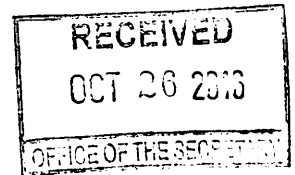


UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION



ADMINISTRATIVE PROCEEDING
File No. 3-17387

In the Matter of

**DONALD F. ("JAY") LATHEN, EDEN
ARC CAPITAL MANAGEMENT, LLC,
and EDEN ARC CAPITAL ADVISERS,
LLC,**

Respondents.

**DECLARATION OF ALEXANDER JANGHORBANI IN SUPPORT OF THE DIVISION
OF ENFORCEMENT'S MOTION FOR A FINDING OF PRIVILEGE WAIVER**

I, Alexander Janghorbani, pursuant to 28 U.S.C. § 1746, declare as follows:

1. I am Senior Trial Counsel in the Division of Enforcement (the "Division"). I submit this declaration in support of the Division's Motion for a Finding of Privilege Waiver, dated October 25, 2016. I am fully familiar with the facts and circumstances herein.

2. In 2015 and 2016, Respondents produced approximately over 150,000 documents in response to investigative subpoenas issued by the Division staff in an investigation titled In the Matter of Eden Arc Capital Management, LLC (NY-9197) (the "Investigation").

3. On March 8, 2016, Respondents then-counsel produced a 52-page privilege log, containing 3,033 entries dating from April 2009 through July 2005. Attached hereto as Exhibit A is a true and correct copy of the letter from Susan E. Brune to Judith Weinstock, dated March 8, 2016, discussing the production of the privilege log. Attached hereto as Exhibit B is a true and correct copy of the privilege log.

4. On March 8, 2016, Respondents also produced a list titled "Attorney List," showing the attorneys that Respondents claimed to have consulted. Attached hereto as Exhibit C is a true and correct copy of the "Attorney List."

5. Attached hereto as Exhibit D is a true and correct copy of an e-mail from Judith Weinstock to Harlan Protass, dated September 13, 2016.

6. Attached hereto as Exhibit E is a true and correct copy of an e-mail from Judith Weinstock to Harlan Protass, dated September 18, 2016.

7. Attached hereto as Exhibit F is a true and correct copy of an e-mail from Harlan Protass to Judith Weinstock, dated September 18, 2016.

8. Attached hereto as Exhibit G is a true and correct copy of a letter from Harlan Protass to Judith Weinstock, dated September 20, 2016.

9. Attached hereto as Exhibit H is a true and correct copy of an e-mail, with attachment, from Nancy Brown to Harlan Protass, dated September 21, 2016.

10. Attached hereto as Exhibit I is a true and correct copy of a letter from Harlan Protass to Nancy Brown, dated September 22, 2016.

11. Attached hereto as Exhibit J is a true and correct copy of an e-mail from Vinit Doshi to Kevin Galbraith, dated April 15, 2015.

12. Attached hereto as Exhibit K is a true and correct copy of an e-mail from Jay Lathen to Gabrielle Vazquez, copying Kevin Galbraith, among others, and dated July 1, 2015.

13. Attached hereto as Exhibit L is a true and correct copy of an e-mail chain between Harlan Protass, Jay Lathen, and Marjorie Ostrove, dated August 25, 2015.

14. Attached hereto as Exhibit M is a true and correct copy of an e-mail from Harlan Protass to Judith Weinstock, dated October 22, 2015.

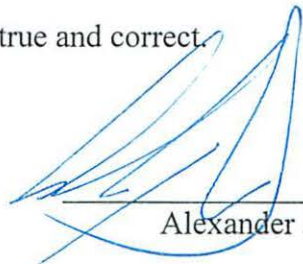
15. On October 25, 2016, I searched the documents that Respondents produced in the Investigation, which the Division loaded onto a Recommind database (the "Database"), for the term "bruneandrichard.com." That search revealed 51 documents with the term "bruneandrichard.com" in them.

16. On October 25, 2016, I also searched Respondents' productions on the Database for the last name of each attorney listed on Exhibit C. That search revealed 49,529 documents with those names in them. Attached hereto as Exhibit N is a true and correct copy of a table showing how many documents those searches identified for each person listed on Exhibit C.

17. To date, Respondents have not provided the Division with any list of inadvertently produced privileged communications.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on October 25, 2016
New York, NY



Alexander Janghorbani

EXHIBIT A

BRUNE

Brune Law P.C.
One Battery Park Plaza
New York, NY 10004

212 668 1900
brunelaw.com

March 8, 2016

BY FEDERAL EXPRESS

ENF-CPU
U.S. Securities and Exchange Commission
Division of Enforcement
100 F Street, N.E., Mailstop 5973
Washington, DC 20549-5973

BY EMAIL

(w/o Enclosure)
Judith Weinstock
Securities and Exchange Commission
Brookfield Place
200 Vesey Street, Suite 400
New York, NY 10281

RE: In the Matter of Eden Arc Capital Management, LLC (NY-9197)

Dear Ms. Weinstock:

As you know, we represent Donald "Jay" Lathen, Eden Arc Capital Management, LLC and Eden Arc Capital Advisers, LLC (collectively, "Eden Arc") in connection with the above referenced inquiry.

On December 21, 2015, you served a subpoena on Eden Arc requiring the production of documents on January 15, 2016. Per our agreement, we previously produced documents on January 15, 2016, February 1, 2016, and February 16, 2016 as part of a rolling production of documents. Enclosed herewith please find the final part of the production responsive to the subpoena, Bates stamped EA00033596 - EA00036012. Documents are being produced in the manner in which they were archived by Eden Arc in the ordinary course of business.

Also enclosed with today's production is a Privilege Log of all withheld and redacted documents and a corresponding list of attorneys and legal staff appearing on the log. In responding to the subpoenas, we have withheld documents that are protected from disclosure by the attorney-client privilege and/or the attorney work product doctrine. Documents containing a mix of both privileged and non-privileged material will be produced in redacted form, with all privileged material marked "Redacted."

To the extent any privileged material is inadvertently produced, Eden Arc does not intend to waive any privilege and reserves the right to demand the return or destruction of any inadvertently produced materials.

Sincerely,

A handwritten signature in black ink, appearing to read "Susan E. Brune". The signature is written in a cursive style with a long horizontal stroke at the end.

Susan E. Brune

Enclosure

EXHIBIT B

**In the Matter of Eden Arc Capital Management, LLC (NY-9197)
Privilege Log**

Entry Number	Record Type	Date	From	To	Cc	Email Subject or File Name	Privilege Type	Privilege Description	Redacted	Production Notes
1	Email with Attachment(s)	4/2/09 11:39 AM	Grundstein, Robert	Lathen, Jay	Governale, Jack P.; Bordan, JoAnn	Engagement Letter	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2	Email	4/2/09 6:38 PM	Grundstein, Robert	Lathen, Jay	Governale, Jack P.; Bordan, JoAnn	RE: Engagement Letter	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
3	Email	4/8/09 10:20 AM	Grundstein, Robert	Lathen, Jay		RE: Survivor Option ...	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
4	Email with Attachment(s)	4/27/09 5:52 PM	Grundstein, Robert	Lathen, Jay		Market Timing Complaint	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
5	Email	5/13/09 10:53 AM	Grundstein, Robert	Lathen, Jay		HW: Bank of America Internotes	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
6	Email with Attachment(s)	5/14/09 11:15 AM	Grundstein, Robert	Lathen, Jay		FW: Bank of America Internotes	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
7	Email with Attachment(s)	7/10/09 4:49 PM	Grundstein, Robert	Lathen, Jay		Event Driven PPM	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
8	Email with Attachment(s)	7/14/09 11:09 AM	Grundstein, Robert	Lathen, Jay		RE: Power of Attorney	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
9	Email	9/30/09 10:15 PM	Lathen, Jay	Grundstein, Robert; Domina, Daren R.		Investment Advisor	Attorney Client Privilege	Communication requesting legal advice of counsel.		
10	Email	10/9/09 2:41 PM	Grundstein, Robert	Lathen, Jay		Rob Loewy (tax attorney) is out of the office today	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
11	Email with Attachment(s)	10/9/09 6:16 PM	Grundstein, Robert	Lathen, Jay	Domina, Daren R.	RE: Lathen/Areias Agreement	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
12	Email	10/30/09 6:50 PM	Grundstein, Robert	Lathen, Jay	Trachtenberg, Beth D.; Domina, Daren R.	Trusts & Estates Issues	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
13	Email	11/2/09 7:20 PM	Grundstein, Robert	Lathen, Jay	Trachtenberg, Beth D.	IRS Ruling	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
14	Email with Attachment(s)	1/12/10 5:03 PM	D'Amico, Ruth A.	Lathen, Jay	Grundstein, Robert	RE: Invoices	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
15	Email with Attachment(s)	1/25/10 10:30 AM	Grundstein, Robert	Lathen, Jay		RE: I was scrambling	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
16	Email	2/18/10 6:00 PM	Rappers, Robert G.	Lathen, Jay	Bouton, William W.	Legal Review and Advice on EndCare Business Model	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
17	Email	2/19/10 10:23 AM	Rappers, Robert G.	Lathen, Jay	Bouton, William W.	Conference Call This Afternoon?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
18	Email	3/31/10 11:09 AM	Lathen, Jay	Flanders, Robert G.			Attorney Client Privilege	Communication requesting legal advice of counsel.		
19	Email with Attachment(s)	5/20/10 10:03 AM	Hood, Bruce E.	Lathen, Jay			Attorney Client Privilege	Communication reflecting legal advice of counsel.		
20	Email	5/11/10 4:47 PM	Hood, Bruce E.	Lathen, Jay			Attorney Client Privilege	Communication reflecting legal advice of counsel.		
21	Email	6/11/10 4:46 PM	Flanders, Robert G.	Lathen, Jay		RE: Litigation re Annuities and Bonds	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
22	Email	6/18/10 5:31 PM	Hood, Bruce E.	Lathen, Jay			Attorney Client Privilege	Communication reflecting legal advice of counsel.		
23	Email	7/15/10 12:14 PM	Lathen, Jay	Roper, Eric		EndCare Materials	Attorney Client Privilege	Communication requesting legal advice of counsel.		
24	Email	7/19/10 1:19 PM	Roper, Eric	Lathen, Jay		RE: EndCare Materials	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
25	Email	7/19/10 3:34 PM	Lathen, Jay	Roper, Eric		Re: EndCare Materials	Attorney Client Privilege	Communication requesting legal advice of counsel.		
26	Email	7/26/10 2:46 PM	Lathen, Jay	Roper, Eric		Re: EndCare Materials	Attorney Client Privilege	Communication requesting legal advice of counsel.		
27	Email	7/26/10 6:02 PM	Lathen, Jay	Roper, Eric Calabro, Cheryl		Re: EndCare Materials	Attorney Client Privilege	Communication requesting legal advice of counsel.		
28	Email	7/27/10 9:36 AM	Roper, Eric	Lathen, Jay; Calabro, Cheryl		RE: EndCare Materials	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
29	Email	7/28/10 1:53 PM	Lathen, Jay	Roper, Eric Calabro, Cheryl		Re: EndCare Materials	Attorney Client Privilege	Communication requesting legal advice of counsel.		
30	Email	7/28/10 3:32 PM	Lathen, Jay	Roper, Eric Calabro, Cheryl		Re: EndCare Materials	Attorney Client Privilege	Communication requesting legal advice of counsel.		
31	Email	8/10/10 11:50 AM	Lathen, Jay	Roper, Eric		Re: EndCare - tax discussion	Attorney Client Privilege	Communication requesting legal advice of counsel.		
32	Email	8/17/10 3:30 PM	Lathen, Jay	Roper, Eric		Running 10 mins late	Attorney Client Privilege	Communication requesting legal advice of counsel.		
33	Email	8/18/10 2:16 PM	Lathen, Jay	Robbins, David E.		Per: Complaint	Attorney Client Privilege	Communication requesting legal advice of counsel.		
34	Email	8/19/10 2:43 PM	Robbins, David E.	Lathen, Jay		RE: Complaint	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
35	Email	8/20/10 3:31 PM	Lathen, Jay	Robbins, David E.		Re: Robbins	Attorney Client Privilege	Communication requesting legal advice of counsel.		
36	Email	8/20/10 3:38 PM	Robbins, David E.	Lathen, Jay		RE: Robbins	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
37	Email	8/24/10 11:55 AM	Lathen, Jay	Robbins, David E.		Re: Robbins	Attorney Client Privilege	Communication requesting legal advice of counsel.		
38	Email	8/24/10 2:10 PM	Lathen, Jay	Robbins, David E.		Re: Robbins	Attorney Client Privilege	Communication requesting legal advice of counsel.		
39	Email with Attachment(s)	8/25/10 5:27 PM	Lathen, Jay	Robbins, David E.		Re: Robbins Request	Attorney Client Privilege	Communication requesting legal advice of counsel.		
40	Email with Attachment(s)	8/26/10 12:59 PM	Lathen, Jay	Robbins, David E.		Re: CONFIDENTIAL ATTORNEY CLIENT COMMUNICATION	Attorney Client Privilege	Communication requesting legal advice of counsel.		
41	Email with Attachment(s)	8/26/10 4:54 PM	Robbins, David E.	McCarthy, Denis	Lathen, Jay	Donald Lathen	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
42	Email	8/26/10 5:40 PM	Robbins, David E.	Lathen, Jay		Robbing to Lathen	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
43	Email	8/27/10 9:49 AM	Lathen, Jay	Robbins, David E.		Re: Donald Lathen	Attorney Client Privilege	Communication requesting legal advice of counsel.		
44	Email	8/27/10 2:55 PM	Lathen, Jay	Calabro, Cheryl	Roper, Eric	Re: EndCare Term Sheet	Attorney Client Privilege	Communication requesting legal advice of counsel.		
45	Email	8/29/10 4:45 PM	Robbins, David E.	McCarthy, Denis	Lathen, Jay	Jay Lathen	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
46	Email	8/30/10 12:25 PM	Robbins, David E.	Lathen, Jay		CONFIDENTIAL ATTORNEY CLIENT COMMUNICATION	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
47	Email with Attachment(s)	8/30/10 2:21 PM	Robbins, David E.	Lathen, Jay		RE: CONFIDENTIAL ATTORNEY CLIENT COMMUNICATION	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
48	Email with Attachment(s)	8/31/10 12:41 PM	Robbins, David E.	McCarthy, Denis	Lathen, Jay	Jay Lathen	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
49	Email	8/31/10 12:46 PM	Robbins, David E.	Lathen, Jay		RE: CONFIDENTIAL ATTORNEY CLIENT COMMUNICATION	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
50	Email	9/9/10 7:38 PM	Lathen, Jay	Robbins, David E.		Arbitration Case I mentioned last week	Attorney Client Privilege	Communication requesting legal advice of counsel.		
51	Email	9/10/10 2:13 PM	Lathen, Jay	Robbins, David E.		Re: Jay Lathen	Attorney Client Privilege	Communication requesting legal advice of counsel.		
52	Email	9/22/10 11:39 AM	Lathen, Jay	Roper, Eric		Re: How are you doing	Attorney Client Privilege	Communication requesting legal advice of counsel.		
53	Email	9/22/10 6:14 PM	Lathen, Jay	Roper, Eric		Sorry I didn't get back to you today	Attorney Client Privilege	Communication requesting legal advice of counsel.		
54	Email	9/23/10 7:36 AM	Lathen, Jay	Roper, Eric		Re: Sorry I didn't get back to you today	Attorney Client Privilege	Communication requesting legal advice of counsel.		
55	Email	9/23/10 9:03 PM	Lathen, Jay	Roper, Eric Calabro, Cheryl		EndCare Term Sheet Edits	Attorney Client Privilege	Communication requesting legal advice of counsel.		
56	Email	9/28/10 4:53 PM	Lathen, Jay	Robbins, David E.		Re: JP Morgan	Attorney Client Privilege	Communication requesting legal advice of counsel.		
57	Email	10/4/10 8:21 PM	Lathen, Jay	Roper, Eric		Revised Term Sheet	Attorney Client Privilege	Communication requesting legal advice of counsel.		
58	Email	10/25/10 1:25 PM	Lathen, Jay	Robbins, David E.		Re: Robbins	Attorney Client Privilege	Communication requesting legal advice of counsel.		
59	Email	10/16/10 11:13 AM	Lathen, Jay	Roper, Eric Calabro, Cheryl		Re: EndCare Revisions	Attorney Client Privilege	Communication requesting legal advice of counsel.		
60	Email	10/16/10 2:43 PM	Lathen, Jay	Roper, Eric Calabro, Cheryl		Re: EndCare Revisions	Attorney Client Privilege	Communication requesting legal advice of counsel.		
61	Email	10/16/10 6:12 PM	Lathen, Jay	Roper, Eric Calabro, Cheryl		Re: EndCare Revisions	Attorney Client Privilege	Communication requesting legal advice of counsel.		
62	Email	10/27/10 9:40 AM	Roper, Eric	Lathen, Jay; Calabro, Cheryl			Attorney Client Privilege	Communication reflecting legal advice of counsel.		
63	Email	10/29/10 3:33 PM	Russell, Judith	Lathen, Jay	Roper, Eric	FROM ERIC ROPEL'S OFFICE	Attorney Client Privilege	Communication reflecting legal advice of counsel.		

