for each investor.

Please ship the Certificates back to Vertex, at the address listed below. To expedite the shipping, please send them 2 Day Fed-Ex on our Vertex FedEx account (# [REDACTED]). We will mail the Certs to the investors from our office.

Let me know if there is anything else you need to proceed with our request.

Respectfully Yours,

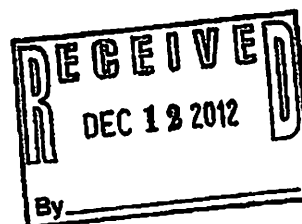
Marc Bryant

President

Vertex International Group, LLC

6303 Owensmouth Ave, 10th Floor

Woodland Hills, CA 91367



Global Energy Technology Group Certificate Transfers from VERTEX INTL GROUP

<u>Last</u>	<u>First</u>	<u>Address</u>	<u>City</u>	<u>St</u>	<u>zip</u>	<u>Tax I.D. #</u>	<u># Shares</u>	<u>\$ Amt</u>	<u>Price/sh</u>
[REDACTED]	[REDACTED]	[REDACTED]	LAKE WORTH	FL	[REDACTED]	[REDACTED]	40,000	\$10,000	25cents
[REDACTED]	[REDACTED]	[REDACTED]	PLANO	TX	[REDACTED]	[REDACTED]	8,000	\$2,000	25cents
[REDACTED]	[REDACTED]	[REDACTED]	REDDING	CA	[REDACTED]	[REDACTED]	12,500	\$3,125	25cents
[REDACTED]	[REDACTED]	[REDACTED]	SANTA ROSA	CA	[REDACTED]	[REDACTED]	40,000	\$10,000	25cents
[REDACTED]	[REDACTED]	[REDACTED]	TAHOE VISTA CA	CA	[REDACTED]	[REDACTED]	40,000	\$10,000	25cents
[REDACTED]	[REDACTED]	[REDACTED]	VALENCIA	CA	[REDACTED]	[REDACTED]	32,000	\$8,000	25cents
[REDACTED]	[REDACTED]	[REDACTED]	ROCK SPRINGS	WY	[REDACTED]	[REDACTED]	40,000	\$10,000	25cents
[REDACTED]	[REDACTED]	[REDACTED]	SONITA	AZ	[REDACTED]	[REDACTED]	16,000	\$4,000	25cents
[REDACTED]	[REDACTED]	[REDACTED]	BURBANK	CA	[REDACTED]	[REDACTED]	20,000	\$5,000	25cents
							<u>248,500</u>		

JB-000748

RESTRICTED STOCK

INCORPORATED UNDER THE LAWS OF NEVADA

NUMBER

619

SHARES

1,117,048



GLOBAL ENERGY
Technology Group

Fully Paid Non-Assessable \$0.001 Par Value COMMON STOCK

THIS CERTIFIES THAT ***VERTEX INTERNATIONAL GROUP LLC***


IS THE RECORD HOLDER OF ***ONE MILLION ONE HUNDRED SEVENTEEN THOUSAND FORTY EIGHT***

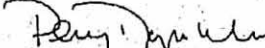
Shares of *Global Energy Technology Group, Inc.* Common Stock
transferable on the books of the Corporation by the holder in person or by duly authorized authority upon surrender of this Certificate properly
endorsed. This Certificate is not valid until countersigned by the Transfer Agent and registered by the Registrar.

WITNESS the facsimile seal of the Corporation and the facsimile signature of its duly authorized officers.


Dated: December 6, 2012

COUNTERSIGNED AND REGISTERED
ACTION STOCK TRANSFER CORP.
2409 E. Pk. Union Blvd., #214, Salt Lake City, UT 84121

By: 
TRANSFER AGENT-AUTHORIZED SIGNATURE


PRESIDENT




SECRETARY

RESTRICTED STOCK

The following abbreviations, when used in the inscription on the face of this certificate, shall be construed as though they were written out in full according to applicable laws or regulations:

TEN COM - as tenants in common
TEN ENT - as tenants by the entireties
JT TEN - as joint tenants with rights of survivorship and not as tenants in common

UNIF GIFT MIN ACT - Custodian
(Cust) (Minor)
under Uniform Gifts to Minors
Act
(State)
UNIF TRF MIN ACT - Custodian (until age.....)
(Cust)
.....under Uniform Transfers
(Minor)
to Minors Act.....
(State)

Additional abbreviations may also be used though not in the above list.

FOR VALUE RECEIVED, _____ hereby sell, assign and transfer unto

PLEASE INSERT SOCIAL SECURITY OR OTHER
IDENTIFYING NUMBER OF ASSIGNEE _____

(PLEASE PRINT OR TYPE NAME AND ADDRESS, INCLUDING ZIP CODE, OF ASSIGNEE)

_____ Shares
of the capital stock represented by the within Certificate, and do hereby irrevocably constitute and appoint

_____ Attorney
to transfer the said stock on the books of the within named Corporation with full power of substitution in the premises.

Dated _____

X _____

X _____

NOTICE: THE SIGNATURE(S) TO THIS ASSIGNMENT MUST CORRESPOND WITH THE NAME(S) AS WRITTEN UPON THE FACE OF THE CERTIFICATE IN EVERY PARTICULAR, WITHOUT ALTERATION, ENLARGEMENT OR ANY CHANGE WHATSOEVER.

"THE SHARES REPRESENTED BY THIS CERTIFICATE HAVE NOT BEEN REGISTERED UNDER THE SECURITIES ACT OF 1933, AS AMENDED (THE "SECURITIES ACT"), OR ANY APPLICABLE STATE SECURITIES LAWS. THE SHARES HAVE BEEN ACQUIRED FOR INVESTMENT PURPOSES AND NOT WITH A VIEW TOWARD RESALE AND MAY NOT BE OFFERED, SOLD, DELIVERED AFTER SALE, TRANSFERRED, PLEDGED, HYPOTHECATED OR OTHERWISE TRANSFERRED IN THE ABSENCE OF AN EFFECTIVE REGISTRATION STATEMENT FILED BY THE ISSUER WITH THE U.S. SECURITIES AND EXCHANGE COMMISSION COVERING THE SHARES UNDER THE SECURITIES ACT OR AN EXEMPTION FROM THE REGISTRATION REQUIREMENTS OF SAID ACT THAT IS THEN APPLICABLE TO THE SHARES. AN OPINION OF COUNSEL SATISFACTORY TO THE ISSUER MAY BE REQUIRED BY THE ISSUER OR THE TRANSFER AGENT."

IRREVOCABLE STOCK POWER

FOR VALUE RECEIVED, the undersigned does (do) hereby sell assign and transfer unto

MARC BRYANT

(SSN or Tax Payer ID)

500,000 shares of the COMMON stock of _____

GLOBAL ENERGY TECH. CORP

represented by certificate(s) no(s) 1051

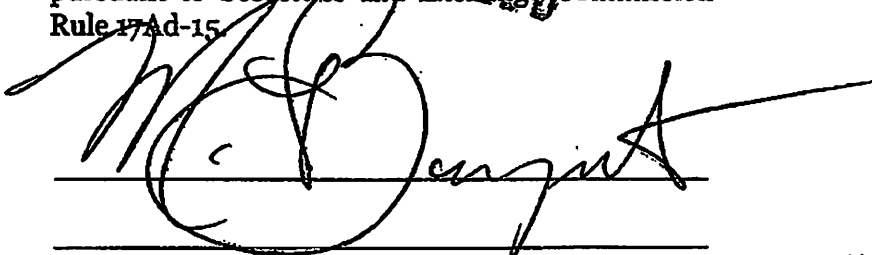
inclusive, standing in the name of the undersigned on the books of said Company.

The undersigned does (do) hereby irrevocably constitute and appoint _____

ACTION STOCK TRADER Attorney to transfer the

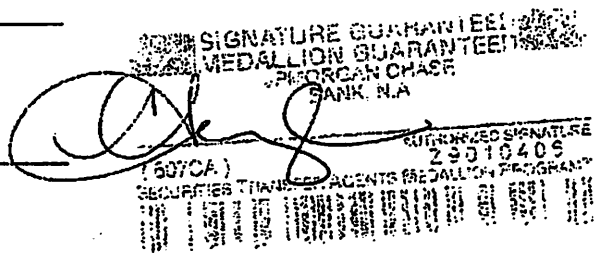
said stock on the books of said Company, with full power of substitution in the premises.

IMPORTANT: The signature(s) of the assignor(s) must be guaranteed by an eligible guarantor institution (bank, stock broker, savings and loan association or credit union) with membership in an approved signature guarantee medallion program pursuant to Securities and Exchange Commission Rule 17Ad-15.



Person(s) executing this power sign(s) here

Signature Medallion Guaranteed


SIGNED SIGNATURE GUARANTEE
MEDALLION GUARANTEE
PNC BANK N.A.
29010409
SECURITIES TRANSFER AGENTS MEDALLION PROGRAM

Action Stock Transfer Corp.

2469 E. Fort Union Blvd, Suite 214
Salt Lake City, Utah 84121
(801) 274-1088

Bill to:	BECHTEL GROUP 15260 VENTURA BLVD STE 1200 SHERMAN OAKS, CA 91403	Invoice Date 05/17/2013	Invoice Number 46202
Issuer	GLOBAL ENERGY TECHNOLOGY GROUP INC	Stock Type	Common
Number of certificates issued	13	Cost per certificate	\$20.00
Number of certificates cancelled	2	Total issue charge	\$260.00
FEDEX		Cancelled Cert Charge	\$0.00
		Recission Fees	\$0.00
		Legal Fees	\$0.00
		Rush Transfer	\$0.00
		Shipping	\$0.00
		Other	\$0.00
Please forward your check.	Check No. 0	Amount Paid	\$0.00
Action Stock Transfer Corp.			Total Due \$260.00

TRANSFER DETAILS

We acknowledge receipt of certificates of the above company as follows:

Number	RS	SS	Shareholder	Lost?	Shares
785	R		VERTEX INTERNATIONAL GROUP LLC	<input type="checkbox"/>	31,981
786	R		VERTEX INTERNATIONAL GROUP LLC	<input type="checkbox"/>	1,575,000
Total Shares Canceled					1,606,981

In accordance with your request we hand you herewith the following certificates:

Number	RS	Shareholder	Shares	
787	R		250,000	
788	R		21,000	
789	R		18,000	
790	R		42,000	
791	R		20,000	
792	R		21,000	
793	R		63,000	
794	R		42,000	
795	R		21,000	
796	R		65,000	
797	R		21,000	
798	R		41,000	
799	R		VERTEX INTERNATIONAL GROUP LLC	981,981
Total Shares Issued			1,606,981	

R/S
5/17/13

RS - Restriction Code SS - Certificate involved in Stock Split



From: (801) 274-1088
JUSTEENE BLANKENSHIP
ACTION STOCK TRANSFER CORP
2469 E FT UNION BLVD #214

Origin ID: NPHA



J13111302120328

SALT LAKE CITY, UT 84121

Ship Date: 17MAY13
ActWgt: 0.2 LB
CAD: 3671208/NET3370

Delivery Address Bar Code



SHIP TO: (801) 274-1088

BILL RECIPIENT

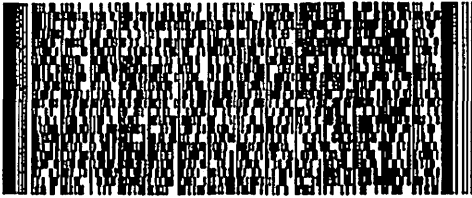
ATTN: MARC BRYANT
BECHTEL ADVISORY GROUP INC
15280 VENTURA BLVD STE 1200

SHERMAN OAKS, CA 91403

Ref #
Invoice #
PO #
Dept #

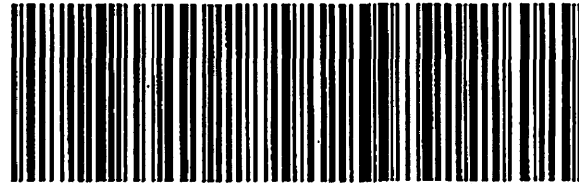
MON - 20 MAY 3:00P
STANDARD OVERNIGHT

TRK#
0201



WZ VNYA

91403
CA-US
BUR



518G18983893AR

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Warning: Use only the printed original label for shipping. Using a photocopy of this label for shipping purposes is fraudulent and could result in additional billing charges, along with the cancellation of your FedEx account number.

Use of this system constitutes your agreement to the service conditions in the current FedEx Service Guide, available on fedex.com. FedEx will not be responsible for any claim in excess of \$100 per package, whether the result of loss, damage, delay, non-delivery, misdelivery, or misinformation, unless you declare a higher value, pay an additional charge, document your actual loss and file a timely claim. Limitations found in the current FedEx Service Guide apply. Your right to recover from FedEx for any loss, including intrinsic value of the package, loss of sales, income interest, profit, attorney's fees, costs, and other forms of damage whether direct, incidental, consequential, or special is limited to the greater of \$100 or the authorized declared value. Recovery cannot exceed actual documented loss. Maximum for items of extraordinary value is \$1,000, e.g. jewelry, precious metals, negotiable instruments and other items listed in our Service Guide. Written claims must be filed within strict time limits, see current FedEx Service Guide.

Action Stock Transfer Corp

Attn: Justeene Blankenship

2469 E. Fort Union Blvd, Suite 214

Salt Lake City, UT 84121

May 17, 2013

RE: Stock Certificate issue request

Hi Justeene-

Enclosed with these instructions, please find an Excel spreadsheet of investors purchasing Global Energy Technology Group (GETG) stock from Vertex International Group, LLC. You will find the name, address, Tax I. D. number, number of shares purchased, and purchase price paid, for each investor.

Please ship the Certificates back to our Bechtel Advisory Group, Inc, address listed below. To expedite the shipping, please send them ***Standard Overnight Fed-Ex*** on our ***Bechtel FedEx*** account (# [REDACTED]). We will mail the Certs to the investors from our office.

Let me know if there is anything else you need to proceed with our request.

Respectfully Yours,

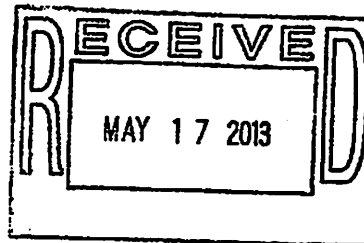
Marc Bryant

President

Bechtel Advisory Group, Inc.

15260 Ventura Blvd, Suite 1200

Sherman Oaks, CA, 91403



Global Energy Technology Group Certificate Transfers from VERTEX INTL GROUP

<u>Last</u>	<u>First</u>	<u>Address</u>	<u>City</u>	<u>St</u>	<u>zip</u>	<u>Tax I.D. #</u>	<u># Shares</u>	<u>\$ Amt</u>	<u>Price/sh</u>
[REDACTED]	[REDACTED]	[REDACTED]	LAKE WORT	FL	[REDACTED]	[REDACTED]	250,000	\$49,000	25cents
[REDACTED]	[REDACTED]	[REDACTED]	ANAMOOSE	ND	[REDACTED]	[REDACTED]	21,000	\$5,000	25cents
[REDACTED]	[REDACTED]	[REDACTED]	CHICO	CA	[REDACTED]	[REDACTED]	18,000	\$4,500	25cents
[REDACTED]	[REDACTED]	[REDACTED]	MANLIUS	NY	[REDACTED]	[REDACTED]	42,000	\$10,000	25cents
[REDACTED]	[REDACTED]	[REDACTED]	MUNCIE	IN	[REDACTED]	[REDACTED]	20,000	\$5,000	25cents
[REDACTED]	[REDACTED]	[REDACTED]	BOTHELL	WA	[REDACTED]	[REDACTED]	21,000	\$5,000	25cents
[REDACTED]	[REDACTED]	[REDACTED]	NYACK	NY	[REDACTED]	[REDACTED]	63,000	\$15,000	25cents
[REDACTED]	[REDACTED]	[REDACTED]	VANCOUVER	WA	[REDACTED]	[REDACTED]	42,000	\$10,000	25cents
[REDACTED]	[REDACTED]	[REDACTED]	SUNNYVALE	CA	[REDACTED]	[REDACTED]	21,000	\$5,000	25cents
[REDACTED]	[REDACTED]	[REDACTED]	ROTHSAY	MN	[REDACTED]	[REDACTED]	41,000	\$10,000	25cents
[REDACTED]	[REDACTED]	[REDACTED]	DARBY	MT	[REDACTED]	[REDACTED]	65,000	\$15,000	25cents
[REDACTED]	[REDACTED]	[REDACTED]	WRENSHALL	MN	[REDACTED]	[REDACTED]	21,000	\$5,000	25cents
							<u>625,000</u>		

JB-000002

RESTRICTED STOCK

INCORPORATED UNDER THE LAWS OF NEVADA

NUMBER

785

SHARES

31,981



GLOBAL ENERGY
Technology, Group

Fully Paid Non Assessable, \$0.001 Par Value COMMON STOCK

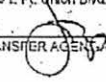
THIS CERTIFIES THAT *****VERTEX INTERNATIONAL GROUP LLC*****

IS THE RECORD HOLDER OF *****THIRTY ONE THOUSAND NINE HUNDRED EIGHTY ONE*****

Shares of *Global Energy Technology Group, Inc.* Common Stock
transferable on the books of the Corporation by the holder in person or by duly authorized attorney upon surrender of this Certificate properly
endorsed. This Certificate is not valid until countersigned by the Transfer Agent and registered by the Registrar.
WITNESS the facsimile seal of the Corporation and the facsimile signature of its duly authorized officers.

Dated: May 2, 2013

COUNTERSIGNED AND REGISTERED
ACTION STOCK TRANSFER CORP.
2409 E. Flamingo Blvd., #214, Salt Lake City, UT 84121

By: 
TRANSFER AGENT AUTHORIZED SIGNATURE


PRESIDENT


SECRETARY



RESTRICTED STOCK

The following abbreviations, when used in the inscription on the face of this certificate, shall be construed as though they were written out in full according to applicable laws or regulations:

TEN COM - as tenants in common
TEN ENT - as tenants by the entireties
JT TEN - as joint tenants with rights of survivorship and not as tenants in common

UNIF GIFT MIN ACT - Custodian
(Cust) (Minor)
under Uniform Gifts to Minors
Act
(State)

UNIF TRF MIN ACT - Custodian (until age.....)
(Cust)
.....under Uniform Transfers
(Minor)
to Minors Act.....
(State)

Additional abbreviations may also be used though not in the above list.

FOR VALUE RECEIVED, _____ hereby sell, assign and transfer unto

PLEASE INSERT SOCIAL SECURITY OR OTHER
IDENTIFYING NUMBER OF ASSIGNEE _____

(PLEASE PRINT OR TYPE NAME AND ADDRESS, INCLUDING ZIP CODE, OF ASSIGNEE)

CANCELLED

_____ Shares
of the capital stock represented by the within Certificate, and do hereby irrevocably constitute and appoint

_____ Attorney
to transfer the said stock on the books of the within named Corporation with full power of substitution in the premises.

Dated _____

X CANCELLED

X

NOTICE: THE SIGNATURE(S) TO THIS ASSIGNMENT MUST CORRESPOND WITH THE NAME(S) AS WRITTEN UPON THE FACE OF THE CERTIFICATE IN EVERY PARTICULAR, WITHOUT ALTERATION OR ENLARGEMENT OR ANY CHANGE WHATSOEVER.

CANCELLED

"THE SHARES REPRESENTED BY THIS CERTIFICATE HAVE NOT BEEN REGISTERED UNDER THE SECURITIES ACT OF 1933, AS AMENDED (THE "SECURITIES ACT"), OR ANY APPLICABLE STATE SECURITIES LAWS. THE SHARES HAVE BEEN ACQUIRED FOR INVESTMENT PURPOSES AND NOT WITH A VIEW TOWARD RESALE AND MAY NOT BE OFFERED, SOLD, DELIVERED AFTER SALE, TRANSFERRED, PLEDGED, HYPOTHECATED OR OTHERWISE TRANSFERRED IN THE ABSENCE OF AN EFFECTIVE REGISTRATION STATEMENT FILED BY THE ISSUER WITH THE U.S. SECURITIES AND EXCHANGE COMMISSION COVERING THE SHARES UNDER THE SECURITIES ACT OR AN EXEMPTION FROM THE REGISTRATION REQUIREMENTS OF SAID ACT THAT IS THEN APPLICABLE TO THE SHARES. AN OPINION OF COUNSEL SATISFACTORY TO THE ISSUER MAY BE REQUIRED BY THE ISSUER OR THE TRANSFER AGENT."

RESTRICTED STOCK

INCORPORATED UNDER THE LAWS OF NEVADA

NUMBER

786

SHARES

1,575,000



GLOBAL ENERGY
Technology, Group

Fully Paid Non Assessable \$0.001 Par Value COMMON STOCK

THIS CERTIFIES THAT *****VERTEX INTERNATIONAL GROUP LLC*****

IS THE RECORD HOLDER OF *****ONE MILLION FIVE HUNDRED SEVENTY FIVE THOUSAND *****

Shares of *Global Energy Technology Group, Inc.* Common Stock
transferable on the books of the Corporation by the holder in person or by duly authorized attorney upon surrender of this Certificate properly
endorsed. This Certificate is not valid until countersigned by the Transfer Agent and registered by the Registrar.

WITNESS the facsimile seal of the Corporation and the facsimile signature of its duly authorized officers.

Dated: May 17, 2013

COUNTERSIGNED AND REGISTERED
ACTION STOCK TRANSFER CORP.
2409 E Fl Union Blvd, #214, Salt Lake City, UT 84121

By: *[Signature]*
TRANSFER AGENT AUTHORIZED SIGNATURE

[Signature]
PRESIDENT



[Signature]
SECRETARY

RESTRICTED STOCK

The following abbreviations, when used in the inscription on the face of this certificate, shall be construed as though they were written out in full according to applicable laws or regulations:

TEN COM - as tenants in common
TEN ENT - as tenants by the entireties
JT TEN - as joint tenants with rights of survivorship and not as tenants in common

UNIF GIFT MIN ACT - Custodian
(Cust) (Minor)
under Uniform Gifts to Minors
Act
(State)
UNIF TRF MIN ACT - Custodian (until age.....)
(Cust)
.....under Uniform Transfers
(Minor)
to Minors Act.....
(State)

Additional abbreviations may also be used though not in the above list.

