

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

In the Matter of
JOSHUA D. MOSSHART,
Respondent.

Administrative Law Judge
Carol Fox Foelak

Administrative Law Judge Carol Fox Foelak

I would like to request ending this case on grounds of Gary Y. Leung's gross misrepresentation of the facts.

I was in constant contact with LPL (paper trail) in regards to Enviro Board that is why I had a history of an outside business approval. When LPL rejected my second request for the Board of Directors is when I decided to resign from LPL because I was taking on a greater role in the company.

I am fully aware of "selling away", I am also aware of solicitations (selling away) and non-solicitations not "selling away".

When I decided to transition out of LPL I made it know to all of my clients at LPL over 100 households at the time with over \$60m under management that I was leaving. Less than 5 of my clients form LPL inquired about an investment opportunity in Enviro Board at that time. This is around 5% of my book of business. In which I referred them and disclosed to them and LPL at the time it was an outside referral business transaction.

When I solicit an investment on average 80% of my clients would place money into those transactions. I could of early raised 5 times the money if I actively solicited through LPL.

As I told LPL and FINRA and the SEC, my OSJ had no issues with me referring these investors to Enviro Board if it was a non-solicitation and I didn't negotiate terms and conditions, signed contracts, had custody of funds, the securities are exempt under the law and it was voluntary by the client.

My understanding was the investment into Enviro Board was a tax credit backed loan in which I am not qualified to represent. Business loans are not my area of expertise. Tina Brodie made the loan and got month dividends and when they defaulted they settled with her. Tina has no issue with me that's why she didn't include me in her lawsuit and sued Enviro Board and Bill Peifer and Glenn Camp personally. I actually advised her to get legal representation and sue them and I did the same thing and settled with them. They are required to pay everyone back and any fines I am charged with plus my back compensation because their failure with compliance. If I broke any laws they would not be required to pay any of my fines or back compensation it's in my employment agreement because me breaking any law would be a breach of contract.

Everyone should ask themselves why??

I had a Fiduciary responsibility to my friends and family/clients at LPL to disclose the investment was an outside business investment outside LPL and not approved by LPL but had no issue with an arms length referral.

I disclosed to all my clients to talk to their CPA and Banker Tina Brodie can testify this before they make any investment decisions. Banker because of the nature of the loan and CPA because it was supposedly backed ny tax credits.

I also made sure everyone had a business plan and read the risk disclosures because education and disclosure is very important and I can see how that might be interpreted as selling away.

The other 15 people I referred to Enviro Board over a 3 year period when I met thousands of people at trade shows, United Nations events etc.. and the fact Enviro Board was on the History Channel and won a \$500,000 grant from the California Air Resource Board their was much interest to invest in the company.

Enviro Board was around 15 years before I was involved and represented they raise \$15m to that time independently. They had impressive facilities, original machine prototypes and Enviro Boards and build a model home. They represented and showed me third party company letterhead they had millions of dollars in machine sales and tax credits.

EnviroBoard had no past SEC fines or issues for filling private securities transactions over that time.

I would never say I was paid \$501,056 in commission when I had an employment agreement with Enviro Board in which received \$10,000 per month in salary in which I did over the plus or minus the three year period with Enviro Board. This would account for over 2/3 rd's of the money earned.

I also invested a significant amount of the money to cover my expenses in Enviro Board reinvesting my earnings.

The additional monies was earned on bonuses and fees for all my work not specific to any one transaction and my understanding was it was compliant (Troy and Gould, Bill Peiffer and Glen Camp representation). Enviro Board represented they had exempt securities and properly registered the investments.

I also consulted Troy and Gould in Century City if there is an issue with compensation after I left LPL and they said no if it is not a solicitation and was arms length and unsolicited. I requested this meeting because as I was telling my clients I was leaving and they wondered where and why and less than a handful asked about an investment opportunity.

My employment agreement covered salary, machine sales, fees and commissions. I did not believe that any of the activities wouldn't be not compliant under the law to receive any of these forms of compensation. Most businessmen and woman I'm sure would include all of these provisions to cover compensation for roles and responsibilities.

Being under capitalized is probably the number one reason why most businesses fail. Why would I not refer an investor that requested information from a trade show or event for an investment in Enviro Board and not refer them especially if it was represented to me Enviro Board was compliant under the law and they needed the funds to cover operational expenses?

I also didn't receive income on a 1099 or W2 over this time period because I took a loan/draw from Enviro Board through the transition for the total amount of compensation.

