

**UNITED STATES OF AMERICA
BEFORE THE
SECURITIES AND EXCHANGE COMMISSION**

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3-1843 Z

In the Matter of the Application of

Gabriel Block
As a General Securities Representative,
Registered with
First Standard Financial Company, LLC

**APPLICATION FOR REVIEW
OF FINRA NAC DECISION IN
SD-2137**

APPLICATION FOR REVIEW OF FINRA NAC DECISION

Respondents Gabriel Block (“Block”) and First Standard Financial Company, LLC (“FSFC”) (collectively referred to as “Applicants”) hereby submit this Application for Review by the United States Securities & Exchange Commission (“SEC”) of the decision of the National Adjudicatory Council (“NAC”) dated March 13, 2018. (“Decision”) that denied Block’s continuation in membership as an associated person of FSFC.¹ The FINRA case is styled “SD-2137: In the Matter of the Association of Gabriel Block with First Standard Financial Company, LLC.”

Pursuant to Section 19(d) (1) of the Exchange Act of 1934, 15 U.S.C. §78s (d) (1), this Application for review is timely filed.

The NAC made conclusions of fact that are contrary to the record and evidence, are unsupported by evidence and are contrary to the weight of the evidence. Additionally, the NAC’s findings are contrary to the law and FINRA’s own rules, regulations, case precedents and the plain language of applicable statutes. Finally, the NAC abused its authority and discretion by making

¹ 15. U.S.C. §78 s (d) (2).

unfair and arbitrary findings and conclusions constituting a bar against association by Block that was unsupported by facts and the law. The basis and reasons for reversal of the NAC's decision will become apparent on the basis of review of the record on appeal.

Pursuant to rule 450 (a) of the Commission's Rules of Practice, there are many issues that require additional exploration and analysis so that Block may continue in his professional career. Appellant's strongly believe that supplemental briefing is required in order to assist the Commission with its decisional process of this appeal, and respectfully request that the Commission grant appellant's the opportunity to fully brief the material issues of this appeal.

Oral argument before the commission is requested.

Respectfully submitted,



Dated: April 3, 2018

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