FOR VALUE RECEIVED, _____ hereby sell, assign and transfer unto

PLEASE INSERT SOCIAL SECURITY OR OTHER
IDENTIFYING NUMBER OF ASSIGNEE _____

(PLEASE PRINT OR TYPE NAME AND ADDRESS, INCLUDING ZIP CODE, OF ASSIGNEE)

_____ Shares
of the capital stock represented by the within Certificate and do hereby irrevocably constitute and appoint

_____ Attorney
to transfer the said stock on the books of the within named Corporation with full power of substitution in the premises.

Dated _____

X _____

X _____

NOTICE: THE SIGNATURES TO THIS ASSIGNMENT MUST CORRESPOND WITH THE NAME(S) AS WRITTEN UPON THE FACE OF THE CERTIFICATE IN EVERY PARTICULAR, WITHOUT ALTERATION OR ENLARGEMENT OR ANY CHANGE WHATSOEVER.

"THE SHARES REPRESENTED BY THIS CERTIFICATE HAVE NOT BEEN REGISTERED UNDER THE SECURITIES ACT OF 1933, AS AMENDED (THE "SECURITIES ACT"), OR ANY APPLICABLE STATE SECURITIES LAWS. THE SHARES HAVE BEEN ACQUIRED FOR INVESTMENT PURPOSES AND NOT WITH A VIEW TOWARD RESALE AND MAY NOT BE OFFERED, SOLD, DELIVERED AFTER SALE, TRANSFERRED, PLEDGED, HYPOTHECATED OR OTHERWISE TRANSFERRED IN THE ABSENCE OF AN EFFECTIVE REGISTRATION STATEMENT FILED BY THE ISSUER WITH THE U.S. SECURITIES AND EXCHANGE COMMISSION COVERING THE SHARES UNDER THE SECURITIES ACT OR AN EXEMPTION FROM THE REGISTRATION REQUIREMENTS OF SAID ACT THAT IS THEN APPLICABLE TO THE SHARES. AN OPINION OF COUNSEL SATISFACTORY TO THE ISSUER MAY BE REQUIRED BY THE ISSUER OR THE TRANSFER AGENT."

IRREVOCABLE STOCK POWER

FOR VALUE RECEIVED, the undersigned does (do) hereby sell assign and transfer unto

MARC BRYANT

(SSN or Tax Payer ID)

1,000 shares of the COMMON stock of GLOBAL ENERGY TECHNOLOGY GROUP.

represented by certificate(s) no(s) 1007

inclusive, standing in the name of the undersigned on the books of said Company.

The undersigned does (do) hereby irrevocably constitute and appoint _____

ACTION STOCK TRANSFER Attorney to transfer the said stock on the books of said Company, with full power of substitution in the premises.

IMPORTANT: The signature(s) of the assignor(s) must be guaranteed by an eligible guarantor institution (bank, stock broker, savings and loan association or credit union) with membership in an approved signature guarantee medallion program pursuant to Securities and Exchange Commission Rule 17Ad-15.

Marc Bryant
Person(s) executing this power sign(s) here

SIGNATURE GUARANTEE
MEDALLION GUARANTEE

Signature Medallion Guaranteed
10/25/12
29010400
STOCK TRANSFER AGENTS MEDALLION PROGRAM

-----Forwarded Message-----
From: Marc Bryant
Sent: Nov 28, 2011 12:36 PM
To: Dana Harloe
Subject: GETG

This is the e-mail we send to "Prospects" Of course, it is a sample.
Marc

----- Forwarded Message -----
From: Dave [REDACTED]@yahoo.com
To: Marc Bryant [REDACTED]@yahoo.com; Response <marketadmin@ereginc.com>; dan broyles [REDACTED]@verizon.net; wayne terry [REDACTED]@hotmail.com; pete d knight <peter@dmediallc.com>; Ron McCann [REDACTED]@gmail.com; Grant Galloway <gfg@greengiantventurefund.com>; Steven Darocy <electroncregistry@rocketmail.com>
Cc: rich k <rich@capital360.com>; [REDACTED]@yahoo.com [REDACTED]@yahoo.com
Sent: Monday, November 28, 2011 8:04 AM
Subject: Fw: GETG

This should be the email/letter that you send your clients after initial contact and qualification of interest and liquidity.

Dear Prudence,

It was great speaking with you today.

Enclosed you will find the attached information that you requested and may impact your perspective on the operations of www.ge-tg.com.

This is the Pre-public Offering that we are offering to our existing clients.

Global Energy Technology Group Inc., (GETG) is a pre-public holding company focused on acquiring high-growth firms, assets and properties in the Green market space; industry segments of particular interest include sustainable agriculture, carbon credit, solar and biofuels. Management is targeting growth stage companies that possess proprietary market edge and demonstrate solid opportunity to scale their business; special opportunities are anticipated to accelerate shareholder value by consolidating certain properties in the fastest growing segment in the Agra-Business Industry.



SEC-HarloeD-E-0003001

Please Click on the link below,

[http://curiouscapitalist.blogs.time.com/2011/08/01/americas-hottest-investment-farmland/?artId=14691?contentType=blog the curious capitalist?chn=us](http://curiouscapitalist.blogs.time.com/2011/08/01/americas-hottest-investment-farmland/?artId=14691?contentType=blog%20the%20curious%20capitalist?chn=us)

For more information on our most recent progress please click on the link below:

<http://www.ge-to.com/bio-fuel-iv-press.html>

Talk to you soon,

All the Best,

Account Representative

123-456-7890

----- Forwarded Message -----

From: Anne Sheridan <[REDACTED]@earthlink.net>

To: [REDACTED]

Sent: Wednesday, January 9, 2013 2:06 PM

Subject: The Aqua Tech Solution Fish and Shrimp Farming

Benarr,

When you visit our website at www.ge-tg.com you will maximize your time by:

Clicking on the Home Tab to view Management, then

Click on the Stockholders Tab,

Clicking on the first two tabs will give you a broad idea of what we've accomplished and what's ahead in the near future. Additionally, under the Acquisitions tab, read the Joint Venture Press which is very recent information.

You'll understand how diversified this project is in terms of multiple revenue streams which in turn will bring the stock value even higher than the opening price of \$1 per share.

This next link is very appropriate in regards to the imported fish mentioned in our conversation.

<http://economyincrisis.org/content/toxic-imported-fish-endangering-americans>

I also attached an article from Associated Press/USA today. ([Apple Juice Made in America](#)) It's very short but scroll down to the bottom of the page to the highlighted areas which discuss how 86% of the fish we eat in America comes from imports and only about 2% is inspected.

I think you will also enjoy the following information:

Aquaculture in the Coachella Valley 2011

Oil Prices Impact Coachella Valley Production



SEC-Pardo8-E-0006035

Coachella Valley fish farm production has sharply diminished during the previous two years; the contraction in production is linked to the fact that variable production costs have historically been closely tied to the price of oil. Two important fish production cost factors, namely fish food and electricity have seen marked increase due to the rise in the price of oil.

During 2007 and 2008 the continued rise in the price of oil required local companies to re-evaluate the economics of fish farming in Southern California. A number of big acreage farms ranging from 40 to 500 acres employing conventional fish farming techniques were simply forced out of business by the rapid and unprecedented increase in production costs due to the dramatic increase in the price of oil during this period; these farms that shut down produced roughly 4 million pounds of fish annually.

Expressed as a percentage of total sales, Aqua Farming Tech, from 2004 to 2007, experienced a feed increase from 18.7% to 28.1% and a jump in its electric bill from 13.4% to 26.8%.

This increase was not offset by a commensurate rise in the price of the product. Faced with rising costs and diminishing margins, many farms were forced out of business while other more efficient farms scaled back their production until an acceptable solution could be developed.

The Aqua Tech Solution

The Aqua Tech solution is simple: reduce the cost of production and increase the sales price of the products sold.

Reduce the Cost of Production – Electricity Cost.

In order to reduce and gain control of its electric bill, Aqua Tech is building a 221-kW DC solar photovoltaic electric system that is projected to provide power sufficient to offset a minimum of 50% of the energy used by the Thermal farm facility. A key feature of this solution is that Aqua Tech has applied for and been approved to receive a rebate equal to 50% of the cost of this solar facility; the source of the funds for this rebate is the California Solar Initiative; the entity responsible for disseminating the funds for this program locally is the Imperial Irrigation District, ("IID"), the utility which provides electric power and water to the Coachella Valley. The total cost of the Aqua Tech solar facility located at its Thermal farm is \$1,072,626. Aqua Tech plans to pay for this project as follows: cash grant from the US Treasury Department of \$321,787.80; \$452,924.40 project grant from the Imperial Irrigation District ("IID") (this is the local utility that dispenses funds whose source is the California Solar Initiative); \$297,913.80 balance paid for in 72 monthly

installments of \$4,137.69 per month.

Manufacture Our Own Feed Results in Reducing Feed Unit Cost by 1/3.

To control and reduce the cost of feed, the Company will purchase the equipment necessary to manufacture its own feed; this measure will save the Company an estimated 30% per year on feed cost. Vertically integrating into this area of the Company's business requires a capital expenditure of roughly \$100,000, an investment that should pay out in just a little over a year. The machinery purchased will consist of a grinder, a mixer, and a pelletizer. The manufacture of feed is not overly technical and Aqua Tech, regarded as a leader in its market, will expect to sell feed to some of its competitors over time. Grain is one of the primary constituents of the feed and Aqua Tech will enjoy some flexibility regarding which grains to use in its feed blend, based on commodity prices.

Culture Algae to Feed Fish; Further Reduces Feed Bill by 1/3 and Improves Growth Rate.

In the context of production efficiency we focused on the initial phase of fish growth from 3 grams to 100 grams. We conducted an experiment on our Mecca Farm based on the biological fact that tilapia are able to use algae as a source of food by filtering the water with their gills which contain tiny filaments. Knowing this, we cultured a dense bloom of chlorella algae in a large 240' grow out tank.

On June 10th, 67,000 3 gram tilapia were introduced into the algae dense water which had been cultured in the G1 tank at Mecca. On July 15th, 35 days later, samples taken indicated that the fish had added an average of 42.5 grams of weight. The results are encouraging for several reasons: (i) the fish did not receive any commercially manufactured fish feed, so their exclusive source of food was the chlorella algae; and, (ii) the fish in our study grew faster - gaining four times as much weight as the same fish that were fed commercial, specially formulated expensive fish food that we had in our 32' nursery ponds at the Thermal farm - (the same fish on the Thermal farm gained 10 grams during the same period of time).

Conclusion:

Opportunity lies in duplicating our existing successful methods, taking advantage of depressed markets and creating solutions without having to invest significant resources in capital intensive infrastructure, permitting, training, qualified personnel, etc.

You may also wish to Google "Demand in Tilapia" or "No.1 Sector in Aqua Farming."

I'll give you a call in a couple weeks to see how things are progressing with your property and answer any questions.

Cordially,

**Anne Sheridan
VERTEX INTERNATIONAL GROUP, LLC
6303 Owensmouth Avenue, 10th Floor
Woodland Hills, CA 91367
(818) 936-3367
Direct: [REDACTED]
www.verrtex.com**



www.verrtex.com

STOCK PURCHASE AGREEMENT

THIS STOCK PURCHASE AGREEMENT ("Agreement") is made as of this ____ day of _____, 2011 VERTEX INTERNATIONAL GROUP, LLC A Wyoming Corporation ("Seller") and _____ ("Buyer").

RECITALS:

WHEREAS, Buyer desires to purchase from Seller and Seller desires to sell to Buyer shares of common stock (the "Shares") GLOBAL ENERGY TECHNOLOGY GROUP, INC (the "Company"), pursuant to the terms hereof.

NOW, THEREFORE, for and in consideration of the premises and the mutual covenants herein contained, the parties hereto agree as follows:

1. Purchase and Sale of Shares. Seller hereby sells, assigns, transfers and conveys to Buyer, the Shares, together with all rights and benefits of any kind or nature, including but not limited to, the right to vote the Shares and receive dividends if any, arising on or after the date hereof, and Buyer accepts such conveyance and assumes the obligations attendant to being the lawful owner and holder of the Shares with respect to all matters arising on or after the date hereof.

2. Purchase Price. The Purchase Price (herein so called) for the Shares shall be the sum of \$_____ per share for a total of \$_____ AND NO/100 US DOLLARS (\$_____).

3. Closing. Upon receipt of the Purchase Price, Seller shall duly endorse and tender to Buyer the certificate evidencing the Shares.

4. Seller hereby represents and warrants to Buyer that Seller is the true and lawful owner and holder of the Shares free and clear of all liens and encumbrances, charges, claims or restrictions except as set forth herein or by legend on the Certificate.

5. Suitability of Investment. Purchaser has evaluated the merits and risks of Purchaser's proposed investment in the Company, including those risks particular to Purchaser's situation, and has determined that this investment is suitable for Purchaser. Purchaser has adequate financial resources for an investment of this character, and at this time.



6. Purchaser can bear a complete loss of Purchaser's investment. Further, Purchaser will continue to have, after making an investment in the Company, adequate means of providing for Purchaser's current needs, the needs of those dependent on Purchaser, and possible personal contingencies. Purchaser specifically represents that he or she has a net worth at least five times greater than the investment made herein.

7. Limitations on Disposition/Residency. Purchaser understands that there are substantial restrictions on the transferability of the Shares. The Shares will not be, and, Purchaser has no right to require that the Shares be, registered under the Securities Act; and, accordingly, Purchaser may have to hold the Shares for an indefinite period of time if there is no public market for the Shares. Purchaser affirms that the Purchaser is a resident or an entity formed not solely for the purpose of acquiring the Shares.

8. Knowledge and Experience: Buyer has such knowledge and experience in financial and business matters that Buyer is fully capable of evaluating the merits and risks of an investment in the Shares.

9. Miscellaneous Provisions: The terms and provisions of this Agreement shall be binding upon, inure to the benefit of, and be enforceable by the parties and their respective administrators, personal or legal representatives, heirs, successors and permitted assign. This Agreement and all transactions contemplated by this Agreement shall be complete and recorded within 30 days or less from time of signature on contract.

Name: _____

Address: _____

City: _____ State: _____ Zip: _____ Telephone: _____

SSN/EIN: _____ Email: _____

Signature: _____

Accepted: _____ Date: _____

Marc Bryant/ CEO/ Vertex International Group, LLC

SEND SPA TO:

**Vertex International Group, LLC
6303 Owensmouth Ave. 10th Floor
Woodland Hills, Ca. 91367**



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6303 Owensmouth Ave. 10th Floor
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www.vertextx.com

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Address: _____

City: _____ State: _____ Zip: _____ Telephone: _____

SSN/EIN: _____ Email: _____

Signature: _____

Accepted: _____ Date: _____
Marc Bryant/ CEO/ Vertex International Group, LLC

SEND SPA TO:
Vertex International Group, LLC
6303 Owensmouth Ave. 10th Floor
Woodland Hills, Ca. 91367

THIS ITEM IS PART OF A LEGAL STATEMENT RECONSTRUCTION
GROUP ID G23Feb15-434
Sequence number Posting date Amount

CHASE
JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

March 01, 2012 through March 30, 2012
Account Number: [REDACTED]

00003267 DRE 703 142 08112 NNNNNNNNNNN T 1 000000000 60 0000
VERTEX INTERNATIONAL GROUP LLC
74930 COUNTRY CLUB DR STE 540-191
PALM DESERT CA 92260-1980

CUSTOMER SERVICE INFORMATION

Web site: Chase.com
Service Center: 1-800-242-7338
Deaf and Hard of Hearing: 1-800-242-7383
Para Espanol: 1-888-622-4273
International Calls: 1-713-262-1679



Important Information About Your Account Statement
We understand the value of being able to easily read your statements and the benefit of balancing your account. To make your statement easier to follow, effective March 19, 2012, we moved the Balancing Your Checkbook page to the last page of the statement. This page may be used to balance your account for a given statement period. If you have any questions, please call us at the number on this statement or visit your branch.

CHECKING SUMMARY

Chase BusinessSelect Checking

	INSTANCES	AMOUNT
Beginning Balance		\$35,171.47
Deposits and Additions	25	496,512.73
Checks Paid	12	- 29,675.00
ATM & Debit Card Withdrawals	24	- 2,823.57
Electronic Withdrawals	3	- 20,074.98
Fees and Other Withdrawals	21	- 458,972.00
Ending Balance	85	\$20,138.65

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
03/01	Book Transfer Credit B/O: Royal Bank of Canada-Payment Toronto On [REDACTED] Org: [REDACTED] Jared Kroeger Ref./Chgs/USD0/Chgs/USD18,00/Ocmt/Cad [REDACTED] /Exch/00,9 [REDACTED] /Bnl/Vertex International Group 6303 Owensmouth Avenue Woodland Hill S CA US Tr: [REDACTED]	\$49,982.00
03/01	Deposit	10,000.00
03/02	Deposit	21,250.00
03/02	Deposit [REDACTED]	5,000.00
03/07	Deposit	55,000.00
03/08	Deposit	10,000.00
03/13	Deposit	15,000.00



25-Feb-15

23Feb15-434

THIS ITEM IS PART OF A LEGAL STATEMENT RECONSTRUCTION

GROUP ID G23Feb15-434

Sequence number [REDACTED] Posting date 01-Mar-12 Amount 10000.00

DENNIS OR EMILY ROBERTS

3119

CARDLETC

96-0988/3070

2/23/12
Date

Pay to the
Order of

VERTEX INTERNATIONAL GROUP, LLC \$ 10,000

~~TERRILL HUBBARD FOLLOWS 4/NO/10~~

Dollars



For FBI from alias 4E-7p

[Signature]

[REDACTED]

FOR DEPOSIT ONLY
VERTEX INTERNATIONAL GROUP LLC

25-Feb-15

23Feb15-434

THIS ITEM IS PART OF A LEGAL STATEMENT RECONSTRUCTION

GROUP ID G23Feb15-434

Sequence number [REDACTED] Posting date 02-Mar-12 Amount 6250.00

THOMAS W. MARTIN DIANE M. MARTIN [REDACTED] ENDICOTT, NY [REDACTED]		⑈440 280	1133
DATE 02/28/2012			
PAY TO THE ORDER OF	<i>Porter International Group, LLC</i>	\$	<i>6,250.00</i>
<i>Six thousand two hundred fifty & no/100</i>		DOLLARS	
M&T Bank	Global Energy Tech Group	<i>Thomas W. Martin</i>	
MEMO 25000 Abaka	[REDACTED]	[REDACTED]	[REDACTED]

[REDACTED]	[REDACTED]	[REDACTED]	FOR DEPOSIT ONLY PORTER INTERNATIONAL GROUP LLC SERVICES
[REDACTED]	[REDACTED]	[REDACTED]	

25-Feb-15

23Feb15-434

THIS ITEM IS PART OF A LEGAL STATEMENT RECONSTRUCTION
GROUP ID G23Feb15-434

Sequence number [REDACTED] Posting date 21-Mar-12 Amount 23000.00

ELECTRONIC REGISTRY, INC
NORTHROBE, CA [REDACTED]

DATE 3/21/12 1433
18-341220-670
080020720

PAY TO THE ORDER OF VERTEX INTL GROUP LLC \$ 23,000.-

EXACTLY TWENTY THREE THOUSAND DOLLARS

FOR [REDACTED] [REDACTED] [REDACTED] [REDACTED]

[Signature]

[REDACTED]

DEPOSIT ONLY
VERTEX LLC
ACCT# [REDACTED]

25-Feb-15

23Feb15-434

THIS ITEM IS PART OF A LEGAL STATEMENT RECONSTRUCTION

GROUP ID G23Feb15-434

Sequence number [REDACTED] Posting date 28-Mar-12 Amount 19000.00

BIO-GLOBAL RESOURCES INC
[REDACTED]

1763
27-001119-2000
02252017

DATE MAR 28, 2012

PAY TO THE ORDER OF VERTEX INTERNATIONAL/Group, Inc. \$ 19,000.00

NINETEEN THOUSAND & 00/100 DOLLARS

FOR Consulting

[REDACTED] [REDACTED] [REDACTED] [REDACTED]

[Signature]

[REDACTED]


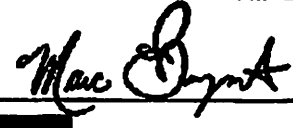
[REDACTED]

25-Feb-15

23Feb15-434

THIS ITEM IS PART OF A LEGAL STATEMENT RECONSTRUCTION
GROUP ID G23Feb15-434

Sequence number [REDACTED] Posting date 02-Mar-12 Amount 1000.00

VERTEX INTERNATIONAL GROUP LLC <small>WOODLAND HILLS, CA</small>		1012
PAY TO THE ORDER OF <u>XYZ LEADS</u>		DATE <u>3-2-12</u> <small>41135</small>
<u>ONE THOUSAND</u>		<u>\$1,000⁰⁰</u> DOLLARS
CHASE  <small>Member FDIC</small>		
FOR <u>LEADS</u>		

[REDACTED]

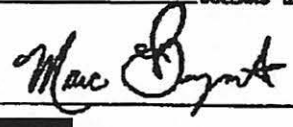
For Deposit Only

25-Feb-15

23Feb15-434

THIS ITEM IS PART OF A LEGAL STATEMENT RECONSTRUCTION
GROUP ID G23Feb15-434

Sequence number [REDACTED] Posting date 02-Mar-12 Amount 2500.00

VERTEX INTERNATIONAL GROUP LLC [REDACTED] WOODLAND HILLS, CA		1010
PAY TO THE ORDER OF NICHOLAS FLEMING		DATE 3-2-12
TWO THOUSAND FIVE HUNDRED		\$2,500⁰⁰
CHASE <small>JPMorgan Chase Bank, N.A.</small> <small>www.chase.com</small>		DOLLARS
FOR FURNITURE PURCHASE [REDACTED]		

[REDACTED]

[Handwritten signature]

25-Feb-15

23Feb15-434

THIS ITEM IS PART OF A LEGAL STATEMENT RECONSTRUCTION

GROUP ID G23Feb15-434

Sequence number [REDACTED] Posting date 23-Mar-12 Amount 5000.00

VERTEX INTERNATIONAL GROUP LLC <small>WOODLAND HILLS, CA [REDACTED]</small>		1021
PAY TO THE ORDER OF XYZ LEADS, INC		DATE <u>3-23-12</u>
FIVE THOUSAND		\$ 5,000⁰⁰
CHASE <small>JPMorgan Chase Bank, N.A. www.chase.com</small>		DOLLARS
FOR [REDACTED]	<i>Mac [Signature]</i>	

[REDACTED]

FOR DEPOSITOR ONLY
Acct # [REDACTED]

25-Feb-15

23Feb15-434

THIS ITEM IS PART OF A LEGAL STATEMENT RECONSTRUCTION
GROUP ID G23Feb15-434

Sequence number [REDACTED] Posting date 16-Mar-12 Amount 63850.00

CHASE **WITHDRAWAL**

CHECKING
 SAVINGS
 BY [REDACTED]

Today's Date: 3/16/12
 Customer Name (Please Print): Verkey
 If Purchasing a Cashier's Check Provide Payee Name:

11222-04 (Rev 07/10) 1122209 12/11
 Start your account number here: [REDACTED]

[REDACTED] [REDACTED]
 TOTAL \$ 63850.-

[REDACTED] [REDACTED]

WITHDRAWAL

JPMorgan Chase Bank [REDACTED]

CHASE
 JPMorgan Chase Bank, N.A.
 P.O. Box 659754
 San Antonio, TX 78265-9754

March 31, 2012 through April 30, 2012
 Account Number: [REDACTED]

00073784 DRE 703 142 12212 NNNNNNNNNN T 1 00000000 00 0000
 VERTEX INTERNATIONAL GROUP LLC
 74930 COUNTRY CLUB DR STE 540-191
 PALM DESERT CA 92260-1980

CUSTOMER SERVICE INFORMATION

Web site: Chase.com
 Service Center: 1-800-242-7338
 Deaf and Hard of Hearing: 1-800-242-7383
 Para Espanol: 1-888-622-4273
 International Calls: 1-713-262-1679



IMPORTANT INFORMATION REGARDING ATM TRANSFERS

Please note that we are extending our ATM cutoff time for transfers between Chase accounts to better serve you. For transfers and deposits at most Chase ATMs, the cutoff time is 11 p.m. Eastern time. For ATMs with an earlier cutoff, the ATM screen will notify you of the cutoff time. We hope you enjoy this added convenience.

