FROM:

TO: Wade-Lawyer, Alexa

SUBJECT: SEC Letter cc. Congress, Sen. Blumenthal, Sen. Gil

DATE: 11/06/2017 02:48:07 PM

Hon Cameron Elliot Mr/Ms. Brent Fields. Secretary Securities and Exchange Commission NY Regional Office 200 Vessey Street Suite 200 New York, NY 10281

Via Certified Mail

Re: Harris, T. File No. 3-178-74 and 3-178-75

Dear Hon, Elliot,

My name is Talman Harris. I want to notify the commission that I received a certified mail on November 3rd 2017, at 11.30 a.m., regarding "Initial Decision" of a Bar.

Pursuant to a an scheduling order of which I could not partake on June 21, 2017, and a supposed civil charge, for which I was never served, I hereby alert your office once again, (first by certified mail in June 2017) and through Unit Counselor at Canaan Camp, who advised by conference on June 21, 2017 that I could not participate as I did not have a lawyer, and that I was never served a subpoena or law suit from the SEC., and that I have received no notices except one piece of letter in April 2017 notifying me of information of Mr. Alfaya who I do not know. I am hereby certifying that I am not in agreement of any of the SEC charges. Nor am I quilty of any of the charges. I have pleaded not guilty in a parallel investigation and trial with the DoJ, and have been granted an appeal by the courts for which oral arguments are scheduled on Dec. 7.2017.

I maintain, that I have not been formally served by the SEC. I was not a part of any court hearing with the SEC. I have never spoken to Mr. Enright of the SEC. I have nothing to plead not guilty to as I am unaware of the charges. And that the above captioned case which is sighted in the certified letter I received, I hereby plead not quilty. I have no way of defending myself against the proposed accusations which are purported to have occurred 10 years ago cited in the Bar Letter youroffice sent to me - except that I disagree and do not concur with any of the items listed.

As you may know, I was the CEO of a minority owned brokerage firm of Cambridge Alliance Capital, where I employed and maintained a spoteless brokerage record for nearly 2 decades. I maintain my innocence and would like the opportunity to properly defend myself against all allegations of which I am accused during the worst financial crisis of my generation in 2008.

Please advise, by certified mail. This is the only way that I receive mail at the camp. I am not represented by attorney. Please advise.

I have not received a fait and proper or constitutional due process of the laws of the US. Furthermore Mr. Enright has deceived the courts in his filing, and I have not been afforded the apportunity to defend myeself.

Sincerely.

T. Harris

Cc. Senator Blumenthal Senator P. Ryan

Nancy Pelosi Chuck Schumer.

U.S. DEPARTMENT OF JUSTICE

FEDERAL BUREAU OF PRISONS

200 Vesey Street	FROM: (Institution) USP Canaan PO Box 400 Waymart, PA 18472	
INMATE'S NAME:	REGISTER NUMBER:	DATE:
HARRIS, TALMAN		6-27-2017

Check all that apply:

Material Rejected and Returned		
Your c	correspondence has been examined and:	
	You enclosed stamps or stamped items that cannot be given to the inmate.	
	You enclosed a negotiable instrument. Negotiable instruments are to be forwarded to the National Lockbox at the following address:	
	[Inmate Name]	
.	[Inmate Register Number]	
	Des Moines, Iowa	
You enclosed the following unauthorized material:		
	Stationary/Blank Greeting Cards	
	Plant Shavings	
	Sexually Explicit Personal Photos	
	Other (specify below)	
The following material cannot be inspected without damage:		
	Electronic Musical Greeting Card	
	Padded Card	
	Double Faced Polaroid Photos	
	Other (specify below)	
Your correspondence or letter has, however, been provided to the inmate with a copy of this notice.		

Package Refused and Returned		
	tents of your correspondence have NOT amined, however it is being returned to ause:	
✓	The inmate has failed to obtain an authorized BP-331, Authorization to Receive Package or Property.	
	The package has not been properly marked "Authorized by Bureau Policy" ine accordance with Program Statement 5800.16, Mail Management Manual, ore fails to reasonably indicate thee package is authorized by Bureau policy.e	
	The inmate recipient could not bee identified due to missing, incorrect, or an illegible name and/or register number.e	

Specific Material Returned:

Returning ONE(1) packages weighing over one pound. The inmate has failed to obtain an authorized BP-331, Authorization to Receive Package or Property.

(Printed or Typed Name and Written Signature of Correctional Systems Officer)

C.eMAZZA, Correctional Systems Officere

Record Copy - Addressee (with material); Copy - Inmate; Copy - Mail Room File.

PDF Prescribed by P5800 Replaces BP-328.058 of APR 94