**In the Matter of Eden Arc Capital Management, LLC (NY-9197)
Privilege Log**

Entry Number	Record Type	Date	From	To	Cc	Email Subject or File Name	Privilege Type	Business Description	Redacted	Production Date
64	Email	10/12/10 7:21 PM	Lathen, Jay	Roper, Eric		Investor Pres	Attorney Client Privilege	Communication requesting legal advice of counsel.		
65	Email	10/14/10 11:48 AM	Lathen, Jay	Roper, Eric		Updated Investor Presentation and Sample EndCare contract	Attorney Client Privilege	Communication requesting legal advice of counsel.		
66	Email	10/14/10 3:32 PM	Lathen, Jay	Roper, Eric; Calagulo, Cheryl		Re: Updated Investor Presentation and Sample EndCare contract	Attorney Client Privilege	Communication requesting legal advice of counsel.		
67	Email	10/15/10 5:37 PM	Lathen, Jay	Roper, Eric		Sample EndCare contract	Attorney Client Privilege	Communication requesting legal advice of counsel.		
68	Email	10/20/10 1:24 PM	Lathen, Jay	Roper, Eric		EndCare Fund	Attorney Client Privilege	Communication requesting legal advice of counsel.		
69	Attachment(s)	11/1/10 3:30 PM	Roper, Eric	Lathen, Jay; Calagulo, Cheryl		LPA - 1st Draft	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
70	Email	11/2/10 6:42 PM	Lathen, Jay	Roper, Eric		For Trusts & Estates Issues	Attorney Client Privilege	Communication requesting legal advice of counsel.		
71	Email	11/3/10 10:36 AM	Lathen, Jay	Flanders, Robert G.		Re: Follow-up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
72	Email	11/3/10 1:46 PM	Randers, Robert G.	Lathen, Jay		Re: Follow-up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
73	Email	11/3/10 3:18 PM	Randers, Robert G.	Lathen, Jay		Re: Follow-up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
74	Email	11/3/10 4:56 PM	Lathen, Jay	Roper, Eric		See attached	Attorney Client Privilege	Communication requesting legal advice of counsel.		
75	Email	11/4/10 2:17 PM	Flanders, Robert G.	Lathen, Jay		Ledger History and Billing Backup	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
76	Email	11/5/10 3:10 PM	Flanders, Robert G.	Lathen, Jay		Re: Ledger History and Billing Backup	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
77	Email	11/8/10 4:28 PM	Lathen, Jay	Roper, Eric		For website	Attorney Client Privilege	Communication requesting legal advice of counsel.		
78	Email	11/8/10 9:52 PM	Lathen, Jay	Roper, Eric; Calagulo, Cheryl		LPA Comments	Attorney Client Privilege	Communication requesting legal advice of counsel.		
79	Email	11/9/10 3:25 PM	Lathen, Jay	Roper, Eric		Re: LPA Comments	Attorney Client Privilege	Communication requesting legal advice of counsel.		
80	Email	11/9/10 7:41 PM	Lathen, Jay	Flanders, Robert G.		Re: Follow-up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
81	Email	11/10/10 10:07 AM	Lathen, Jay	Roper, Eric; Calagulo, Cheryl		Re: LPA Comments	Attorney Client Privilege	Communication requesting legal advice of counsel.		
82	Email	11/10/10 6:19 PM	Lathen, Jay	Roper, Eric; Calagulo, Cheryl		Encare.com website	Attorney Client Privilege	Communication requesting legal advice of counsel.		
83	Email	11/11/10 12:26 PM	Roper, Eric	Lathen, Jay; Calagulo, Cheryl; Riley, John H; Derosa, Stephen	Neroulas, Jason	Re: Encare.com website	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
84	Email	11/11/10 12:46 PM	Lathen, Jay	Roper, Eric; Calagulo, Cheryl; Riley, John H; Derosa, Stephen	Neroulas, Jason	Re: Encare.com website	Attorney Client Privilege	Communication requesting legal advice of counsel.		
85	Email	11/11/10 1:07 PM	Roper, Eric	Lathen, Jay; Calagulo, Cheryl; Riley, John H; Derosa, Stephen	Neroulas, Jason	Re: Encare.com website	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
86	Email	11/11/10 2:53 PM	Roper, Eric	Lathen, Jay; Calagulo, Cheryl		Contacts	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
87	Email	11/12/10 2:46 PM	Lathen, Jay	Roper, Eric		Brochure for Hospices and Participants	Attorney Client Privilege	Communication requesting legal advice of counsel.		
88	Email	11/12/10 6:02 PM	Roper, Eric	Lathen, Jay; Calagulo, Cheryl		LPA Re-Draft	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
89	Email	11/16/10 11:20 AM	Roper, Eric	Lathen, Jay; Calagulo, Cheryl; Neroulas, Jason; Derosa, Stephen	Lackowitz, David	FW:	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
90	Email	11/17/10 9:11 AM	Roper, Eric	Lathen, Jay; Morgenberg, Daniel	Godek, Kevin; Seleski, Thomas	FW: D & O Insurance	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
91	Email	11/22/10 5:47 PM	Roper, Eric	Lathen, Jay; Calagulo, Cheryl; Neroulas, Jason		Endcare PPM	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
92	Email	11/23/10 9:59 AM	Lathen, Jay	Roper, Eric; Calagulo, Cheryl		For Valuation Language	Attorney Client Privilege	Communication requesting legal advice of counsel.		
93	Email	11/23/10 6:11 PM	Lathen, Jay	Roper, Eric; Calagulo, Cheryl		LP Agreement Edits	Attorney Client Privilege	Communication requesting legal advice of counsel.		
94	Email	11/20/10 5:35 PM	Roper, Eric	Lathen, Jay; Calagulo, Cheryl		FW: LP Agreement Edits	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
95	Email	11/20/10 5:52 PM	Lathen, Jay	Roper, Eric; Calagulo, Cheryl		Re: LP Agreement Edits	Attorney Client Privilege	Communication requesting legal advice of counsel.		
96	Email	11/20/10 6:21 PM	Lathen, Jay	Roper, Eric; Savage, Michael; Calagulo, Cheryl		Re: LP Agreement Edits	Attorney Client Privilege	Communication requesting legal advice of counsel.		
97	Email	12/6/10 6:00 PM	Lathen, Jay	Roper, Eric; Calagulo, Cheryl		Re: S01(d)(3) Foundation	Attorney Client Privilege	Communication requesting legal advice of counsel.		
98	Email	12/12/10 11:23 AM	Lathen, Jay	Roper, Eric; Calagulo, Cheryl		Edits to Offering Memo	Attorney Client Privilege	Communication requesting legal advice of counsel.		
99	Email	12/15/10 9:07 PM	Lathen, Jay	Roper, Eric		Re: BURK S130	Attorney Client Privilege	Communication requesting legal advice of counsel.		
100	Email	12/17/10 5:25 PM	Lathen, Jay	Roper, Eric; Calagulo, Cheryl		Edits to PPM	Attorney Client Privilege	Communication requesting legal advice of counsel.		
101	Email	12/21/10 6:02 PM	Lathen, Jay	Roper, Eric; Calagulo, Cheryl		Re: Edits to PPM	Attorney Client Privilege	Communication requesting legal advice of counsel.		
102	Email	12/21/10 2:12 PM	Lathen, Jay	Calagulo, Cheryl		Got your email	Attorney Client Privilege	Communication requesting legal advice of counsel.		
103	Email	12/23/10 8:17 AM	Lathen, Jay	Calagulo, Cheryl		Call this morning	Attorney Client Privilege	Communication requesting legal advice of counsel.		
104	Email	12/23/10 8:57 AM	Lathen, Jay	Calagulo, Cheryl		Re: Call this morning	Attorney Client Privilege	Communication requesting legal advice of counsel.		
105	Email	12/23/10 10:52 AM	Lathen, Jay	Calagulo, Cheryl		Re: Edits to PPM	Attorney Client Privilege	Communication requesting legal advice of counsel.		
106	Email	1/3/11 6:39 PM	Lathen, Jay	Calagulo, Cheryl	Roper, Eric	Re: Revised PPM and LPA	Attorney Client Privilege	Communication requesting legal advice of counsel.		
107	Email	1/4/11 2:16 PM	Roper, Eric	Lathen, Jay		FW: L Lathen - Retainer	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
108	Email	1/12/11 10:52 AM	Russell, Judith	Lathen, Jay	Roper, Eric	FROM ERIC ROPER'S OFFICE	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
109	Email	1/17/11 12:43 PM	Lathen, Jay	Roper, Eric		FW: EndCare Paperwork	Attorney Client Privilege	Communication requesting legal advice of counsel.		
110	Email	1/18/11 10:17 AM	Lathen, Jay	Roper, Eric		Re: FW: EndCare Paperwork	Attorney Client Privilege	Communication requesting legal advice of counsel.		
111	Email	1/20/11 12:12 PM	Roper, Eric	Lathen, Jay		FW: Eden Arc Agreements	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
112	Email	1/23/11 9:23 AM	Lathen, Jay	Calagulo, Cheryl		Fund Docs	Attorney Client Privilege	Communication requesting legal advice of counsel.		
113	Email	1/23/11 11:25 AM	Lathen, Jay	Calagulo, Cheryl		Re: Fund Docs	Attorney Client Privilege	Communication requesting legal advice of counsel.		
114	Email	1/26/11 7:18 PM	Lathen, Jay	Calagulo, Cheryl	Roper, Eric	Re: Lathen	Attorney Client Privilege	Communication requesting legal advice of counsel.		
115	Email	2/3/11 4:33 PM	Lathen, Jay	Roper, Eric		For:	Attorney Client Privilege	Communication requesting legal advice of counsel.		
116	Email	2/3/11 5:21 PM	Lathen, Jay	Roper, Eric; Calagulo, Cheryl		Mark-up to docs	Attorney Client Privilege	Communication requesting legal advice of counsel.		
117	Email	2/4/11 4:23 PM	Roper, Eric	Lathen, Jay; Calagulo, Cheryl		Participation Agreement Revised	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
118	Email	2/9/11 3:13 PM	Lathen, Jay	Roper, Eric; Calagulo, Cheryl		For Follow-up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
119	Email	2/9/11 5:39 PM	Lathen, Jay	Calagulo, Cheryl	Roper, Eric	Re: Mark-up to docs	Attorney Client Privilege	Communication requesting legal advice of counsel.		
120	Email	2/14/11 1:36 PM	Lathen, Jay	Roper, Eric; Calagulo, Cheryl		Eden Arc Bank Accounts	Attorney Client Privilege	Communication requesting legal advice of counsel.		
121	Email	2/17/11 9:15 AM	Lathen, Jay	Calagulo, Cheryl		Article of Organization	Attorney Client Privilege	Communication requesting legal advice of counsel.		
122	Email	2/17/11 3:07 PM	Lathen, Jay	Calagulo, Cheryl		Publishing	Attorney Client Privilege	Communication requesting legal advice of counsel.		
123	Email	2/23/11 10:01 AM	Lathen, Jay	Roper, Eric; Calagulo, Cheryl		For Eden Arc - draft admin agreement	Attorney Client Privilege	Communication requesting legal advice of counsel.		
124	Email	2/23/11 10:43 AM	Russell, Judith	Lathen, Jay	Roper, Eric	FROM ERIC ROPER'S OFFICE	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
125	Email	2/23/11 6:19 PM	Roper, Eric	Lathen, Jay; Calagulo, Cheryl		Administration Agreement	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
126	Email	2/23/11 3:54 PM	Russell, Judith	Lathen, Jay	Calagulo, Cheryl	FROM GERSTEN SAVAGE LLP	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
127	Email	3/2/11 9:35 AM	Lathen, Jay	Roper, Eric; Calagulo, Cheryl		Re: Edits to Admin Agreement	Attorney Client Privilege	Communication requesting legal advice of counsel.		
128	Email	3/3/11 10:46 AM	Lathen, Jay	Roper, Eric		Re: Edits to Admin Agreement	Attorney Client Privilege	Communication requesting legal advice of counsel.		
129	Email	3/3/11 12:59 PM	Lathen, Jay	Calagulo, Cheryl		Re: Subscription Agreement	Attorney Client Privilege	Communication requesting legal advice of counsel.		
130	Email	4/27/11 2:08 PM	Lathen, Jay	Roper, Eric		Blue Sky Follow-up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
131	Email	10/19/11 8:33 AM	Lathen, Jay	Roper, Eric		Re: Meeting this morning	Attorney Client Privilege	Communication requesting legal advice of counsel.		
132	Email	10/19/11 11:52 AM	Lathen, Jay	Roper, Eric		Re: Meeting this morning	Attorney Client Privilege	Communication requesting legal advice of counsel.		
133	Email	10/27/11 4:37 PM	Lathen, Jay	Roper, Eric		Follow-up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
134	Email	10/24/11 9:43 AM	Lathen, Jay	Roper, Eric		Re: AIA	Attorney Client Privilege	Communication requesting legal advice of counsel.		
135	Email	10/24/11 5:16 PM	Roper, Eric	Lathen, Jay; Calagulo, Cheryl		FW: Follow-up our discussion.	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
136	Email	10/25/11 11:29 AM	Roper, Eric	Lathen, Jay; Calagulo, Cheryl		Revised Documents	Attorney Client Privilege	Communication reflecting legal advice of counsel.		

**In the Matter of Eden Arc Capital Management, LLC (NY-9197)
Privilege Log**

Entry Number	Record Type	Date	From	To	Cc	Email Subject or File Name	Privilege Type	Privilege Description	Redacted	Production Notes
137	Email	10/25/11 12:36 PM	Lathen, Jay	Roper, Eric; Calagulo, Cheryl		Re: Follow-up our discussion.	Attorney Client Privilege	Communication requesting legal advice of counsel.		
138	Email	10/25/11 2:49 PM	Roper, Eric	Lathen, Jay; Calagulo, Cheryl		FW: [REDACTED]	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
139	Email	10/31/11 11:07 AM	Lathen, Jay	Roper, Eric; Calagulo, Cheryl		Re: Revised Documents	Attorney Client Privilege	Communication requesting legal advice of counsel.		
140	Email	10/31/11 11:29 AM	Lathen, Jay	Roper, Eric; Calagulo, Cheryl		Re: Revised Documents	Attorney Client Privilege	Communication requesting legal advice of counsel.		
141	Email	11/1/11 10:41 AM	Lathen, Jay	Roper, Eric; Calagulo, Cheryl		Re: call - could we speak around 11:30 this morning Jay. T:	Attorney Client Privilege	Communication requesting legal advice of counsel.		
142	Email	11/1/11 10:54 AM	Lathen, Jay	Roper, Eric		Re: call - could we speak around 11:30 this morning Jay. T:	Attorney Client Privilege	Communication requesting legal advice of counsel.		
143	Email	11/1/11 12:41 PM	Lathen, Jay	Roper, Eric; Calagulo, Cheryl		Brokerage Account Agreement	Attorney Client Privilege	Communication requesting legal advice of counsel.		
144	Email	11/18/11 1:06 PM	Lathen, Jay	Roper, Eric; Calagulo, Cheryl		FW: Eden Arc Capital Partners - Update	Attorney Client Privilege	Communication requesting legal advice of counsel.		
145	Email	11/18/11 2:32 PM	Lathen, Jay	Roper, Eric; Calagulo, Cheryl		Re: Eden Arc Capital Partners - Update	Attorney Client Privilege	Communication requesting legal advice of counsel.		
146	Email	1/11/12 5:31 PM	Russell, Judith	Lathen, Jay		GERSTEN SAVAGE LLP	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
147	Email	1/11/12 10:51 AM	Lathen, Jay	Roper, Eric	Bedard, David	Introduction	Attorney Client Privilege	Communication requesting legal advice of counsel.		
148	Email	1/24/12 8:54 PM	Lathen, Jay	Roper, Eric		Re: Introduction	Attorney Client Privilege	Communication requesting legal advice of counsel.		
149	Email	4/5/12 2:59 PM	Lathen, Jay	Roper, Eric		Follow-up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
150	Email	4/18/12 9:59 AM	Roper, Eric	Lathen, Jay; Derosa, Stephen		FW: C3 Info	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
151	Email	5/9/12 5:45 PM	Russell, Judith	Lathen, Jay	Roper, Eric	FROM ERIC B. ROPER'S OFFICE	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
152	Email	5/14/12 5:38 PM	Roper, Eric	Lathen, Jay; Derosa, Stephen		Firm Brochure Part 2A	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
153	Email	5/29/12 8:20 PM	Lathen, Jay	Roper, Eric		Re: Engagement Letter	Attorney Client Privilege	Communication requesting legal advice of counsel.		
154	Email	5/30/12 2:36 PM	Lathen, Jay	Roper, Eric		Re: call	Attorney Client Privilege	Communication requesting legal advice of counsel.		
155	Email	5/30/12 4:53 PM	Lathen, Jay	Roper, Eric		Re: call	Attorney Client Privilege	Communication requesting legal advice of counsel.		
156	Email	6/5/12 2:40 PM	Roper, Eric	Lathen, Jay		Question	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
157	Email	6/7/12 2:31 PM	Lathen, Jay	Roper, Eric		Re: Question	Attorney Client Privilege	Communication requesting legal advice of counsel.		
158	Email	6/15/12 1:47 PM	Flanders, Robert G.	Lathen, Jay		Re: Follow-up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
159	Email	6/19/12 5:22 PM	Roper, Eric	Lathen, Jay; Derosa, Stephen	Nunes, Maria; Santos, Brenda		Attorney Client Privilege	Communication reflecting legal advice of counsel.		
160	Email	6/21/12 4:55 PM	Flanders, Robert G.	Farrall, Margaret D.	Lathen, Jay	FW: Investment Management Agreement	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
161	Email	6/26/12 11:45 AM	Roper, Eric	Lathen, Jay; Derosa, Stephen; Montello, Jessica		Following Up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
162	Email	6/26/12 2:00 PM	Lathen, Jay	Roper, Eric; Derosa, Stephen; Montello, Jessica		Re: Following Up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
163	Email	6/26/12 9:52 AM	Lathen, Jay	Roper, Eric		Re: any thoughts on the LPA changes	Attorney Client Privilege	Communication requesting legal advice of counsel.		
164	Email	6/26/12 9:56 AM	Roper, Eric	Lathen, Jay		RE: any thoughts on the LPA changes	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
165	Email	6/28/12 4:51 PM	Lathen, Jay	Roper, Eric		Re: any thoughts on the LPA changes	Attorney Client Privilege	Communication requesting legal advice of counsel.		
166	Email	7/18/12 10:43 AM	Lathen, Jay	Derosa, Stephen	Roper, Eric; Montello, Jessica; Robinson, Michael D.	RE: Following Up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
167	Email	7/18/12 1:56 PM	Derosa, Stephen	Lathen, Jay	Roper, Eric; Montello, Jessica; Robinson, Michael D.	RE: Following Up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
168	Email	7/18/12 1:57 PM	Robinson, Michael D.	Derosa, Stephen	Lathen, Jay; Roper, Eric; Montello, Jessica	Re: Following Up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
169	Email	7/18/12 2:01 PM	Derosa, Stephen	Robinson, Michael D.	Lathen, Jay; Roper, Eric; Montello, Jessica	RE: Following Up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
170	Email	7/20/12 1:19 PM	Russell, Judith	Lathen, Jay	Roper, Eric	FROM GERSTEN SAVAGE LLP	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
171	Email	7/26/12 3:06 PM	Roper, Eric	Lathen, Jay; Derosa, Stephen; Montello, Jessica	Russell, Judith	Side letters	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
172	Email	7/26/12 3:51 PM	Falcoz, Donna M.	Lathen, Jay	Farrall, Margaret D.; Flanders, Robert G.	Amended Engagement Letter	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
173	Email	7/26/12 5:23 PM	Lathen, Jay	Derosa, Stephen	Roper, Eric; Montello, Jessica; Robinson, Michael D.	RE: IARD	Attorney Client Privilege	Communication requesting legal advice of counsel.		
174	Email	7/27/12 4:11 PM	Russell, Judith	Lathen, Jay	Roper, Eric	FW: Eden Arc Capital Partners, LP	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
175	Email	7/30/12 2:32 PM	Falcoz, Donna M.	Lathen, Jay	Flanders, Robert G.; Farrall, Margaret D.	Amended Engagement Letter (revised July 30, 2012)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
176	Email	7/31/12 4:44 PM	Derosa, Stephen	Robinson, Michael D.	Roper, Eric; Montello, Jessica; Lathen, Jay	RE: IARD	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
177	Email	7/31/12 5:23 PM	Robinson, Michael D.	Derosa, Stephen	Lathen, Jay	RE: IARD	Attorney Client Privilege	Communication requesting legal advice of counsel.		
178	Email	8/1/12 6:39 PM	Derosa, Stephen	Robinson, Michael D.		RE: IARD	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
179	Email	8/1/12 7:15 PM	Robinson, Michael D.	Derosa, Stephen		RE: IARD	Attorney Client Privilege	Communication requesting legal advice of counsel.		
180	Attachment(s)	8/2/12 12:00 PM	Roper, Eric	Lathen, Jay; Robinson, Michael D.	Derosa, Stephen	Revised LPA	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
181	Email	8/2/12 2:37 PM	Lathen, Jay	Roper, Eric; Robinson, Michael D.	Derosa, Stephen	Re: Revised LPA	Attorney Client Privilege	Communication requesting legal advice of counsel.		
182	Email	8/3/12 3:53 PM	Flanders, Robert G.	Lathen, Jay; Falcoz, Donna M.	Farrall, Margaret D.	RE: Amended Engagement Letter (revised July 30, 2012)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
183	Email	8/3/12 5:11 PM	Lathen, Jay	Flanders, Robert G.; Falcoz, Donna M.	Farrall, Margaret D.	Re: Amended Engagement Letter (revised July 30, 2012)	Attorney Client Privilege	Communication requesting legal advice of counsel.		
184	Email	8/7/12 11:33 AM	Robinson, Michael D.	Derosa, Stephen		RE: IARD	Attorney Client Privilege	Communication requesting legal advice of counsel.		
185	Attachment(s)	8/7/12 11:57 AM	Derosa, Stephen	Robinson, Michael D.	Roper, Eric; Lathen, Jay; Riley, John H.	RE: IARD	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
186	Email	8/7/12 12:31 PM	Robinson, Michael D.	Derosa, Stephen		RE: IARD	Attorney Client Privilege	Communication requesting legal advice of counsel.		
187	Email	8/9/12 1:14 AM	Derosa, Stephen	Roper, Eric; Robinson, Michael D.	Lathen, Jay; Riley, John H.	RE: IARD	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
188	Email	8/9/12 11:15 AM	Roper, Eric	Derosa, Stephen; Robinson, Michael D.	Lathen, Jay; Riley, John H.	RE: IARD	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
189	Email	8/15/12 4:56 PM	Derosa, Stephen	Lathen, Jay; Robinson, Michael D.	Roper, Eric; Montello, Jessica; Riley, John H.	RE: IARD	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
190	Attachment(s)	8/16/12 4:08 PM	Derosa, Stephen	Robinson, Michael D.; Lathen, Jay	Roper, Eric; Montello, Jessica	RE: IARD	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
191	Email	8/21/12 2:46 PM	Derosa, Stephen	Robinson, Michael D.; Lathen, Jay	Roper, Eric; Montello, Jessica	RE: IARD	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
192	Email	8/23/12 9:56 AM	Lathen, Jay	Roper, Eric; Derosa, Stephen	Robinson, Michael D.	Re: Jay - let me know when you have look over the PPM so we can complete revisions. Best, Eric	Attorney Client Privilege	Communication requesting legal advice of counsel.		
193	Email	8/23/12 11:17 AM	Farrall, Margaret D.	Lathen, Jay	Robinson, Michael D.	RE: Amended Engagement Letter (revised July 30, 2012)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
194	Email	8/23/12 1:54 PM	Farrall, Margaret D.	Lathen, Jay	Robinson, Michael D.	RE: Prospectuses	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
195	Email	8/23/12 3:32 PM	Farrall, Margaret D.	Lathen, Jay	Robinson, Michael D.	RE: Prospectuses	Attorney Client Privilege	Communication reflecting legal advice of counsel.		