This change updates your Deposit Account Agreement under the Funds Availability Policy section, the second bullet under "When Your Deposit is Received." This change applies to Chase personal checking and savings accounts as well as Chase business checking and savings accounts. All other terms of your account agreement remain the same. If you have any questions, please refer to the phone number on your statement or visit your nearest Chase branch.

CHECKING SUMMARY Chase BusinessSelect Checking

	INSTANCES	AMOUNT
Beginning Balance		\$20,138.65
Deposits and Additions	17	240,955.00
Checks Paid	16	- 32,759.52
ATM & Debit Card Withdrawals	18	- 2,552.83
Fees and Other Withdrawals	19	- 192,828.00
Ending Balance	70	\$32,953.30

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
04/03	Deposit	\$10,000.00
04/04	Fed Wire Credit Via: Bank of America, N.A./[REDACTED] B/O: Patrick Connolly San Francisco CA 94116-2372 Ref: Chase Nyc/Ctr/Bnl=Vertex International Group Llc Palm Desert, CA 922601985 [REDACTED] [REDACTED] Nn Imad:	12,500.00
04/04	Deposit	8,000.00
04/06	Fed Wire Credit Via: Bank of America, N.A./026009593 B/O: Franz M Elizondo San Diego CA 92109-6165 Ref: Chase Nyc/Ctr/Bnl=Vertex International Group Llc Palm Desert, CA 922601985 [REDACTED] Obi=For [REDACTED] Global Energy Timad:	49,975.00
04/06	Deposit	25,000.00
04/09	Deposit	15,000.00
04/11	Deposit	15,000.00



25-Feb-15

23Feb15-434

THIS ITEM IS PART OF A LEGAL STATEMENT RECONSTRUCTION
GROUP ID G23Feb15-434

Sequence number [REDACTED] Posting date 16-Apr-12 Amount 2000.00

1037

VERTEX INTERNATIONAL GROUP LLC
WOODLAND HILLS, CA

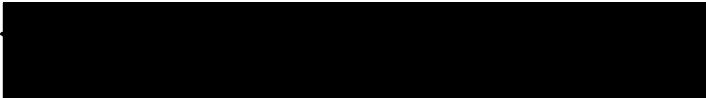
DATE 4/17/12 ⑆ 41135

PAY TO THE ORDER OF SCOTT DEARBORN \$ 2,000.00

Two Thousand DOLLARS

CHASE
Member Chase Bank, N.A.
www.chase.com

FOR [REDACTED] *Mac Bryant*



[Handwritten signature]

25-Feb-15

23Feb15-434

THIS ITEM IS PART OF A LEGAL STATEMENT RECONSTRUCTION

GROUP ID G23Feb15-434

Sequence number [REDACTED] Posting date 16-Apr-12 Amount 5000.00

1036

VERTEX INTERNATIONAL GROUP LLC
WOODLAND HILLS, CA

DATE 4-13-12 ①②③ 41135

PAY TO THE ORDER OF PERRY DOUGLAS WEST \$ 5,000⁰⁰

FIVE THOUSAND AND NO/100 DOLLARS

CHASE
JPMorgan Chase Bank, N.A.
www.chase.com

Mac [Signature]

FOR [REDACTED]

BANK OF AMERICA, N.A.
 ①②③④⑤⑥⑦⑧⑨⑩⑪⑫⑬⑭⑮⑯⑰⑱⑲⑳㉑㉒㉓㉔㉕㉖㉗㉘㉙㉚㉛㉜㉝㉞㉟㊱㊲㊳㊴㊵㊶㊷㊸㊹㊺
 [REDACTED]
 ①②③④⑤⑥⑦⑧⑨⑩⑪⑫⑬⑭⑮⑯⑰⑱⑲⑳㉑㉒㉓㉔㉕㉖㉗㉘㉙㉚㉛㉜㉝㉞㉟㊱㊲㊳㊴㊵㊶㊷㊸㊹㊺

[REDACTED]

FOR DEPOSIT ONLY

25-Feb-15

23Feb15-434

THIS ITEM IS PART OF A LEGAL STATEMENT RECONSTRUCTION

GROUP ID G23Feb15-434

Sequence number [REDACTED] Posting date 20-Apr-12 Amount 2125.00

1039

VERTEX INTERNATIONAL GROUP LLC
WOODBURY HILLS, CA

DATE 4/20/12 ⑆ 41135

PAY TO THE ORDER OF NICHOLAS FLEMING \$ 2,125.00

TWO THOUSAND ONE HUNDRED TWENTY FIVE & 1/100 DOLLARS

CHASE
Member since 1928
www.chase.com

FOR [REDACTED] Mac Bryant

[REDACTED]

Mac Bryant

25-Feb-15

23Feb15-434

THIS ITEM IS PART OF A LEGAL STATEMENT RECONSTRUCTION
GROUP ID G23Feb15-434

Sequence number [REDACTED] Posting date 12-Apr-12 Amount 2500.00

VERTEX INTERNATIONAL GROUP LI WOODLAND HILLS, CA		1033
PAY TO THE ORDER OF BOB WESTGATE		4/12/12 <small>DATE</small> 41135 <small>AMOUNT</small>
TWO THOUSAND FIVE HUNDRED		\$ 2,500.00
CHASE <small>Member of Chase Bank, N.A.</small>		<i>Mac Bryant</i>
FOR [REDACTED]		

[REDACTED]
 [REDACTED]

[REDACTED]



www.bechtelgroup.net

STOCK PURCHASE AGREEMENT

THIS STOCK PURCHASE AGREEMENT ("Agreement") is made as of this ____ day of _____, 2013 BECHTEL ADVISORY GROUP, INC, A Wyoming Corporation ("Seller") and _____ ("Buyer").

RECITALS:

WHEREAS, Buyer desires to purchase from Seller and Seller desires to sell to Buyer shares of common stock (the "Shares") GLOBAL ENERGY TECHNOLOGY GROUP, INC (the "Company"), pursuant to the terms hereof.

NOW, THEREFORE, for and in consideration of the premises and the mutual covenants herein contained, the parties hereto agree as follows:

1. **Purchase and Sale of Shares.** Seller hereby sells, assigns, transfers and conveys to Buyer, the Shares, together with all rights and benefits of any kind or nature, including but not limited to, the right to vote the Shares and receive dividends if any, arising on or after the date hereof, and Buyer accepts such conveyance and assumes the obligations attendant to being the lawful owner and holder of the Shares with respect to all matters arising on or after the date hereof.

2. **Purchase Price.** The Purchase Price (herein so called) for the Shares

shall be at the rate of \$ _____ / *per share*, for a total

of \$ _____ US DOLLARS , totaling a

number of: _____ *shares purchased*.

3. **Closing.** Upon receipt of the Purchase Price, Seller shall duly endorse and tender to Buyer the certificate evidencing the Shares.

4. **Seller hereby represents** and warrants to Buyer that Seller is the true and lawful owner and holder of the Shares free and clear of all liens and encumbrances, charges, claims or restrictions except as set forth herein or by legend on the Certificate.

5. **Suitability of Investment.** Purchaser has evaluated the merits and risks of Purchaser's proposed investment in the Company, including those risks particular to Purchaser's situation, and has determined that this investment is suitable for Purchaser. Purchaser has adequate financial resources for an investment of this character, and at this time.



6. Purchaser can bear a complete loss of Purchaser's investment. Further, Purchaser will continue to have, after making an investment in the Company, adequate means of providing for Purchaser's current needs, the needs of those dependent on Purchaser, and possible personal contingencies. Purchaser specifically represents that he or she has a net worth at least five times greater than the investment made herein.

7. Limitations on Disposition/Residency. Purchaser understands that there are substantial restrictions on the transferability of the Shares. The Shares will not be, and, Purchaser has no right to require that the Shares be, registered under the Securities Act; and, accordingly, Purchaser may have to hold the Shares for an indefinite period of time if there is no public market for the Shares. Purchaser affirms that the Purchaser is a resident or an entity formed not solely for the purpose of acquiring the Shares.

8. Knowledge and Experience: Buyer has such knowledge and experience in financial and business matters that Buyer is fully capable of evaluating the merits and risks of an investment in the Shares.

9. Miscellaneous Provisions: The terms and provisions of this Agreement shall be binding upon, inure to the benefit of, and be enforceable by the parties and their respective administrators, personal or legal representatives, heirs, successors and permitted assign. This Agreement and all transactions contemplated by this Agreement shall be complete and recorded within 30 days or less from time of signature on contract.

Name: _____

Address: _____

City: _____ State: _____ Zip: _____ Telephone: _____

SSN/EIN: _____ Email: _____

Signature: _____

SEND Stock Purchase Agreement to:

Bechtel Advisory Group, Inc.
15260 Ventura Blvd, Suite 1200
Sherman Oaks, CA 91403

Please make check payable to: Bechtel Advisory Group, Inc.

Accepted by Corporate Seal:



Date: _____

Bechtel Advisory Group, Inc.



GROUP

www.bechtelgroup.net

STOCK PURCHASE AGREEMENT

THIS STOCK PURCHASE AGREEMENT ("Agreement") is made as of this 6th day of June, 2013 BECHTEL ADVISORY GROUP, INC, A Wyoming Corporation ("Seller") and Robert Chambers ("Buyer").

RECITALS:

WHEREAS, Buyer desires to purchase from Seller and Seller desires to sell to Buyer shares of common stock (the "Shares") GLOBAL ENERGY TECHNOLOGY GROUP, INC (the "Company"), pursuant to the terms hereof.

NOW, THEREFORE, for and in consideration of the premises and the mutual covenants herein contained, the parties hereto agree as follows:

1. **Purchase and Sale of Shares.** Seller hereby sells, assigns, transfers and conveys to Buyer, the Shares, together with all rights and benefits of any kind or nature, including but not limited to, the right to vote the Shares and receive dividends if any, arising on or after the date hereof, and Buyer accepts such conveyance and assumes the obligations attendant to being the lawful owner and holder of the Shares with respect to all matters arising on or after the date hereof.

2. **Purchase Price.** The Purchase Price (herein so called) for the Shares shall be at the rate of \$ 0.25 / *per share*, for a total of \$ 15,000 US DOLLARS , totaling a number of: 60,000 *shares purchased*.

3. **Closing.** Upon receipt of the Purchase Price, Seller shall duly endorse and tender to Buyer the certificate evidencing the Shares.

4. **Seller hereby represents** and warrants to Buyer that Seller is the true and lawful owner and holder of the Shares free and clear of all liens and encumbrances, charges, claims or restrictions except as set forth herein or by legend on the Certificate.

5. **Suitability of Investment.** Purchaser has evaluated the merits and risks of Purchaser's proposed investment in the Company, including those risks particular to Purchaser's situation, and has determined that this investment is suitable for Purchaser. Purchaser has adequate financial resources for an investment of this character, and at this time.



6. Purchaser can be a complete loss of Purchaser's investment. Further, Purchaser will continue to have, after making an investment in the Company, adequate means of providing for Purchaser's current needs, the needs of those dependent on Purchaser, and possible personal contingencies. Purchaser specifically represents that he or she has a net worth at least five times greater than the investment made herein.

7. Limitations on Disposition/Residency. Purchaser understands that there are substantial restrictions on the transferability of the Shares. The Shares will not be, and, Purchaser has no right to require that the Shares be, registered under the Securities Act; and, accordingly, Purchaser may have to hold the Shares for an indefinite period of time if there is no public market for the Shares. Purchaser affirms that the Purchaser is a resident or an entity formed not solely for the purpose of acquiring the Shares.

8. Knowledge and Experience: Buyer has such knowledge and experience in financial and business matters that Buyer is fully capable of evaluating the merits and risks of an investment in the Shares.

9. Miscellaneous Provisions: The terms and provisions of this Agreement shall be binding upon, inure to the benefit of, and be enforceable by the parties and their respective administrators, personal or legal representatives, heirs, successors and permitted assign. This Agreement and all transactions contemplated by this Agreement shall be complete and recorded within 30 days or less from time of signature on contract.

Name: CHAMBERS FAMILY TRUST [REDACTED] ROBERT R. CHAMBERS
Address: [REDACTED] TRUSTEE
City: STUDIO CITY State: CA Zip: [REDACTED] Telephone: [REDACTED]
SSN/EIN: [REDACTED] Email: [REDACTED]
Signature: Robert R. Chambers

SEND Stock Purchase Agreement to:

Bechtel Advisory Group, Inc.
15260 Ventura Blvd, Suite 1200
Sherman Oaks, CA 91403

Please make check payable to: Bechtel Advisory Group, Inc.

Accepted by Corporate Seal: _____ Date: 6/12/13

Bechtel Advisory Group, Inc.



GROUP

www.bechtelgroup.net

Dear Investor:

Enclosed you will find your approved Stock Purchase Agreement and Global Energy Technology Group Stock Certificate for your safekeeping.

We appreciate your business, and should you have any questions regarding the foregoing, please don't hesitate to contact us.

Respectfully,

Bechtel Advisory Group, Inc.

Bechtel Advisory Group, Inc. 15260 Ventura Blvd, Suite 1200, Sherman Oaks, CA 91403

Phone (818) 582-2425



SEC-AllenT-E-0000007



BECHTEL GROUP

www.bechtelgroup.net

STOCK PURCHASE AGREEMENT

THIS STOCK PURCHASE AGREEMENT ("Agreement") is made as of this 28 day of ~~August~~ 2013 BECHTEL ADVISORY GROUP, INC, A Wyoming Corporation ("Seller") and Todd R. Allen ("Buyer").

RECITALS:

WHEREAS, Buyer desires to purchase from Seller and Seller desires to sell to Buyer shares of common stock (the "Shares") GLOBAL ENERGY TECHNOLOGY GROUP, INC (the "Company"), pursuant to the terms hereof.

NOW, THEREFORE, for and in consideration of the premises and the mutual covenants herein contained, the parties hereto agree as follows:

- 1. Purchase and Sale of Shares.** Seller hereby sells, assigns, transfers and conveys to Buyer, the Shares, together with all rights and benefits of any kind or nature, including but not limited to, the right to vote the Shares and receive dividends if any, arising on or after the date hereof, and Buyer accepts such conveyance and assumes the obligations attendant to being the lawful owner and holder of the Shares with respect to all matters arising on or after the date hereof.
- 2. Purchase Price.** The Purchase Price (herein so called) for the Shares shall be at the rate of \$ 1.66 / per share, for a total of \$ 5000.00 US DOLLARS , totaling a number of: 3012 shares purchased.
- 3. Closing.** Upon receipt of the Purchase Price, Seller shall duly endorse and tender to Buyer the certificate evidencing the Shares.
- 4. Seller hereby represents** and warrants to Buyer that Seller is the true and lawful owner and holder of the Shares free and clear of all liens and encumbrances, charges, claims or restrictions except as set forth herein or by legend on the Certificate.
- 5. Suitability of Investment.** Purchaser has evaluated the merits and risks of Purchaser's proposed investment in the Company, including those risks particular to Purchaser's situation, and has determined that this investment is suitable for Purchaser. Purchaser has adequate financial resources for an investment of this character, and at this time.

6. Purchaser can bear complete loss of Purchaser's investment. Further, Purchaser will continue to have, after making an investment in the Company, adequate means of providing for Purchaser's current needs, the needs of those dependent on Purchaser, and possible personal contingencies. Purchaser specifically represents that he or she has a net worth at least five times greater than the investment made herein.

7. Limitations on Disposition/Residency. Purchaser understands that there are substantial restrictions on the transferability of the Shares. The Shares will not be, and, Purchaser has no right to require that the Shares be, registered under the Securities Act; and, accordingly, Purchaser may have to hold the Shares for an indefinite period of time if there is no public market for the Shares. Purchaser affirms that the Purchaser is a resident or an entity formed not solely for the purpose of acquiring the Shares.

8. Knowledge and Experience: Buyer has such knowledge and experience in financial and business matters that Buyer is fully capable of evaluating the merits and risks of an investment in the Shares.

9. Miscellaneous Provisions: The terms and provisions of this Agreement shall be binding upon, inure to the benefit of, and be enforceable by the parties and their respective administrators, personal or legal representatives, heirs, successors and permitted assign. This Agreement and all transactions contemplated by this Agreement shall be complete and recorded within 30 days or less from time of signature on contract.

Name: _____

Address: _____

City: _____ State: _____ Zip: _____ Telephone: _____

SSN/EIN: _____ Email: _____

Signature: Todd R. Allen

SEND Stock Purchase Agreement to:

**Bechtel Advisory Group, Inc.
15260 Ventura Blvd, Suite 1200
Sherman Oaks, CA 91403**

Please make check payable to: Bechtel Advisory Group, Inc.

Accepted by Corporate Seal:

Date: 9/12/13

Bechtel Advisory Group, Inc.



CERTIFICATE NUMBER

PAR VALUE \$0.0001
COMMON STOCK

New Global Energy, Inc

INCORPORATED UNDER THE LAWS OF THE STATE OF WYOMING



SHARES

CUSIP NO. 644508103

TODD ALLEN

THIS CERTIFIES THAT

Is The Owner Of

'THREETHOUSANDTWELVE'

FULLY PAID AND NON-ASSESSABLE SHARES OF THE COMMON STOCK PAR VALUE OF \$0.0001 EACH OF

New Global Energy, Inc

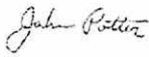
TRANSFERABLE ON THE BOOKS OF THE CORPORATION IN PERSON OR BY DULY AUTHORIZED ATTORNEY UPON SURRENDER OF THIS CERTIFICATE PROPERLY ENDORSED. THIS CERTIFICATE IS NOT VALID UNTIL COUNTERSIGNED BY THE TRANSFER AGENT AND REGISTERED BY THE REGISTRAR. WITNESS THE FACSIMILE SEAL OF THE CORPORATION AND THE FACSIMILE SIGNATURES OF ITS DULY AUTHORIZED OFFICERS.

THIS CERTIFICATE IS NOT VALID UNLESS IT IS REGISTERED AND COUNTERSIGNED BY THE REGISTRAR AND THE TRANSFER AGENT. THE REGISTRAR IS THE SECRETARY OF THE STATE OF WYOMING AND THE TRANSFER AGENT IS THE PERSON OR ENTITY DESIGNATED IN THE CHARTERS AND BYLAWS OF THE CORPORATION. THIS CERTIFICATE IS NOT VALID UNLESS IT IS REGISTERED AND COUNTERSIGNED BY THE REGISTRAR AND THE TRANSFER AGENT.

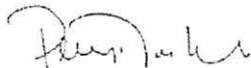
DATED: 09/12/2015

COUNTERSIGNED AND REGISTERED:
ISLAND STOCK TRANSFER
Transfer Agent

By 
Authorized Signature



John Potter
President



Perry Douglas West
Secretary



15500 Roosevelt BLVD, Suite 301, Clearwater Fl 33760
727.289.0310



www.bechtelgroup.net

Dear Investor:

Enclosed you will find your approved Stock Purchase Agreement and Global Energy Technology Group Stock Certificate for your safekeeping.

We appreciate your business, and should you have any questions regarding the foregoing, please don't hesitate to contact us.

Respectfully,

Bechtel Advisory Group, Inc.

Bechtel Advisory Group, Inc. 15260 Ventura Blvd, Suite 1200, Sherman Oaks, CA 91403

Phone (818) 582-2425



SEC-MIRC-E-0000014

www.bechtelgroup.net
STOCK PURCHASE AGREEMENT

THIS STOCK PURCHASE AGREEMENT ("Agreement") is made as of this 4th day of April, 2013 BECHTEL ADVISORY GROUP, INC, A Wyoming Corporation ("Seller") and Ina Sandoval ("Buyer").

RECITALS:

WHEREAS, Buyer desires to purchase from Seller and Seller desires to sell to Buyer shares of common stock (the "Shares") GLOBAL ENERGY TECHNOLOGY GROUP, INC (the "Company"), pursuant to the terms hereof.

