

November 20, 2018

. 20 2018 OFFICE OF THE SECRETARY

VIA MESSENGER

Brent J. Fields
Secretary
Securities and Exchange Commission
100 F Street, N.E.
Room 10915
Washington, D.C. 20549-1090

Re: In the Matter of the Application of Gregory Acosta

Administrative Proceeding No. 3-18637

Dear Mr. Fields:

On November 16, 2018, FINRA filed its Brief on Jurisdiction in the above-captioned matter. FINRA inadvertently failed to attach to its brief the Declaration of Glynnis Kirchmeier. Please find enclosed Ms. Kirchmeier's declaration.

Please contact me at (202) 728-8177 if you have any questions.

Very truly yours,

Michael M. Smith

cc: Richard D'Amura, Esq.

Brennan Love

BEFORE THE

SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C.

In the Matter of the Application for Review of

Gregory Acosta

Administrative Proceeding File No. 3-18637

DECLARATION OF GLYNNIS KIRCHMEIER

I, GLYNNIS KIRCHMEIER, declare:

- 1. I am a paralegal in FINRA's Member Supervision-Shared Services department ("Shared Services"). I have personal knowledge of the matters set forth in this declaration.
- 2. Shared Services receives Membership Continuance applications submitted by FINRA member firms seeking to become associated with, or continue to associate with, statutorily disqualified persons. In the ordinary course of its business, Shared Services maintains records of the Membership Continuance applications it receives.
- 3. The table below shows, on a year-by-year basis, the number of Membership

 Continuance applications that Shared Services received between January 1, 2015, and November
 6, 2018.

<u>Year</u>	Applications Received
2015	31
2016	33
2017	28
2018 (through Nov. 6)	14
Total	106

These numbers reflect only those Membership Continuance applications submitted on behalf of statutorily disqualified individuals (i.e., MC-400s). They do not include Membership Continuance applications submitted by statutorily disqualified firms (i.e., MC-400As).

I declare under penalty of perjury that the foregoing is true and correct. Executed on

November 12, 2018, in Washington, D.C.

Glynnis Kirchmeier