**In the Matter of Eden Arc Capital Management, LLC (NY-9197)
Privilege Log**

Entry Number	Record Type	Date	From	To	Cc	Email Subject or File Name	Privilege Type	Privilege Description	Redacted	Production Date
196	Email with Attachment(s)	8/23/12 3:48 PM	Robinson, Michael D.	Farell, Margaret D.	Lathen, Jay	Re: Prospectuses	Attorney Client Privilege	Communication requesting legal advice of counsel.		
197	Email	8/23/12 5:38 PM	Farell, Margaret D.	Lathen, Jay	Robinson, Michael D.	RE: Prospectuses	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
198	Email	8/24/12 10:18 AM	Roper, Eric	Lathen, Jay; Derosa, Stephen; Robinson, Michael D.		11:00 call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
199	Email	8/24/12 10:25 AM	Farell, Margaret D.	Lathen, Jay		Endcare	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
200	Email	8/24/12 11:00 AM	Lathen, Jay	Roper, Eric; Derosa, Stephen; Robinson, Michael D.		Re: 11:00 call	Attorney Client Privilege	Communication requesting legal advice of counsel.		
201	Email	8/29/12 7:24 PM	Farell, Margaret D.	Lathen, Jay		Joint Accounts	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
202	Email	8/30/12 9:07 AM	Farell, Margaret D.	Lathen, Jay		RE: Joint Accounts	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
203	Email	8/30/12 12:15 PM	Farell, Margaret D.	Lathen, Jay		RE: Joint Accounts	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
204	Email	8/30/12 12:21 PM	Farell, Margaret D.	Lathen, Jay		RE: Joint Accounts	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
205	Email	8/30/12 12:39 PM	Farell, Margaret D.	Lathen, Jay		RE: Joint Accounts	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
206	Email	8/31/12 5:01 PM	Farell, Margaret D.	Lathen, Jay	Flanders, Robert G.	Lathen - Memo re Caramadra.DOCX	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
207	Email	9/5/12 11:35 AM	Derosa, Stephen	Lathen, Jay; Robinson, Michael D.	Roper, Eric	RE: 11:00 call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
208	Email	9/5/12 4:17 PM	Farell, Margaret D.	Robinson, Michael D.	Lathen, Jay	RE: Conference Call RE: Caramadra.DOCX	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
209	Email	9/7/12 9:21 AM	Robinson, Michael D.	Derosa, Stephen	Lathen, Jay; Roper, Eric	11:00 call	Attorney Client Privilege	Communication requesting legal advice of counsel.		
210	Email	9/7/12 10:07 AM	Russell, Judith	Robinson, Michael D.; Lathen, Jay; Derosa, Stephen	Roper, Eric	CALL INFORMATION FOR TODAY'S 11:00 CONFERENCE CALL	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
211	Email with Attachment(s)	9/7/12 10:48 AM	Lathen, Jay	Russell, Judith; Robinson, Michael D.; Derosa, Stephen	Roper, Eric	RE: CALL INFORMATION FOR TODAY'S 11:00 CONFERENCE CALL	Attorney Client Privilege	Communication requesting legal advice of counsel.		
212	Email	9/7/12 10:59 AM	Russell, Judith	Lathen, Jay; Robinson, Michael D.; Derosa, Stephen	Roper, Eric	RE: CALL INFORMATION FOR TODAY'S 11:00 CONFERENCE CALL	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
213	Email	9/7/12 11:03 AM	Robinson, Michael D.	Russell, Judith	Lathen, Jay; Derosa, Stephen; Roper, Eric	RE: CALL INFORMATION FOR TODAY'S 11:00 CONFERENCE CALL	Attorney Client Privilege	Communication requesting legal advice of counsel.		
214	Email	9/7/12 11:22 AM	Roper, Eric	Russell, Judith; Robinson, Michael D.; Lathen, Jay; Derosa, Stephen		RE: CALL INFORMATION FOR TODAY'S 11:00 CONFERENCE CALL	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
215	Email	9/7/12 12:30 PM	Derosa, Stephen	Robinson, Michael D.; Lathen, Jay	Roper, Eric	ADN Update	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
216	Email	9/7/12 1:49 PM	Lathen, Jay	Farell, Margaret D.; Robinson, Michael D.		RE: Conference Call RE: Caramadra.DOCX	Attorney Client Privilege	Communication requesting legal advice of counsel.		
217	Email	9/7/12 1:53 PM	Farell, Margaret D.	Lathen, Jay; Robinson, Michael D.		RE: Conference Call RE: Caramadra.DOCX	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
218	Email with Attachment(s)	9/7/12 2:25 PM	Lathen, Jay	Derosa, Stephen; Roper, Eric	Robinson, Michael D.	Final Brochure Part 2	Attorney Client Privilege	Communication requesting legal advice of counsel.		
219	Email	9/7/12 2:56 PM	Farell, Margaret D.	Lathen, Jay; Robinson, Michael D.		RE: Conference Call RE: Caramadra.DOCX	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
220	Email	9/7/12 2:57 PM	Lathen, Jay	Farell, Margaret D.; Robinson, Michael D.		RE: Conference Call RE: Caramadra.DOCX	Attorney Client Privilege	Communication requesting legal advice of counsel.		
221	Email	9/7/12 3:05 PM	Farell, Margaret D.	Lathen, Jay; Robinson, Michael D.		RE: Conference Call RE: Caramadra.DOCX	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
222	Email	9/7/12 3:25 PM	Derosa, Stephen	Lathen, Jay; Robinson, Michael D.	Roper, Eric	RE: RIA Follow-up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
223	Email with Attachment(s)	9/7/12 3:33 PM	Derosa, Stephen	Lathen, Jay; Roper, Eric	Robinson, Michael D.	RE: Final Brochure Part 2	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
224	Email with Attachment(s)	9/11/12 12:40 PM	Robinson, Michael D.	Farell, Margaret D.	Lathen, Jay	Documents	Attorney Client Privilege	Communication requesting legal advice of counsel.		
225	Email with Attachment(s)	9/11/12 2:15 PM	Farell, Margaret D.	Robinson, Michael D.	Lathen, Jay	RE: Documents	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
226	Email	9/12/12 10:33 AM	Derosa, Stephen	Lathen, Jay; Robinson, Michael D.	Roper, Eric	RE: Final Brochure Part 2	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
227	Email	9/12/12 11:00 AM	Lathen, Jay	Derosa, Stephen; Robinson, Michael D.	Roper, Eric	RE: Final Brochure Part 2	Attorney Client Privilege	Communication requesting legal advice of counsel.		
228	Email	9/12/12 11:01 AM	Derosa, Stephen	Lathen, Jay; Robinson, Michael D.	Roper, Eric	RE: Final Brochure Part 2	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
229	Email	9/12/12 11:08 AM	Derosa, Stephen	Lathen, Jay; Robinson, Michael D.	Roper, Eric	RE: Final Brochure Part 2	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
230	Email with Attachment(s)	9/13/12 12:01 PM	Robinson, Michael D.	Farell, Margaret D.		Securities Account Control Agreement	Attorney Client Privilege	Communication requesting legal advice of counsel.		
231	Email	9/13/12 1:36 PM	Farell, Margaret D.	Robinson, Michael D.		RE: Securities Account Control Agreement	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
232	Email	9/13/12 3:10 PM	Robinson, Michael D.	Farell, Margaret D.		RE: Securities Account Control Agreement	Attorney Client Privilege	Communication requesting legal advice of counsel.		
233	Email	9/13/12 3:38 PM	Farell, Margaret D.	Robinson, Michael D.		RE: Securities Account Control Agreement	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
234	Email	9/14/12 11:39 AM	Derosa, Stephen	Lathen, Jay; Robinson, Michael D.	Roper, Eric	RE: Final Brochure Part 2	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
235	Email	9/15/12 10:37 AM	Farell, Margaret D.	Robinson, Michael D.		RE: Securities Account Control Agreement	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
236	Email	9/15/12 10:40 AM	Robinson, Michael D.	Farell, Margaret D.		End Care Project	Attorney Client Privilege	Communication requesting legal advice of counsel.		
237	Email with Attachment(s)	9/15/12 10:57 AM	Robinson, Michael D.	Farell, Margaret D.	Lathen, Jay	RE: Securities Account Control Agreement	Attorney Client Privilege	Communication requesting legal advice of counsel.		
238	Email	9/15/12 11:16 AM	Farell, Margaret D.	Robinson, Michael D.	Mandni, Jacquelyn A.; Doring, Matthew P.	RE: End Care Project	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
239	Email	9/15/12 11:46 AM	Robinson, Michael D.	Farell, Margaret D.		RE: End Care Project	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
240	Email	9/15/12 11:52 AM	Farell, Margaret D.	Robinson, Michael D.		RE: End Care Project	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
241	Email	9/15/12 12:02 PM	Farell, Margaret D.	Robinson, Michael D.		RE: End Care Project	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
242	Email	9/15/12 12:02 PM	Robinson, Michael D.	Farell, Margaret D.		RE: End Care Project	Attorney Client Privilege	Communication requesting legal advice of counsel.		
243	Email with Attachment(s)	9/15/12 12:32 PM	Robinson, Michael D.	Farell, Margaret D.		RE: Securities Account Control Agreement	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
244	Email with Attachment(s)	9/18/12 2:25 PM	Farell, Margaret D.	Lathen, Jay; Robinson, Michael D.	Mandni, Jacquelyn A.; Doring, Matthew P.	EndCare Documents/Attorney client privileged	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
245	Email with Attachment(s)	9/18/12 2:36 PM	Farell, Margaret D.	Lathen, Jay; Robinson, Michael D.	Doring, Matthew P.; Mandni, Jacquelyn A.	Credit Line Agreement	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
246	Email	9/25/12 2:25 PM	Mandni, Jacquelyn A.	Robinson, Michael D.	Lathen, Jay	EndCare	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
247	Email with Attachment(s)	9/27/12 4:28 PM	Robinson, Michael D.	Farell, Margaret D.	Lathen, Jay; Mandni, Jacquelyn A.; Doring, Matthew P.	RE: EndCare Documents/Attorney client privileged Out of Office: EndCare Documents/Attorney client privileged	Attorney Client Privilege	Communication requesting legal advice of counsel.		
248	Email	9/27/12 4:29 PM	Farell, Margaret D.	Robinson, Michael D.			Attorney Client Privilege	Communication reflecting legal advice of counsel.		
249	Email	9/28/12 8:44 AM	Mandni, Jacquelyn A.	Robinson, Michael D.; Farell, Margaret D.	Lathen, Jay; Doring, Matthew P.	RE: EndCare Documents/Attorney client privileged	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
250	Email with Attachment(s)	10/1/12 5:10 PM	Robinson, Michael D.	Farell, Margaret D.	Doring, Matthew P.; Mandni, Jacquelyn A.; Lathen, Jay	Brochure and Participant's Agent Agreement	Attorney Client Privilege	Communication requesting legal advice of counsel.		
251	Email	10/1/12 6:55 PM	Farell, Margaret D.	Robinson, Michael D.	Doring, Matthew P.; Mandni, Jacquelyn A.; Lathen, Jay	RE: Brochure and Participant's Agent Agreement	Attorney Client Privilege	Communication reflecting legal advice of counsel.		

In the Matter of Eden Arc Capital Management, LLC (NY-9197)
Privilege Log

Entry Number	Record Type	Date	From	To	Cc	Email Subject or File Name	Privilege Type	Privilege Description	Redacted	Production Notes
252	Email with Attachment(s)	10/4/12 9:37 AM	Robinson, Michael D.	Farell, Margaret D.		Securities Account Control Agreement	Attorney Client Privilege	Communication requesting legal advice of counsel.		
253	Email with Attachment(s)	10/4/12 9:55 AM	Farell, Margaret D.	Robinson, Michael D.		RE: Securities Account Control Agreement	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
254	Email with Attachment(s)	11/16/12 12:32 PM	Robinson, Michael D.	Farell, Margaret D.; Lathen, Jay		Caramadre Memorandum Revisions	Attorney Client Privilege	Communication requesting legal advice of counsel.		
255	Email with Attachment(s)	11/19/12 10:54 AM	Roper, Eric	Lathen, Jay; Robinson, Michael D.		PW: vCard & Bio - Darren Kane, Former SEC Agent with Mission Critical Services	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
256	Email with Attachment(s)	11/19/12 10:56 AM	Roper, Eric	Lathen, Jay		PW: vCard & Bio - Darren Kane, Former SEC Agent with Mission Critical Services	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
257	Email with Attachment(s)	11/20/12 11:50 AM	Roper, Eric	Kane, Darren; Lathen, Jay; Robinson, Michael D.		Mission Critical Services	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
258	Email with Attachment(s)	11/20/12 12:10 PM	Lathen, Jay	Roper, Eric; Kane, Darren; Robinson, Michael D.		RE: vCard & Bio - Darren Kane, Former SEC Agent with Mission Critical Services	Attorney Client Privilege	Communication requesting legal advice of counsel.		
259	Email with Attachment(s)	11/20/12 12:11 PM	Lathen, Jay	Roper, Eric; Kane, Darren; Robinson, Michael D.		RE: vCard & Bio - Darren Kane, Former SEC Agent with Mission Critical Services	Attorney Client Privilege	Communication requesting legal advice of counsel.		
260	Email with Attachment(s)	11/20/12 4:42 PM	Kane, Darren	Lathen, Jay; Robinson, Michael D.	Roper, Eric	RE: vCard & Bio - Darren Kane, Former SEC Agent with Mission Critical Services	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
261	Email with Attachment(s)	11/28/12 9:40 AM	Kane, Darren	Lathen, Jay		SEC Exam Preparation - Specific Topics & Questions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
262	Email with Attachment(s)	11/29/12 11:15 AM	Lathen, Jay	Kane, Darren	Robinson, Michael D.	RE: SEC Exam Preparation - Specific Topics & Questions	Attorney Client Privilege	Communication requesting legal advice of counsel.		
263	Email with Attachment(s)	11/29/12 11:34 AM	Kane, Darren	Lathen, Jay	Robinson, Michael D.	RE: SEC Exam Preparation - Specific Topics & Questions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
264	Email with Attachment(s)	11/29/12 4:34 PM	Flanders, Robert G.	Lathen, Jay	Farell, Margaret D.	Detail for Work on Caramadre Memorandum	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
265	Email with Attachment(s)	11/30/12 6:06 PM	Lathen, Jay	Flanders, Robert G.	Farell, Margaret D.; Robinson, Michael D.	RE: Detail for Work on Caramadre Memorandum	Attorney Client Privilege	Communication requesting legal advice of counsel.		
266	Email with Attachment(s)	12/3/12 12:01 PM	Farell, Margaret D.	Robinson, Michael D.; Lathen, Jay		RE: "Caramadre Memorandum" Revisions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
267	Email with Attachment(s)	12/3/12 12:37 PM	Farell, Margaret D.	Robinson, Michael D.; Lathen, Jay		RE: "Caramadre Memorandum" Revisions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
268	Email with Attachment(s)	12/3/12 12:38 PM	Robinson, Michael D.	Farell, Margaret D.	Lathen, Jay	Re: "Caramadre Memorandum" Revisions	Attorney Client Privilege	Communication requesting legal advice of counsel.		
269	Email with Attachment(s)	12/3/12 4:00 PM	Robinson, Michael D.	Farell, Margaret D.	Lathen, Jay	Re: "Caramadre Memorandum" Revisions	Attorney Client Privilege	Communication requesting legal advice of counsel.		
270	Email with Attachment(s)	12/6/12 5:52 PM	Joseph, Cassandra E.	Lathen, Jay		RE: Compliance services	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
271	Email with Attachment(s)	12/6/12 6:23 PM	Lathen, Jay	Joseph, Cassandra E.; Beck, Rafael; Robinson, Michael D.		RE: Compliance services	Attorney Client Privilege	Communication requesting legal advice of counsel.		
272	Email with Attachment(s)	12/10/12 1:24 PM	Kane, Darren	Lathen, Jay		Your Availability - Darren Kane/Mission Critical Services	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
273	Email with Attachment(s)	12/10/12 5:34 PM	Joseph, Cassandra E.	Lathen, Jay; Robinson, Michael D.		IA books and records	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
274	Email with Attachment(s)	12/10/12 5:35 PM	Joseph, Cassandra E.	Lathen, Jay; Robinson, Michael D.		Steve Pope - Smarsh, Inc.	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
275	Email with Attachment(s)	12/10/12 5:36 PM	Joseph, Cassandra E.	Lathen, Jay; Robinson, Michael D.		Robert Fredericks - SECCAS, LLC	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
276	Email with Attachment(s)	12/10/12 5:37 PM	Joseph, Cassandra E.	Lathen, Jay; Robinson, Michael D.		Warren Hopwood - Global Relay Communications Inc.	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
277	Email with Attachment(s)	12/10/12 5:38 PM	Joseph, Cassandra E.	Lathen, Jay; Robinson, Michael D.		Email archives	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
278	Email with Attachment(s)	12/10/12 6:11 PM	Joseph, Cassandra E.	Lathen, Jay		RE: Email archives	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
279	Email with Attachment(s)	12/10/12 7:41 PM	Lathen, Jay	Joseph, Cassandra E.; Robinson, Michael D.; Lathen, Jay		RE: Email archives	Attorney Client Privilege	Communication requesting legal advice of counsel.		
280	Email with Attachment(s)	12/12/12 11:30 AM	Farell, Margaret D.	Robinson, Michael D.	Lathen, Jay	RE: "Caramadre Memorandum" Revisions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
281	Email with Attachment(s)	12/12/12 12:01 PM	Lathen, Jay	Farell, Margaret D.; Robinson, Michael D.		RE: "Caramadre Memorandum" Revisions	Attorney Client Privilege	Communication requesting legal advice of counsel.		
282	Email with Attachment(s)	12/12/12 3:47 PM	Farell, Margaret D.	Lathen, Jay; Robinson, Michael D.		RE: "Caramadre Memorandum" Revisions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
283	Email with Attachment(s)	12/14/12 1:33 PM	Farell, Margaret D.	Lathen, Jay; Robinson, Michael D.		RE: "Caramadre Memorandum" Revisions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
284	Email with Attachment(s)	12/14/12 4:44 PM	Lathen, Jay	Farell, Margaret D.; Robinson, Michael D.		RE: "Caramadre Memorandum" Revisions	Attorney Client Privilege	Communication requesting legal advice of counsel.		
285	Email with Attachment(s)	12/14/12 5:44 PM	Farell, Margaret D.	Lathen, Jay; Robinson, Michael D.		RE: "Caramadre Memorandum" Revisions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
286	Email with Attachment(s)	12/14/12 6:00 PM	Lathen, Jay	Farell, Margaret D.; Robinson, Michael D.		RE: "Caramadre Memorandum" Revisions	Attorney Client Privilege	Communication requesting legal advice of counsel.		
287	Email with Attachment(s)	12/14/12 6:06 PM	Farell, Margaret D.	Lathen, Jay; Robinson, Michael D.		RE: "Caramadre Memorandum" Revisions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
288	Email with Attachment(s)	12/18/12 11:31 AM	Joseph, Cassandra E.	Robinson, Michael D.	Beck, Rafael	RE: Email archives	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
289	Email with Attachment(s)	12/19/12 6:32 AM	Joseph, Cassandra E.	Robinson, Michael D.	Stupay, Michael; Beck, Rafael	RE: Email archives	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
290	Email with Attachment(s)	12/19/12 11:44 AM	Robinson, Michael D.	Farell, Margaret D.	Lathen, Jay	RE: "Caramadre Memorandum" Revisions	Attorney Client Privilege	Communication requesting legal advice of counsel.		
291	Email with Attachment(s)	12/19/12 12:05 PM	Farell, Margaret D.	Robinson, Michael D.	Lathen, Jay	RE: "Caramadre Memorandum" Revisions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
292	Email with Attachment(s)	12/19/12 12:35 PM	Robinson, Michael D.	Farell, Margaret D.		RE: "Caramadre Memorandum" Revisions	Attorney Client Privilege	Communication requesting legal advice of counsel.		
293	Email with Attachment(s)	12/19/12 12:59 PM	Farell, Margaret D.	Robinson, Michael D.	Lathen, Jay	RE: "Caramadre Memorandum" Revisions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
294	Email with Attachment(s)	12/19/12 1:05 PM	Robinson, Michael D.	Farell, Margaret D.	Lathen, Jay	Re: "Caramadre Memorandum" Revisions	Attorney Client Privilege	Communication requesting legal advice of counsel.		
295	Email with Attachment(s)	12/19/12 6:55 PM	Farell, Margaret D.	Lathen, Jay; Robinson, Michael D.		Memorandum	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
296	Email with Attachment(s)	12/21/12 10:17 AM	Farell, Margaret D.	Lathen, Jay; Robinson, Michael D.		Revised Memo	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
297	Email with Attachment(s)	12/21/12 1:55 PM	Farell, Margaret D.	Lathen, Jay; Robinson, Michael D.		Memo revised	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
298	Email with Attachment(s)	12/21/12 2:06 PM	Lathen, Jay	Farell, Margaret D.; Robinson, Michael D.		RE: Memo revised	Attorney Client Privilege	Communication requesting legal advice of counsel.		
299	Email with Attachment(s)	12/21/12 2:53 PM	Farell, Margaret D.	Lathen, Jay; Robinson, Michael D.		RE: Memo revised	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
300	Email with Attachment(s)	12/21/12 2:56 PM	Robinson, Michael D.	Farell, Margaret D.	Lathen, Jay	Re: Memo revised	Attorney Client Privilege	Communication requesting legal advice of counsel.		