NOW, THEREFORE, for and in consideration of the premises and the mutual covenants herein contained, the parties hereto agree as follows:

1. **Purchase and Sale of Shares.** Seller hereby sells, assigns, transfers and conveys to Buyer, the Shares, together with all rights and benefits of any kind or nature, including but not limited to, the right to vote the Shares and receive dividends if any, arising on or after the date hereof, and Buyer accepts such conveyance and assumes the obligations attendant to being the lawful owner and holder of the Shares with respect to all matters arising on or after the date hereof.
2. **Purchase Price.** The Purchase Price (herein so called) for the Shares shall be at the rate of **\$.20/ per share**, for a total of **\$50,000.00 US DOLLARS** , totaling a number of: **250,000 shares purchased**.
3. **Closing.** Upon receipt of the Purchase Price, Seller shall duly endorse and tender to Buyer the certificate evidencing the Shares.
4. **Seller hereby represents** and warrants to Buyer that Seller is the true and lawful owner and holder of the Shares free and clear of all liens and encumbrances, charges, claims or restrictions except as set forth herein or by legend on the Certificate.
5. **Suitability of Investment.** Purchaser has evaluated the merits and risks of Purchaser's proposed investment in the Company, including those risks particular to Purchaser's situation, and has determined that this investment is suitable for Purchaser. Purchaser has adequate financial resources for an investment of this character, and at this time.

NEW GLOBAL ENERGY, INC.

644508103

SEC Method:

LEGEND

Note: These are batch originals!
Additional items may have been received electronically
and are scanned to the batch in transtar.

Batch #: [REDACTED]

Date and Time: 08/09/2013 AM

Received from: DIVERSIFIED EQUITIES INC

Shareholder Name: DIVERSIFIED EQUITIES INC

Delivery Method: RESTRICTED BOOK

Received by: MANDY TIMS

Processed by: KIMHOOD

Printed by: [Handwritten initials]

Checked by: [Handwritten initials]

Log-Out

Date and Time: 08/19/2013 PM

Delivery Method: FEDEX

Tracking #: [REDACTED] & [REDACTED]

Certificate #: [REDACTED]

Contents: Contents of outgoing package have
been scanned to the batch in Transtar.

BATCH NOTES:

<SCAN>ISSUE NEW GLOBAL ENERGY, INC.-1194yo_172846.pdf
given to kim
sent rtn 8/12/2013. process 8/15/2013.
missing payment of \$899. reached out to shareholder and left a
message with the receptionist. will wait for his response
John asked for an invoice and he will send the cc payment over
emailed ioulia for invoice.
confirming with John the shares being issued to Overby TRUST will be
consolidated into one certificate.
<SCAN>ISSUE NEW GLOBAL ENERGY, INC.-1194yo_172846_2.pdf
confirmation to consolidate the Overby Trust shareholders into one
certificate.

Checklist Reminder

OPERATOR 2:

If New Issue, verbally confirm Issuance Resolution with company

OPERATOR 4:

Stamp old certificate CANCELLED after review

Attach copy of draft of transaction to the batch

If RESTRICTED, remember to stamp LEGEND

Put sticker on new certificate to cover old Transfer Agent address/old Cusip #

Fill in check amount, also indicate the number of certificates cancelled and issued

Record batch as COMPLETE, indicating the date and time.

DRS STATEMENT CREATED AND SENT TO HOLDER

OPERATOR 5:

Remember to SIGN certificate

OPERATOR 6:

Scan in all new certificates and waybills and attach to batch

Give invoice to Christine for billing

Book Batch Approval:
(if applicable) _____

Retirement Batch Approval:
(if applicable) _____

Rejected Batch Approval:
(if applicable) _____



TRANSFER JOURNAL
ISLAND STOCK TRANSFER

PAGE 1

RECEIPT NUMBER: 172846 EFFECTIVE:08/19/2013 TRANSFER SECURITIES 400,000 SHARES
PRICE: 1.67
NEW GLOBAL ENERGY, INC. (644508103) (NGE) RECEIVED: 08/09/2013

DEBITS:

CREDITS:

DIVERSIFIED EQUITIES INC
4845 PEARL EAST CIRCLE SUITE 101
BOULDER CO 80301

TAXID: [REDACTED]
(CERTIFIED)
ACCT#: 82
SHRS: 215,754

GERALD BELOW
[REDACTED]
VERGAS MN [REDACTED]

TAXID: [REDACTED]
(CERTIFIED)
ACCT#: 83
SHRS: 5,988
0.211343%

RESTRICTED BOOK 400,000

1 X 5,988 1086 5,988
*** REST - RESTRICTED ***

LAVONNE H GOETSCH
[REDACTED]
VERGAS MN [REDACTED]

TAXID: [REDACTED]
(CERTIFIED)
ACCT#: 84
SHRS: 11,976
0.422686%

1 X 11,976 1087 11,976
*** REST - RESTRICTED ***

GORDON L & BETTY JANELLE OVERBEY TRUST TAXID: [REDACTED]
[REDACTED]
CROSSVILLE TN [REDACTED]

(CERTIFIED)
ACCT#: 85
SHRS: 26,970
0.951891%

1 X 14,970 1088 14,970
*** REST - RESTRICTED ***
1 X 12,000 1089 12,000
*** REST - RESTRICTED ***

LEONARD A SALTZMAN

TAXID: [REDACTED]

Report Run On 8/19/2013 At 4:21pm By KIM HOOD

TRANSFER JOURNAL
ISLAND STOCK TRANSFER

PAGE 2

RECEIPT NUMBER: 172846 EFFECTIVE:08/19/2013 TRANSFER SECURITIES 400,000 SHARES
NEW GLOBAL ENERGY, INC. (644538103) (NGE) PRICE: 1.67
RECEIVED: 08/09/2013

DEBITS:

CREDITS:

```
|
|
| ██████████ (CERTIFIED )
| NISKAYUNA NY ██████████ ACCT#: 86
| SHRS: 2,954
| 0.105671%
|
| 1 X 2,994 1096 2,994
| *** REST - RESTRICTED ***
|-----|
| JACK W HOLLAND TAXID: ██████████
| ██████████ (CERTIFIED )
| LUPTON CO ██████████ ACCT#: 87
| SHRS: 1,500
| 0.052942%
|
| 1 X 1,500 1091 1,500
| *** REST - RESTRICTED ***
|-----|
| STEVEN HOLLAND TAXID: ██████████
| ██████████ (CERTIFIED )
| AMERICAN FORK UT ██████████ ACCT#: 86
| SHRS: 1,500
| 0.052942%
|
| 1 X 1,500 1092 1,500
| *** REST - RESTRICTED ***
|-----|
| JOHN SUTER & TAXID: ██████████
| CARMEN KVISLER JOINT TENANTS (CERTIFIED )
```

Report Run On 8/19/2013 At 4:21pm By KIM HOOD

TRANSFER JOURNAL
ISLAND STOCK TRANSFER

PAGE 3

RECEIPT NUMBER: 172846 EFFECTIVE: 08/19/2013 TRANSFER SECURITIES 400,000 SHARES
NEW GLOBAL ENERGY, INC. (644508103) (NGE) PRICE: 1.67
RECEIVED: 08/09/2013

DEBITS:

CREDITS:

```
|  
|  
| ██████████ ACCT#: 89  
| ██████████ SHRS: 1,497  
| PASADENA CA ██████████ 0.0529366  
|  
| 1 X 1,497 1093 1,497  
| *** REST - RESTRICTED ***  
|-----|  
| MARTIN GOICCECHEA TAXID: ██████████  
| 1503 DENAR DRIVE (CERTIFIED )  
| ROCK SPRINGS WY 82901 ACCT#: 90  
| SHRS: 5,988  
| 0.2113434  
|  
| 1 X 5,988 1094 5,988  
| *** REST - RESTRICTED ***  
|-----|  
| ROBERT HOWKE TAXID: ██████████  
| ██████████ (CERTIFIED )  
| CARMICHAEL CA ██████████ ACCT#: 91  
| SHRS: 5,988  
| 0.2113434  
|  
| 1 X 5,988 1095 5,988  
| *** REST - RESTRICTED ***  
|-----|  
| WILLIAM R & VIRGINIA M AUSTIN TAXID: ██████████  
| LIVING TRUST (CERTIFIED )  
| ██████████ ACCT#: 92
```

Report Run On 8/19/2013 At 4:21pm By KIM EOOD

TRANSFER JOURNAL
ISLAND STOCK TRANSFER

PAGE 4

RECEIPT NUMBER: 172846 EFFECTIVE: 08/19/2013 TRANSFER SECURITIES
NEW GLOBAL ENERGY, INC. (644508103) (NGE)

430,000 SHARES
PRICE: 1.67
RECEIVED: 08/09/2013

DEBITS:

CREDITS:

```
|
|
| SHAWNEE UK [REDACTED] SHRS: 3,000
| 0.105883%
|
| 1 X 3,000 1096 3,000
| *** REST - RESTRICTED ***
|-----|
| JAMES AND MARY CLINE JTHROS TAXID: [REDACTED]
| [REDACTED] (CERTIFIED )
| HAMILTON MT [REDACTED] ACCT#: 93
| SHRS: 15,814
| 0.558146%
|
| 1 X 15,814 1097 15,814
| *** REST - RESTRICTED ***
|-----|
| WENDELL MOORE TAXID: [REDACTED]
| 2403 82ND STREET (CERTIFIED )
| LIBBOCK TX 79423 ACCT#: 94
| SHRS: 7,550
| 0.266473%
|
| 1 X 7,550 1099 7,550
| *** REST - RESTRICTED ***
|-----|
| STEVEN N LUCKEY AND KIMBERLY K LUCKEY TAXID: [REDACTED]
| [REDACTED] (CERTIFIED )
| DARBY MT [REDACTED] ACCT#: 95
| SHRS: 150
```

Report Run On 8/19/2013 At 4:21pm By KIM HOOD

SEC-MT-E-0000151

TRANSFER JOURNAL
ISLAND STOCK TRANSFER

PAGE 6

RECEIPT NUMBER: 172846 EFFECTIVE:08/19/2013 TRANSFER SECURITIES
NEW GLOBAL ENERGY, INC. (644508103) (NGE)

400,000 SHARES
PRICE: 1.67
RECEIVED: 08/09/2013

DEBITS:

CREDITS:

```
|
|
|
| 1 X 30,300      1102      30,300
| *** REST - RESTRICTED ***
|-----|
| THE WERNER TRUST DATED AUGUST 20, 2010 TAXID: ██████████
| DONALD G WERNER, ANN H WERNER TRUSTEES (CERTIFIED )
| ██████████ ACCT#: 99
| GLENDORA CA ████████ SHRS: 6,324
| C.2232028
|
| 1 X 6,324      1103      6,324
| *** REST - RESTRICTED ***
|-----|
| DAVID L EVANS TAXID: ██████████
| ██████████ (CERTIFIED )
| ECKERT CO ████████ ACCT#: 100
| SHRS: 3,012
| C.1063078
|
| 1 X 3,012      1104      3,012
| *** REST - RESTRICTED ***
|-----|
| THE VALLON FAMILY TRUST TAXID: ██████████
| CRAIG R VALLON & DENISE RENE (CERTIFIED )
| ATWATER-VALLON TRUSTEES ACCT#: 101
| ██████████ SHRS: 1,581
| BULLHEAD CITY AZ ████████ C.0558008
|
```

Report Run On 8/19/2013 At 4:21pm By KIM FOOD



Island Stock Transfer

1550 Roosevelt Blvd. Suite 301
Clearwater, FL 33760

**SHAREHOLDER STATEMENT
OF
ACCOUNT**

ISSUER: **NEW GLOBAL ENERGY, INC.**
CUSIP: **644508103**

Shareholder:

DIVERSIFIED EQUITIES INC
4845 PEARL EAST CIRCLE SUITE 101
BOULDER CO 80301

Tax ID Number: ****-***** [REDACTED]

SHAREHOLDER ACCOUNT	STATEMENT FOR PERIOD ENDING	CERTIFICATE BALANCE	RESTRICTED BOOK BALANCE	TOTAL PLAN BALANCE (DRE & ESPP)
82	08/12/2013	0	400,000	400,000

DATE	TRANSACTION	SHARES IN (-)SHARES OUT	SHARE BALANCE
080513	BOOK TRANSFER SECURITIES	400,000	400,000

Questions? Customer Service: 727-289-0010

All certificates to be transferred must be enclosed with this stock power

The signature to this assignment must correspond with the name as written upon the face of the certificate in every particular without alteration or enlargement or any change whatsoever. The signature of the person executing this power must be guaranteed by an eligible Guarantor Institution such as a Commercial Bank, Trust Company, Securities Broker/Dealer, Credit Union, or Savings Association participating in a Medallion Program approved by the Securities Transfer Association, Inc. No other form of signature guarantee is acceptable.

STOCK POWER

For value received I/we hereby sell, assign and transfer unto

(Print or Type Name)

(Address of Transferee)

SS# or Tax ID _____

_____ certificate shares of the _____
(Name of Company)

represented by certificate number(s) _____.

(Must check one) Note: If any cost basis information below is not completed the issuer will be notified and given two weeks to provide the data. If the data is not provided at the end of the two weeks, the request will not be processed and will be rejected back to the issuer.

Original Cost _____ Original Issuance/Purchase Date _____

Current Cost for this transaction _____

Gift _____ Purchase/Sell _____ (Includes both Private and Public Purchases) Compensation _____ (Includes payment for services)

Tax Lot: (check one) FIFO High Cost
If nothing is selected LIFO Low Cost
System will default to Average Cost Specific Lot
FIFO.

The undersigned does (do) hereby irrevocably constitute and appoint Island Stock Transfer to transfer the said stock on the books of said company with full power of substitution in the premises.

Date 8.6.13

Diversified Equities Inc. by [Signature]
(Signature of Registered Holder completing the stock power)

DIVERSIFIED EQUITIES INC. by J. C. KNIGHT
(Print Name of Registered Holder)

(Signature of Joint Registered Holder completing the stock power)

(Print Name of Joint Registered Holder)

[Handwritten Signature]

(Affix Medallion Signature Guarantee imprint
in space to the right)

Print Session Results

	Program	Program Number	Location ID	Status	Report Date	Guarantor/Participant
1	STAMP	X0209353	3621	Active	N/A	WELLS FARGO BANK, N.A.

New Global Energy (NGEY)

Certificate Transfer Requests (From Diversified Equities Inc)

<u>Last</u>	<u>First</u>	<u>Middle</u>	<u>Phone</u>	<u>Tax I.D. #</u>	<u>Address</u>	<u>City</u>	<u>St</u>	<u>zip</u>	<u># Shares</u>	<u>Cert#</u>	<u>\$ Amt</u>	<u>Price/sh</u>	<u>Issued</u>
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	Vergas	MN	[REDACTED]	5,988	[REDACTED]	\$10,000	1.67	
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	Vergas	MN	[REDACTED]	11,976	[REDACTED]	\$20,000	1.67	
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	Crossville	TN	[REDACTED]	14,970	[REDACTED]	\$25,000	1.67	
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	Niskayuna	NY	[REDACTED]	2,994	[REDACTED]	\$5,000	1.67	
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	Ft. Lupton	CO	[REDACTED]	1,500	[REDACTED]	\$2,490	1.66	
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	American Fork	UT	[REDACTED]	1,500	[REDACTED]	\$2,490	1.66	
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	Pasadena	CA	[REDACTED]	1,497	[REDACTED]	\$2,500	1.67	
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	Crossville	TN	[REDACTED]	12,000	[REDACTED]	\$20,040	1.67	
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	Rock Springs	WY	[REDACTED]	5,988	[REDACTED]	\$10,000	1.67	
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	Carmichael	CA	[REDACTED]	[REDACTED]	[REDACTED]	\$10,000		
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	Shawnee	OK	[REDACTED]	3,000	[REDACTED]	\$4,980	1.66	

New Global Energy Certificate Transfer Requests (from BECHTEL ADVISORY GROUP INC)

Last	First	Phone	Tax I.D. #	Address	City	St	zip	# Shares	Cert#	\$ Amt	Price/sh	Issued
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	Hamilton	MT	[REDACTED]	15,814		\$25,000	\$1.66	
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	Lubbock	TX	[REDACTED]	7,550		\$12,500	\$1.66	
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	Darby	MT	[REDACTED]	150		\$0	\$1.66	
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	San Jose	CA	[REDACTED]	6,324		\$10,000	\$1.66	
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	Davenport	CA	[REDACTED]	3,012		\$5,000	\$1.66	
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	Remington	IN	[REDACTED]	30,300		\$50,000	\$1.66	
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	Glendora	CA	[REDACTED]	6,324		\$10,000	\$1.66	
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	Eckert	CO	[REDACTED]	3,012		\$5,000	\$1.66	
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	Bullhead City	AZ	[REDACTED]	1,581		\$2,500	\$1.66	
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	Bozeman	MT	[REDACTED]	3,162		\$5,000	\$1.66	
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	Olympia	WA	[REDACTED]	3,162		\$5,000	\$1.66	
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	Centereach	nY	[REDACTED]	3,012		\$5,000	\$1.66	
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	Valley Village	CA	[REDACTED]	3,012		\$5,000	\$1.66	
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	Massillon	OH	[REDACTED]	9,436		\$15,000	\$1.66	
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	N. Olmsted	OH	[REDACTED]	1,205		\$2,000	\$1.66	
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	Becker	MN	[REDACTED]	3,012		\$5,000	\$1.66	
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	Manilla	IA	[REDACTED]	1,566		\$2,500	\$1.66	
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	Greensboro	NC	[REDACTED]	6,024		\$10,000	\$1.66	
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	Spicewood	TX	[REDACTED]	3,012		\$5,000	\$1.66	
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	Santa Rosa	CA	[REDACTED]	6,175		\$10,000	\$1.66	



Ms Kimberly Whiteside

Island Stock Transfer

15500 Roosevelt Blvd. Suite 301

Clearwater, FL 33760

August 7, 2013

RE: Stock Certificate issue request

Dear Kim,

Enclosed with these instructions, please find two Excel spreadsheets of purchasers of New Global Energy (NGEY) stock from Diversified Equities Inc.. Please find the name, address, Tax I. D. number, number of shares purchased, and purchase price paid, for each individual.

Please send the Certificates to the appropriate office as titled on the spread sheet:

Diversified Equities, Inc.

Bechtel Advisory Group

4845 Pearl East Circle

15260 Ventura Blvd

Suite 101

#1200

Boulder, CO 80301

Sherman Oaks, CA 91403

Phone: 303-834-1182

Phone: 818-787-1471

FedEx # [REDACTED]

FedEx # [REDACTED]

To expedite the shipping, please return the new certificates Standard Overnight Fed-Ex on the respective FedEx accounts provided above. From that point forward we will mail the Certificates to the respective individuals.

Let me know if there is anything else you need to proceed with our request.

Best Regards,

Diversified Equities, Inc.

Diversified Equities Inc.

4845 Pearl East Circle Suite 101, Boulder, CO 80301

Phone: 303-834-1182 | www.diveqinc.com

SEC-MT-E-0000163

From: (303) 834-8211
Diversified Equities

Origin ID: FNLA



4845 Pearl East Circle
Suite 101
Boulder, CO 80301

Ship Date: 08AUG13
ActWgt: 0.3 LB
CAD: 105391556/NET3430

Delivery Address Bar Code



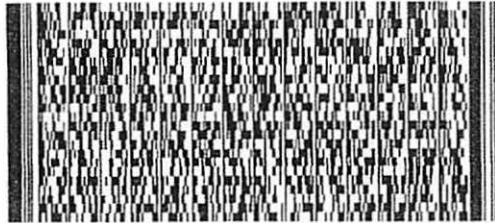
SHIP TO: (727) 289-0010
Kimberly Whiteside
Island Stock Transf Incer
15500 Roosevelt Blvd.
Suite 301
CLEARWATER, FL 33760

BILL SENDER

Ref # IST
Invoice #
PO # AUG 09 2013
Dept #

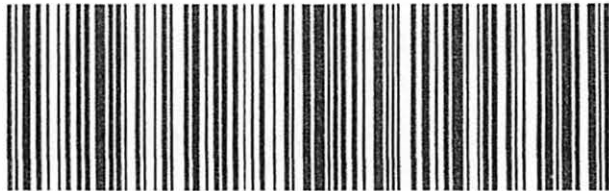
FRI - 09 AUG 3:00P
STANDARD OVERNIGHT

TRK# [REDACTED]
0201



XJ PIEA

33760
FL-US
TPA



51AG10GBG1AGE

After printing this label:

1. Use the 'Print' button on this page to print your label to your laser or inkjet printer.
2. Fold the printed page along the horizontal line.
3. Place label in shipping pouch and affix it to your shipment so that the barcode portion of the label can be read and scanned.

Warning: Use only the printed original label for shipping. Using a photocopy of this label for shipping purposes is fraudulent and could result in additional billing charges, along with the cancellation of your FedEx account number.

Use of this system constitutes your agreement to the service conditions in the current FedEx Service Guide, available on fedex.com. FedEx will not be responsible for any claim in excess of \$100 per package, whether the result of loss, damage, delay, non-delivery, misdelivery, or misinformation, unless you declare a higher value, pay an additional charge, document your actual loss and file a timely claim. Limitations found in the current FedEx Service Guide apply. Your right to recover from FedEx for any loss, including intrinsic value of the package, loss of sales, income interest, profit, attorney's fees, costs, and other forms of damage whether direct, incidental, consequential, or special is limited to the greater of \$100 or the authorized declared value. Recovery cannot exceed actual documented loss. Maximum for items of extraordinary value is \$1,000, e.g. jewelry, precious metals, negotiable instruments and other items listed in our Service Guide. Written claims must be filed within strict time limits, see current FedEx Service Guide.

Kim Whiteside

From: John Knight [REDACTED]@gmail.com>
Sent: Monday, August 12, 2013 4:26 PM
To: Kim Whiteside
Subject: Re: New Global Energy

Hi Kim,

Yes, please combine the amounts for the Overbey Trust , I believe the total would be 26,970 shares.

Thanks,

John

On Mon, Aug 12, 2013 at 2:10 PM, Kim Whiteside <kwhiteside@islandstocktransfer.com> wrote:

Hi John,

Will you please confirm there will be two amounts of shares issued to Overby TRUST and would like them to be consolidated into one certificate.

