

UNITED STATES OF AMERICA before the SECURITIES AND EXCHANGE COMMISSION

In The Matter of:

The Application of SECURITIES INDUSTRY AND FINANCIAL MARKETS ASSOCIATION

and

The Application BLOOMBERG L.P.

Admin. Proc. File Nos. 3-18314; 3-18316

JOINT SUBMISSION OF BLOOMBERG AND SIFMA

Pursuant to the Commission's July 31 order granting motion for stay, consolidating proceedings, and scheduling briefs, Bloomberg and SIFMA hereby make this submission in response to the Commission's order that the parties file opening briefs on August 30. In light of the amendment dated August 24, 2018 that CTA submitted to the Commission and served on Bloomberg and SIFMA, which rescinded the challenged Nov. 2017 amendments, and in light of communications between the parties' counsel regarding that amendment, Bloomberg and SIFMA agree with CTA that no further proceedings are necessary in this matter. Should the Commission require anything further regarding these proceedings or the issues raised therein, Bloomberg and SIFMA are prepared to respond promptly.

Dated: August 30, 2018

Respectfully submitted,

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Counsel for Bloomberg L.P. and SIFMA

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CERTIFICATE OF SERVICE

I hereby certify that on August 30, 2018, I caused a copy of the foregoing Joint Submission to be served by on the parties listed below.

Brent J. Fields
Secretary
U.S. Securities & Exchange Commission
100 F Street, N.E.
Washington, DC 20549

(by hand delivery)

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