**In the Matter of Eden Arc Capital Management, LLC (NY-9197)
Privilege Log**

Entry Number	Record Type	Date	From	To	CC	Email Subject or File Name	Privilege Type	Privilege Description	Redacted	Production (if any)
301	Email with Attachment(s)	12/21/12 5:07 PM	Ferrell, Margaret D.	Lathen, Jay; Robinson, Michael D.	Flanders, Robert G.	Memorandum	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
302	Email	12/21/12 6:55 PM	Ferrell, Margaret D.	Lathen, Jay	Robinson, Michael D.; Flanders, Robert G.	RE: Memorandum	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
303	Email	12/21/12 6:55 PM	Lathen, Jay	Ferrell, Margaret D.	Robinson, Michael D.; Flanders, Robert G.	Re: Memorandum	Attorney Client Privilege	Communication requesting legal advice of counsel.		
304	Email	12/26/12 10:42 PM	Joseph, Cassandra E.	Robinson, Michael D.	Beck, Rafael; Lathen, Jay; Stupay, Michael	Re: Eden Arc - Compliance Services proposal	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
305	Email	1/8/13 10:18 AM	Beck, Rafael	Robinson, Michael D.	Lathen, Jay; Stupay, Michael; Joseph, Cassandra E.	Re: Eden Arc - Compliance Services proposal	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
306	Email	1/9/13 5:33 PM	Robinson, Michael D.	Doring, Matthew P.	Ferrell, Margaret D.	Discretionary Line Agreement "Draft"	Attorney Client Privilege	Communication requesting legal advice of counsel.		
307	Email	1/9/13 5:40 PM	Doring, Matthew P.	Robinson, Michael D.	Ferrell, Margaret D.	Re: Discretionary Line Agreement "Draft"	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
308	Email with Attachment(s)	7/18/13 3:48 PM	Joseph, Cassandra E.	Robinson, Michael D.; Lathen, Jay		Eden Arc Manual 2013 0201.docx	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
309	Email	7/19/13 3:01 PM	Joseph, Cassandra E.	Lathen, Jay; Robinson, Michael D.		Accredited Investors	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
310	Email with Attachment(s)	2/20/13 4:29 PM	Joseph, Cassandra E.	Lathen, Jay; Robinson, Michael D.		Eden Arc Code of Ethics 2013 0201.doc	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
311	Email	2/26/13 1:49 PM	Robinson, Michael D.	Joseph, Cassandra E.	Lathen, Jay	Re: Eden Arc Code of Ethics 2013 0201.doc	Attorney Client Privilege	Communication requesting legal advice of counsel.		
312	Email	2/26/13 1:56 PM	Joseph, Cassandra E.	Robinson, Michael D.	Lathen, Jay	RE: Eden Arc Code of Ethics 2013 0201.doc	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
313	Email	2/26/13 1:57 PM	Robinson, Michael D.	Joseph, Cassandra E.	Lathen, Jay	Re: Eden Arc Code of Ethics 2013 0201.doc	Attorney Client Privilege	Communication requesting legal advice of counsel.		
314	Email	3/12/13 10:49 AM	Robinson, Michael D.	Joseph, Cassandra E.	Lathen, Jay	Discussion of the Draft Compliance Manuals	Attorney Client Privilege	Communication requesting legal advice of counsel.		
315	Email	3/12/13 10:51 AM	Robinson, Michael D.	Joseph, Cassandra E.		Manuals	Attorney Client Privilege	Communication requesting legal advice of counsel.		
316	Email	3/12/13 2:10 PM	Joseph, Cassandra E.	Robinson, Michael D.		Re: Manuals	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
317	Email	3/12/13 2:40 PM	Robinson, Michael D.	Joseph, Cassandra E.		Re: Manuals	Attorney Client Privilege	Communication requesting legal advice of counsel.		
318	Email with Attachment(s)	3/13/13 11:49 AM	Robinson, Michael D.	Joseph, Cassandra E.		Re: Manuals	Attorney Client Privilege	Communication requesting legal advice of counsel.		
319	Email	3/13/13 11:50 AM	Joseph, Cassandra E.	Robinson, Michael D.		RE: Manuals	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
320	Email with Attachment(s)	3/13/13 1:13 PM	Robinson, Michael D.	Joseph, Cassandra E.		Re: Manuals	Attorney Client Privilege	Communication requesting legal advice of counsel.		
321	Email	3/13/13 1:22 PM	Joseph, Cassandra E.	Robinson, Michael D.		RE: Manuals	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
322	Email	3/13/13 6:21 PM	Joseph, Cassandra E.	Robinson, Michael D.		RE: Manuals	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
323	Email	3/14/13 2:19 PM	Joseph, Cassandra E.	Lathen, Jay; Robinson, Michael D.		IA registration	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
324	Email	3/14/13 2:35 PM	Joseph, Cassandra E.	Lathen, Jay; Robinson, Michael D.		TX IA	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
325	Email with Attachment(s)	3/14/13 2:42 PM	Joseph, Cassandra E.	Lathen, Jay; Robinson, Michael D.		Oscar Gonzales - Texas State Securities Board	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
326	Email with Attachment(s)	3/14/13 2:45 PM	Joseph, Cassandra E.	Lathen, Jay; Robinson, Michael D.		Series 65 & 66 licenses.docx	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
327	Email with Attachment(s)	3/14/13 3:42 PM	Joseph, Cassandra E.	Lathen, Jay; Robinson, Michael D.		Performance Fees.docx	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
328	Email	3/14/13 3:48 PM	Joseph, Cassandra E.	Lathen, Jay; Robinson, Michael D.		IA overall requirements per SEC	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
329	Email	3/14/13 3:50 PM	Joseph, Cassandra E.	Lathen, Jay; Robinson, Michael D.		More from SEC re regulatory requirements	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
330	Email with Attachment(s)	3/14/13 4:10 PM	Joseph, Cassandra E.	Lathen, Jay; Robinson, Michael D.		Eden Arc Privacy Notice 2013 0314.docx	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
331	Email with Attachment(s)	3/14/13 5:07 PM	Joseph, Cassandra E.	Lathen, Jay; Robinson, Michael D.		COMPLIANCE CHECKLIST for IA's.doc	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
332	Email	3/14/13 7:29 PM	Lathen, Jay	Joseph, Cassandra E.	Robinson, Michael D.	RE: TX IA	Attorney Client Privilege	Communication requesting legal advice of counsel.		
333	Email	3/14/13 7:31 PM	Joseph, Cassandra E.	Lathen, Jay		RE: TX IA	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
334	Email	3/14/13 7:33 PM	Joseph, Cassandra E.	Lathen, Jay		RE: TX IA	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
335	Email	3/14/13 7:44 PM	Lathen, Jay	Joseph, Cassandra E.		RE: TX IA	Attorney Client Privilege	Communication requesting legal advice of counsel.		
336	Email	3/21/13 4:04 PM	Robinson, Michael D.	Joseph, Cassandra E.		RE: The Docs	Attorney Client Privilege	Communication requesting legal advice of counsel.		
337	Email	3/21/13 4:08 PM	Joseph, Cassandra E.	Robinson, Michael D.		RE: The Docs	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
338	Email	3/21/13 4:53 PM	Robinson, Michael D.	Joseph, Cassandra E.		RE: The Docs	Attorney Client Privilege	Communication requesting legal advice of counsel.		
339	Email	3/22/13 7:09 PM	Joseph, Cassandra E.	Lathen, Jay; Robinson, Michael D.		TX IA NOTICE filing	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
340	Email	3/22/13 7:15 PM	Joseph, Cassandra E.	Lathen, Jay; Robinson, Michael D.		FL IA registration - from FL FAQs	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
341	Email	3/25/13 11:06 AM	Joseph, Cassandra E.	Lathen, Jay; Robinson, Michael D.		TX registration	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
342	Email	3/25/13 11:08 AM	Joseph, Cassandra E.	Lathen, Jay; Robinson, Michael D.		TX Issues	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
343	Email	3/26/13 11:34 AM	Lathen, Jay	Joseph, Cassandra E.	Robinson, Michael D.	Re: TX registration	Attorney Client Privilege	Communication requesting legal advice of counsel.		
344	Email	3/26/13 11:52 AM	Joseph, Cassandra E.	Lathen, Jay		RE: TX registration	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
345	Email	4/1/13 12:24 PM	Joseph, Cassandra E.	Robinson, Michael D.		RE: The Docs	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
346	Email	4/2/13 3:22 PM	Joseph, Cassandra E.	Robinson, Michael D.		RE: Updates from Us	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
347	Email with Attachment(s)	4/8/13 2:12 PM	Joseph, Cassandra E.	Lathen, Jay; Robinson, Michael D.		EdenArc AML Procedures 2013 0408.docx	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
348	Email with Attachment(s)	4/8/13 2:55 PM	Joseph, Cassandra E.	Lathen, Jay; Robinson, Michael D.		Eden Arc BCP 2013 0408.doc	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
349	Email with Attachment(s)	4/9/13 9:53 AM	Robinson, Michael D.	Joseph, Cassandra E.	Lathen, Jay	Code of Ethics & Compliance Policies and Procedures	Attorney Client Privilege	Communication requesting legal advice of counsel.		
350	Email	4/24/13 12:35 PM	Robinson, Michael D.	Joseph, Cassandra E.		Documents	Attorney Client Privilege	Communication requesting legal advice of counsel.		
351	Email	4/24/13 11:38 PM	Robinson, Michael D.	Joseph, Cassandra E.		Documents	Attorney Client Privilege	Communication requesting legal advice of counsel.		
352	Email	4/25/13 4:07 AM	Joseph, Cassandra E.	Robinson, Michael D.		Re: Documents	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
353	Email	4/25/13 7:31 AM	Robinson, Michael D.	Joseph, Cassandra E.		Re: Documents	Attorney Client Privilege	Communication requesting legal advice of counsel.		
354	Email	4/25/13 8:05 AM	Lathen, Jay	Lathen, Jay		Time to talk about compliance?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
355	Email	5/13/13 1:12 PM	Joseph, Cassandra E.	Lathen, Jay; Robinson, Michael D.		Do you have availability today?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
356	Email	5/13/13 3:44 PM	Robinson, Michael D.	Joseph, Cassandra E.		Re: Do you have availability today?	Attorney Client Privilege	Communication requesting legal advice of counsel.		
357	Email	5/13/13 4:10 PM	Joseph, Cassandra E.	Robinson, Michael D.		RE: Do you have availability today?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
358	Email	5/13/13 4:17 PM	Robinson, Michael D.	Joseph, Cassandra E.		Re: Do you have availability today?	Attorney Client Privilege	Communication requesting legal advice of counsel.		
359	Email	5/13/13 4:20 PM	Joseph, Cassandra E.	Robinson, Michael D.		RE: Do you have availability today?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
360	Email	5/13/13 4:30 PM	Robinson, Michael D.	Joseph, Cassandra E.		Re: Do you have availability today?	Attorney Client Privilege	Communication requesting legal advice of counsel.		
361	Email	5/13/13 4:07 PM	Joseph, Cassandra E.	Robinson, Michael D.		Still on the phone....	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
362	Email	5/13/13 4:12 PM	Robinson, Michael D.	Joseph, Cassandra E.		Re: Still on the phone....	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
363	Email	5/13/13 4:22 PM	Joseph, Cassandra E.	Robinson, Michael D.		Re: Still on the phone....	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
364	Email	5/13/13 7:09 PM	Robinson, Michael D.	Joseph, Cassandra E.		Re: Still on the phone....	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
365	Email	5/13/13 7:10 PM	Joseph, Cassandra E.	Robinson, Michael D.		Re: Still on the phone....	Attorney Client Privilege	Communication reflecting legal advice of counsel.		

**In the Matter of Eden Arc Capital Management, LLC (NY-9197)
Privilege Log**

Entry Number	Record Type	Date	From	To	Cc	Email Subject or File Name	Privilege Type	Privilege Description	Redacted	Production Dates
366	Email	5/22/13 11:59 PM	Robinson, Michael D.	Joseph, Cassandra E.		Re: Still on the phone....	Attorney Client Privilege	Communication requesting legal advice of counsel.		
367	Email with Attachment(s)	6/20/13 3:03 PM	Lathen, Jay	Roper, Eric		Current Docs	Attorney Client Privilege	Communication requesting legal advice of counsel.		
368	Email with Attachment(s)	6/26/13 8:19 PM	Roper, Eric	Lathen, Jay; Calaguto, Cheryl		FW: Revised Docs	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
369	Email with Attachment(s)	6/27/13 12:36 PM	Lathen, Jay	Roper, Eric; Calaguto, Cheryl		RE: Revised Docs	Attorney Client Privilege	Communication requesting legal advice of counsel.		
370	Email with Attachment(s)	6/27/13 1:30 PM	Roper, Eric	Lathen, Jay; Calaguto, Cheryl		Final documents.	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
371	Email with Attachment(s)	7/2/13 5:30 PM	Joseph, Cassandra E.	Robinson, Michael D.	Lathen, Jay	Eden Arc Manual v. 2 2013 0702.docx	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
372	Email	7/2/13 5:50 PM	Robinson, Michael D.	Joseph, Cassandra E.	Lathen, Jay	Re: Eden Arc Manual v. 2 2013 0702.docx	Attorney Client Privilege	Communication requesting legal advice of counsel.		
373	Email	7/3/13 2:30 AM	Joseph, Cassandra E.	Robinson, Michael D.	Lathen, Jay	Re: Eden Arc Manual v. 2 2013 0702.docx	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
374	Email	7/7/13 5:38 PM	Joseph, Cassandra E.	Robinson, Michael D.		Re: Eden Arc Manual v. 2 2013 0702.docx	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
375	Email with Attachment(s)	7/7/13 5:38 PM	Robinson, Michael D.	Joseph, Cassandra E.		Re: Eden Arc Manual v. 2 2013 0702.docx	Attorney Client Privilege	Communication requesting legal advice of counsel.		
376	Email with Attachment(s)	7/8/13 6:59 PM	Lathen, Jay	Roper, Eric; Calaguto, Cheryl		RE: Final documents	Attorney Client Privilege	Communication requesting legal advice of counsel.		
377	Email	7/16/13 9:14 PM	Roper, Eric	Lathen, Jay		Out of Office	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
378	Email	7/26/13 12:17 PM	Joseph, Cassandra E.	Robinson, Michael D.		Still in Maine?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
379	Email	7/26/13 3:14 PM	Robinson, Michael D.	Joseph, Cassandra E.		Re: Still in Maine?	Attorney Client Privilege	Communication requesting legal advice of counsel.		
380	Email	7/26/13 3:15 PM	Robinson, Michael D.	Joseph, Cassandra E.		Re: Still in Maine?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
381	Email	7/26/13 3:16 PM	Joseph, Cassandra E.	Robinson, Michael D.		RE: Still in Maine?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
382	Email	7/26/13 3:17 PM	Joseph, Cassandra E.	Robinson, Michael D.		RE: Still in Maine?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
383	Email	7/31/13 5:19 PM	Robinson, Michael D.	Joseph, Cassandra E.		Re: Still in Maine?	Attorney Client Privilege	Communication requesting legal advice of counsel.		
384	Email	7/31/13 5:29 PM	Joseph, Cassandra E.	Robinson, Michael D.		RE: Still in Maine?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
385	Email	8/9/13 3:47 PM	Joseph, Cassandra E.	Robinson, Michael D.		Back in Maine?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
387	Email	8/9/13 2:36 PM	Joseph, Cassandra E.	Robinson, Michael D.		RE: Back in Maine?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
388	Email	8/9/13 2:38 PM	Robinson, Michael D.	Joseph, Cassandra E.	Lathen, Jay	Re: Back in Maine?	Attorney Client Privilege	Communication requesting legal advice of counsel.		
389	Email	8/9/13 2:30 PM	Joseph, Cassandra E.	Robinson, Michael D.		RE: Back in Maine?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
390	Email	8/9/13 3:07 PM	Joseph, Cassandra E.	Robinson, Michael D.		Meet w/ M. Robinson (Eden Arc)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
391	Email with Attachment(s)	8/12/13 3:10 PM	Joseph, Cassandra E.	Robinson, Michael D.		RE: Back in Maine?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
392	Email	8/13/13 1:55 PM	Lathen, Jay; Robinson, Michael D.	Farrill, Margaret D.		Manuals	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
393	Email	8/23/13 12:09 PM	Lathen, Jay	Farrill, Margaret D.		FW: Benchmark Plus ODD follow-up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
394	Email	8/23/13 12:30 PM	Farrill, Margaret D.	Lathen, Jay		Re: Benchmark Plus ODD follow-up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
395	Email	8/23/13 12:43 PM	Lathen, Jay	Farrill, Margaret D.		RE: Benchmark Plus ODD follow-up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
396	Email	8/23/13 1:00 PM	Farrill, Margaret D.	Lathen, Jay		Re: Benchmark Plus ODD follow-up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
397	Email	8/23/13 3:26 PM	Lathen, Jay	Farrill, Margaret D.		RE: Benchmark Plus ODD follow-up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
398	Email	9/9/13 1:15 PM	Lathen, Jay	Malino, Phaedra	Roper, Eric	RE: Law Offices of Eric B. Roper PC - SECOND NOTICE	Attorney Client Privilege	Communication requesting legal advice of counsel.		
399	Email with Attachment(s)	9/18/13 10:33 AM	Kane, Darren	Lathen, Jay	Davis, Amanda; Brooks, Jenna	Engagement Letter - Mission Critical Services & Eden Arc Capital Management	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
400	Email	9/20/13 6:46 PM	Joseph, Cassandra E.	Lathen, Jay		FW: SEC Charges Father and Son in South Carolina for Fraudulent Program Designed to Profit From Fate of Terminally Ill	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
401	Email	9/21/13 4:22 AM	Lathen, Jay	Flanders, Robert G.; Farrill, Margaret D.		SEC Case Related to Survivor's Option Bonds	Attorney Client Privilege	Communication requesting legal advice of counsel.		
402	Email with Attachment(s)	9/21/13 8:32 AM	Lathen, Jay	Lathen, Kathy		FW: SEC Charges Father and Son in South Carolina for Fraudulent Program Designed to Profit From Fate of Terminally Ill	Attorney Client Privilege	Communication reflecting legal advice of counsel of Cassandra Joseph.		
403	Email	9/25/13 10:29 AM	Robinson, Michael D.	Farrill, Margaret D.		Profit Sharing Agreement	Attorney Client Privilege	Communication requesting legal advice of counsel.		
404	Email with Attachment(s)	9/25/13 10:46 AM	Lathen, Jay	Flanders, Robert G.; Farrill, Margaret D.		FW: Redemption Request	Attorney Client Privilege	Communication requesting legal advice of counsel.		
405	Email	9/25/13 11:03 AM	Robinson, Michael D.	Farrill, Margaret D.		Boilerplate	Attorney Client Privilege	Communication requesting legal advice of counsel.		
406	Email	9/25/13 1:49 PM	Farrill, Margaret D.	Robinson, Michael D.	Lathen, Jay	RE: Profit Sharing Agreement/Privileged communication	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
407	Email with Attachment(s)	9/25/13 3:51 PM	Lathen, Jay	Farrill, Margaret D.; Flanders, Robert G.	Robinson, Michael D.	FW: Goldman Sachs Bank USA	Attorney Client Privilege	Communication requesting legal advice of counsel.		
408	Email	9/25/13 4:02 PM	Farrill, Margaret D.	Lathen, Jay; Flanders, Robert G.	Robinson, Michael D.	RE: Goldman Sachs Bank USA	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
409	Email with Attachment(s)	9/25/13 9:35 PM	Lathen, Jay	Farrill, Margaret D.; Flanders, Robert G.	Robinson, Michael D.	RE: Goldman Sachs Bank USA	Attorney Client Privilege	Communication requesting legal advice of counsel.		
410	Email	9/25/13 10:31 PM	Robinson, Michael D.	Lathen, Jay	Farrill, Margaret D.; Flanders, Robert G.	Re: Goldman Sachs Bank USA	Attorney Client Privilege	Communication requesting legal advice of counsel.		
411	Email	9/25/13 8:54 AM	Farrill, Margaret D.	Robinson, Michael D.; Lathen, Jay	Flanders, Robert G.	RE: Goldman Sachs Bank USA	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
412	Email with Attachment(s)	9/26/13 10:18 AM	Robinson, Michael D.	Farrill, Margaret D.		CL King Standard Account Form	Attorney Client Privilege	Communication requesting legal advice of counsel.		
413	Email	9/27/13 10:24 AM	Lathen, Jay	Farrill, Margaret D.; Flanders, Robert G.		Response Letter to Skidley Austin	Attorney Client Privilege	Communication requesting legal advice of counsel.		
414	Email	9/27/13 11:02 AM	Flanders, Robert G.	Lathen, Jay	Farrill, Margaret D.	RE: Response Letter to Skidley Austin	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
415	Email with Attachment(s)	9/27/13 1:16 PM	Flanders, Robert G.	Lathen, Jay	Farrill, Margaret D.	Skidley Letter	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
416	Email	9/27/13 1:26 PM	Lathen, Jay	Flanders, Robert G.	Farrill, Margaret D.	RE: Skidley Letter	Attorney Client Privilege	Communication requesting legal advice of counsel.		
417	Email	9/27/13 1:55 PM	Flanders, Robert G.	Lathen, Jay	Farrill, Margaret D.	RE: Skidley Letter	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
418	Email with Attachment(s)	9/27/13 2:15 PM	Flanders, Robert G.	Lathen, Jay		Case Summaries You Requested	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
419	Email with Attachment(s)	9/27/13 2:24 PM	Flanders, Robert G.	Massey, William R.		Goldman Sachs/Donald Lathen	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
420	Email with Attachment(s)	9/20/13 10:01 AM	Lathen, Jay	Lathen, Jay		FW: Revised Participant Agreement	Attorney Client Privilege/Work Product	Communication reflecting legal advice of Margaret Farrill.		
421	Email	9/20/13 10:02 AM	Lathen, Jay	Lathen, Jay		FW: Benchmark Plus Questions	Attorney Client Privilege	Communication reflecting legal advice of Margaret Farrill.		