Thank you,

Kimberly Whiteside

I s l a n d S t o c k T r a n s f e r

Full Service Transfer Agency

15500 Roosevelt Blvd.

Suite 301

Clearwater, FL 33760

Ph. (727) 289-0010

Kim Whiteside

From: John Knight [redacted]@gmail.com>
Sent: Friday, August 16, 2013 1:36 PM
To: Kim Whiteside
Subject: Re: New Global Energy

For James & Mary Cline and Steven & Kimberly Luckey should be JTWR0S. THANKS

JK

Sent from my iPhone

On Aug 15, 2013, at 10:58 AM, Kim Whiteside <kwhiteside@islandstocktransfer.com> wrote:

Thank you John. Should I list all joint accounts as Joint Tenants?

He verbally approve the request. But it has been three days, so I can process the transfer now anyway.

Thank you,

Kimberly Whiteside
Island Stock Transfer
Full Service Transfer Agency
<image001.jpg>
15500 Roosevelt Blvd.
Suite 301
Clearwater, FL 33760
Ph. (727) 289-0010
Fax (727) 289-0069
Email: kwhiteside@islandstocktransfer.com
web: <http://www.islandstocktransfer.com>

Island Stock Transfer is excited to announce Printing and Proxy Services Corp, a sister company of Island Stock Transfer. Our Printing and Proxy, LLC offers EDGAR Filing services and assistance with meeting deadlines, filing forms in HTML format as well as ASCII and multiple transactions. Please contact us to receive 20% off your first filing.

From: John Knight [[mailto:\[redacted\]@gmail.com](mailto:[redacted]@gmail.com)]
Sent: Thursday, August 15, 2013 12:46 PM
To: Kim Whiteside
Subject: Re: New Global Energy

Hi Kim

For Kvisler & Suter please issue the certificate as Joint Tennants, and I will make a note of that in the future .

Also did Perry West sign off on the transfers?

Kim Whiteside

From: John Knight <[REDACTED]@gmail.com>
Sent: Thursday, August 15, 2013 12:46 PM
To: Kim Whiteside
Subject: Re: New Global Energy

Hi Kim

For Kvisler & Suter please issue the certificate as Joint Tennants, and I will make a note of that in the future .

Also did Perry West sign off on the transfers?

Many Thanks

John

On Thu, Aug 15, 2013 at 9:36 AM, Kim Whiteside <kwhiteside@islandstocktransfer.com> wrote:

Hi John,

There is a joint shareholder John Suter and Carmen Kvisler. I need to know whether this registration is a Joint Tenant or Joint Tenant with Rights of Survivorship. I also need this distinction for all the joint accounts.

Thank you,

Kimberly Whiteside

I s l a n d S t o c k T r a n s f e r

Full Service Transfer Agency

15500 Roosevelt Blvd.

Suite 301

Clearwater, FL 33760

Kim Whiteside

From: John Knight [REDACTED]@gmail.com>
Sent: Monday, August 19, 2013 4:00 PM
To: Kim Whiteside
Subject: Re: New Global Energy

Howke 5988 shares @ \$1.67

thanks

JK

On Fri, Aug 16, 2013 at 11:51 AM, Kim Whiteside <kwhiteside@islandstocktransfer.com> wrote:

Hi John,

As I was processing, I noticed there isn't a share amount on the spreadsheet for Robert Howke. Can you confirm what that share amount is.

Thank you,

Kimberly Whiteside

I s l a n d S t o c k T r a n s f e r

Full Service Transfer Agency

15500 Roosevelt Blvd.

Suite 301

Clearwater, FL 33760

Ph. (727) 289-0010

Fax (727) 289-0069

Email: kwhiteside@islandstocktransfer.com

From: (727) 289-0010
KIMBERLY WHITESIDE
ISLAND STOCK TRANSFER
15500 Roosevelt Boulevard,
Suite 301
Clearwater, FL 33760

Origin ID: PIEA



Ship Date: 19AUG13
ActWgt: 1.0 LB
CAD: 101230217/INET3430

Delivery Address Bar Code



SHIP TO: (303) 834-1182
DIVERSIFIED EQUITIES, INC

BILL RECIPIENT

Ref # 172846 S0
Invoice #
PO #
Dept #

**4845 PEARL EAST CIRCLE
SUITE 101
BOULDER, CO 80301**

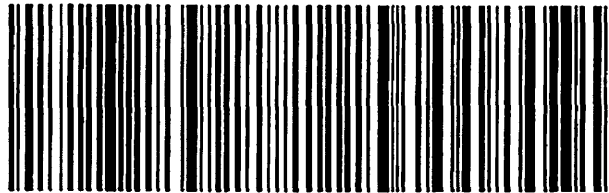
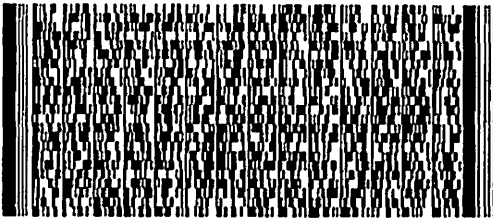
**TUE - 20 AUG 10:30A
PRIORITY OVERNIGHT**

TRK#
0201



**DSR
80301
CO-US
DEN**

XH FNLA



STAG102E311A0E

After printing this label:

1. Use the 'Print' button on this page to print your label to your laser or inkjet printer.
2. Fold the printed page along the horizontal line.
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Warning: Use only the printed original label for shipping. Using a photocopy of this label for shipping purposes is fraudulent and could result in additional billing charges, along with the cancellation of your FedEx account number.

Use of this system constitutes your agreement to the service conditions in the current FedEx Service Guide, available on fedex.com. FedEx will not be responsible for any claim in excess of \$100 per package, whether the result of loss, damage, delay, non-delivery, misdelivery, or misinformation, unless you declare a higher value, pay an additional charge, document your actual loss and file a timely claim. Limitations found in the current FedEx Service Guide apply. Your right to recover from FedEx for any loss, including intrinsic value of the package, loss of sales, income interest, profit, attorney's fees, costs, and other forms of damage whether direct, incidental, consequential, or special is limited to the greater of \$100 or the authorized declared value. Recovery cannot exceed actual documented loss. Maximum for items of extraordinary value is \$1,000, e.g. jewelry, precious metals, negotiable instruments and other items listed in our Service Guide. Written claims must be filed within strict time limits, see current FedEx Service Guide.

From: (727) 289-0010
KIMBERLY WHITESIDE
ISLAND STOCK TRANSFER
15500 Roosevelt Boulevard,
Suite 301
Clearwater, FL 33760

Origin ID: PIEA



Ship Date: 19AUG13
ActWgt: 1.0 LB
CAD: 101230217/NET3430

Delivery Address Bar Code



SHIP TO: (818) 787-1471
BECHTEL ADVISORY GROUP

BILL RECIPIENT

15260 VENTURA BLVD
SUITE 1200
SHERMAN OAKS, CA 91403

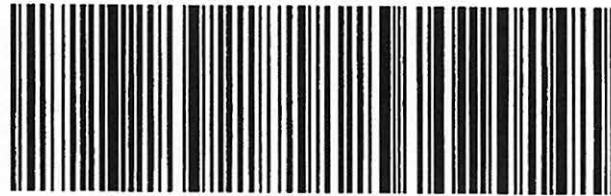
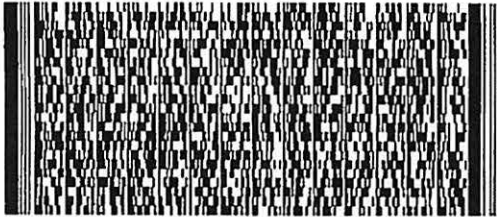
Ref # 172846 \$0
Invoice #
PO #
Dept #

TUE - 20 AUG 10:30A
PRIORITY OVERNIGHT

DSR
91403
CA-US
BUR

TRK#
0201

XH VNYA



51AG1/09BD/1AGE

After printing this label:

1. Use the 'Print' button on this page to print your label to your laser or inkjet printer.
2. Fold the printed page along the horizontal line.
3. Place label in shipping pouch and affix it to your shipment so that the barcode portion of the label can be read and scanned.

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NEW GLOBAL ENERGY, INC.

644508103

SEC Method:

LEGEND

Note: These are batch originals!
Additional items may have been received electronically
and are scanned to the batch in transtar.

Batch #: [REDACTED]

Date and Time: 09/12/2013 PM

Received from: DIVERSIFIED EQUITIES INC

Shareholder Name: DIVERSIFIED EQUITIES INC

Delivery Method: FEDEX

Received by: MANDY TIMS

Processed by: KIMHOOD

Printed by:

Checked by: [Signature]

Log-Out

Date and Time: 09/24/2013 PM

Delivery Method: FEDEX

Tracking #: [REDACTED]

Certificate #: [REDACTED]

Contents: Contents of outgoing package have
been scanned to the batch in Transtar.

BATCH NOTES:

<SCAN>ISSUE\NEW GLOBAL ENERGY, INC.-1194\jo_173964.pdf
given to kim
<SCAN>ISSUE\NEW GLOBAL ENERGY, INC.-1194\jo_173964_2.pdf
instructions
missing stock power
<SCAN>ISSUE\NEW GLOBAL ENERGY, INC.-1194\jo_173964_3.pdf
stock power attached
sent rtn 9/19/2013. process 9/24/2013.
waiting on payment
<SCAN>ISSUE\NEW GLOBAL ENERGY, INC.-1194\jo_173964_4.pdf
Instructions attached
<SCAN>ISSUE\NEW GLOBAL ENERGY, INC.-1194\jo_173964_5.pdf

Checklist Reminder

OPERATOR 2:

___ If New Issue, verbally confirm Issuance Resolution with company

OPERATOR 4:

___ Stamp old certificate CANCELLED after review

___ Attach copy of draft of transaction to the batch

___ If RESTRICTED, remember to stamp LEGEND

___ Put sticker on new certificate to cover old Transfer Agent address/old Cusip #

___ Fill in check amount, also indicate the number of certificates cancelled and issued

___ Record batch as COMPLETE, indicating the date and time.

___ DRS STATEMENT CREATED AND SENT TO HOLDER

OPERATOR 5:

___ Remember to SIGN certificate

OPERATOR 6:

___ Scan in all new certificates and waybills and attach to batch

___ Give Invoice to Christine for billing

Book Batch Approval:
(if applicable) _____

Retirement Batch Approval:
(if applicable) _____

Rejected Batch Approval:
(if applicable) _____



TRANSFER JOURNAL
 ISLAND STOCK TRANSFER
 NEW GLOBAL ENERGY, INC. (644508103) (NGE)

ON:09/24/2013 PAGE 1
 SEQUENCE# 43

RECEIPT NUMBER: 173964 EFFECTIVE:09/12/2013 TRANSFER SECURITIES 215,754 SHARES
 NEW GLOBAL ENERGY, INC. (644508103) (NGE) RECEIVED: 09/12/2013

DEBITS:

CREDITS:

 DIVERSIFIED EQUITIES INC
 4845 PEARL EAST CIRCLE SUITE 101
 BOULDER CO 80301

TAXID: [REDACTED] | CHARLES S BAILES
 (CERTIFIED) | [REDACTED]
 ACCT#: 82 | ORANGE PARK FL [REDACTED]
 SHRS: 175,803 |

TAXID: [REDACTED]
 (CERTIFIED)
 ACCT#: 114
 SHRS: 3,012
 0.106307%

1 X 215,754 1117
 *** REST - RESTRICTED ***

215,754 | 1 X 3,012 1119
 *** REST - RESTRICTED ***

 EARL W & MARGARET L FEUGHT JOINT TENANT
 TAXID: [REDACTED]
 (CERTIFIED)
 PRINCEVILLE IL [REDACTED]

ACCT#: 115
 SHRS: 14,970
 0.528357%

1 X 14,970 1120 14,970
 *** REST - RESTRICTED ***

 WENDELL MOORE
 2403 82ND STREET
 LIBBOCK TX 79423

TAXID: [REDACTED]
 (CERTIFIED)
 ACCT#: 94
 SHRS: 15,050
 0.531181%

1 X 7,500 1121 7,500
 *** REST - RESTRICTED ***

 SHARON ROGOW
 PO BOX 35078
 LAS VEGAS NV 89133

TAXID: [REDACTED]
 (CERTIFIED)
 ACCT#: 116

Report Run On 9/24/2013 At 2:07pm By KIM HOOD

TRANSFER JOURNAL
 ISLAND STOCK TRANSFER
 NEW GLOBAL ENERGY, INC. (644508103) (NGE)

UN:09/24/2013 PAGE 2
 SEQUENCE# 44

RECEIPT NUMBER: 173964 EFFECTIVE:09/12/2013 TRANSFER SECURITIES 215,734 SHARES
 NEW GLOBAL ENERGY, INC. (644508103) (NGE) RECEIVED: 09/12/2013

DEBITS:

CREDITS:

		SHRS:	3,012
			0.106307%
	1 X 3,012	1177	3,012
	*** REST - RESTRICTED ***		

	IRA SERVICES TRUST CC CF80	TAXID:	[REDACTED]
	THOMAS J CAMARA IRA		(CERTIFIED)
	[REDACTED] [REDACTED]	ACCT#:	118
	BAKERSFIELD CA [REDACTED]	SHRS:	6,024
			0.212614%
	1 X 6,024	1123	6,024
	*** REST - RESTRICTED ***		

	MICHAEL K SCHREINER	TAXID:	[REDACTED]
	[REDACTED]		(CERTIFIED)
	WAYZATA MN [REDACTED]	ACCT#:	119
		SHRS:	1,506
			0.053153%
	1 X 1,506	1124	1,506
	*** REST - RESTRICTED ***		

	MARSHALL HINKLEY	TAXID:	[REDACTED]
	[REDACTED]		(CERTIFIED)
	FRESNO CA [REDACTED]	ACCT#:	120
		SHRS:	915

Report Run On 9/24/2013 At 2:07pm By KIM HOOD

TRANSFER JOURNAL
 ISLAND STOCK TRANSFER
 NEW GLOBAL ENERGY, INC. (644508103) (NGE)

ON:09/24/2013 PAGE 3
 SEQUENCE# 45

RECEIPT NUMBER: 173964 EFFECTIVE:09/12/2013 TRANSFER SECURITIES 215,754 SHARES
 NEW GLOBAL ENERGY, INC. (644508103) (NGE) RECEIVED: 09/12/2013

DEBITS:

CREDITS:

			0.032294%
	1 X 915	1125	915
	*** REST - RESTRICTED ***		

	TODD R ALLEN	TAXID: [REDACTED]	
	[REDACTED]	(CERTIFIED)	
	COLORADO SPRINGS CO [REDACTED]	ACCT#: 121	
		SHRS: 3.012	
			0.106307%
	1 X 3,012	1126	3.012
	*** REST - RESTRICTED ***		

	DIVERSIFIED EQUITIES INC	TAXID: [REDACTED]	
	4845 PEARL EAST CIRCLE SUITE 101	(CERTIFIED)	
	BOULDER CO 80301	ACCT#: 82	
		SHRS: 175.803	
			6.204865%
		<i>Restrict. &</i> BOOK ENTRY ***	175,803

