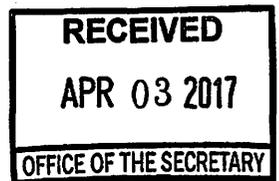


United States Of America
Before the
Securities & Exchange Commission
Washington, DC 20549

Administrative Proceedings Rulings
Release NO. 4697 / March 22, 2017



Administrative Proceeding
File NO. 3-17699

In the Matter of
Sherwin Neman &
Neman Financial

Answer to OIP, Received
on 3/22/17 at 12:55PM,
Request for a phone call to
talk about the particulars of the case.

I, Sherwin Neman, on behalf of myself &
Neman Financial come before Hon. Jason S. Patil
in this proceeding to deny any & all allegations.
The SEC uses the criminal case to maintain
that wrongdoings were done on my part but
even the Federal Judge in my criminal case
couldn't explain why the Public Defender put
on no defense. At first Judge Wright called
me crazy & ordered a mental evaluation, &
once the psychiatrist called me normal, at the
Feb. 23, 15 sentencing, which should have been

mental evaluation hearing & the Judge turned it into sentencing, the Judge simply answered; "Mr. Neman, you should have stood up & say something when you found out there was no defense." As I've said before & I will say it again, since it is the truth, the Public Defender worked with the prosecutor to put me in jail. Otherwise, why no defense? There was a witness waiting to come on the stand, John Eger, to refute what Whitsitt & Turner were saying. Why no defense? There were 2 jurors who cried while the guilty verdict was being read, which took the jury no more than 10-15 minutes to reach. When there is no defense, who does the jury listen to? Me or the US gov.? Those 2 jurors were crying, two women & they looked to be young Hispanic decent as I see their faces still with the napkins in their hands; but point is that they cried because they saw my poor [REDACTED] son who is now orphan, thanks to SEC & DOJ that fine companies for whatever they do but orphaned my innocent son!

The SEC can't even explain how come the contracts were written the way they were written, as Matteson asked me in a deposition; "how come this contract has your name signed?" or

"how come it looks like Jade wrote the contract"?
Something to the effect that even they couldn't understand how it was done & to respond, I was more concerned about doing the deals & getting the client's monies back to them. But I guess, the practice of SEC & DOJ is; to look at air-tight contracts written by big law-firms & meanwhile they find GS, WF, Bot A, etc. cheating their clients.... so business as usual, a check is written for pennies on the dollar of what the banks stole & back to move stealing! This is justice? Or, please explain how it is institutional when I tried to get rid of another gov. lawyer during my appeal TWICE for LYING TO ME & the court rejected it. I also ask for the Hon. Judge to look at a motion written in my criminal case around NOV. 2, 2014 or so to dismiss the case. It was written by me! Why was it denied w/ no explanation? If a public hearing is being done, then I hope that it is done with justice & truth!
I also request for another call to talk about these (above) situations I touched upon!

Sincerely,

Dated: 3/22/17


Shervin Neman