**In the Matter of Eden Arc Capital Management, LLC (NY-9197)
Privilege Log**

Entry Number	Record Type	Date	From	To	Cc	Email Subject or File Name	Privilege Type	Privilege Description	Redacted	Production Date
422	Email	9/30/13 5:07 PM	Lathen, Jay	Flanders, Robert G.		RE: Case Summaries You Requested	Attorney Client Privilege	Communication requesting legal advice of counsel.		
423	Email	10/17/13 8:22 AM	Lathen, Jay	Roper, Eric		Got your message yesterday	Attorney Client Privilege	Communication requesting legal advice of counsel.		
424	Email	10/17/13 3:25 PM	Roper, Eric	Lathen, Jay; Strohmenger, Richard		RE: Got your message yesterday	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
425	Email	10/17/13 5:17 PM	Lathen, Jay	Roper, Eric; Strohmenger, Richard		RE: Got your message yesterday	Attorney Client Privilege	Communication requesting legal advice of counsel.		
426	Email	10/2/13 10:19 AM	Lathen, Jay	Kane, Darren	Davis, Amanda; Brooks, Jenna; Robinson, Michael D.	RE: Engagement Letter – Mission Critical Services & Eden Arc Capital Management	Attorney Client Privilege	Communication requesting legal advice of counsel.		
427	Email	10/2/13 10:27 AM	Kane, Darren	Lathen, Jay		RE: Engagement Letter – Mission Critical Services & Eden Arc Capital Management	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
428	Email with Attachment(s)	10/2/13 10:35 AM	Robinson, Michael D.	Kane, Darren	Lathen, Jay; Davis, Amanda; Brooks, Jenna	RE: Engagement Letter – Mission Critical Services & Eden Arc Capital Management	Attorney Client Privilege	Communication requesting legal advice of counsel.		
429	Email	10/2/13 5:35 PM	Strohmenger, Richard	Lathen, Jay	Tannenbaum, Michael G.; Roper, Eric	Eden Arc Capital	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
430	Email with Attachment(s)	10/2/13 9:56 PM	Kane, Darren	Robinson, Michael D.	Lathen, Jay; Davis, Amanda; Brooks, Jenna	RE: Engagement Letter – Mission Critical Services & Eden Arc Capital Management	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
431	Email	10/2/13 9:56 PM	Kane, Darren	Lathen, Jay	Robinson, Michael D.	Your Availability	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
432	Email with Attachment(s)	10/3/13 4:57 PM	Flanders, Robert G.	Lathen, Jay	Ferrell, Margaret D.	FW: Goldman Sachs Bank USA	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
433	Email with Attachment(s)	10/3/13 4:57 PM	Lathen, Jay	Flanders, Robert G.		FW: Goldman Sachs Bank USA	Attorney Client Privilege	Communication requesting legal advice of counsel.		
434	Email	10/7/13 6:13 PM	Lathen, Jay	Kane, Darren	Robinson, Michael D.	RE: Your Availability	Attorney Client Privilege	Communication requesting legal advice of counsel.		
435	Email	10/7/13 6:38 PM	Kane, Darren	Lathen, Jay	Robinson, Michael D.	RE: Your Availability	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
436	Email	10/7/13 6:40 PM	Lathen, Jay	Kane, Darren	Robinson, Michael D.	RE: Your Availability	Attorney Client Privilege	Communication requesting legal advice of counsel.		
437	Email	10/7/13 7:27 PM	Kane, Darren	Lathen, Jay	Robinson, Michael D.	RE: Your Availability	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
438	Email	10/7/13 8:23 PM	Kane, Darren	Lathen, Jay		RE: Your Availability	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
439	Email with Attachment(s)	10/9/13 2:29 PM	Lathen, Jay	Lathen, Jay		FW: Memorandum to Jay Lathen	Attorney Work Product	Communication attaching privileged work product.		
440	Email	10/9/13 7:41 PM	Flanders, Robert G.	Massay, William B.	Zarchan, Arvoh H.; Stern, Andrew W.	RE: Call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
441	Email	10/10/13 10:07 AM	Flanders, Robert G.	Massay, William B.	Zarchan, Arvoh H.; Stern, Andrew W.	RE: Call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
442	Email	10/15/13 12:07 PM	Lathen, Jay	Flanders, Robert G.		Call	Attorney Client Privilege	Communication requesting legal advice of counsel.		
443	Email	10/15/13 1:23 PM	Flanders, Robert G.	Lathen, Jay		RE: Call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
444	Email	10/15/13 1:37 PM	Lathen, Jay	Flanders, Robert G.		RE: Call	Attorney Client Privilege	Communication requesting legal advice of counsel.		
445	Email	10/15/13 3:44 PM	Lathen, Jay	Kane, Darren		Today's call	Attorney Client Privilege	Communication requesting legal advice of counsel.		
446	Email	10/15/13 3:48 PM	Kane, Darren	Lathen, Jay		RE: Today's call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
447	Email	10/17/13 12:46 PM	Lathen, Jay	Kane, Darren		RE: Today's call	Attorney Client Privilege	Communication requesting legal advice of counsel.		
448	Email	10/17/13 12:57 PM	Kane, Darren	Lathen, Jay		RE: Today's call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
449	Email	10/17/13 1:02 PM	Lathen, Jay	Kane, Darren	Robinson, Michael D.	RE: Today's call	Attorney Client Privilege	Communication requesting legal advice of counsel.		
450	Email	10/17/13 1:32 PM	Kane, Darren	Lathen, Jay	Robinson, Michael D.	RE: Today's call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
451	Email with Attachment(s)	10/20/13 7:46 PM	Flanders, Robert G.	Lathen, Jay		RE: Goldman Sachs Bank USA	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
452	Email with Attachment(s)	10/21/13 11:44 AM	Lathen, Jay	Flanders, Robert G.		RE: Goldman Sachs Bank USA	Attorney Client Privilege	Communication requesting legal advice of counsel.		
453	Email	10/21/13 5:38 PM	Flanders, Robert G.	Lathen, Jay		RE: Goldman Sachs Bank USA	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
454	Email with Attachment(s)	10/21/13 5:44 PM	Lathen, Jay	Flanders, Robert G.		RE: Goldman Sachs Bank USA	Attorney Client Privilege	Communication requesting legal advice of counsel.		
455	Email	10/23/13 10:20 AM	Roper, Eric	Lathen, Jay		RE: Eden Arc Capital Partners - September 2013 Performance Summary	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
456	Email with Attachment(s)	10/23/13 11:41 AM	Flanders, Robert G.	Lathen, Jay	Ferrell, Margaret D.	RE: Goldman Sachs Bank USA	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
457	Email with Attachment(s)	10/23/13 1:05 PM	Lathen, Jay	Flanders, Robert G.	Ferrell, Margaret D.	RE: Goldman Sachs Bank USA	Attorney Client Privilege	Communication requesting legal advice of counsel.		
458	Email	10/23/13 4:18 PM	Lathen, Jay	Flanders, Robert G.		Call tomorrow	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
459	Email with Attachment(s)	10/23/13 4:31 PM	Kane, Darren	Lathen, Jay		SEC Enforcement Action	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
460	Email with Attachment(s)	10/23/13 4:58 PM	Kane, Darren	Lathen, Jay		SeipR 2	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
461	Email with Attachment(s)	10/23/13 5:02 PM	Kane, Darren	Lathen, Jay		Sent from Snapping Tool	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
462	Email	10/23/13 7:43 PM	Kane, Darren	Lathen, Jay		Document Request	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
463	Email	10/23/13 9:26 PM	Kane, Darren	Lathen, Jay	Kane, Darren	Document Request 2	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
464	Email	10/24/13 1:08 PM	Kane, Darren	Lathen, Jay		RE: Document Request	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
465	Email	10/24/13 1:08 PM	Kane, Darren	Lathen, Jay		RE: Document Request 2	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
466	Email	10/24/13 1:44 PM	Kane, Darren	Lathen, Jay		RE: Document Request 2	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
467	Email with Attachment(s)	10/24/13 4:55 PM	Kane, Darren	Lathen, Jay		RE: Eden Arc Capital Partners - September 2013 Performance Summary	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
468	Email with Attachment(s)	10/25/13 12:50 PM	Lathen, Jay	Flanders, Robert G.		Follow-up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
469	Email with Attachment(s)	10/25/13 2:12 PM	Flanders, Robert G.	Lathen, Jay		FW: Follow-up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
470	Email	10/25/13 2:56 PM	Ferrell, Margaret D.	Flanders, Robert G.; Lathen, Jay		RE: Follow-up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
471	Email	10/25/13 3:41 PM	Lathen, Jay	Ferrell, Margaret D.; Flanders, Robert G.		RE: Follow-up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
472	Email	10/25/13 6:15 PM	Ferrell, Margaret D.	Lathen, Jay; Flanders, Robert G.		RE: Follow-up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
473	Email	10/28/13 9:54 AM	Lathen, Jay	Roper, Eric		RE: Eden Arc Capital Partners - September 2013 Performance Summary	Attorney Client Privilege	Communication requesting legal advice of counsel.		
474	Email	10/28/13 11:36 AM	Kane, Darren	Lathen, Jay		RE: Eden Arc Capital Partners - September 2013 Performance Summary	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
475	Email	10/28/13 2:13 PM	Roper, Eric	Lathen, Jay		RE: Eden Arc Capital Partners - September 2013 Performance Summary	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
476	Email with Attachment(s)	10/28/13 2:23 PM	Flanders, Robert G.	Lathen, Jay		RE: Follow-up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
477	Email with Attachment(s)	10/28/13 2:47 PM	Lathen, Jay	Flanders, Robert G.		FW: PerSeipR 381A3ASSO	Attorney Client Privilege	Communication requesting legal advice of counsel.		
478	Email	10/28/13 2:48 PM	Lathen, Jay	Flanders, Robert G.		RE: Follow-up	Attorney Client Privilege	Communication requesting legal advice of counsel.		

**In the Matter of Eden Arc Capital Management, LLC (NY-9197)
Privilege Log**

Entry Number	Record Type	Date	From	To	Cc	Email Subject or File Name	Privilege Type	Privilege Description	Redacted	Production Basis
479	Email	10/29/13 6:55 AM	Kane, Darren	Lathen, Jay		New Rule 506(d)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
480	Email	11/4/13 11:34 AM	Lathen, Jay	Loneragan, Kyle A.		Re: Talk	Attorney Client Privilege	Communication requesting legal advice of counsel.		
481	Email	11/4/13 11:25 AM	Loneragan, Kyle A.	Lathen, Jay		RE: Talk	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
482	Email with Attachment(s)	11/4/13 11:55 AM	Lathen, Jay	Loneragan, Kyle A.		RE: Talk	Attorney Client Privilege	Communication requesting legal advice of counsel.		
483	Email	11/4/13 3:26 PM	Lathen, Jay	Kane, Darren		RE: New Rule 506(d)	Attorney Client Privilege	Communication requesting legal advice of counsel.		
484	Email	11/4/13 3:54 PM	Kane, Darren	Lathen, Jay		RE: New Rule 506(d)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
485	Email with Attachment(s)	11/6/13 11:28 AM	Robbins, David E.	Lathen, Jay		Robbins, Your call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
486	Email	11/6/13 12:10 PM	Loneragan, Kyle A.	Lathen, Jay		RE: Your call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
487	Email	11/6/13 12:11 PM	Lathen, Jay	Loneragan, Kyle A.		RE: Your call	Attorney Client Privilege	Communication requesting legal advice of counsel.		
488	Email with Attachment(s)	11/6/13 5:25 PM	Lathen, Jay	Robbins, David E.		Follow-up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
489	Email	11/6/13 5:14 PM	Loneragan, Kyle A.	Lathen, Jay		RE: Your call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
490	Email	11/7/13 10:54 AM	Robbins, David E.	Lathen, Jay	Gilkin, Daniel	RE: Follow-up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
491	Email with Attachment(s)	11/7/13 2:47 PM	Loneragan, Kyle A.	Lathen, Jay		Attorney Recommendations	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
492	Email	11/7/13 5:49 PM	Lathen, Jay	Randers, Robert G.		FIRREA	Attorney Client Privilege	Communication requesting legal advice of counsel.		
493	Email	11/7/13 6:39 PM	Lathen, Jay	Randers, Robert G.	Robinson, Michael D.	New Goldman Death Put Language	Attorney Client Privilege	Communication requesting legal advice of counsel.		
494	Email	11/7/13 7:33 PM	Randers, Robert G.	Lathen, Jay		Re: New Goldman Death Put Language	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
495	Email	11/7/13 7:41 PM	Randers, Robert G.	Lathen, Jay		Re: FIRREA	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
496	Email	11/7/13 9:17 PM	Randers, Robert G.	Lathen, Jay		Fwd: FIRREA	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
497	Email	11/8/13 9:50 AM	Randers, Robert G.	Lathen, Jay		Fwd: FIRREA	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
498	Email	11/8/13 7:23 PM	Lathen, Jay	Loneragan, Kyle A.		RE: Attorney Recommendations	Attorney Client Privilege	Communication requesting legal advice of counsel.		
499	Email	11/13/13 10:45 AM	Lathen, Jay	Ferrell, Margaret D.		Follow-up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
500	Email	11/13/13 10:48 AM	Ferrell, Margaret D.	Lathen, Jay		RE: Follow-up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
501	Email	11/13/13 10:58 AM	Lathen, Jay	Ferrell, Margaret D.		RE: Follow-up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
502	Email	11/13/13 11:07 AM	Ferrell, Margaret D.	Lathen, Jay		RE: Follow-up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
503	Email	11/13/13 1:57 PM	Lathen, Jay	Ferrell, Margaret D.		Fwd: New Goldman Death Put Language	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
504	Email with Attachment(s)	11/14/13 11:10 AM	Lathen, Jay	Ferrell, Margaret D.		Survivor Option Guidelines	Attorney Client Privilege	Communication requesting legal advice of counsel.		
505	Email with Attachment(s)	11/20/13 11:45 AM	Lathen, Jay	Randers, Robert G.		Fwd: FINRA Letter	Attorney Client Privilege	Communication requesting legal advice of counsel.		
506	Email with Attachment(s)	11/20/13 12:37 PM	Lathen, Jay	Randers, Robert G.		USA v Parter	Attorney Client Privilege	Communication requesting legal advice of counsel.		
507	Email	11/20/13 1:44 PM	Randers, Robert G.	Lathen, Jay		RE: USA v Parter	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
508	Email with Attachment(s)	11/22/13 4:11 PM	Randers, Robert G.	Lathen, Jay		Draft CL King letter	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
509	Email	12/1/13 4:47 PM	Kane, Darren	Lathen, Jay		Your Availability - Monthly Compliance Call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
510	Email	12/3/13 5:22 PM	Lathen, Jay	Kane, Darren		RE: Your Availability - Monthly Compliance Call	Attorney Client Privilege	Communication requesting legal advice of counsel.		
511	Email	12/3/13 5:16 PM	Lathen, Jay	Randers, Robert G.		RE: Draft CL King letter	Attorney Client Privilege	Communication requesting legal advice of counsel.		
512	Email	12/3/13 5:28 PM	Randers, Robert G.	Lathen, Jay		RE: Draft CL King letter	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
513	Email with Attachment(s)	12/3/13 5:44 PM	Lathen, Jay	Randers, Robert G.		Fwd: Bloomberg Law Document Delivery	Attorney Client Privilege	Communication requesting legal advice of counsel.		
514	Email	12/3/13 8:15 PM	Kane, Darren	Lathen, Jay		RE: Your Availability - Monthly Compliance Call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
515	Email	12/4/13 2:54 PM	Lathen, Jay	Kane, Darren		RE: Your Availability - Monthly Compliance Call	Attorney Client Privilege	Communication requesting legal advice of counsel.		
516	Email	12/4/13 3:14 PM	Kane, Darren	Lathen, Jay		RE: Your Availability - Monthly Compliance Call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
517	Email	12/4/13 3:18 PM	Lathen, Jay	Kane, Darren		RE: Your Availability - Monthly Compliance Call	Attorney Client Privilege	Communication requesting legal advice of counsel.		
518	Email with Attachment(s)	12/6/13 2:44 PM	Lathen, Jay	Randers, Robert G.; Ferrell, Margaret D.		FBI Memo on Staples Case	Attorney Client Privilege	Communication requesting legal advice of counsel.		
519	Email	12/6/13 2:45 PM	Ferrell, Margaret D.	Lathen, Jay; Randers, Robert G.		RE: FBI Memo on Staples Case	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
520	Email with Attachment(s)	12/6/13 5:35 PM	Randers, Robert G.	Lathen, Jay		Fwd: Accounts #7090-0028, Donald Lathen and Frederick Jackson, JTWRDS	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
521	Email with Attachment(s)	12/8/13 6:49 PM	Kane, Darren	Lathen, Jay		RE: Your Availability - Monthly Compliance Call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
522	Email	12/9/13 11:19 AM	Robinson, Michael D.	Kane, Darren		Log-In	Attorney Client Privilege	Communication requesting legal advice of counsel.		
523	Email	12/9/13 11:23 AM	Robinson, Michael D.	Kane, Darren		Grandfather	Attorney Client Privilege	Communication requesting legal advice of counsel.		
524	Email with Attachment(s)	12/9/13 11:28 AM	Lathen, Jay	Kane, Darren		Blue Send Solicitation Agreement	Attorney Client Privilege	Communication requesting legal advice of counsel.		
525	Email	12/9/13 11:28 AM	Kane, Darren	Robinson, Michael D.		RE: Log-In	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
526	Email	12/9/13 11:30 AM	Kane, Darren	Robinson, Michael D.		RE: Grandfather	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
527	Email	12/9/13 11:37 AM	Kane, Darren	Lathen, Jay		RE: Blue Send Solicitation Agreement	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
528	Email	12/10/13 5:13 PM	Lathen, Jay	Montgomery, Michael H.		Staples Case	Attorney Client Privilege	Communication requesting legal advice of counsel.		
529	Email	12/10/13 5:17 PM	Montgomery, Michael H.	Lathen, Jay		RE: Staples Case	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
530	Email	12/10/13 5:18 PM	Lathen, Jay	Montgomery, Michael H.		Staples Case	Attorney Client Privilege	Communication requesting legal advice of counsel.		
531	Email with Attachment(s)	12/10/13 5:43 PM	Montgomery, Michael H.	Lathen, Jay		RE: Staples Case	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
532	Email with Attachment(s)	12/18/13 9:56 AM	Randers, Robert G.	Lathen, Jay	Ferrell, Margaret D.	Chamadre appeal decision	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
533	Email	12/20/13 3:00 PM	Roper, Eric	Lathen, Jay		RE: Eden Arc Capital Partners - November 2013 Performance Summary	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
534	Email with Attachment(s)	12/30/13 7:29 AM	Kane, Darren	Lathen, Jay		Fwd: Involes from Mission Critical Services	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
535	Email	12/31/13 7:55 PM	Lathen, Jay	Kane, Darren		RE: Involes from Mission Critical Services	Attorney Client Privilege	Communication requesting legal advice of counsel.		
536	Email	12/31/13 8:49 PM	Kane, Darren	Lathen, Jay		RE: Involes from Mission Critical Services	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
537	Email	1/6/14 8:54 AM	Kane, Darren	Lathen, Jay	Hartman, David	Your Availability	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
538	Email	1/6/14 10:47 AM	Lathen, Jay	Kane, Darren	Hartman, David	RE: Your Availability	Attorney Client Privilege	Communication requesting legal advice of counsel.		
539	Email	1/6/14 11:07 AM	Kane, Darren	Lathen, Jay	Hartman, David	RE: Your Availability	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
540	Email	1/6/14 2:25 PM	Lathen, Jay	Kane, Darren	Hartman, David	Re: Your Availability	Attorney Client Privilege	Communication requesting legal advice of counsel.		
541	Email with Attachment(s)	1/7/14 2:54 PM	Kane, Darren	Lathen, Jay	Hartman, David	Draft Risk Assessment & Gap Analysis Matrix	Attorney Client Privilege	Communication reflecting legal advice of counsel.		