1 Certificates cancelled ... Total: 215,754 9 Certificates issued ... Total: 215,754

INVOICE #	45378	AMOUNT BILLED:	240.00
CREDIT CARD		AMOUNT PAID:	240.00

BATCH NOTES

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:SCAN>ISSUE\NEW GLOBAL ENERGY, INC.-1194\jo_173964.pdf
given to kim
:SCAN>ISSUE\NEW GLOBAL ENERGY, INC.-1194\jo_173964_2.pdf
instructions
missing stock power
:SCAN>ISSUE\NEW GLOBAL ENERGY, INC.-1194\jo_173964_3.pdf
stock power attached
sent rtn 9/19/2013. process 9/24/2013.
waiting on payment.
:SCAN>ISSUE\NEW GLOBAL ENERGY, INC.-1194\jo_173964_4.pdf
```

Report Run On 9/24/2013 At 2:07pm By KIM HCOB



1117

CERTIFICATE NUMBER

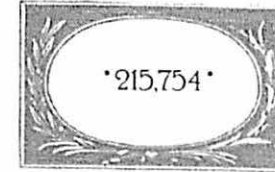
PAR VALUE \$0.0001
COMMON STOCK

THIS CERTIFIES THAT

IS THE OWNER OF

New Global Energy, Inc

INCORPORATED UNDER THE LAWS OF THE STATE OF WYOMING



215,754

SHARES

CUSIP NO. 644508103

CANCELLED
DIVERSIFIED EQUITIES INC

TWO HUNDRED FIFTEEN THOUSAND SEVEN HUNDRED FIFTY-FOUR

FULLY PAID AND NON-ASSESSABLE SHARES OF THE COMMON STOCK PAR VALUE OF \$0.0001 EACH OF

New Global Energy, Inc

TRANSFERABLE ON THE BOOKS OF THE CORPORATION IN PERSON OR BY DULY AUTHORIZED ATTORNEY UPON SURRENDER OF THIS CERTIFICATE PROPERLY ENDORSED. THIS CERTIFICATE IS NOT VALID UNTIL COUNTERSIGNED BY THE TRANSFER AGENT AND REGISTERED BY THE REGISTRAR. WITNESS THE FACSIMILE SEAL OF THE CORPORATION AND THE FACSIMILE SIGNATURES OF ITS DULY AUTHORIZED OFFICERS.

THIS CERTIFICATE IS VOID UNLESS IT IS REGISTERED BY THE REGISTRAR AND COUNTERSIGNED BY THE TRANSFER AGENT. THE SHARES REPRESENTED HEREIN ARE NOT ENTITLED TO VOTE AND ARE SUBJECT TO THE RESTRICTIONS SET FORTH IN THE CHARTER AND BYLAWS OF THE CORPORATION. THIS CERTIFICATE IS NOT VALID UNTIL IT IS REGISTERED BY THE REGISTRAR AND COUNTERSIGNED BY THE TRANSFER AGENT.

DATED: 08/19/2013

COUNTERSIGNED AND REGISTERED:
ISLAND STOCK TRANSFER
Transfer Agent

John Potter

John Potter
President

Perry Douglas West

Perry Douglas West
Secretary

By *[Signature]*
Authorized Signature



15500 Roosevelt BLVD, Suite 301, Clearwater FL 33760
727.289.0010

#8454

All certificates to be transferred must be enclosed with this stock power

The signature to this assignment must correspond with the name as written upon the face of the certificate in every particular without alteration or enlargement or any change whatsoever. The signature of the person executing this power must be guaranteed by an eligible Guarantor Institution such as a Commercial Bank, Trust Company, Securities Broker/Dealer, Credit Union, or Savings Association participating in a Medallion Program approved by the Securities Transfer Association, Inc. No other form of signature guarantee is acceptable.

STOCK POWER

For value received I/we hereby sell, assign and transfer unto

(Print or Type Name)

(Address of Transferee)

SS# or Tax ID _____

_____ certificate shares of the _____
(Name of Company)

represented by certificate number(s) _____

(Must check one) Note: If any cost basis information below is not completed the issuer will be notified and given two weeks to provide the data. If the data is not provided at the end of the two weeks, the request will not be processed and will be rejected back to the issuer.

Original Cost _____ Original Issuance/Purchase Date _____

Current Cost for this transaction _____

Gift _____ Purchase/Sell _____ (Includes both Private and Public Purchases) Compensation _____ (Includes payment for services)

Tax Lot: (check one)	FIFO	High Cost
If nothing is selected	LIFO	Low Cost
System will default to	Average Cost	Specific Lot
FIFO.		

The undersigned does (do) hereby irrevocably constitute and appoint Island Stock Transfer to transfer the said stock on the books of said company with full power of substitution in the premises.

Date _____

Diversified Equities, Inc. by J.C. Knight
(Signature of Registered Holder completing the stock power)

DIVERSIFIED EQUITIES, INC. by J.C. KNIGHT
(Print Name of Registered Holder)

(Signature of Joint Registered Holder completing the stock power)

(Print Name of Joint Registered Holder)



(Affix Medallion Signature Guarantee imprint in space to the right)

New Global Energy Certificate Transfer Requests (from BECHTEL ADVISORY GROUP INC)

Last	First	Phone	Tax I.D. #	Address	City	St	zip	# Shares	\$ Amt	Price/sh	closer
[REDACTED]	[REDACTED]	[REDACTED]	7278	[REDACTED]	Orange Park	FL	[REDACTED]	3,012	\$5,000	\$1.66	A.Sheridan
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	Princeville	IL	[REDACTED]	14,970	\$25,000	\$1.66	Broyles
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	Lubbock	TX	[REDACTED]	7,500	\$12,375	\$1.66	Ed Goss
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	Las Vegas	NV	[REDACTED]	3,012	\$5,000	\$1.66	A.Sheridan
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	Bakersfield	CA	[REDACTED]	6,024	\$10,000	\$1.66	D.Wilkes
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	Wayzata	MN	[REDACTED]	1,506	\$2,500	\$1.66	S.Dearborn
[REDACTED]	[REDACTED]	[REDACTED]	7278	[REDACTED]	Fresno	CA	[REDACTED]	915	\$1,500	\$1.66	S.Dearborn
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	Colorado Springs	CO	[REDACTED]	3,012	\$5,000	\$1.66	D.Wilkes

smart
FedEx carbon-neutral
en' re shipping

1-800-988-1211
10 KIMBERLY
UNIVERSITY
SHERMAN ST
STATE ST
5100
VENTNURA CA
90995
15500 ROOSEVELT BLVD
CLEARWATER FL
33760

FedEx Express US

7375
09.12
FedEx Tracking Number

1 From [Redacted] Date 9-11-13

Sender's Name JOHN KNIGHT
Company DIVERSIFIED EQUITIES INC
Address 454B PEARL EAST CIR. #101
City BOULDER State CO ZIP 80301

2 Your Internal Billing Reference

3 To Recipient's Name KIMBERLY WHITEHEAD

Company ISLAND STOCK TRANSFER
Address 15500 ROOSEVELT BLVD
Address SUITE 101 A
City CLEARWATER State FL ZIP 33760

Form 0200 Recipient's Copy

4 Express Package Service Packages up to 150 lbs. NOTE: Service order has changed. Please select carefully.

Next Business Day
 FedEx First Overnight
 FedEx Priority Overnight
 FedEx Standard Overnight
 2 or 3 Business Days
 FedEx 2Day A.M.
 FedEx 2Day
 FedEx Express Saver

5 Packaging *Declared value limit \$500
 FedEx Envelope*
 FedEx Pak*
 FedEx Box
 FedEx Tube
 Other

6 Special Handling and Delivery Signature Options

SATURDAY Delivery
 No Signature Required
 Direct Signature
 Indirect Signature
 Does this shipment contain dangerous goods?
 No
 Yes
 Yes
 Dry Ice
 Cargo Aircraft Only

7 Payment Bill to
 Sender
 Recipient
 Third Party
 Credit Card
 Cash/Check

fedex.com 1.800.GoFedEx 1.800.463.3339

fedex.com 1.800.GoFedEx 1.800.463.3339



8034 7918 7375

SEC-MT-E-0005581



Ms. Kimberly Whiteside

Island Stock Transfer

15500 Roosevelt Blvd. Suite 301

Clearwater, FL 33760

September 11, 2013

RE: Stock Certificate issue request

Dear Kim,

Enclosed with these instructions, please find an Excel spreadsheet of purchasers of New Global Energy (NGEY) stock from Diversified Equities Inc.. Please find the name, address, Tax I. D. number, number of shares purchased, and purchase price paid, for each individual.

Please send the Certificates to the office as titled on the spread sheet:

Bechtel Advisory Group

15260 Ventura Blvd.

#1200

Sherman Oaks, CA 91403

Phone: 818-787-1471

FedEx # [REDACTED]

To expedite the shipping, please return the new certificates Standard Overnight Fed Ex on the respective FedEx accounts provided above. From that point forward we will mail the Certificates to the respective individuals.

Let me know if there is anything else you need to proceed with our request.

Best Regards,

Diversified Equities, Inc.

Diversified Equities Inc.

4845 Pearl East Circle Suite 101, Boulder, CO 80301

Phone: 303-834-1182 | www.diveqinc.com

Kim Whiteside

From: John Knight [REDACTED]@gmail.com>
Sent: Thursday, September 19, 2013 4:48 PM
To: Kim Whiteside
Subject: Invoice and Transfers

Hi Kim ,

If you could call me at 9:30 AM eastern time and I will give you a credit card for the invoice.

Also, please leave Diversified's remaining shares in Book (electronic) format.

At some point, next week, would it be possible to get an accounting of transferred shares and the amount of remaining NGEY shares.

Thank You

John Knight
Diversified Equities Inc.

Kim Whiteside

From: John Knight <[REDACTED]@gmail.com>
Sent: Thursday, September 19, 2013 4:48 PM
To: Kim Whiteside
Subject: Invoice and Transfers

Follow Up Flag: Follow up
Flag Status: Flagged

Hi Kim ,

If you could call me at 9:30 AM eastern time and I will give you a credit card for the invoice.

Also, please leave Diversified's remaining shares in Book (electronic) format.

At some point, next week, would it be possible to get an accounting of transferred shares and the amount of remaining NGEY shares.

Thank You

John Knight
Diversified Equities Inc.

From: (727) 289-0010
KIMBERLY WHITESIDE
ISLAND STOCK TRANSFER
15500 ROOSEVELT BLVD
Suite 301
Clearwater, FL 33760

Origin ID: PIEA



Ship Date: 24SEP13
ActWgt: 1.0 LB
CAD: 101230217/NET3430

Delivery Address Bar Code



SHIP TO: (818) 787-1471 **BILL THIRD PARTY**
BECHTEL ADVISORY GROUP

15260 VENTURA BLVD #1200

SHERMAN OAKS, CA 91403

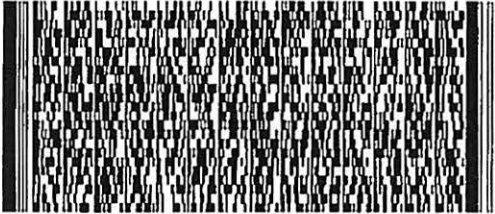
Ref # 173964 \$0
Invoice #
PO #
Dept #

WED - 25 SEP 10:30A
PRIORITY OVERNIGHT

DSR
91403
CA-US
BUR

TRK# [REDACTED]
0201

XH VNYA



51AG1825611A9E

After printing this label:

1. Use the 'Print' button on this page to print your label to your laser or inkjet printer.
2. Fold the printed page along the horizontal line.
3. Place label in shipping pouch and affix it to your shipment so that the barcode portion of the label can be read and scanned.

Warning: Use only the printed original label for shipping. Using a photocopy of this label for shipping purposes is fraudulent and could result in additional billing charges, along with the cancellation of your FedEx account number.

Use of this system constitutes your agreement to the service conditions in the current FedEx Service Guide, available on fedex.com. FedEx will not be responsible for any claim in excess of \$100 per package, whether the result of loss, damage, delay, non-delivery, misdelivery, or misinformation, unless you declare a higher value, pay an additional charge, document your actual loss and file a timely claim. Limitations found in the current FedEx Service Guide apply. Your right to recover from FedEx for any loss, including intrinsic value of the package, loss of sales, income interest, profit, attorney's fees, costs, and other forms of damage whether direct, incidental, consequential, or special is limited to the greater of \$100 or the authorized declared value. Recovery cannot exceed actual documented loss. Maximum for items of extraordinary value is \$1,000, e.g. jewelry, precious metals, negotiable instruments and other items listed in our Service Guide. Written claims must be filed within strict time limits, see current FedEx Service Guide.

NEW GLOBAL ENERGY, INC.

644508103

SEC Method:

LEGEND

Note: These are batch originals!
Additional items may have been received electronically
and are scanned to the batch in transtar.

Batch #: [REDACTED]

Date and Time: 10/14/2013 PM

Received from: JOHN C. KNIGHT

Shareholder Name: DIVERSIFIED EQUITIES INC

Delivery Method: RESTRICTED BOOK

Received by: CASSIE TIBA

Processed by: CASSIE TIBA

Printed by:

Checked by: *JK*

Log-Out

Date and Time: 10/22/2013 PM

Delivery Method: FEDEX

Tracking #: [REDACTED]

Certificate #: [REDACTED]

Contents: Contents of outgoing package have
been scanned to the batch in Transtar.

BATCH NOTES:

<SCAN>ISSUE NEW GLOBAL ENERGY, INC.-1194jo_174913.pdf
Snf 10/14/2013. Process 10/17/2013. CT
<SCAN>ISSUE NEW GLOBAL ENERGY, INC.-1194jo_174913_2.pdf
Stock power attached. CT

Checklist Reminder

OPERATOR 2:

If New Issue, verbally confirm Issuance Resolution with company

OPERATOR 4:

Stamp old certificate CANCELLED after review

Attach copy of draft of transaction to the batch

If RESTRICTED, remember to stamp LEGEND

Put sticker on new certificate to cover old Transfer Agent address/old Cusip #

Fill in check amount, also indicate the number of certificates cancelled and issued

Record batch as COMPLETE, indicating the date and time.

DRS STATEMENT CREATED AND SENT TO HOLDER

OPERATOR 5:

Remember to SIGN certificate

OPERATOR 6:

Scan in all new certificates and waybills and attach to batch

Give Invoice to Christine for billing

Book Batch Approval:
(if applicable) _____

Retirement Batch Approval:
(if applicable) _____

Rejected Batch Approval:
(if applicable) _____



TRANSFER JOURNAL
ISLAND STOCK TRANSFER

PAGE 1

RECEIPT NUMBER: 174913 EFFECTIVE:10/22/2013

TRANSFER SECURITIES

60,302 SHARES
PRICE: 1.66

TRACKING NUMBER: [REDACTED]

NEW GLOBAL ENERGY, INC. (644598103) (NGE)

RECEIVED: 10/14/2013

DEBITS:

CREDITS:

DIVERSIFIED EQUITIES INC
4845 PEARL EAST CIRCLE SUITE 101
BOULDER CO 80301

TAXID: [REDACTED]
(CERTIFIED)
ACCT#: 82
SHRS: 49,480

DAVIS AND NANCY L. WULF LIVING TRUST
[REDACTED]
MORRIS MN [REDACTED]

TAXID: [REDACTED]
(CERTIFIED)
ACCT#: 133
SHRS: 15,360
0.5421224

RESTRICTED BOOK 60,302

1 X 15,360 1144 15,360
*** REST - RESTRICTED ***

ANNETTE MAZE
PO BOX 100
SPICEWOOD TX 78663

TAXID: [REDACTED]
(CERTIFIED)
ACCT#: 111
SHRS: 6,112
0.7157194

1 X 3,100 1145 3,100
*** REST - RESTRICTED ***

JAMES CLINE
[REDACTED]
HAMILTON MT [REDACTED]

TAXID: [REDACTED]
(CERTIFIED)
ACCT#: 134
SHRS: 12,350
0.4358864

1 X 12,350 1146 12,350
*** REST - RESTRICTED ***

SHARON ROGOW
PO BOX 35078
LAS VEGAS NV 89133

TAXID: [REDACTED]
(CERTIFIED)
ACCT#: 116
SHRS: 6,112
0.2157194

1 X 3,100 1147 3,100

Report Run On 10/22/2013 At 5:06pm By CASSIE TIBA

TRANSFER JOURNAL
ISLAND STOCK TRANSFER

PAGE 2

RECEIPT NUMBER: 174913 EFFECTIVE:10/22/2013

TRANSFER SECURITIES

60,302 SHARES

PRICE: 1.66

TRACKING NUMBER: [REDACTED]

NEW GLOBAL ENERGY, INC. (644508103) (NGE)

RECEIVED: 10/14/2013

DEBITS:

CREDITS:

