

HARD COPY

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

In the Matter of the Application of :
 :
Windsor Street Capital, L.P. :
(f/k/a Meyers Associates, L.P.) :
 :
For Review of Action Taken by :
 :
FINRA SD-2172 :




3-18494

APPLICATION OF WINDSOR STREET CAPITAL, L.P. FOR REVIEW OF DECISION OF THE FINRA NATIONAL ADJUDICATORY COUNCIL

Pursuant to Rule 420 of the Commission’s Rules of Practice and Section 19(d)(1) of the Securities Exchange Act of 1934, 15 U.S.C. 78s(d)(1) (the “Exchange Act”), Applicant Windsor Street Capital, L.P. (the “Firm” or “Windsor”) hereby submits this application for review of the FINRA National Adjudicatory Council Decision of May 14, 2018 (the “NAC Decision”) In the Matter of the Continued Membership of Windsor Street Capital, L.P. denying Windsor’s Membership Continuance Application (“MC-400A” or “the Application”) and terminating its FINRA membership.

Windsor intends to base its appeal on the ground that forcing immediate statutory disqualification of the Firm rather than permitting an orderly winding down of the Firm’s affairs and submittal of a Form BDW is contrary to public policy and FINRA’s ideals of consumer protection. The basis for the appeal is more fully set forth in a Motion to Stay the FINRA NAC Decision which is being filed with the Commission simultaneously this this Application and is incorporated by reference herein.

May 16, 2018

Respectfully Submitted,
WINDSOR STREET CAPITAL, L.P.
By: 
Robert I. Rabinowitz, Esq.
Becker & Poliakoff, LLP
331 Newman Springs Road, Suite 225
Red Bank, New Jersey 07701
(732) 842-1662-Tel / (732) 842-9047-Fax
rrabinowitz@beckerlawyers.com