**In the Matter of Eden Arc Capital Management, LLC (NY-9197)
Privilege Log**

Entry Number	Record Type	Date	From	To	CC	Email Subject or File Name	Privilege Type	Privilege Description	Redacted	Production Batch
542	Email	1/7/14 4:57 PM	Montgomery, Michael H.	Lathen, Jay		RE: Staples Case	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
	Email with Attachment(s)	1/9/14 2:33 PM	Hartman, David	Lathen, Jay	Kane, Darren	Follow up to our call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
544	Email	1/13/14 4:20 PM	Roper, Eric	Lathen, Jay		RE: Lunch tomorrow	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
545	Email	1/14/14 6:50 PM	Lathen, Jay	Roper, Eric		RE: Lunch tomorrow	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
546	Email	1/15/14 8:04 AM	Roper, Eric	Lathen, Jay		Re: Lunch tomorrow	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
547	Email	1/15/14 10:17 AM	Lathen, Jay	Roper, Eric		Re: Lunch tomorrow	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
	Email with Attachment(s)	1/29/14 3:46 PM	Lathen, Jay	Roper, Eric		Goldman Situation	Attorney Client Privilege	Communication requesting legal advice of counsel.		
549	Attachment(s)	1/29/14 4:38 PM	Roper, Eric	Lathen, Jay		FW: Goldman Situation	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
550	Email	1/31/14 5:14 PM	Lathen, Jay	Grundstein, Robert; Zitter, Ken		RE: Introduction.	Attorney Client Privilege	Communication requesting legal advice of counsel.		
551	Email	2/3/14 12:53 PM	Hartman, David	Lathen, Jay	Kane, Darren	Your Availability	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
552	Email	2/3/14 3:41 PM	Lathen, Jay	Hartman, David	Kane, Darren	RE: Your Availability	Attorney Client Privilege	Communication requesting legal advice of counsel.		
553	Email	2/4/14 2:55 PM	Couroutos, Jami	Lathen, Jay	Sarkoz, Paul D.; Roper, Eric	RE: Goldman Situation	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
554	Email	2/4/14 2:59 PM	Lathen, Jay	Couroutos, Jami	Sarkoz, Paul D.; Roper, Eric	Re: Goldman Situation	Attorney Client Privilege	Communication requesting legal advice of counsel.		
555	Email	2/4/14 3:10 PM	Couroutos, Jami	Lathen, Jay		RE: Goldman Situation	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
556	Email	2/7/14 2:57 PM	Lathen, Jay	Montgomery, Michael H.		RE: Staples Case	Attorney Client Privilege	Communication requesting legal advice of counsel.		
557	Email	2/7/14 4:17 PM	Montgomery, Michael H.	Lathen, Jay		RE: Staples Case	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
558	Email	2/7/14 4:50 PM	Lathen, Jay	Montgomery, Michael H.		RE: Staples Case	Attorney Client Privilege	Communication requesting legal advice of counsel.		
559	Email	2/7/14 5:09 PM	Montgomery, Michael H.	Lathen, Jay		RE: Staples Case	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
	Email with Attachment(s)	2/7/14 7:42 PM	Sarkoz, Paul D.	Lathen, Jay		RE: Goldman Situation	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
561	Attachment(s)	2/10/14 11:52 AM	Sarkoz, Paul D.	Lathen, Jay	Roper, Eric	RE: Goldman Situation	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
562	Email	2/11/14 12:29 PM	Sarkoz, Paul D.	Lathen, Jay	Roper, Eric	RE: Goldman Situation	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
	Email with Attachment(s)	2/11/14 3:00 PM	Lathen, Jay	Sarkoz, Paul D.	Roper, Eric	RE: Goldman Situation	Attorney Client Privilege	Communication requesting legal advice of counsel.		
564	Email	2/12/14 4:55 PM	Lathen, Jay	Hood, Bruce E.		RE:	Attorney Client Privilege	Communication requesting legal advice of counsel.		
	Email with Attachment(s)	2/12/14 7:02 PM	Hartman, David	Lathen, Jay	Kane, Darren	ADV Update	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
566	Email	2/12/14 7:42 PM	Hood, Bruce E.	Lathen, Jay		RE: RE:	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
567	Email	2/12/14 7:54 PM	Lathen, Jay	Hood, Bruce E.		RE: RE: RE:	Attorney Client Privilege	Communication requesting legal advice of counsel.		
568	Email	2/13/14 7:18 AM	Hood, Bruce E.	Lathen, Jay		RE: RE: RE:	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
569	Email	2/13/14 7:28 AM	Lathen, Jay	Hood, Bruce E.		RE: RE: RE:	Attorney Client Privilege	Communication requesting legal advice of counsel.		
570	Email	2/13/14 2:47 PM	Lathen, Jay	Hood, Bruce E.		RE: RE: RE:	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
571	Email	2/13/14 4:10 PM	Kane, Darren	Lathen, Jay		[Auto-Reply] RE: ADV Update	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
572	Email	2/13/14 4:10 PM	Hartman, David	Lathen, Jay	Kane, Darren	RE: ADV Update	Attorney Client Privilege	Communication requesting legal advice of counsel.		
573	Email	2/14/14 8:50 AM	Hartman, David	Lathen, Jay	Kane, Darren	RE: ADV Update	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
574	Email	2/14/14 9:18 AM	Lathen, Jay	Hartman, David	Kane, Darren	RE: ADV Update	Attorney Client Privilege	Communication requesting legal advice of counsel.		
575	Email	2/14/14 9:19 AM	Kane, Darren	Lathen, Jay		[Auto-Reply] RE: ADV Update	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
	Email with Attachment(s)	2/14/14 11:10 AM	Lathen, Jay	Farrell, Margaret D.	Robinson, Michael D.	Eden Arc - Revised Participant Agreement and Loan Document	Attorney Client Privilege	Communication requesting legal advice of counsel.		
577	Email	2/14/14 2:50 PM	Montgomery, Michael H.	Lathen, Jay		RE: Staples Case	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
578	Email	2/20/14 12:51 PM	Kane, Darren	Lathen, Jay		[Auto-Reply] RE: ADV Update	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
579	Email	2/20/14 12:51 PM	Lathen, Jay	Hartman, David	Kane, Darren	RE: ADV Update	Attorney Client Privilege	Communication requesting legal advice of counsel.		
580	Email	2/20/14 2:17 PM	Hartman, David	Lathen, Jay	Kane, Darren	RE: ADV Update	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
581	Email	2/20/14 6:17 PM	Hood, Bruce E.	Lathen, Jay		RE: RE: RE:	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
582	Email	2/20/14 6:55 PM	Lathen, Jay	Hood, Bruce E.		RE: RE: RE:	Attorney Client Privilege	Communication requesting legal advice of counsel.		
583	Email	2/20/14 7:28 PM	Kane, Darren	Lathen, Jay		SEC Announces Initiative Directed at Never-Before Examined Registered Investment Advisers	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
584	Email	2/21/14 1:04 PM	Hood, Bruce E.	Lathen, Jay		RE: RE: RE:	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
585	Email	2/21/14 1:12 PM	Lathen, Jay	Hood, Bruce E.		RE: RE: RE:	Attorney Client Privilege	Communication requesting legal advice of counsel.		
586	Email	2/21/14 4:42 PM	Hood, Bruce E.	Lathen, Jay		RE: RE: RE:	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
	Email with Attachment(s)	2/21/14 7:08 PM	Lathen, Jay	Sarkoz, Paul D.	Roper, Eric	RE: Goldman Situation	Attorney Client Privilege	Communication requesting legal advice of counsel.		
588	Email	2/22/14 9:15 AM	Roper, Eric	Lathen, Jay	Sarkoz, Paul D.	RE: Goldman Situation	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
	Email with Attachment(s)	2/22/14 12:45 PM	Lathen, Jay	Roper, Eric		FW: Goldman Situation	Attorney Client Privilege	Communication requesting legal advice of counsel.		
590	Email	2/22/14 11:25 PM	Roper, Eric	Lathen, Jay		RE: Goldman Situation	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
	Email with Attachment(s)	2/24/14 11:08 AM	Lathen, Jay	Roper, Eric		RE: Goldman Situation	Attorney Client Privilege	Communication requesting legal advice of counsel.		
592	Email	2/24/14 6:55 PM	Farrell, Margaret D.	Lathen, Jay	Robinson, Michael D.	RE: Eden Arc - Revised Participant Agreement and Loan Document	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
	Email with Attachment(s)	3/3/14 12:11 PM	Farrell, Margaret D.	Lathen, Jay	Robinson, Michael D.	RE: Eden Arc - Revised Participant Agreement and Loan Document	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
594	Email	3/12/14 8:51 AM	Hartman, David	Lathen, Jay	Kane, Darren	regulatory assets under management	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
	Email with Attachment(s)	3/13/14 6:59 AM	Kane, Darren	Lathen, Jay		Ryan Dylan Kane	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
596	Email	3/13/14 11:55 AM	Joseph, Cassandra E.	Lathen, Jay; Robinson, Michael D.	Geck, Rafael	FW: Michael A. Horowitz and Moshe Marc Cohen	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
597	Email	3/14/14 10:56 AM	Joseph, Cassandra E.	Lathen, Jay; Robinson, Michael D.	Geck, Rafael	RE: Michael A. Horowitz and Moshe Marc Cohen	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
598	Email	3/14/14 11:00 AM	Lathen, Jay	Hartman, David	Kane, Darren; Robinson, Michael D.	RE: ADV Update	Attorney Client Privilege	Communication requesting legal advice of counsel.		
599	Email	3/14/14 11:11 AM	Hartman, David	Lathen, Jay	Kane, Darren; Robinson, Michael D.	RE: ADV Update	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
600	Email	3/14/14 11:15 AM	Lathen, Jay	Hartman, David	Kane, Darren; Robinson, Michael D.	RE: ADV Update	Attorney Client Privilege	Communication requesting legal advice of counsel.		
601	Email	3/14/14 2:40 PM	Lathen, Jay	Hood, Bruce E.		RE: RE: RE:	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
602	Email	3/15/14 6:48 PM	Hood, Bruce E.	Lathen, Jay		RE: RE: RE:	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
603	Email	3/15/14 9:20 AM	Hartman, David	Lathen, Jay; Robinson, Michael D.	Kane, Darren	RE: ADV Update	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
604	Email	3/19/14 11:03 AM	Robinson, Michael D.	Hartman, David; Lathen, Jay	Kane, Darren	RE: ADV Update	Attorney Client Privilege	Communication requesting legal advice of counsel.		

**In the Matter of Eden Arc Capital Management, LLC (NY-9197)
Privilege Log**

Entry Number	Record Type	Date	From	To	Cc	Email Subject or File Name	Privilege Type	Privilege Description	Redacted	Production Notes
605	Attachment(s)	3/20/14 4:00 PM	Robinson, Michael D.	Hartman, David; Lathen, Jay	Kane, Darren	Re: ADV Update	Attorney Client Privilege	Communication requesting legal advice of counsel.		
606	Email	3/25/14 11:39 AM	Hood, Bruce E.	Lathen, Jay		RE: RE: Re:	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
607	Attachment(s)	3/26/14 2:32 PM	Robinson, Michael D.	Hartman, David	Lathen, Jay	Form ADV Questionnaire	Attorney Client Privilege	Communication requesting legal advice of counsel.		
608	Attachment(s)	3/26/14 2:35 PM	Robinson, Michael D.	Hartman, David		ADV Info	Attorney Client Privilege	Communication requesting legal advice of counsel.		
609	Email	3/26/14 4:19 PM	Hood, Bruce E.	Lathen, Jay			Attorney Client Privilege	Communication reflecting legal advice of counsel.		
610	Email	3/26/14 6:03 PM	Lathen, Jay	Hood, Bruce E.		Re:	Attorney Client Privilege	Communication requesting legal advice of counsel.		
611	Email	3/27/14 9:14 PM	Hartman, David	Robinson, Michael D.; Lathen, Jay		ADV Log In	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
612	Email	3/27/14 11:55 PM	Lathen, Jay	Hartman, David	Robinson, Michael D.	Re: ADV Log In	Attorney Client Privilege	Communication requesting legal advice of counsel.		
613	Email	3/27/14 11:56 PM	Robinson, Michael D.	Hartman, David	Lathen, Jay	Re: ADV Log In	Attorney Client Privilege	Communication requesting legal advice of counsel.		
614	Attachment(s)	3/28/14 7:33 AM	Hartman, David	Lathen, Jay; Robinson, Michael D.		Draft ADV for Review	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
615	Email	3/28/14 10:10 AM	Robinson, Michael D.	Hartman, David	Lathen, Jay	Re: Draft ADV for Review	Attorney Client Privilege	Communication requesting legal advice of counsel.		
616	Attachment(s)	3/29/14 11:06 AM	Hartman, David	Lathen, Jay; Robinson, Michael D.		ADV 1A FOR REVIEW AND QUESTION FOR ADV THAT MUST BE FILED MONDAY 2	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
617	Attachment(s)	3/29/14 11:11 AM	Hartman, David	Lathen, Jay; Robinson, Michael D.		Better version of the ADV 1A	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
618	Email	3/30/14 7:22 PM	Hartman, David	Lathen, Jay; Robinson, Michael D.		RE: Better version of the ADV 1A	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
619	Email	3/30/14 7:42 PM	Lathen, Jay	Hartman, David	Robinson, Michael D.	RE: Better version of the ADV 1A	Attorney Client Privilege	Communication requesting legal advice of counsel.		
620	Email	3/30/14 7:43 PM	Hartman, David	Lathen, Jay		RE: Better version of the ADV 1A	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
621	Email	3/31/14 9:51 AM	Robinson, Michael D.	Hartman, David		RE: Better version of the ADV 1A	Attorney Client Privilege	Communication requesting legal advice of counsel.		
622	Attachment(s)	3/31/14 2:17 PM	Hartman, David	Lathen, Jay		Updated ADV 1A for your review	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
623	Attachment(s)	3/31/14 3:32 PM	Lathen, Jay	Hartman, David	Robinson, Michael D.	FINAL EDITS	Attorney Client Privilege	Communication requesting legal advice of counsel.		
624	Attachment(s)	3/31/14 6:32 PM	Hartman, David	Lathen, Jay	Robinson, Michael D.	RE: FINAL EDITS	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
625	Email	3/31/14 6:37 PM	Lathen, Jay	Hartman, David	Robinson, Michael D.	RE: FINAL EDITS	Attorney Client Privilege	Communication requesting legal advice of counsel.		
626	Email	4/1/14 8:17 PM	Lathen, Jay	Hood, Bruce E.		RE:	Attorney Client Privilege	Communication requesting legal advice of counsel.		
627	Email	4/1/14 9:16 PM	Hood, Bruce E.	Lathen, Jay		Re: RE:	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
628	Email	4/2/14 7:32 AM	Lathen, Jay	Hood, Bruce E.		Re: RE:	Attorney Client Privilege	Communication requesting legal advice of counsel.		
629	Email	4/2/14 1:24 PM	Hood, Bruce E.	Lathen, Jay		This is the definition of "actively traded" that we will have to meet	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
630	Email	4/4/14 10:25 AM	Galbraith, Kevin	Lathen, Jay		Message from Kevin Galbraith (Jay Lathen)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
631	Email	4/4/14 11:13 AM	Lathen, Jay	Galbraith, Kevin		RE: Message from Kevin Galbraith (Jay Lathen)	Attorney Client Privilege	Communication requesting legal advice of counsel.		
632	Email	4/4/14 11:19 AM	Galbraith, Kevin	Lathen, Jay		RE: Message from Kevin Galbraith (Jay Lathen)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
633	Email	4/4/14 11:21 AM	Lathen, Jay	Galbraith, Kevin		RE: Message from Kevin Galbraith (Jay Lathen)	Attorney Client Privilege	Communication requesting legal advice of counsel.		
634	Email	4/4/14 11:24 AM	Galbraith, Kevin	Lathen, Jay		RE: Message from Kevin Galbraith (Jay Lathen)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
635	Email	4/4/14 11:36 AM	Lathen, Jay	Galbraith, Kevin		RE: Message from Kevin Galbraith (Jay Lathen)	Attorney Client Privilege	Communication requesting legal advice of counsel.		
636	Email	4/8/14 4:26 PM	Lathen, Jay	Flanders, Robert G.		Audit Confirm	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
637	Email	4/8/14 5:02 PM	Flanders, Robert G.	Lathen, Jay		Re: Audit Confirm	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
638	Email	4/24/14 12:00 PM	Lathen, Jay	Farrell, Margaret D.		Follow-up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
639	Email	4/24/14 12:01 PM	Farrell, Margaret D.	Lathen, Jay		Automatic reply: Follow-up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
640	Email	4/25/14 1:40 PM	Montgomery, Michael H.	Lathen, Jay		RE: Staples Case	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
641	Attachment(s)	5/5/14 5:41 PM	Hartman, David	Lathen, Jay		Risk Assessment and Gap Analysis	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
642	Attachment(s)	5/16/14 9:33 AM	Hartman, David	Lathen, Jay		Updated ADV	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
643	Email	5/16/14 3:53 PM	Lathen, Jay	Hartman, David		RE: Updated ADV	Attorney Client Privilege	Communication requesting legal advice of counsel.		
644	Email	5/16/14 4:46 PM	Hartman, David	Lathen, Jay		RE: Updated ADV	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
645	Attachment(s)	5/29/14 11:39 AM	Lathen, Jay	Roper, Eric		Subscription Doc	Attorney Client Privilege	Communication requesting legal advice of counsel.		
646	Email	6/17/14 3:09 PM	Lathen, Jay	Farrell, Margaret D.	Robinson, Michael D.	RE: Eden Arc - Revised Participant Agreement and Loan Document	Attorney Client Privilege	Communication requesting legal advice of counsel.		
647	Email	6/17/14 3:19 PM	Montgomery, Michael H.	Lathen, Jay		RE: Staples Case	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
648	Email	6/17/14 3:23 PM	Montgomery, Michael H.	Lathen, Jay		RE: Staples Case	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
649	Attachment(s)	6/17/14 5:30 PM	Lathen, Jay	Tannenbaum, Michael G.		Follow-up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
650	Email	6/17/14 5:37 PM	Lathen, Jay	Hartman, David		RE: Updated ADV	Attorney Client Privilege	Communication requesting legal advice of counsel.		
651	Attachment(s)	6/17/14 5:49 PM	Lathen, Jay	Tannenbaum, Michael G.		RE: Follow-up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
652	Attachment(s)	6/17/14 6:50 PM	Lathen, Jay	Roper, Eric		FW: Follow-up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
653	Email	6/18/14 11:39 AM	Hartman, David	Lathen, Jay	Ito, David; Kane, Darren	RE: Updated ADV	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
654	Email	6/18/14 12:08 PM	Ito, David	Lathen, Jay	Hartman, David; Kane, Darren	RE: Updated ADV	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
655	Email	6/18/14 12:50 PM	Lathen, Jay	Ito, David	Hartman, David; Kane, Darren	RE: Updated ADV	Attorney Client Privilege	Communication requesting legal advice of counsel.		
656	Attachment(s)	6/18/14 5:17 PM	Ito, David	Lathen, Jay	Hartman, David; Kane, Darren	RE: Updated ADV	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
657	Email	6/19/14 3:36 PM	Galbraith, Kevin	Lathen, Jay		Your Message	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
658	Attachment(s)	6/19/14 6:30 PM	Lathen, Jay	Galbraith, Kevin		RE: Your Message	Attorney Client Privilege	Communication requesting legal advice of counsel.		
659	Email	6/19/14 6:36 PM	Galbraith, Kevin	Lathen, Jay		Re: Your Message	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
660	Email	6/19/14 7:11 PM	Lathen, Jay	Ito, David	Hartman, David; Kane, Darren	RE: Updated ADV	Attorney Client Privilege	Communication requesting legal advice of counsel.		
661	Email	6/23/14 4:37 PM	Farrell, Margaret D.	Lathen, Jay	Robinson, Michael D.	RE: Eden Arc - Revised Participant Agreement and Loan Document	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
662	Email	6/23/14 5:16 PM	Lathen, Jay	Farrell, Margaret D.	Robinson, Michael D.	RE: Eden Arc - Revised Participant Agreement and Loan Document	Attorney Client Privilege	Communication requesting legal advice of counsel.		