```
|
|
| *** REST - RESTRICTED ***
|-----|
| MICHAEL KEARNEY TAXID: [REDACTED]
| [REDACTED] (CERTIFIED )
| HACIENDA HEIGHTS CA [REDACTED] ACCT#: 135
| SHRS: 15,700
| 0.5541228
|
| 1 X 15,700 1148 15,700
| *** REST - RESTRICTED ***
|-----|
| CHRISTOPHER W.H. ROLFE TAXID: [REDACTED]
| [REDACTED] (CERTIFIED )
| [REDACTED] ACCT#: 136
| BURNVILLE MN [REDACTED] SHRS: 1,305
| 0.0460598
|
| 1 X 1,305 1149 1,305
| *** REST - RESTRICTED ***
|-----|
| DAVID GEISEN TAXID: [REDACTED]
| 3131 W. BURBANK BLVD (CERTIFIED )
| BURBANK CA 91505 ACCT#: 137
| SHRS: 3,162
| 0.1116018
|
| 1 X 3,162 1150 3,162
| *** REST - RESTRICTED ***
|-----|
| RUBEN SMULOVITZ TAXID: [REDACTED]
| [REDACTED] (CERTIFIED )
| [REDACTED] ACCT#: 138
```

Report Run On 10/22/2013 At 5:06pm By CASSIE TIBA

TRANSFER JOURNAL
ISLAND STOCK TRANSFER

PAGE 3

RECEIPT NUMBER: 174913 EFFECTIVE: 10/22/2013 TRANSFER SECURITIES 60,302 SHARES
TRACKING NUMBER: [REDACTED] PRICE: 1.66
NEW GLOBAL ENERGY, INC. (644506103) (NGE) RECEIVED: 10/14/2013

DEBITS:

CREDITS:

|
|
| COSTA MESA CP [REDACTED] SHRS: 6,225
| 3.219708%
|
| 1 X 6,225 1151 6,225
| *** REST - RESTRICTED ***

1 Certificates cancelled ... Total: 60,302 8 Certificates issued ... Total: 60,302

INVOICE # 45819 AMOUNT BILLED: 227.00
CREDIT CARD AMOUNT PAID: 227.00

BATCH NOTES

<SCAN>ISSUE\NEW GLOBAL ENERGY, INC.-1194\jo_174913.pdf
Snt 10/14/2013. Process 10/17/2013. CT
<SCAN>ISSUE\NEW GLOBAL ENERGY, INC.-1194\jo_174913_2.pdf
Stock power attached, CT

Report Run On 10/22/2013 At 5:06pm By CASSIE TIBA



Ms. Kimberly Whiteside

Island Stock Transfer

15500 Roosevelt Blvd. Suite 301

Clearwater, FL 33760

October 12, 2013

RF: Stock Certificate issue request

Dear Kim,

Enclosed with these instructions, please find the Excel spreadsheet of purchasers of New Global Energy (NGEY) stock from Diversified Equities Inc. Please find the name, address, Tax I. D. number, number of shares purchased, and purchase price paid, for each individual.

Please send the Certificates to the office as titled on the spread sheet:

Bechtel Advisory Group

15260 Ventura Blvd.

#1200

Sherman Oaks, CA 91403

Phone: 818-787-1471

FedEx # [REDACTED]

To expedite the shipping, please return the new certificates Standard Overnight Fed-Ex on the FedEx accounts provided above. From that point forward we will mail the Certificates to the respective individuals.

As usual, please leave the balance of the NGEY shares held by Diversified in Book form.

Let me know if there is anything else you need to proceed with our request.

Best Regards,

Diversified Equities, Inc.

Diversified Equities Inc.

4845 Pearl East Circle Suite 101, Boulder, CO 80301

Phone: 303-834-1182 | www.diveqinc.com

All certificates to be transferred must be enclosed with this stock power

The signature to this assignment must correspond with the name as written upon the face of the certificate in every particular without alteration or enlargement or any change whatsoever. The signature of the person executing this power must be guaranteed by an eligible Guarantor Institution such as a Commercial Bank, Trust Company, Securities Broker/Dealer, Credit Union, or Savings Association participating in a Medallion Program approved by the Securities Transfer Association, Inc. No other form of signature guarantee is acceptable.

STOCK POWER

For value received I/we hereby sell, assign and transfer unto

(Print or Type Name)

(Address of Transferee)

SS# or Tax ID _____

_____ certificate shares of the _____
(Name of Company)

represented by certificate number(s) _____

(Must check one) Note: If any cost basis information below is not completed the issuer will be notified and given two weeks to provide the data. If the data is not provided at the end of the two weeks, the request will not be processed and will be rejected back to the issuer.

Original Cost _____

Original Issuance/Purchase Date _____

Current Cost for this transaction _____

Gift _____ Purchase/Sell _____ (Includes both Private and Public Purchases) Compensation _____ (Includes payment for services)

Tax Lot: (check one)
If nothing is selected
System will default to
FIFO.

FIFO
LIFO
Average Cost

High Cost
Low Cost
Specific Lot

The undersigned does (do) hereby irrevocably constitute and appoint Island Stock Transfer to transfer the said stock on the books of said company with full power of substitution in the premises.

Date _____

Diversified Equities Inc., by [Signature]
(Signature of Registered Holder completing the stock power)

DIVERSIFIED EQUITIES INC. by J. C. KIRK
(Print Name of Registered Holder)

(Signature of Joint Registered Holder completing the stock power)

(Print Name of Joint Registered Holder)

[Signature]

(Affix Medallion Signature Guarantee imprint
in space to the right)

Print Session Results

	Program	Program Number	Location ID	Status	Report Date	Guarantor/Participant
1	STAMP	X0209353	3621	Active	N/A	WELLS FARGO BANK, N.A.

New Global Energy Certificate Transfer Requests (from BECHTEL ADVISORY GROUP INC)

<u>Last</u>	<u>First</u>	<u>Phone</u>	<u>Tax I.D. #</u>
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]

C)

<u>Address</u>	<u>City</u>	<u>St</u>	<u>zip</u>	<u># Shares</u>	<u>Cert#</u>	<u>\$ Amt</u>	<u>Price/sh</u>
[REDACTED]	Morris	MN	[REDACTED]	15,360		\$25,498	\$1.66
[REDACTED]	Spicewood	TX	[REDACTED]	3,100		\$5,146	\$1.66
[REDACTED]	Hamilton	MT	[REDACTED]	12,350		\$20,501	\$1.66
[REDACTED]	Las Vegas	NV	[REDACTED]	3,100		\$5,146	\$1.66
[REDACTED]	Hacienda Heights	CA	[REDACTED]	15,700		\$26,062	\$1.66
[REDACTED]	Burnsville	MN	[REDACTED]	1,305		\$2,166	\$1.66
[REDACTED]	Burbank	CA	[REDACTED]	3,162		\$5,249	\$1.66
[REDACTED]	Costa Mesa	CA	[REDACTED]	6,225		\$10,334	\$1.66

Issued

closer

notes

B.Dahlquist

A.Sheridan

S.Dearborn

A.Sheridan

S.Dearborn

Ed Goss

D.Wilkes

S.Dearborn

Cassie Tiba

From: John Knight [REDACTED]@gmail.com>
Sent: Saturday, October 12, 2013 3:52 PM
To: Cassie Tiba
Subject: Transfers for Bechtel office
Attachments: 10.11.13 NGEY txfr Requests Bechtel.xlsx; NGEY stock transfer request cover letter for Bechtel 10.12.13.docx

Follow Up Flag: Follow up
Flag Status: Flagged

Cassie

Please see attached

Thanks

john

From: (727) 289-0010
CASSIE TIBA
ISLAND STOCK TRANSFER
15509 ROOSEVELT BLVD
Suite 301
Clearwater, FL 33760

Origin ID: PIEA



J13201306280326

Ship Date: 22OCT13
ActWgt: 1.0 LB
CAD: 101230217/MNET3430

Delivery Address Bar Code



SHIP TO: (818) 787-1471

BILL RECIPIENT

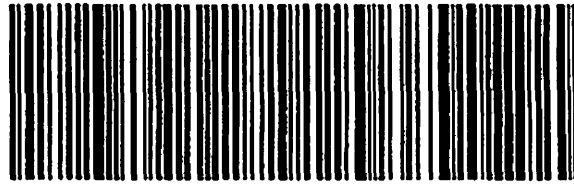
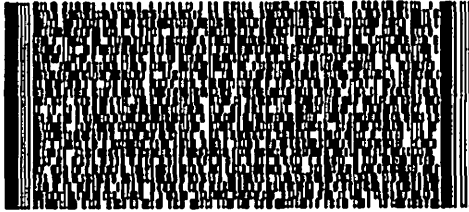
Bechtel Advisory Group
15260 Ventura Blvd.
#1200
SHERMAN OAKS, CA 91403

Ref # 174913, 30
Invoice #
PO #
Dept #

WED - 23 OCT AA
STANDARD OVERNIGHT
DSR
91403
CA-US
BUR

TRK#
0201

XH VNYA



51AG1#B1B1A9E

After printing this label:

1. Use the 'Print' button on this page to print your label to your laser or inkjet printer.
2. Fold the printed page along the horizontal line.
3. Place label in shipping pouch and affix it to your shipment so that the barcode portion of the label can be read and scanned.

Warning: Use only the printed original label for shipping. Using a photocopy of this label for shipping purposes is fraudulent and could result in additional billing charges, along with the cancellation of your FedEx account number.

Use of this system constitutes your agreement to the service conditions in the current FedEx Service Guide, available on fedex.com. FedEx will not be responsible for any claim in excess of \$100 per package, whether the result of loss, damage, delay, non-delivery, misdelivery, or misinformation, unless you declare a higher value, pay an additional charge, document your actual loss and file a timely claim. Limitations found in the current FedEx Service Guide apply. Your right to recover from FedEx for any loss, including intrinsic value of the package, loss of sales, income interest, profit, attorney's fees, costs, and other forms of damage whether direct, incidental, consequential, or special is limited to the greater of \$100 or the authorized declared value. Recovery cannot exceed actual documented loss. Maximum for items of extraordinary value is \$1,000, e.g. jewelry, precious metals, negotiable instruments and other items listed in our Service Guide. Written claims must be filed within strict time limits, see current FedEx Service Guide.



OPENER

Sample Presentation (For Training Purposes only)

HI, IS THIS _____?.. _____, THIS IS ____..CAN YOU HEAR ME OK?..GOOD,.._____, I DON'T THINK YOU AND I HAVE SPOKEN BEFORE, BUT YOUR NAME CAME ACROSS MY DESK AS SOMEONE WHO, FROM TIME TO TIME, LOOKS AT DIFFERENT INVESTMENTS, AND, RIGHT NOW, I HAVE A **COMPELLING** PROJECT IN THE **AQUA FARMING AND FOOD INDUSTRY** I'D LIKE TO RUN BY YOU.....OF COURSE, WITH INTEREST , I'LL EMAIL YOU THE DETAILS AND YOU CAN DO YOUR OWN RESEARCH...SO, YOU GOT JUST A QUICK MINUTE TO HEAR ABOUT THIS?

OK, WELL_____, I'M WITH THE BECHTEL GROUP, AND WE ARE A VENTURE CAPITAL FIRM INVOLVED IN AGRICULTURE AND RENEWABLE ENERGY.. WHAT WE DO IS IDENTIFY BUSINESSES WITH HUGE GROWTH POTENTIAL, ASSIST THEM, AND TAKE STOCK FOR OUR PAYMENT... RIGHT NOW WE HAVE JUST TAKEN AN 18 YEAR OLD **AQUA FARMING** COMPANY PUBLIC AND WE WERE ISSUED A COUPLE MILLION SHARES WE CAN SELL **UNDER** THE TRADING VALUE.... SO, IN OTHER WORDS, WE CAN OFFER YOU SHARES FOR ABOUT **A THIRD OF THE CURRENT TRADING VALUE...**

NOW_____ I CERTAINLY DON'T WANT TO WASTE YOUR TIME OR OURS, SO LET ME ASK YOU... IF YOU SAW SOMETHING THAT ALLOWS YOU TO WALK INTO AN EQUITY POSITION **RIGHT OUT OF THE GATE**, IN A RECESSION PROOF COMMODITY LIKE **FOOD**, DOES IT SOUND LIKE SOMETHING YOU'D WANT TO TAKE A CLOSER LOOK AT?..... OK, AND ONCE YOU LIKE IT, ARE YOU LIQUID FOR \$10,000 OR MORE, *IF YOU WANT TO GET INVOLVED?*

ALL RIGHT, WELL LET ME GIVE YOU JUST A BRIEF OVERVIEW, _____...

RIGHT NOW, WE ARE FOCUSING ON A FISH FARMING OPERATION THAT HAS BEEN IN BUSINESS FOR OVER 18 YEARS, AND WE'VE BEEN WORKING WITH THEM FOR THE LAST 4 YEARS... OUR COMPANY IS **GLOBAL ENERGY TECHNOLOGY GROUP** (AND I'M GONNA SEND YOU A LINK TO THE WEBSITE (www.ge-tg.com)). WE ARE NOW SUCCEEDING IN A MARKET WHERE **MANY** HAVE FAILED, AND OUR BUSINESS PLAN IS SIMPLY TO DUPLICATE THE SUCCESSFUL METHODS WE ARE USING, AND EXPAND... THE COMPANY IS ON TRACK TO **NET** OVER \$2 MILLION THIS YEAR **IN PROFITS**, AND WE FULLY EXPECT TO TAKE NET REVENUES TO OVER \$25 MILLION A YEAR WITHIN THE NEXT 18 TO 24 MONTHS....

NOW THE COMPANY IS DIVERSIFIED INTO SEVERAL AREAS THAT ARE ALL INTER-CONNECTED... FIRST, THEY OPERATE 2 FISH FARMS IN THE PALM SPRINGS AREA IN SOUTHERN CALIFORNIA. ARE YOU FAMILIAR WITH

PALM SPRINGS? WELL IT HAS ONE OF THE LARGEST AQUAFIR SYSTEMS IN THE COUNTRY WHICH MAKES IT THE IDEAL LOCATION FOR RAISING TALAPIA, CATFISH AND SHRIMP ON THESE FARMS.

THE 2 BIGGEST CHALLENGES THAT HAS CAUSED OVER A DOZEN FISH FARMS TO GO OUT OF BUSINESS IN THE AREA HAS BEEN: #1. RISING ENERGY COSTS AND # 2. RISING COST OF FOOD FOR THE FISH...

_____, TO ADDRESS THE ENERGY PROBLEM, WE ASSISTED THE COMPANY TO GO WITH GREEN ENERGY AND WITH SOME GOV'T GRANTS, CONSTRUCTED A HUGE ARAY OF SOLAR PANELS ON THEIR PROPERTIES. SO FAR, THEY HAVE LOWERED THEIR ENERGY BILL FROM \$30K A MONTH DOWN TO ONLY \$4K A MONTH. AND IN 3 YEARS THEIR ENERGY COSTS WILL BE ZERO DOLLARS.

NOW TO LOWER THE FISH FOOD PROBLEM, THE COMPANY HAD TO BE CREATIVE AND DEVELOPED A UNIQUE METHOD TO GROW THEIR OWN, PROTIEN RICH ALGAE, TO FEED THE FISH, (INSTEAD OF PURCHASING THE FOOD).. NOW, NOT ONLY DID THAT LOWER THEIR FEED COSTS, BUT THEY DISCOVERED THAT WHEN THE FISH ATE THE ALGAE, *THEY GREW 4-5 TIMES FASTER*, ALLOWING US TO BRING THE FISH TO MARKET QUICKER...

OK, SO _____, WE HAVE IDENTIFIED 14 FISH FARMS THAT HAVE GONE OUT OF BUSINESS THAT HAVE ALL THE TANKS, INFRASTRUCTURE AND GEOTHERMAL WELLS IN PLACE.. THEY INTEND TO PURCHASE THESE FARMS, SET UP THE ENERGY AND FOOD SYSTEMS AND BASICALLY, COOKIE CUTTER THE SUCCESS BY DUPLICATING WHAT IS ALREADY PROVEN TO WORK... ARE YOU WITH ME? (CAUSE IT GETS BETTER..)

THERE ARE MORE SOURCES OF REVENUE THAT ARE ALSO PART OF THE COMPANY... FOR EXAMPLE, IN THE AGRICULTURE SECTOR, WE'RE GROWING JATROPHA TREES... A JATROPHA TREE PRODUCES A FRUIT THAT IS CONVERTED INTO BIO-DIESEL FUEL AND WE CAN GET INTO MORE DETAILS ABOUT THAT LATER, BUT JUST KNOW THAT WE ARE PREPARING TO PLANT OVER 100,000 MORE TREES AS PART OF OUR EXPANSION, WHICH WILL ADD MORE CASH FLOW TO THE COMPANY.

SO _____, THERE ARE A LOT MORE DETAILS, BUT UNLESS YOU HAVE ANY QUESTIONS RIGHT NOW, LET ME KEEP THIS BRIEF AND EMAIL THE INFORMATION TO YOU... OK? SO, WHAT'S YOUR EMAIL ADDRESS?....ALL RIGHT_____, OUR PHONE NUMBER WILL BE IN THE EMAIL IF YOU HAVE QUESTIONS, BUT I WILL PLAN ON GETTING BACK IN TOUCH WITH YOU, LETS SAY _____? IS THAT GOOD FOR YOU? AND IS THIS NORMALLY A GOOD TIME OF DAY TO CALL?..... (HEY, BY THE WAY _____, DO YOU MIND IF I ASK WHAT YOU DO FOR A LIVING?)

Manhattan Transfer Registrar Company

531 Cardens Court, Erie, CO 80516-3636 631-928-7655 dcarlo@mtrco.com

NEW - New Global Energy, Inc.

Certificate Transaction Journal

Transaction Number 374 Stock Class NG

Control ID: [REDACTED]

Transfer



Transaction Date 05/05/16

05/09/2016

8:53 am

Page 1 of 1

Registration

BIO - GLOBAL RESOURCES, INC.
74998 COUNTRY CLUB DRIVE

220-191

PALM DESERT, CA 92260

Certif. No	Canceled	Issued
NG 1111	440,230	Restricted
NG 1345	Replaced NG 1111 LOST	440,230 Restricted

440,230

440,230



Manhattan Transfer Registrar Company

531. Cardens Court, Erie, CO 80516-3636 631-928-7655 dcarto@mtrco.com

NEW - New Global Energy, Inc.

Stock Transfer - Final Transaction Report

5/9/16
8:53 am
Page 1 of 1

Control Ticket Number: [REDACTED] Transaction Number: 374 Transfer Date: 05/05/16
 Type of Stock being Transferred: Total Shares 440,230
 Paper certs being Transferred from: 1
 Paper certs being Transferred to: 1
 Tax Reason: Reregistration
 Received From: BIO GLOBAL/NEW GLOBAL
 Received: 05/05/16 at 07:25 Tran Type: Not an Item Item Count: 0
 How Received: EMAIL
 Sent: 05/05/16 at 11:14 How Sent: HOLD
 Outgoing Tracking Number: HOLD

---Transfer From---				---Transfer To---			
Line #	Shareholder	Certificate Number	Number of Shares	Line #	Shareholder	Certificate Number	Shares per Certif
1	25 BIO - GLOBAL RESOURCES, INC.	NG 1111	440,230	1	25 BIO - GLOBAL RESOURCES, INC.	NG 1345	440,230
			<u>RULE 144</u>				<u>RULE 144</u>
			440,230		Number of new certs: 1		440,230

Completed By: CA Report Run By: CA 05/09/16 8:53:38 am

#374
Rec'd
5/5/16 CJB
Sent
5/5/16 CJB

Manhattan Transfer Registrar Company

631 Cardena Court
Erie, CO 80516

Phone: (631) 928-7655 Fax: (631) 928-8171

Affidavit of Loss & Agreement of Indemnity

State of CA
County of Riverside

ss:

The undersigned (hereinafter called "Deponent"), being duly sworn, deposes and says that:

1. Deponent is an adult (being of legal age) whose mailing address is:
74998 Country Club Drive # 220-191 Palm Desert, CA 92260

David Welch, President

BioGlobal Resources, Inc.

and is the sole legal and beneficial owner of the following instrument or instruments, hereafter collectively called the original (as described below):

Type of Security	Certificate Number(s)	Number of Shares
Common	<u>1111</u>	<u>440230</u>

2. That said original shares Was Was Not endorsed. If endorsed, describe the exact manner of endorsement: _____

3. That said original has been lost, destroyed, or stolen so that the same cannot be found or produced, and that the Deponent has not sold, pledged, hypothecated or otherwise transferred or executed a transfer of said original, or any interest therein or right thereto. That the circumstances in connection with the loss, theft or destruction are as follows: (Please circle one)

Lost on premises-Complete Affidavit of Loss

Lost in the mail-Complete Affidavit of Loss and Mail Loss Addendum

Stolen-Attach police report-Complete Affidavit of Loss

Other (describe) _____


Manhattan Transfer Registrar Company

531 Cardena Court
Erie, CO 80516

Phone: (631) 928-7855 Fax: (631) 928-6171

4. That this affidavit and agreement of indemnity is being made for the purpose of inducing the issuer New Global Energy, Inc. and Manhattan Transfer Registrar Company individually, or as Transfer Agent, Redemption Agent, Registrar, or as Agent in any other capacity (hereinafter called the obliges) to deliver to the Deponent a new instrument or instruments or to make payment, transfer, delivery or exchange called for by said lost, destroyed or stolen original.
5. That in consideration of such payments, transfers, delivery or exchange, Deponent agrees for itself, its heirs, legal representatives, successors and assigns to hold any and all obliges and individual, firms or corporations as may now or hereafter be acting as Transfer Agent, Depository, Trustee, Fiscal or Paying Agent, Redemption Agent, Registrar, or as Agent in any other capacity, as aforesaid free and harmless from any and all actions and any and all losses, damages, costs, charges, counsel fees, payments, expenses and liabilities whatsoever which either of them may sustain or occur (a) by reason of said issuance and delivery of a new instrument or instruments, or the payment, transfer, delivery, or exchange called for by said original or (b) by reason of any claim which may be made in respect to the original, or (c) by reason of any payment, transfer, delivery, exchange or other act which any of them may make or do in respect to the original, whether made or done through accident, or oversight, or neglect or the propriety of such transfer, payment, delivery, exchange or other act, or (d) by reason of any other matter or thing arising out of recognition of this request.
6. Deponent further agrees that in the event the original shall come into its possession, it will forthwith deliver the same to the obliges of transfer.


Deponent Signature

ID or SS# 

David Welch

Please print full name as signed

Res - Dio Global

Deponent Signature

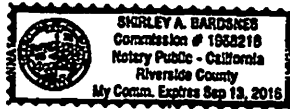
ID or SS# 

Please print full name as signed

Sworn to and subscribed before me this 21st day of April, 2016

Notary Public

Attach additional Notaries where necessary.



**RESOLUTION
CORPORATE RESOLUTION AUTHORIZING THE WAIVING OF A SURETY BOND OF A LOST CERTIFICATE
AUTHORIZED IN THE MINUTES OF A MEETING
OF NEW SHARES OF STOCK FOR**

New Global Energy, Inc.

COMMON STOCK
Class of Stock

Resolved, that Manhattan Transfer Registrar Company, transfer agent for the company's class of stock, as described above, is authorized to waive the requirement of a Surety Bond for the Shareholder(s) listed below.

Further Resolved, New Global Energy, Inc. hereby indemnifies Manhattan Transfer Registrar Company and their employees against any and all actions taken by the Company, the Shareholder, Person or Entity claiming that the replacement of the below lost certificate without a Surety Bond was not valid. Any and all costs associated with the replacement of a certificate without a Surety Bond will be borne by New Global Energy, Inc.

Further Resolved, Manhattan Transfer Registrar Company at its sole discretion is authorized, without further approval of New Global, Inc. to issue any shares in regards to the replacement of the below certificates when presented with a valid claim for the shares.

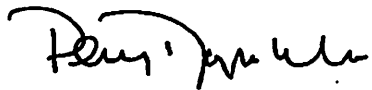
Further Resolved, New Global, Inc. will assist any State or Federal law enforcement or Regularity Agency if Manhattan Transfer Registrar Company receives an adverse claim against any certificate that has been replaced without a Surety Bond.

Date of Meeting: May 5, 2016

The following Shareholders are not required to present an Indemnity Bond:

<i>Name</i>	<i>Address</i>	<i>SSN or Tax ID</i>	<i>Number of shares</i>	<i>Restricted Y/N</i>
Bloglobal Resources, Inc.	74998 Country Club Drive #220-191 Palm Desert, CA 92260	██████████	440,230	Y

I, the undersigned, President and CEO of the Company do hereby indemnify Manhattan Transfer Registrar Company and their owners and employees against any and all actions taken by the company, the Shareholder and certify that this is a true copy of the details of the Minutes to a Meeting and that the Company's Board of Directors adopted the above resolution to waive the requirement of an Indemnity Bond set forth above on the date indicated above and the resolutions passed in the Board Meeting have not in any way been rescinded, annulled or revoked and all matter concerning the issuance of shares is in full force and effect.



Perry West President and CEO

May 5, 2016
Date



BIO-GLOBAL
RESOURCES, INC.



CORPORATE RESOLUTION

OF

Bio-Global Resources, Inc.

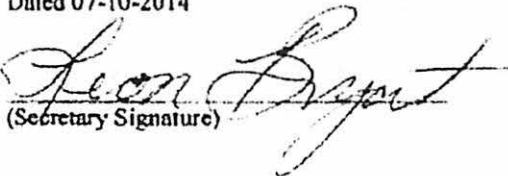
AUTHORIZING OFFICERS TO ASSIGN AND ENDORSE CERTIFICATES

LET IT BE RESOLVED, that the President, David Welch, Secretary/Treasurer, Leon D. Bryant and Vice President Marc Bryant

Or any one of them acting individually, be and are hereby authorized to sell, assign and endorse for transfer, certificates representing stocks, bonds, or other securities now registered or hereafter registered in the name of this corporation.

I, Leon D. Bryant, Secretary of Bio-Global Resources, Inc. Incorporated under the laws of the State of Texas hereby certify that the forgoing is a resolution duly adopted by the Board of Directors of said corporation at a meeting held the 10th day of July, 2014, at which a quorum was represented and the voting, and that the same has not been repealed or amended, and remains in full force and effect and does not conflict with the by-laws of the said corporation.

Dated 07-10-2014


(Secretary Signature)

COMMON STOCK

INCORPORATED UNDER THE LAWS
OF THE STATE OF WYOMING

COMMON STOCK



New Global Energy Inc.

THIS IS TO CERTIFY THAT

BIO - GLOBAL RESOURCES, INC.

**SEE LEGEND
ON REVERSE**

CUSIP 644508 10 3

SEE REVERSE SIDE
FOR CERTAIN DEFINITIONS

is the owner of

*** Four Hundred Forty Thousand Two Hundred Thirty

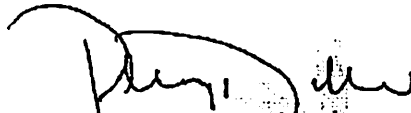
New Global Energy Inc.

(hereinafter called the "Corporation") transferable on the books of the Corporation in person or by duly authorized attorney upon surrender of this Certificate properly endorsed. This Certificate and the shares represented hereby are issued and shall be held subject to all the provisions of the Certificate of Incorporation and By-Laws of the Corporation and the amendments from time to time made thereto, copies of which are or will be on file at the principal office of the Corporation, to all of which the holder by acceptance hereof assents. This Certificate is not valid unless countersigned by the Transfer Agent and Registrar.


Witness the facsimile seal of the Corporation and the facsimile signatures of its duly authorized officers.


Dated:

May 5, 2016


SECRETARY




PRESIDENT

Countersigned and Registered:
By 
MANHATTAN TRANSFER REGISTRAR CO.
(WILLER PLACE, N.Y.)
Transfer Agent
and Registrar
Authorized Signature

D-03480

KADISH_RICHARD_20161020

10/20/2016 11:14 AM

Condensed Transcript

Prepared by:

D-03480

Friday, December 02, 2016

Exhibit

60

exhibitster.com

Page 1

1 THE UNITED STATES SECURITIES AND EXCHANGE COMMISSION
2
3 In the Matter of:)
4) File No. D-03480-A
5 NEW GLOBAL ENERGY INC.)
6
7 WITNESS: Richard Kadish
8 PLACE: Securities and Exchange Commission
9 200 Vesey Street, Suite 400
10 New York, New York 10285
11 DATE: Thursday, October 20, 2016
12
13 The above entitled matter came on for hearing,
14 pursuant to notice, at 11:14 a.m.
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22
23
24 Diversified Reporting Services, Inc.
25 (202) 467-9200

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1 APPEARANCES:
2
3 On behalf of THE U.S. SECURITY AND EXCHANGE COMMISSION:
4
5 JACQUELINE M. MOESSNER, ESQ.
6 MARY S. BRADY, ESQ. (Via telephone)
7 Byron G. Rogers Federal Building
8 1961 Stout Street, Suite 1700
9 Denver, Colorado 80294
10 (303)844-1031
11
12 On behalf of the Witness:
13
14 SIMON KOGAN, ESQ.
15 171 Wellington Court, Suite 1J
16 Staten Island, New York 10314
17 (718)984-3789
18
19 ALSO PRESENT: Brent Wells, Videographer
20
21
22
23
24
25

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1 C O N T E N T S
2
3 WITNESS EXAMINATION
4 Richard Kadish 5
5
6 EXHIBIT DESCRIPTION IDENTIFIED
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9 353 12/4/12 e-mail 72
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1 C O N T E N T S (C O N T .) :
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3 EXHIBIT DESCRIPTION IDENTIFIED
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1 PROCEEDINGS
2 VIDEOGRAPHER: The time is 11:14 a.m.
3 MR KOGAN: No, it's not, it's 11:13.
4 MS. MOESSNER: Mr. Kadish, please raise your
5 right hand. Do you swear or affirm to tell the truth, the
6 whole truth, and nothing but the truth.
7 THE WITNESS: Yes.
8 Whereupon,
9 RICHARD KADISH
10 was called as a witness, and having first been duly
11 sworn, testified as follows:
12 EXAMINATION
13 BY MS. MOESSNER:
14 Q Please state and spell your full name for the
15 record.
16 A Richard Kadish. R-I-C-H-A-R-D K-A-D-I-S-H.
17 Q Do you have a middle name, Mr. Kadish?
18 A Laurence. L-A-U-R-E-N-C-E.
19 Q My name is Jacqueline Moessner. I'm an
20 attorney in the Enforcement Division of the United States
21 Security and Exchange Commission. Appearing by video
22 conference is Mary Brady. We are officers of the Commission
23 for purposes of this proceeding. This is an investigation
24 by the United States Security and Exchange Commission in the
25 matter of New Global Energy Incorporated to determine

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1 whether there have been violations of certain provisions of
2 the Federal Securities Laws. However, the facts developed
3 in this investigation might constitute violations of other
4 federal or state, civil or criminal laws.
5 Prior to the opening of the record, you were
6 provided with a copy of the formal order of investigation in
7 this matter. The formal order will be available for your
8 examination during the course of this proceeding. Have you
9 had an opportunity to review the formal order?
10 A The majority of it, yes.
11 Q Prior to the opening of the record, you were
12 provided with a copy of the Commission Information
13 Supplemental Form 1662. A copy of that notice has
14 previously been marked as Exhibit 2. Have you had an
15 opportunity to read Exhibit 2?
16 A Not in its entirety, but for the most part,
17 yes.
18 MR. KOGAN: Jackie, one thing. Do you want to
19 put on the record that there is also a supplement to the
20 formal order?
21 MS. MOESSNER: Yes. The formal order included
22 the supplemental formal order.
23 MR. KOGAN: It gives Jackie the authority to
24 conduct this deposition. Just to keep the record clear.
25 Q Mr. Kadish, would you like further time to

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1 review the Form 1662?
2 A No, I'm good.
3 Q Okay. Do you have any questions concerning
4 Exhibit 2?
5 A No.
6 Q Are you represented by counsel?
7 A Yes.
8 MS. MOESSNER: Would counsel identify
9 themselves for the record.
10 MR. KOGAN: Simon Kogan, Law Office of Simon