I have been with LPL for years and I have had 1000's of solicitations to sell away every week and I have never been tempted. All of this confusion occurred during my transition out of the industry. In addition much confusion around the compensation and timing. Bill Peiffer and Glen Camp told me they needed a fall guy a threaded to throw me under the bus because I was licensed and this is whats happening.

I know for a fact everyone receive the proper risk disclosures because I was an investor and I was one of the largest shareholders. I also invested my [REDACTED] into Enviro Board.

LPL approved my outside business activities not soliciting securities. I was advised by my compliance there was no need for compliance approval because of the fact I resigned and my clients asked me if there was an opportunity and I made an arms length referral.

Here is an Example of letting my client know I'm leaving. This occurred with about five clients with LPL.

Me; Hello, Mr. Client I am calling to let you know I will no longer be your advisor and I am leaving LPL.

Client; Where are you going?

Me: I am going to work in sales with an innovative housing technology to bring affordable housing to the less fortunate.

Client: sounds amazing, is there an investment opportunity.

Me: I'm not at liberty to solicit any outside business unless its LPL compliance approved.

Client, Would I be able to reach out to them directly and inquire?

Me, Sure here is the website address contact either Glen Camp or Bill Pieffer.

Client, Thanks I wish you a lot of luck on your new venture.

Thank you

This is why I have no written complaints or lawsuits. I have been compensated for over 20 years selling compliant securities. If lpl compliance says the securities are compliant I have no reason to believe they aren't.

If Enviro Board and Troy and Gould Securities attorney tell me the securities are exempt I have no reason to believe they aren't. Bill Peiffer was the tax attorney and Glen Camp where responsible for negotiating terms, conditions, handling monies, drafting documents and compliance.

From my understanding a company that has a PPM and proper risk disclosures and represented their securities are compliant with the law there would be no issue with compensation otherwise my practices have been non compliant for 20 years.

I have build my entire business on education workshops teaching the community and my clients about risk and responsible money management. This is why I dedicated myself to education and all of my professional achievements with designations.

Everyone I referred understood the risks because it was given to everyone in writing and received a business plan. In three years of active solicitation and having the size book of business and the fact I did workshops every quarter gathering millions in assets over 20 years.

It would be a lot more obvious than 5% of my book of business as I am leaving the industry and only 15 investors over a 3 year period. I had on average 100 investors at everyone of my events when I was an advisor raising millions every three months at my seminars.

I believed in Enviro Board with all my heart that we could change the world with affordable housing. I could of easily raised \$50m and brought in 100 investors over three years with the Board of Directors I recruited. Captains of industry, Rock Stars etc.

The reality is I didn't. I am deeply saddened by the mismanagement of Enviro Board and sacrificing my reputation and integrity on trying to make a difference in the world.

Google my name third partied have taken the posting from the SEC and are associating my name with fraud all over the internet. This is the worst sentence I could ever receive and would never wish on anybody.

I do not break the law, I am trust worthy and loved and respected in my community. There is a lot of confusion and I am requesting to stop the bleeding. I have made amends to all my friends family and investors and they have been very supportive.

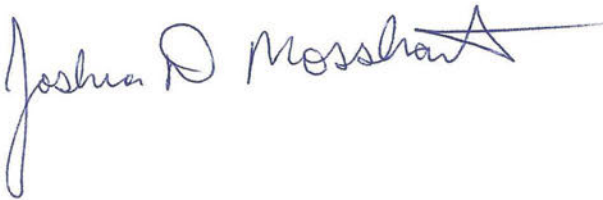
Gary Y. Leung doesn't seem to understand my statement about selling away because it doesn't happen with an un-solicitation. Last I checked the law doesn't say selling away is an unsolicitation and an arms length referral where no terms and conditions are discussed and they are referred to a third party.

Why would I jeopardize a six figure income each year for over 20 years when Im a father of 4 and my wife is a nurse and jeopardize their security. There is way to much misrepresentation and opinions on what happened and its been a knife in my heart or over 5 years. Please stop, I can never practice again because I voluntarily gave up my licenses to FINRA as a settlement to the claims.

I request the defamation of character and the borderline harassment to stop from Gary Y. Leung.

Please resolve this misunderstanding and suffering..

Joshua D. Mosshart

A handwritten signature in cursive script that reads "Joshua D. Mosshart". The signature is written in dark ink and includes a long horizontal stroke at the end.