**In the Matter of Eden Arc Capital Management, LLC (NY-9197)
Privilege Log**

Entry Number	Record Type	Date	From	To	Cc	Email Subject or File Name	Privilege Type	Privilege Description	Redacted	Production Bat-s
663	Email	6/23/14 5:38 PM	Farrell, Margaret D.	Lathen, Jay	Robinson, Michael D.	RE: Eden Arc - Revised Participant Agreement and Loan Document	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
664	Email with Attachment(s)	6/25/14 4:25 PM	Galbraith, Kevin	Lathen, Jay		Engagement Letter (Eden Arc Capital Management, LLC)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
665	Email	6/25/14 5:37 PM	Galbraith, Kevin	Lathen, Jay		Opinion Letter	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
666	Email	6/25/14 5:40 PM	Lathen, Jay	Galbraith, Kevin		Re: Opinion Letter	Attorney Client Privilege	Communication requesting legal advice of counsel.		
667	Email	6/25/14 6:17 PM	Galbraith, Kevin	Lathen, Jay		Re: Opinion Letter	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
668	Email with Attachment(s)	6/26/14 12:50 PM	Kane, Darren	Lathen, Jay		Submission Notification	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
669	Email	6/30/14 3:37 PM	Lathen, Jay	Galbraith, Kevin		FW: Bloomberg Law Document Delivery	Attorney Client Privilege	Communication requesting legal advice of counsel.		
670	Email	6/30/14 3:51 PM	Galbraith, Kevin	Lathen, Jay		RE: FW: Bloomberg Law Document Delivery	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
671	Email	6/30/14 3:53 PM	Lathen, Jay	Galbraith, Kevin		RE: FW: Bloomberg Law Document Delivery	Attorney Client Privilege	Communication requesting legal advice of counsel.		
672	Email	6/30/14 4:17 PM	Galbraith, Kevin	Lathen, Jay		RE: FW: Bloomberg Law Document Delivery	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
673	Email	6/30/14 6:05 PM	Lathen, Jay	Montgomery, Michael H.		RE: Staples Case	Attorney Client Privilege	Communication requesting legal advice of counsel.		
674	Email	6/30/14 6:16 PM	Montgomery, Michael H.	Lathen, Jay		RE: Staples Case	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
675	Email	6/30/14 6:18 PM	Montgomery, Michael H.	Lathen, Jay		RE: Staples Case	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
676	Email	6/30/14 6:21 PM	Montgomery, Michael H.	Lathen, Jay		RE: Staples Case	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
677	Email	6/30/14 6:22 PM	Lathen, Jay	Montgomery, Michael H.		RE: Staples Case	Attorney Client Privilege	Communication requesting legal advice of counsel.		
678	Email	6/30/14 6:25 PM	Lathen, Jay	Montgomery, Michael H.		RE: Staples Case	Attorney Client Privilege	Communication requesting legal advice of counsel.		
679	Email with Attachment(s)	6/30/14 8:46 PM	Lathen, Jay	Galbraith, Kevin		RE: FW: Bloomberg Law Document Delivery	Attorney Client Privilege	Communication requesting legal advice of counsel.		
680	Email	6/30/14 8:52 PM	Galbraith, Kevin	Lathen, Jay		Re: Bloomberg Law Document Delivery	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
681	Email with Attachment(s)	7/1/14 1:03 PM	Galbraith, Kevin	Lathen, Jay		RE: FW: Bloomberg Law Document Delivery	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
682	Email with Attachment(s)	7/1/14 2:21 PM	Robinson, Michael D.	Galbraith, Kevin	Lathen, Jay	Signed Engagement Letter & Payment	Attorney Client Privilege	Communication requesting legal advice of counsel.		
683	Email with Attachment(s)	7/1/14 4:39 PM	Galbraith, Kevin	Robinson, Michael D.	Lathen, Jay	RE: Signed Engagement Letter & Payment	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
684	Email with Attachment(s)	7/1/14 4:49 PM	Galbraith, Kevin	Lathen, Jay; Robinson, Michael D.		Kevin Galbraith has signed Engagement Letter -- Fully Executed.	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
685	Email	7/1/14 5:13 PM	Robinson, Michael D.	Galbraith, Kevin; Lathen, Jay		Re: Kevin Galbraith has signed Engagement Letter -- Fully Executed.	Attorney Client Privilege	Communication requesting legal advice of counsel.		
686	Email	7/1/14 8:48 PM	Lathen, Jay	Galbraith, Kevin; Robinson, Michael D.		RE: Signed Engagement Letter & Payment	Attorney Client Privilege	Communication requesting legal advice of counsel.		
687	Email	7/1/14 8:50 PM	Lathen, Jay	Lathen, Kathy		FW: Signed Engagement Letter & Payment	Attorney Client Privilege	Communication reflecting legal advice of Kevin Galbraith.		
688	Email	7/1/14 10:56 PM	Galbraith, Kevin	Lathen, Jay; Robinson, Michael D.		RE: Signed Engagement Letter & Payment	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
689	Email	7/2/14 8:14 AM	Lathen, Jay	Galbraith, Kevin		Re: Signed Engagement Letter & Payment	Attorney Client Privilege	Communication requesting legal advice of counsel.		
690	Email	7/2/14 9:12 AM	Lathen, Kathy	Lathen, Jay		Re: Signed Engagement Letter & Payment	Attorney Client Privilege	Communication reflecting legal advice of Kevin Galbraith.		
691	Email	7/2/14 1:08 PM	Galbraith, Kevin	Lathen, Jay	Robinson, Michael D.	RE: Signed Engagement Letter & Payment	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
692	Email	7/2/14 1:09 PM	Lathen, Jay	Galbraith, Kevin	Robinson, Michael D.	RE: Signed Engagement Letter & Payment	Attorney Client Privilege	Communication requesting legal advice of counsel.		
693	Email	7/3/14 11:50 AM	Galbraith, Kevin	Lathen, Jay; Robinson, Michael D.		Call with Prospect Capital	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
694	Email	7/3/14 12:07 PM	Robinson, Michael D.	Galbraith, Kevin		RE: Call with Prospect Capital	Attorney Client Privilege	Communication requesting legal advice of counsel.		
695	Email	7/3/14 12:11 PM	Galbraith, Kevin	Robinson, Michael D.		RE: Call with Prospect Capital	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
696	Email	7/3/14 2:32 PM	Hartman, David	Lathen, Jay		Documents for Best Execution Review	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
697	Email	7/3/14 2:54 PM	Galbraith, Kevin	Lathen, Jay; Robinson, Michael D.		Prospect Capital -- Follow-Up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
698	Email	7/3/14 5:18 PM	Robinson, Michael D.	Galbraith, Kevin	Lathen, Jay	RE: Prospect Capital -- Follow-Up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
699	Email with Attachment(s)	7/7/14 9:59 AM	Galbraith, Kevin	Robinson, Michael D.	Lathen, Jay	RE: Prospect Capital -- Follow-Up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
700	Email with Attachment(s)	7/7/14 1:07 PM	Galbraith, Kevin	Lathen, Jay	Robinson, Michael D.	RE: Prospect Capital -- Follow-Up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
701	Email	7/7/14 1:20 PM	Lathen, Jay	Galbraith, Kevin	Robinson, Michael D.	RE: Prospect Capital -- Follow-Up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
702	Email	7/7/14 4:03 PM	Galbraith, Kevin	Lathen, Jay	Robinson, Michael D.	RE: Prospect Capital -- Follow-Up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
703	Email with Attachment(s)	7/8/14 11:34 AM	Galbraith, Kevin	Lathen, Jay	Robinson, Michael D.	RE: Prospect Capital -- Follow-Up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
704	Email	7/8/14 3:54 PM	Galbraith, Kevin	Lathen, Jay	Robinson, Michael D.	RE: Prospect Capital -- Follow-Up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
705	Email	7/9/14 10:29 AM	Galbraith, Kevin	Lathen, Jay	Robinson, Michael D.	RE: Prospect Capital -- Follow-Up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
706	Email	7/9/14 1:03 PM	Lathen, Jay	Galbraith, Kevin		RE: Prospect Capital -- Follow-Up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
707	Email	7/9/14 3:08 PM	Galbraith, Kevin	Lathen, Jay		RE: Prospect Capital -- Follow-Up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
708	Email	7/9/14 6:29 PM	Galbraith, Kevin	Lathen, Jay		RE: Prospect Capital -- Follow-Up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
709	Email with Attachment(s)	7/9/14 7:20 PM	Lathen, Jay	Galbraith, Kevin		RE: Prospect Capital -- Follow-Up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
710	Email	7/10/14 3:40 PM	Lathen, Jay	Tannenbaum, Michael G.	Roper, Eric	FW: Follow-up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
711	Email	7/10/14 3:40 PM	Roper, Eric	Lathen, Jay		Out of Office	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
712	Email	7/10/14 4:10 PM	Galbraith, Kevin	Lathen, Jay		RE: Prospect Capital -- Follow-Up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
713	Email	7/10/14 4:18 PM	Lathen, Jay	Galbraith, Kevin		RE: Prospect Capital -- Follow-Up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
714	Email	7/10/14 4:29 PM	Galbraith, Kevin	Lathen, Jay		RE: Prospect Capital -- Follow-Up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
715	Email	7/10/14 5:03 PM	Tannenbaum, Michael G.	Lathen, Jay	Roper, Eric; Sarkozl, Paul D.	RE: Follow-up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
716	Email	7/10/14 5:41 PM	Galbraith, Kevin	Lathen, Jay		RE: Prospect Capital -- Follow-Up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
717	Email	7/10/14 7:07 PM	Roper, Eric	Lathen, Jay		Out of Office	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
718	Email	7/10/14 7:07 PM	Lathen, Jay	Tannenbaum, Michael G.	Roper, Eric; Sarkozl, Paul D.	Re: Follow-up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
719	Email	7/11/14 6:37 AM	Tannenbaum, Michael G.	Lathen, Jay	Roper, Eric; Sarkozl, Paul D.	RE: Follow-up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
720	Email	7/11/14 5:47 PM	Galbraith, Kevin	Lathen, Jay		RE: Prospect Capital -- Follow-Up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
721	Email with Attachment(s)	7/11/14 5:56 PM	Galbraith, Kevin	Lathen, Jay		RE: Prospect Capital -- Follow-Up (Correction to Tuesday availability forDeBois)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
722	Email	7/14/14 10:23 AM	Lathen, Jay	Galbraith, Kevin		Prospect Holdings	Attorney Client Privilege	Communication requesting legal advice of counsel.		
723	Email	7/14/14 11:04 AM	Galbraith, Kevin	Lathen, Jay		RE: Prospect Holdings	Attorney Client Privilege	Communication reflecting legal advice of counsel.		

In the Matter of Eden Arc Capital Management, LLC (NY-9197)
Privilege Log

Entry Number	Record Type	Date	From	To	Cc	Email Subject or File Name	Privilege Type	Privilege Description	Redacted	Production Dates
724	Email	7/14/14 11:59 AM	Galbraith, Kevin	Lathen, Jay		RE: Prospect Holdings	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
725	Email with Attachment(s)	7/14/14 12:04 PM	Lathen, Jay	Galbraith, Kevin		RE: Prospect Holdings	Attorney Client Privilege	Communication requesting legal advice of counsel.		
726	Email	7/14/14 1:07 PM	Galbraith, Kevin	Lathen, Jay		RE: Prospect Holdings	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
727	Email with Attachment(s)	7/15/14 4:39 PM	Kane, Darren	Lathen, Jay		FW: Invoices from Mission Critical Services	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
728	Email	7/16/14 9:31 AM	Galbraith, Kevin	Lathen, Jay		Schedule the rest of the week	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
729	Email	7/16/14 9:57 AM	Lathen, Jay	Galbraith, Kevin		Re: Schedule the rest of the week	Attorney Client Privilege	Communication requesting legal advice of counsel.		
730	Email	7/16/14 9:59 AM	Galbraith, Kevin	Lathen, Jay		RE: Schedule the rest of the week	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
731	Email with Attachment(s)	7/16/14 5:05 PM	Galbraith, Kevin	Lathen, Jay		RE: Schedule the rest of the week	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
732	Email	7/16/14 5:21 PM	Lathen, Jay	Galbraith, Kevin		RE: Schedule the rest of the week	Attorney Client Privilege	Communication requesting legal advice of counsel.		
733	Email	7/16/14 5:26 PM	Galbraith, Kevin	Lathen, Jay		RE: Schedule the rest of the week	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
734	Email	7/16/14 5:35 PM	Galbraith, Kevin	Lathen, Jay		RE: Schedule the rest of the week	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
735	Email	7/16/14 5:49 PM	Lathen, Jay	Galbraith, Kevin		RE: Schedule the rest of the week	Attorney Client Privilege	Communication requesting legal advice of counsel.		
736	Email	7/16/14 6:05 PM	Galbraith, Kevin	Lathen, Jay		RE: Schedule the rest of the week	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
737	Email	7/17/14 2:16 PM	Galbraith, Kevin	Robinson, Michael D.		RE: Michael_Robinson_wants_to_share_"PROSPECT_CAPITAL_DATABASE" with you	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
738	Email	7/17/14 3:46 PM	Robinson, Michael D.	Galbraith, Kevin	Lathen, Jay	RE: Michael_Robinson_wants_to_share_"PROSPECT_CAPITAL_DATABASE" with you	Attorney Client Privilege	Communication requesting legal advice of counsel.		
739	Email	7/17/14 3:54 PM	Galbraith, Kevin	Robinson, Michael D.	Lathen, Jay	RE: Michael_Robinson_wants_to_share_"PROSPECT_CAPITAL_DATABASE" with you	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
740	Email	7/21/14 12:06 PM	Galbraith, Kevin	Lathen, Jay	Robinson, Michael D.	RE: Michael_Robinson_wants_to_share_"PROSPECT_CAPITAL_DATABASE" with you	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
741	Email	7/21/14 12:50 PM	Lathen, Jay	Galbraith, Kevin	Robinson, Michael D.	RE: Michael_Robinson_wants_to_share_"PROSPECT_CAPITAL_DATABASE" with you	Attorney Client Privilege	Communication requesting legal advice of counsel.		
742	Email	7/21/14 1:03 PM	Galbraith, Kevin	Lathen, Jay	Robinson, Michael D.	RE: Michael_Robinson_wants_to_share_"PROSPECT_CAPITAL_DATABASE" with you	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
743	Email	7/21/14 1:42 PM	Galbraith, Kevin	Lathen, Jay	Robinson, Michael D.	RE: Michael_Robinson_wants_to_share_"PROSPECT_CAPITAL_DATABASE" with you	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
744	Email with Attachment(s)	7/22/14 4:01 PM	Galbraith, Kevin	Lathen, Jay; Robinson, Michael D.		FWD: Fw: Supplemental Indenture to Eden Arc Prospect Holdings	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
745	Email	7/24/14 5:31 PM	Galbraith, Kevin	Lathen, Jay; Robinson, Michael D.		Next week	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
746	Email	7/24/14 5:48 PM	Lathen, Jay	Galbraith, Kevin	Robinson, Michael D.	Re: Next week	Attorney Client Privilege	Communication requesting legal advice of counsel.		
747	Email	7/31/14 5:19 PM	Farrell, Margaret D.	Lathen, Jay	Flanders, Robert G.	Michael Horowitz	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
748	Email	7/31/14 7:17 PM	Lathen, Jay	Farrell, Margaret D.	Flanders, Robert G.	Re: Michael Horowitz	Attorney Client Privilege	Communication requesting legal advice of counsel.		
749	Email	8/4/14 3:00 PM	Lathen, Jay	Blattmachr, Jonathan		Follow-up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
750	Email	8/4/14 3:03 PM	Blattmachr, Jonathan	Lathen, Jay		RE: Follow-up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
751	Email	8/4/14 3:15 PM	Zeydel, Diana	Lathen, Jay; Zeydel, Diana; Figueras, Marilyn		Telephone Conference: Diana Zeydel and Jay Lathen re seeking legal opinion (referred by J. Blattmachr)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
752	Email	8/4/14 6:31 PM	Lathen, Jay	Galbraith, Kevin	Robinson, Michael D.	Follow-up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
753	Email	8/4/14 6:41 PM	Galbraith, Kevin	Lathen, Jay	Robinson, Michael D.	RE: Follow-up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
754	Email	8/5/14 1:41 PM	Galbraith, Kevin	Lathen, Jay	Robinson, Michael D.	RE: Follow-up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
755	Email	8/5/14 2:02 PM	Galbraith, Kevin	Lathen, Jay	Robinson, Michael D.	RE: Follow-up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
756	Email	8/5/14 2:49 PM	Lathen, Jay	Galbraith, Kevin	Robinson, Michael D.	RE: Follow-up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
757	Email	8/14/14 6:09 PM	Galbraith, Kevin	Lathen, Jay		Fwd: from US Bank outside counsel	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
758	Email	8/15/14 3:07 AM	Lathen, Jay	Galbraith, Kevin	Robinson, Michael D.	Re: from US Bank outside counsel	Attorney Client Privilege	Communication requesting legal advice of counsel.		
759	Email	8/15/14 2:34 PM	Galbraith, Kevin	Lathen, Jay	Robinson, Michael D.	Re: from US Bank outside counsel	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
760	Email	8/18/14 9:38 AM	Galbraith, Kevin	Lathen, Jay	Robinson, Michael D.	RE: from US Bank outside counsel	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
761	Email	8/18/14 9:48 AM	Lathen, Jay	Galbraith, Kevin	Robinson, Michael D.	RE: from US Bank outside counsel	Attorney Client Privilege	Communication requesting legal advice of counsel.		
762	Email	8/18/14 1:56 PM	Galbraith, Kevin	Lathen, Jay	Robinson, Michael D.	RE: from US Bank outside counsel	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
763	Email	8/18/14 6:05 PM	Lathen, Jay	Blattmachr, Jonathan		RE: Follow-up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
764	Email	8/20/14 4:02 PM	Galbraith, Kevin	Lathen, Jay	Robinson, Michael D.	Updates	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
765	Email	8/25/14 6:36 PM	Kane, Darren	Lathen, Jay		Monthly Compliance Call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
766	Email with Attachment(s)	8/26/14 4:39 PM	Lathen, Jay	Galbraith, Kevin		Goldman Correspondence	Attorney Client Privilege	Communication requesting legal advice of counsel.		
767	Email	8/26/14 5:13 PM	Galbraith, Kevin	Lathen, Jay		RE: Goldman Correspondence	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
768	Email	8/26/14 9:01 PM	Lathen, Jay	Galbraith, Kevin		Legal Research	Attorney Client Privilege	Communication requesting legal advice of counsel.		
769	Email	8/26/14 10:39 PM	Galbraith, Kevin	Lathen, Jay		Re: Legal Research	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
770	Email	8/27/14 6:37 AM	Lathen, Jay	Galbraith, Kevin		Re: Legal Research	Attorney Client Privilege	Communication requesting legal advice of counsel.		
771	Email with Attachment(s)	8/27/14 7:57 AM	Lathen, Jay	Galbraith, Kevin		My letter to US Bank dated January 29, 2014	Attorney Client Privilege	Communication requesting legal advice of counsel.		
772	Email	8/27/14 9:29 AM	Galbraith, Kevin	Lathen, Jay		Re: Legal Research	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
773	Email	8/27/14 2:48 PM	Galbraith, Kevin	Lathen, Jay		RE: Legal Research	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
774	Email	8/27/14 2:49 PM	Lathen, Jay	Galbraith, Kevin		RE: Legal Research	Attorney Client Privilege	Communication requesting legal advice of counsel.		
775	Email	8/27/14 11:15 PM	Galbraith, Kevin	Lathen, Jay		RE: Legal Research	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
776	Email	8/27/14 11:52 PM	Lathen, Jay	Galbraith, Kevin		RE: Legal Research	Attorney Client Privilege	Communication requesting legal advice of counsel.		
777	Email with Attachment(s)	8/28/14 1:44 PM	Lathen, Jay	Galbraith, Kevin		RE: Legal Research	Attorney Client Privilege	Communication requesting legal advice of counsel.		
778	Email	8/28/14 1:55 PM	Galbraith, Kevin	Lathen, Jay		RE: Legal Research	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
779	Email	8/29/14 12:48 PM	Galbraith, Kevin	Lathen, Jay		RE: Legal Research	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
780	Email with Attachment(s)	8/29/14 4:59 PM	Galbraith, Kevin	Lathen, Jay		Letter -- Draft with KG Suggested Revisions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		