11 Kogan, 171 Wellington Court, Staten Island, New York 10314.
12 718-984-3789.
13 MS. MOESSNER: Mr. Kogan, are you representing
14 Mr. Kadish today?
15 MR. KOGAN: Yes, I am.
16 Q I'm marking as Exhibit 351 a cover letter to
17 Mr. Kogan enclosing a testimony subpoena for Mr. Kadish
18 dated October 17, 2016.
19 (Exhibit 351 was marked for
20 identification.)
21 THE WITNESS: This is what I received a few
22 days ago, correct?
23 MR. KOGAN: Yes.
24 Q Mr. Kadish, this is a copy of a subpoena with
25 an attached letter to you dated August -- October 17, 2016

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1 and has been marked as Exhibit 351. Is this a copy of the
2 subpoena that you're appearing pursuant to here today?
3 A Yes.
4 Q The oath that you have taken here today is a
5 solemn oath, just like the oath that you take in court. Any
6 answer in violation of that oath carries the same
7 consequences as it would in court. Do you understand this?
8 A Yes.
9 Q Everything we say today is being taken down by
10 the court reporter and will be returned in the form of a
11 written transcript. To make things clear on the record, we
12 need to follow some guidelines. You need to respond
13 verbally, not with a nod or a head shake so that the court
14 reporter can record your answer. Each of us needs to let
15 the other finish a question or answer before responding so
16 that we're not talking over each other.
17 If you answer a question, it will be assumed
18 that you understood the question, so please let me know if
19 you do not understand a question. Will you let me know if
20 you do not understand a question?
21 A Absolutely.
22 Q Any substantive conversations we have off the
23 record will be summarized on the record, the accuracy of
24 which will be confirmed by you or we will have you confirm
25 on the record that no substantive conversation occurred.

1 from these lead brokers?
 2 A If I did contact them or they contacted me at
 3 times, yes, I did ask for only accredited investors.
 4 Q Why did you ask for only accredited investors?
 5 A Because they were not accepting non-accredited
 6 investors -- at least that's what they told me -- or only a
 7 certain amount of non-accredited investors.
 8 Q Who are you referring to there when you said
 9 "they" were not accepting non-accredited investors?
 10 A Global Energy Technology Group.
 11 Q Okay. And who told you that?
 12 A I don't know if anybody had to tell me that. I
 13 believe it may have said it on their subscription agreement.
 14 I don't recall.
 15 Q How were you paid for the investors that you
 16 sold GETG's shares?
 17 A Commission.
 18 Q What was your commission?
 19 A Fifty percent, in the beginning.
 20 Q Did that change?
 21 A Yes.
 22 Q To what?
 23 A Forty percent.
 24 Q Who paid your commission?
 25 A Was paid through different entities. Most of

1 Q Were there other salespeople that you paid out
 2 of your 50 to 40 percent?
 3 A Obviously. We already talked about that. I
 4 said that.
 5 Q Okay. How did that relationship work with
 6 other salespeople? Were they working for you? Were you
 7 working together on clients? Can you give me some.
 8 A It was both.
 9 Q Okay.
 10 A Both.
 11 Q So you both had salespeople that worked for
 12 you -- strike that.
 13 You had salespeople who worked for you?
 14 A Correct.
 15 Q How many salespeople did you have working for
 16 you?
 17 A It varied at different times, anywhere from two
 18 or three up to five, six or seven at the most.
 19 Q Okay. And what was your agreement with the
 20 salespeople who worked for you about how they were paid?
 21 A They were paid a commission.
 22 Q How much were they paid of your commission?
 23 A You know what, depending on the person I was
 24 dealing with it was different amounts.
 25 Q Can you give me the range of those amounts?

1 it came through, geez, I don't know if it came directly from
 2 GETG themselves or -- yeah, it may have -- I believe in the
 3 beginning it was from GETG. Some of it may have been
 4 through Bioglobal -- you know what, it was kind of like a
 5 potluck of who paid me. It was different entities.
 6 Q Why were different entities paying you?
 7 A I don't know. And I don't know for a fact that
 8 different entities were paying me. What I recall is that it
 9 wasn't just one entity. Maybe after a while other entities
 10 did pay me. I don't remember actually. I don't recall too
 11 definitively.
 12 Q How was your commission negotiated?
 13 A Verbally.
 14 Q With whom?
 15 A Dave Welch.
 16 Q If you had not sold any shares of Global Energy
 17 Technology Group, you would not have been paid any money?
 18 A Correct.
 19 Q Or stated differently, the amount that you were
 20 paid was dependent on the amount of shares that you had
 21 sold?
 22 A Yes, but to clarify that even further, if there
 23 was other salespeople, you know, the 50 to 40 percent was
 24 paid to me, but if there were other salespeople, obviously
 25 they were getting paid as well out of that 50 or 40.

1 A Anywhere from 30 to 45 percent.
 2 Q So that would be 30 to 45 percent of your 40 to
 3 50?
 4 A Um-hum.
 5 Q Or is 30 to 45 percent of the total amount of
 6 the sale?
 7 A I could say it this way, let's start at the 50
 8 number that I received.
 9 Q Okay.
 10 A Somebody else made a sale under me, they got
 11 40, I stayed with 10. They got 45, I stayed with 5. Just
 12 do the math. Pretty simple.
 13 Q All right. How did you inform Mr. Welch of the
 14 amount of commission that you were owed?
 15 A How did I inform him? There was a couple
 16 different ways. It was via invoice, through e-mail, and
 17 yeah, some was just very casual type writing saying this
 18 came in, this is what's owed, blah, blah, blah. That was
 19 it.
 20 Q Okay. And were you paid personally or did you
 21 receive your commissions in some other way?
 22 A What do you mean personally or another way?
 23 Q What was Energy Farms Incorporated's purpose?
 24 A Are you asking was I paid to an entity?
 25 Q Yes.

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1 A Well, as I'm reading here, it's
 2 self-explanatory that they are no longer depositing to Chase
 3 and they were attaching the bank -- they were going to a
 4 different bank to deposit checks.
 5 Q Did you ever discuss with Mr. Franklin why
 6 Vertex was changing bank accounts?
 7 A Never. If I did, I don't remember.
 8 Q Why did you need the wire information for
 9 Vertex?
 10 A Because we were raising capital.
 11 Q Was that where you sent money that you received
 12 from investors?
 13 A I personally didn't send anything there. The
 14 investors sent it.
 15 Q That's where the investors sent their money?
 16 A Correct.
 17 Q You provided Vertex's banking information to
 18 the investors so they could send their money to Vertex?
 19 A Correct. I don't know how much -- obviously I
 20 was involved still at this point, but this was definitely
 21 coming towards my, I don't know if it was the end of my
 22 involvement, but I wasn't there very much longer after that.
 23 Q How much longer -- this e-mail is dated
 24 December 4, 2012 -- how much longer were you involved in
 25 sales of Global Energy and New Global?

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1 A It may have been another year, maybe, I
 2 believe.
 3 Q So your involvement ceased around the end of
 4 2013, beginning of 2014?
 5 A Somewhere around there. Yeah, I think '13. I
 6 don't know if it went into '14. You probably know that but
 7 I don't remember that.
 8 MS. MOESSNER: Mary, I'm going to Tab 43 and I
 9 am marking as Exhibit 354 a document Bates stamped SEC
 10 Kadish RE 0003825, which is an e-mail from admin to
 11 rich@capital360.com dated March 4, 2013. I'm going to
 12 correct the Bates number, it is SEC Kadish RE 0001301.
 13 (Exhibit 354 was marked for
 14 identification.)
 15 A Okay.
 16 Q Mr. Kadish, is Exhibit 354 an e-mail that you
 17 received?
 18 A You know, it has my e-mail address on it. I
 19 don't remember this e-mail, but obviously if it came to my
 20 e-mail I must have seen it some time.
 21 Q This e-mail is cc'd to a peter@dmediallc.com.
 22 Who is that?
 23 A Pete Knight. As a matter of fact, the previous
 24 one you just gave me it says Peter D. Knight, Peter D.
 25 Media, LLC, so that's Pete Knight.

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1 Q The previous document you just referred to is
 2 Exhibit 353?
 3 A Correct.
 4 Q What is the admin account that this e-mail in
 5 Exhibit 354 came from?
 6 A I have no idea. When you first said this
 7 e-mail from admin, I was lost for a second. I have no idea.
 8 Q Do you recall if you sent e-mails through the
 9 CRM system? Was there a central administration account for
 10 Vertex or Bechtel or DEI?
 11 A Sent e-mails through the CRM system, no. The
 12 CRM system, as far as I know, is just put in the names and
 13 addresses or names, maybe addresses, and names and
 14 addresses, amounts, so on and so forth.
 15 Q Okay.
 16 A But I don't remember the CRM being used to send
 17 e-mails.
 18 Q Okay. Did you send e-mails to investors?
 19 A Yes.
 20 Q How did you do that? Through your personal
 21 account?
 22 A Through my rich@capital360.
 23 Q Did you ever have an account with Vertex
 24 through Vertex's website?
 25 A I don't ever recall, ever remember having an

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1 e-mail with any of them, any of these entities.
 2 Q Okay. Did anyone ever provide you with sample
 3 e-mails to send to investors?
 4 A I don't recall sample e-mails. Actually, just
 5 to refine -- I'm not going to refine your question, but when
 6 you say sample e-mails, I mean, for instance, this here is
 7 contacting people whether it be e-mail, phone, whatever and
 8 give them the new information or this one about the new bank
 9 information. So can you repeat that again, the question?
 10 Q Yeah, let me try phrasing it a different way.
 11 Did anyone ever give you the content and say, here's an
 12 e-mail that you should send to investors or provide sort of,
 13 you know, a pre-scripted form for you to send the investors?
 14 A It's possible. I don't recall 100 percent.
 15 It's distinctly possible.
 16 Q The subject of the e-mail in Exhibit 354 is
 17 countdown for Vertex. Do you remember there being a
 18 countdown for Vertex?
 19 A No. Actually, this is kind of -- I don't
 20 remember this e-mail at all.
 21 Q The e-mail states: "I highly suggest you take
 22 a little time to contact all of your clients and convey to
 23 them that Vertex has been sold and the new owners are
 24 moving the company out of California and will not be selling
 25 any more of their Global Energy shares." Do you recall

1 do with that cash he's going to do. No, it doesn't matter
 2 actually. It did not matter.
 3 MS. BRADY: Okay. So you're not distinguishing
 4 between Dave Welch and the issuer in terms of working
 5 capital for the issuer.
 6 THE WITNESS: Whatever Dave deemed he needed,
 7 he kept. Whatever he felt needed to go to the business, he
 8 put in the business. It was his call 100 percent whether he
 9 needed that month extra cash, maybe zero went into the
 10 business. Maybe the balance went into the business. I
 11 don't know, but I do know for a fact he didn't do this to
 12 not make money. He did this for money.
 13 MS. BRADY: Right. So in terms of this being
 14 kind of a capital raising venture, this is really raising
 15 money for Dave Welch.
 16 THE WITNESS: Kind of. Kind of. On paper,
 17 it's raising money for the business. Behind the scenes, I
 18 think the main beneficiary is Dave Welch, no question about
 19 it. That's in my humble opinion. Actually that's a little
 20 bit more than an opinion. It's pretty well-known, not just
 21 by me. I just happened to be there from the very beginning
 22 of two of his situations.
 23 Q Did you have any understanding that you needed
 24 to be associated with a registered broker-dealer to be
 25 selling stock?

1 A Repeat that again.
 2 Q Did you have any understanding that you needed
 3 to be associated with a registered broker-dealer to be
 4 selling stock?
 5 A At this point, no.
 6 Q What do you mean at this point no?
 7 A I don't know exactly when it came into effect,
 8 and I'm not going to get into the legalities of it because I
 9 don't know the legalities of it, but sometime during this
 10 time frame from when we started, the JOBS Act came out and
 11 they were also using that as well you can solicit accredited
 12 investors with HR 29 HRC 2930, whatever the hell the statute
 13 is whatever, and I did not go retain an attorney or get
 14 advice from counsel on do I need to do this, this, and this
 15 to do this before I do this type of thing. I never did
 16 that, so I guess the answer is no.
 17 Q Did you have any discussions with anyone about
 18 whether you needed a license or to be associated with a
 19 registered broker-dealer to sell stock?
 20 A No.
 21 MS. MOESSNER: I'm marking as Exhibit 371 a
 22 document Bates stamped SEC Kadish RE 477, which is an e-mail
 23 from rich@capital360.com to [REDACTED]@yahoo.com.
 24 (Exhibit 371 was marked for identification.)
 25 MR. KOGAN: Sorry, Jackie. It's 5:01. How we

1 doing?
 2 MS. MOESSNER: Okay.
 3 Q Mr. Kadish, is Exhibit 371 an e-mail that you
 4 sent to Mr. Welch?
 5 A Yeah, sure looks that way.
 6 Q Were you trying to get back into selling shares
 7 with Mr. -- working with Mr. Welch?
 8 A No. Sure made him think that way, but no. I was
 9 trying to get my money back from this jerkoff, that's what I
 10 was trying to do.
 11 MS. MOESSNER: We will go off the record now
 12 because you need to leave, Mr. Kogan, but I will note for
 13 the record that I have more questions that I would ask if I
 14 had more time.
 15 THE WITNESS: I have no problem getting back
 16 together so.
 17 MR. KOGAN: At a time that she and I will
 18 coordinate.
 19 MS. MOESSNER: We will discuss. We can go off
 20 the record today.
 21 VIDEOGRAPHER: We are going off the record.
 22 The time is 5:03 p.m.
 23 (Whereupon, at 5:03 p.m., the examination was
 24 concluded.)
 25 *****

1 PROOFREADER'S CERTIFICATE
 2
 3 In the Matter of: NEW GLOBAL ENERGY, INC.
 4 Witness: Richard Kadish
 5 File Number: D-03480-A
 6 Date: October 20, 2016
 7 Location: New York, NY
 8
 9 This is to certify that I, Christine Boyce,
 10 (the undersigned), do hereby swear and affirm
 11 that the attached proceedings before the U.S.
 12 Securities and Exchange Commission were held according
 13 to the record and that this is the original, complete,
 14 true and accurate transcript that has been compared
 15 to the reporting or recording accomplished at the
 16 hearing.
 17
 18 _____
 19 (Proofreader's Name) (Date)
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BEFORE THE
STATE OF WISCONSIN
DEPARTMENT OF FINANCIAL INSTITUTIONS
DIVISION OF SECURITIES

In the Matter of
VERTEX INTERNATIONAL GROUP, LLC,

CEASE & DESIST ORDER
(SUMMARY)

Respondent.

File No. S-227825(EX)

Based upon the attached Petition for Order, I have reason to believe that any further offer or sale of unregistered securities by or on behalf of the Respondent would be fraudulent to purchasers, and I find that this action is necessary and appropriate in the public interest and for the protection of investors;

Therefore, pursuant to Wis. Stat. § 551.604(2),

IT IS ORDERED THAT:

- a. Vertex International Group, LLC, its agents, servants, employees, and every entity and person directly or indirectly controlled or organized by or on its behalf, shall cease and desist from making or causing to be made to any person or entity in Wisconsin any further offers or sales of securities unless and until such securities qualify as covered securities or are registered under Wis. Stats. Ch. 551, or successor statute.
- b. All exemptions from registration set forth at Wis. Stats. Ch. 551, or successor statute, that might otherwise apply to any offer or sale of any security of or by Vertex International Group, LLC, its successors, affiliates, controlling persons, and every entity and person directly or indirectly controlled or organized by or on its behalf, are hereby revoked.
- c. Vertex International Group, LLC, its successors, affiliates, controlling persons, officers, agents, servants, employees, and every entity and person directly or indirectly controlled or hereafter organized by or on its behalf, are prohibited from employing an agent to represent them in Wisconsin unless the agent is registered under Wis. Stats. Ch. 551, or successor statute, or excepted from the registration requirement.
- d. Vertex International Group, LLC, its successors, affiliates, controlling persons, officers, agents, servants, employees, and every entity and person directly or indirectly controlled or hereafter organized by or on its behalf, are prohibited from transacting business as a broker-dealer in Wisconsin unless registered under Wis. Stats. Ch. 551, or successor statute, or excepted from the registration requirement.

EXECUTED at Madison, Wisconsin, this 4th day of Sept, 2013.

(SEAL)



Patricia D. Struck
Administrator
Division of Securities

NOTICE:

Under Wis. Stat. § 551.604(2), this Order is effective on the date issued. Any person subject to the Order may request a hearing in the form of a written petition for hearing as provided in Wis. Admin. Code § DFI-Sec. 8.01. If no hearing is requested within 30 days of service, this Order will become final by operation of law. Further, any willful violation of an Order issued under Wis. Stats. Ch. 551 is a criminal offense punishable under Wis. Stat. § 551.508.

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**STATE OF CALIFORNIA
BUSINESS, CONSUMER SERVICES AND HOUSING AGENCY
DEPARTMENT OF BUSINESS OVERSIGHT**

TO: Marc Jay Bryant (A/K/A Marc Jay Welch)
[REDACTED], California [REDACTED]

Vertex International Group, LLC
6303 Owensmouth Ave., Floor 10
Woodland Hills, California 91367
www.verrtex.com

Bechtel Advisory Group, Inc.
15260 Ventura Blvd., Suite 1200
Sherman Oaks, California 91403
www.bechtelgroup.net

DESIST AND REFRAIN ORDER

(For violations of sections 25210 and 25401 of the Corporations Code)

The California Commissioner of Business Oversight finds that:

1. At all relevant times herein, Marc Jay Bryant (A/K/A Marc Jay Welch) (“Bryant”) is an individual who works in the Los Angeles, California area.

2. At all relevant times herein, Vertex International Group, LLC (“Vertex”), is an active status Wyoming limited liability corporation with a principal office address at [REDACTED] [REDACTED] California [REDACTED]. Bryant is the managing member of Vertex and is a “control” person of Vertex within the meaning of Corporations Code section 160. Vertex maintained a website at www.verrtex.com.

3. At all relevant times herein, Bechtel Advisory Group, Inc. (“Bechtel”), is an active status Wyoming corporation with an address at 9250 Reseda Blvd, #224, Northridge, California 91324. Bryant is the President of Bechtel and is a “control” person of Bechtel within the meaning of Corporations Code section 160. Bechtel maintained a website at www.bechtelgroup.net.

4. Since at least 2012, Bryant has run a boiler room operation from the San Fernando Valley area of Los Angeles, California. Bryant targets elderly victims in his scheme.

1 5. Bryant offers and sells securities of companies in the alternative energy and food
2 industries, such as Global Energy Technology Group (“Global Energy”), a penny stock touted by
3 Bryant as a leader in fish farming.

4 6. Bryant recruits sales persons for his boiler room on online job boards, including
5 Craigslist. For example, on Craigslist in August 2014, Bryant sought “heavy weight closers” with
6 previous experience successfully selling securities. Bryant claimed that sales representatives
7 working for him could make up to thirty thousand a month selling apparent farmland investments
8 over the phone to people named in lead lists.

9 7. As part of the scheme, Bryant set up a number of shell companies through which
10 money from investors would be funneled, including without limitation, the Vertex and Bechtel
11 corporations. Bryant rented virtual office spaces in the name of his shell companies in order to
12 obtain a physical address that would add legitimacy to the scheme and that would serve as a
13 difficult-to-trace address to which his investors would mail their checks. Bryant also set up a
14 number of bank accounts for the shell companies on which Bryant was the sole signatory. Bryant
15 deposited his investor’s funds into the accounts of his shell companies.

16 8. In the winter of 2012, Bryant, through Vertex, entered into a stock purchase
17 agreement with at least two members of the general public through which the purchasers acquired
18 shares of Global Energy. Payments were sent to a physical mailing address in California of a
19 virtual office space paid for by Bryant and were deposited in Bryant’s shell company bank account.

20 9. In the spring of 2013, Bryant, through Bechtel, entered into a stock purchase
21 agreement with a member of the general public through which the person exchanged \$50,000.00 for
22 250,000 shares of Global Energy. Payment was sent to a physical mailing address of a virtual
23 office space paid for by Bryant and was deposited in Bryant’s shell company bank account.

24 10. Bryant, through his shell companies, took in at least \$100,000.00 from investors.

25 11. The stock purchase agreements sold by Bryant, Vertex and Bechtel constitute
26 securities under Corporations Code section 25019.

27 12. Bryant, Vertex and Bechtel are not licensed by the State of California, or any other
28 similar licensing entity, to act as a broker-dealer or as an investment adviser in this state.

1 13. On September 4, 2013, the Wisconsin Department of Financial Institutions, Division
2 of Securities, issued a Cease and Desist Order (“2013 Order”) against Vertex after finding that
3 Vertex violated Wisconsin state law by fraudulently offering Global Energy shares that had not
4 been registered. The 2013 Order also found that Vertex had also violated Wisconsin law by
5 transacting business as a broker-dealer without registration. The 2013 Order is a final order.

6 14. In connection with the offer and sale of securities, Bryant, Vertex and Bechtel made,
7 or caused to be made, misrepresentations of material fact or omitted to state material facts necessary
8 in order to make the statements made, in the light of the circumstances under which they were
9 made, not misleading, in violation of sections 25401 of the Corporations Code. These
10 misrepresentations and omissions included, but are not limited to the following:

11 a. Claiming that Global Energy shares would shortly “roll over” into shares of a
12 publicly-traded company on a 3-to-1 basis;

13 b. Claiming that investors would earn a return of at least 4 to 5 times their
14 initial investment; and

15 c. Failing to disclose that the Global Energy shares acquired by the investors
16 were actually restricted shares that were not freely transferable and could not be sold for an
17 undetermined period of time, if ever.

18 Based on the foregoing findings, the California Commissioner of Business Oversight is of
19 the opinion that Marc Jay Bryant (A/K/A Marc Jay Welch), Vertex International Group, LLC, and
20 Bechtel Advisory Group, Inc. engaged in the business of effecting transactions in securities in this
21 state for the account of others and effected a transaction in, or induced or attempted to induce the
22 purchase or sale of, a security in this state without authorization of the commissioner, in violation of
23 section 25210 of the Corporations Code.

24 Pursuant to section 25532 of the Corporations Code, Marc Jay Bryant (A/K/A Marc Jay
25 Welch), Vertex International Group, LLC, and Bechtel Advisory Group, Inc. are hereby ordered to
26 desist and refrain from acting as a broker-dealer or engaging in broker-dealer activities in this state
27 without first obtaining the commissioner’s authorization.

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Based on the foregoing findings, the California Commissioner of Business Oversight is of the opinion that the securities offered and sold by Marc Jay Bryant (A/K/A Marc Jay Welch), Vertex International Group, LLC, and Bechtel Advisory Group, Inc. were offered and sold in this state by means of written or oral communications that included untrue statements of material fact or omitted to state material facts necessary in order to make the statements made, in light of the circumstances under which they were made, not misleading, in violation of section 25401 of the Corporations Code.

Pursuant to section 25532 of the Corporations Code, Marc Jay Bryant (A/K/A Marc Jay Welch), Vertex International Group, LLC, and Bechtel Advisory Group, Inc. are hereby ordered to desist and refrain from offering or selling any security in the State of California, including, but not limited to, stock purchase agreements by means of written or oral communication which includes an untrue statement of a material fact or omits to state a material fact necessary in order to make the statements made, in light of the circumstances under which they were made, not misleading.

This Order is necessary, in the public interest, for the protection of investors and consistent with the purposes, policies, and provisions of the Corporate Securities Law of 1968.

Dated: January 8, 2016
Sacramento, California

JAN LYNN OWEN
Commissioner of Business Oversight

By _____
MARY ANN SMITH
Deputy Commissioner
Enforcement Division

To: rich@capital360.com[rich@capital360.com]
Cc: peter@dmediallc.com[peter@dmediallc.com]
From: admin
Sent: Mon 3/4/2013 8:52:28 PM
Importance: Normal
Subject: countdown for Vertex

Hey All-

Just a reminder that this Friday (3/8/13) will be the *last day* the Woodland Hills Vertex office will be accepting mail or fedex, and the last time the phones will be answered.. I highly suggest you take a little time to contact **all** of your clients and convey to them that Vertex has been sold and the new owners are *moving the company out of California and will not be selling any more of their Global Energy shares*.. Tell them you wanted to give them a heads up that they can still reach you at **your** direct number and they can also always call the Global Energy contact number (on the GETG website) for any questions if they can't reach you.... (and remind them that they didn't buy Vertex stock, but rather Global Energy stock, if they seem overly concerned).

You don't have to necessarily tell them about Bechtel **just yet** (unless they are ready to purchase more shares **right now**).. It might be better to wait until we are able to offer **New Global** shares to bring up Bechtel as a new entity. It's your call on how to play that, but do try to reach everyone so they don't get nervous when they can't reach Vertex.

Call us with any questions, or if you (or anyone you work with) needs to get set up in the CRM system with the Bechtel Group.

