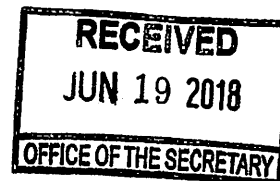


Mike Nanto

Rapid City, SD

4098



6/13/18

Office of the Secretary
U.S Securities and Exchange Commission
100 F Street, NE
Mail Stop 1090
Washington, DC 20549

3-18553

RE: FINRA Matter No. 20170543420-01

To Whom it May concern,

I wish to file an appeal regarding my bar under FINRA Rule 9552.

FINRA has barred me from association and I am requesting this Office to look into the matter. I do not believe FINRA has acted fairly or in accordance with their own rules.

The original complaint I received from Ms. Zetwick-Skryzhynskyy included information from a Mr. Blades, referencing an investigation for another person. This paper work was addressed to me and delivered to my address in [REDACTED] and after a conversation with Ms. Zetwick-Skryzhynskyy and further emails, I was instructed to shred it and get back to her. She immediately called me, and promptly emailed me to confirm that they had been shredded.

My questions and responses to FINRA have not been met with the same level of urgency or response, FINRA's staff knew I was no longer located at [REDACTED] and indicated the same via email. In response to a complaint to the Ombudsman's office. "Additionally, I understand that the Office of the Ombudsman previously explained to you that FINRA will continue sending all written correspondence to the [REDACTED], California address until you remove that address from your CRD record. Because it is still currently listed as one of your two residential addresses in CRD, we will be sending the bar letter to the [REDACTED], California address in addition to the Rapid City, [REDACTED] address." - Email from Jessica Zetwick-Skryzhynskyy. Ms. Zetwick-Skryzhynskyy did mail letters to addresses that were not active in CRD, and that they knew I was no longer at, she referenced an address in Florida repeatedly but as I indicated I have never been to Florida. Certain documents I only received via email even though they referenced being sent via Certified Mail.

FINRA was informed via certified mail of all outstanding requests (5,6,7,11,12,13, and 14) on 3/28/18. On 5/23 I emailed: Maria Delgado, Jessica Zetwick-Skryzhynskyy, and Olha Kolisnyk clarifying requests 5, 6, and 16, her letter indicated I had a Florida address as well as statements that I corrected or responded to. In her April 23rd letter she indicated that her staff did not receive copies of the text messages, it was also on this letter that I noticed that FINRA would only accept text messages in a native format. I advised FINRA that I would contact the company that originally pulled them for me and if she could identify what the native format was. On 5/24 Jessica indicated that there were missing statements but on 3/28 I provided Mr. Kolisnyk with dates and account numbers of my accounts. FINRA has been selective in

their responses, vague in direction or guidance, and has repeatedly erred in the handling of my investigation. To date I still don't know what statements they are missing, and numerous questions I have posed to FINRA have been largely ignored.

R/S

Mike Nanto

A handwritten signature in black ink, appearing to read "Mike Nanto". The signature is written in a cursive, somewhat stylized font.

CC

Alan Lawhead, Esq.
Office of General Counsel
FINRA
1735 K Street, NW
Washington, DC 20006

Mike
[REDACTED]
RAPID CITY SD [REDACTED]

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