**In the Matter of Eden Arc Capital Management, LLC (NY-9197)
Privilege Log**

Entry Number	Record Type	Date	From	To	Cc	Email Subject or File Name	Privilege Type	Privilege Description	Redacted	Production Rate
781	Email with Attachment(s)	8/29/14 5:54 PM	Lathen, Jay	Galbraith, Kevin		Re: Letter – Draft with KG Suggested Revisions	Attorney Client Privilege	Communication requesting legal advice of counsel.		
782	Email with Attachment(s)	9/2/14 1:03 PM	Lathen, Jay	Galbraith, Kevin		RE: Letter – Draft with KG Suggested Revisions	Attorney Client Privilege	Communication requesting legal advice of counsel.		
783	Email	9/2/14 1:17 PM	Galbraith, Kevin	Lathen, Jay		RE: Letter – Draft with KG Suggested Revisions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
784	Email	9/2/14 1:51 PM	Lathen, Jay	Galbraith, Kevin		RE: Letter – Draft with KG Suggested Revisions	Attorney Client Privilege	Communication requesting legal advice of counsel.		
785	Email with Attachment(s)	9/2/14 2:08 PM	Lathen, Jay	Galbraith, Kevin		RE: Letter – Draft with KG Suggested Revisions	Attorney Client Privilege	Communication requesting legal advice of counsel.		
786	Email	9/2/14 2:20 PM	Galbraith, Kevin	Lathen, Jay		RE: Letter – Draft with KG Suggested Revisions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
787	Email with Attachment(s)	9/2/14 2:43 PM	Galbraith, Kevin	Lathen, Jay		RE: Letter – Draft with KG Suggested Revisions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
788	Email with Attachment(s)	9/2/14 5:37 PM	Lathen, Jay	Galbraith, Kevin		RE: Letter – Draft with KG Suggested Revisions	Attorney Client Privilege	Communication requesting legal advice of counsel.		
789	Email with Attachment(s)	9/2/14 5:47 PM	Galbraith, Kevin	Lathen, Jay		(FWD: Prospect Capital Corporation Survivor's Option Submissions)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
790	Email with Attachment(s)	9/2/14 6:34 PM	Galbraith, Kevin	Lathen, Jay		BI8 9-2-14	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
791	Email with Attachment(s)	9/3/14 2:03 PM	Lathen, Jay	Galbraith, Kevin		US Bank Recent Reject Letters	Attorney Client Privilege	Communication requesting legal advice of counsel.		
792	Email	9/3/14 2:05 PM	Galbraith, Kevin	Lathen, Jay		RE: US Bank Recent Reject Letters	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
793	Email with Attachment(s)	9/3/14 2:27 PM	Galbraith, Kevin	Lathen, Jay		(FWD: Eden Arc Capital – Additional Submissions Rejected)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
794	Email	9/3/14 3:47 PM	Lathen, Jay	Galbraith, Kevin		RE: (FWD: Eden Arc Capital – Additional Submissions Rejected)	Attorney Client Privilege	Communication requesting legal advice of counsel.		
795	Email	9/4/14 5:04 PM	Galbraith, Kevin	Lathen, Jay		(FWD: Prospect Capital)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
796	Email	9/4/14 5:46 PM	Lathen, Jay	Galbraith, Kevin		RE: (FWD: Prospect Capital)	Attorney Client Privilege	Communication requesting legal advice of counsel.		
797	Email	9/4/14 5:57 PM	Galbraith, Kevin	Lathen, Jay		Re: (FWD: Prospect Capital)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
798	Email	9/4/14 9:23 PM	Galbraith, Kevin	Lathen, Jay		RE: (FWD: Prospect Capital)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
799	Email	9/5/14 11:07 AM	Lathen, Jay	Galbraith, Kevin		RE: (FWD: Prospect Capital)	Attorney Client Privilege	Communication requesting legal advice of counsel.		
800	Email	9/5/14 11:51 AM	Galbraith, Kevin	Lathen, Jay		Fwd: Prospect Capital	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
801	Email	9/5/14 11:51 AM	Galbraith, Kevin	Lathen, Jay		Re: (FWD: Prospect Capital)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
802	Email	9/5/14 3:16 PM	Lathen, Jay	Kane, Darren		RE: Monthly Compliance Call	Attorney Client Privilege	Communication requesting legal advice of counsel.		
803	Email	9/5/14 3:30 PM	Lathen, Jay	Galbraith, Kevin		FNRA	Attorney Client Privilege	Communication requesting legal advice of counsel.		
804	Email	9/5/14 3:49 PM	Galbraith, Kevin	Lathen, Jay		RE: FNRA	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
805	Email	9/5/14 6:21 PM	Kane, Darren	Lathen, Jay		RE: Monthly Compliance Call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
806	Email	9/5/14 10:54 AM	Lathen, Jay	Kane, Darren		Re: Monthly Compliance Call	Attorney Client Privilege	Communication requesting legal advice of counsel.		
807	Email	9/5/14 9:33 PM	Kane, Darren	Lathen, Jay	Hartman, David	RE: Monthly Compliance Call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
808	Email	9/9/14 11:27 AM	Galbraith, Kevin	Lathen, Jay		FNRA Call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
809	Email	9/9/14 1:20 PM	Lathen, Jay	Galbraith, Kevin		RE: FNRA Call	Attorney Client Privilege	Communication requesting legal advice of counsel.		
810	Email	9/9/14 2:50 PM	Galbraith, Kevin	Lathen, Jay		RE: FNRA Call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
811	Email	9/9/14 2:59 PM	Lathen, Jay	Galbraith, Kevin		RE: FNRA Call	Attorney Client Privilege	Communication requesting legal advice of counsel.		
812	Email	9/9/14 3:05 PM	Lathen, Jay	Galbraith, Kevin		RE: FNRA Call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
813	Email	9/9/14 3:28 PM	Galbraith, Kevin	Lathen, Jay		RE: FNRA Call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
814	Email with Attachment(s)	9/9/14 4:34 PM	Lathen, Jay	Kane, Darren		FW: Invoice 1-362182 from Vintage	Attorney Client Privilege	Communication requesting legal advice of counsel.		
815	Email with Attachment(s)	9/9/14 4:35 PM	Lathen, Jay	Kane, Darren		FW: Invoice 1-362182 from Vintage	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
816	Email	9/9/14 10:50 PM	Kane, Darren	Lathen, Jay		RE: Invoice 1-362182 from Vintage	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
817	Email	9/10/14 10:59 AM	Lathen, Jay	Kane, Darren		RE: Invoice 1-362182 from Vintage	Attorney Client Privilege	Communication requesting legal advice of counsel.		
818	Email	9/10/14 10:57 AM	Kane, Darren	Lathen, Jay		RE: Invoice 1-362182 from Vintage	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
819	Email	9/10/14 11:32 AM	Kane, Darren	Lathen, Jay		FW: Invoice 1-362182 from Vintage	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
820	Email with Attachment(s)	9/10/14 12:04 PM	Lathen, Jay	Kane, Darren		FW: Invoice 1-362892 from Vintage	Attorney Client Privilege	Communication requesting legal advice of counsel.		
821	Email	9/10/14 12:53 PM	Kane, Darren	Lathen, Jay		RE: Invoice 1-362892 from Vintage	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
822	Email	9/10/14 3:36 PM	Roper, Eric	Lathen, Jay		Office Situation	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
823	Email with Attachment(s)	9/11/14 2:45 PM	Galbraith, Kevin	Lathen, Jay; Robinson, Michael D.		Agenda for 9/15 FNRA Conference Call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
824	Email with Attachment(s)	9/11/14 4:00 PM	Lathen, Jay	Galbraith, Kevin	Robinson, Michael D.	Base Indenture	Attorney Client Privilege	Communication requesting legal advice of counsel.		
825	Email	9/11/14 7:20 PM	Galbraith, Kevin	Lathen, Jay	Robinson, Michael D.	RE: Base Indenture	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
826	Email	9/15/14 1:05 PM	Galbraith, Kevin	Lathen, Jay	Robinson, Michael D.	Follow-Up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
827	Email	9/15/14 1:53 PM	Lathen, Jay	Galbraith, Kevin	Robinson, Michael D.	Re: Follow-Up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
828	Email	9/15/14 1:57 PM	Lathen, Jay	Galbraith, Kevin	Robinson, Michael D.	RE: Follow-Up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
829	Email	9/15/14 2:58 PM	Galbraith, Kevin	Lathen, Jay	Robinson, Michael D.	RE: Follow-Up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
830	Email with Attachment(s)	9/15/14 6:40 PM	Galbraith, Kevin	Lathen, Jay	Robinson, Michael D.	FWD: Eden Arc Capital – Follow-Up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
831	Email	9/17/14 12:56 PM	Lathen, Jay	Galbraith, Kevin		WSI Article on Survivor's Option Securities	Attorney Client Privilege	Communication requesting legal advice of counsel.		
832	Email	9/17/14 1:06 PM	Galbraith, Kevin	Lathen, Jay		RE: WSI Article on Survivor's Option Securities	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
833	Email	9/17/14 3:20 PM	Galbraith, Kevin	Lathen, Jay		(FWD: Eden Arc Capital – Follow-Up Information)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
834	Email	9/17/14 3:34 PM	Galbraith, Kevin	Lathen, Jay		RE: (FWD: Eden Arc Capital – Follow-Up Information)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
835	Email	9/17/14 3:52 PM	Lathen, Jay	Galbraith, Kevin		RE: (FWD: Eden Arc Capital – Follow-Up Information)	Attorney Client Privilege	Communication requesting legal advice of counsel.		
836	Email	9/17/14 5:25 PM	Lathen, Jay	Galbraith, Kevin		RE: (FWD: Eden Arc Capital – Follow-Up Information)	Attorney Client Privilege	Communication requesting legal advice of counsel.		
837	Email	9/17/14 5:36 PM	Lathen, Jay	Galbraith, Kevin		RE: (FWD: Eden Arc Capital – Follow-Up Information)	Attorney Client Privilege	Communication requesting legal advice of counsel.		
838	Email	9/17/14 5:50 PM	Lathen, Jay	Galbraith, Kevin		FW: Redemption Rejection	Attorney Client Privilege	Communication requesting legal advice of counsel.		
839	Email	9/17/14 5:57 PM	Galbraith, Kevin	Lathen, Jay		Re: (FWD: Eden Arc Capital – Follow-Up Information)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
840	Email	9/17/14 6:55 PM	Lathen, Jay	Galbraith, Kevin		Updates	Attorney Client Privilege	Communication requesting legal advice of counsel.		
841	Email	9/17/14 7:29 PM	Galbraith, Kevin	Lathen, Jay		Fwd: Prospect Capital	Attorney Client Privilege	Communication reflecting legal advice of counsel.		

**In the Matter of Eden Arc Capital Management, LLC (NY-9197)
Privilege Log**

Entry Number	Record Type	Date	From	To	Cc	Email Subject or File Name	Privilege Type	Privilege Description	Referred	Production Notes
842	Email with Attachment(s)	9/19/14 2:47 PM	Lathen, Jay	Galbraith, Kevin		Cases	Attorney Client Privilege	Communication requesting legal advice of counsel.		
843	Email	9/19/14 3:04 PM	Galbraith, Kevin	Lathen, Jay		RE: Cases	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
844	Email with Attachment(s)	9/19/14 6:24 PM	Galbraith, Kevin	Lathen, Jay		Fwd: Prospect Capital Corp. Survivor's Option Submissions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
845	Email	9/19/14 7:02 PM	Lathen, Jay	Galbraith, Kevin		Re: Prospect Capital Corp. Survivor's Option Submissions	Attorney Client Privilege	Communication requesting legal advice of counsel.		
846	Email	9/19/14 9:54 PM	Galbraith, Kevin	Lathen, Jay		Re: Prospect Capital Corp. Survivor's Option Submissions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
847	Email	9/20/14 11:37 AM	Lathen, Jay	Galbraith, Kevin		Re: Prospect Capital Corp. Survivor's Option Submissions	Attorney Client Privilege	Communication requesting legal advice of counsel.		
848	Email	9/20/14 11:46 AM	Galbraith, Kevin	Lathen, Jay		RE: Prospect Capital Corp. Survivor's Option Submissions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
849	Email	9/22/14 8:34 AM	Lathen, Jay	Galbraith, Kevin		RE: Prospect Capital Corp. Survivor's Option Submissions	Attorney Client Privilege	Communication requesting legal advice of counsel.		
850	Email	9/22/14 8:39 AM	Galbraith, Kevin	Lathen, Jay		Re: Prospect Capital Corp. Survivor's Option Submissions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
851	Email	9/22/14 8:42 AM	Lathen, Jay	Galbraith, Kevin		RE: Prospect Capital Corp. Survivor's Option Submissions	Attorney Client Privilege	Communication requesting legal advice of counsel.		
852	Email with Attachment(s)	9/22/14 12:56 PM	Galbraith, Kevin	Lathen, Jay		RE: Prospect Capital Corp. Survivor's Option Submissions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
853	Email	9/22/14 1:17 PM	Lathen, Jay	Galbraith, Kevin		RE: Prospect Capital Corp. Survivor's Option Submissions	Attorney Client Privilege	Communication requesting legal advice of counsel.		
854	Email	9/23/14 2:31 PM	Lathen, Jay	Galbraith, Kevin		RE: Follow-Up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
855	Email	9/23/14 2:35 PM	Galbraith, Kevin	Lathen, Jay		RE: Follow-Up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
856	Email	9/24/14 11:52 AM	Galbraith, Kevin	Lathen, Jay		RE: Prospect Capital Corp. Survivor's Option Submissions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
857	Email	9/24/14 12:27 PM	Lathen, Jay	Galbraith, Kevin		RE: Prospect Capital Corp. Survivor's Option Submissions	Attorney Client Privilege	Communication requesting legal advice of counsel.		
858	Email	9/24/14 12:29 PM	Galbraith, Kevin	Lathen, Jay		RE: Prospect Capital Corp. Survivor's Option Submissions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
859	Email	9/24/14 12:30 PM	Galbraith, Kevin	Lathen, Jay		RE: Prospect Capital Corp. Survivor's Option Submissions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
860	Email	9/24/14 12:57 PM	Lathen, Jay	Galbraith, Kevin		RE: Prospect Capital Corp. Survivor's Option Submissions	Attorney Client Privilege	Communication requesting legal advice of counsel.		
861	Email	9/25/14 4:01 PM	Lathen, Jay	Montgomery, Michael H.		RE: Staples Case	Attorney Client Privilege	Communication requesting legal advice of counsel.		
862	Email	9/25/14 4:09 PM	Galbraith, Kevin	Lathen, Jay		FINRA Follow-Up Call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
863	Email	9/25/14 4:23 PM	Lathen, Jay	Galbraith, Kevin		RE: FINRA Follow-Up Call	Attorney Client Privilege	Communication requesting legal advice of counsel.		
864	Email	9/25/14 4:33 PM	Galbraith, Kevin	Lathen, Jay		RE: FINRA Follow-Up Call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
865	Email	9/29/14 6:10 PM	Galbraith, Kevin	Lathen, Jay		FWD: Eden Arc Capital - GE Capital Internotes	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
866	Email	9/30/14 10:18 AM	Galbraith, Kevin	Lathen, Jay	Robinson, Michael D.	RE: FWD: Eden Arc Capital - GE Capital Internotes	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
867	Email	9/30/14 11:08 AM	Galbraith, Kevin	Lathen, Jay	Robinson, Michael D.	RE: FINRA Follow-Up Call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
868	Email with Attachment(s)	9/30/14 12:06 PM	Lathen, Jay	Galbraith, Kevin	Robinson, Michael D.	RE: FWD: Eden Arc Capital - GE Capital Internotes	Attorney Client Privilege	Communication requesting legal advice of counsel.		
869	Email	9/30/14 12:09 PM	Galbraith, Kevin	Lathen, Jay		RE: FWD: Eden Arc Capital - GE Capital Internotes	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
870	Email with Attachment(s)	9/30/14 12:26 PM	Galbraith, Kevin	Lathen, Jay	Robinson, Michael D.	RE: FINRA Follow-Up Call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
871	Email	9/30/14 1:26 PM	Galbraith, Kevin	Lathen, Jay		RE: FINRA Follow-Up Call	Attorney Client Privilege	Communication requesting legal advice of counsel.		
872	Email	9/30/14 2:02 PM	Galbraith, Kevin	Lathen, Jay		RE: FINRA Follow-Up Call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
873	Email	9/30/14 4:04 PM	Galbraith, Kevin	Lathen, Jay		RE: FINRA Follow-Up Call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
874	Email	9/30/14 5:25 PM	Galbraith, Kevin	Lathen, Jay		FWD: RE: Eden Arc Capital - Follow-Up Information	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
875	Email	9/30/14 5:31 PM	Lathen, Jay	Galbraith, Kevin		RE: RE: Eden Arc Capital - Follow-Up Information	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
876	Email with Attachment(s)	10/1/14 9:41 AM	Galbraith, Kevin	Lathen, Jay		FWD: E-mail from Fred Robustelli, GE Treasury	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
877	Email	10/1/14 12:37 PM	Galbraith, Kevin	Lathen, Jay	Robinson, Michael D.	FWD: Eden Arc Capital - GE Capital Internotes	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
878	Email	10/1/14 12:58 PM	Galbraith, Kevin	Lathen, Jay	Robinson, Michael D.	FWD: RE: Prospect Capital v. Lathen et al. - Follow-Up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
879	Email with Attachment(s)	10/1/14 2:34 PM	Galbraith, Kevin	Lathen, Jay		Cases cited in Prospect Email of 10/1/14	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
880	Email	10/1/14 4:09 PM	Kane, Darren	Lathen, Jay		SEC Charges Purported Money Manager in New York Who Schemed Investors and Uled to SEC Examiners	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
881	Email with Attachment(s)	10/1/14 5:03 PM	Kane, Darren	Lathen, Jay		SEC Document Letter	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
882	Email with Attachment(s)	10/1/14 5:41 PM	Kane, Darren	Lathen, Jay		SEC Form ADV	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
883	Email with Attachment(s)	10/1/14 6:41 PM	Lathen, Jay	Kane, Darren		RE: SEC Form ADV	Attorney Client Privilege	Communication requesting legal advice of counsel.		
884	Email	10/1/14 7:16 PM	Kane, Darren	Lathen, Jay		RE: SEC Form ADV	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
885	Email	10/2/14 11:09 AM	Hartman, David	Lathen, Jay		Call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
886	Email	10/2/14 11:15 AM	Lathen, Jay	Hartman, David		Re: Call	Attorney Client Privilege	Communication requesting legal advice of counsel.		
887	Email	10/2/14 1:39 PM	Lathen, Jay	Galbraith, Kevin	Robinson, Michael D.	RE: FINRA Follow-Up Call	Attorney Client Privilege	Communication requesting legal advice of counsel.		
888	Email	10/2/14 1:44 PM	Lathen, Jay	Galbraith, Kevin	Robinson, Michael D.	RE: FINRA Follow-Up Call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
889	Email with Attachment(s)	10/2/14 2:39 PM	Lathen, Jay	Kane, Darren		RE: SEC Form ADV	Attorney Client Privilege	Communication requesting legal advice of counsel.		
890	Email	10/2/14 6:34 PM	Kane, Darren	Lathen, Jay		RE: SEC Form ADV	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
891	Email	10/3/14 9:53 AM	Kane, Darren	Lathen, Jay		Do you have a second?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
892	Email	10/3/14 10:30 AM	Lathen, Jay	Kane, Darren		Re: Do you have a second?	Attorney Client Privilege	Communication requesting legal advice of counsel.		
893	Email	10/3/14 10:57 AM	Kane, Darren	Lathen, Jay		206(4)-2 Custody of funds or securities of clients by investment advisers.	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
894	Email with Attachment(s)	10/3/14 11:21 AM	Lathen, Jay	Kane, Darren		RE: 206(4)-2 Custody of funds or securities of clients by investment advisers.	Attorney Client Privilege	Communication requesting legal advice of counsel.		

**In the Matter of Eden Arc Capital Management, LLC (NY-9197)
Privilege Log**

Entry Number	Record Type	Date	From	To	Cc	Email Subject or File Name	Privilege Type	Privilege Description	Redacted	Production Status
895	Email	10/3/14 11:50 AM	Kane, Darren	Lathen, Jay		PW: Inquiry	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
896	Email with Attachment(s)	10/3/14 12:55 PM	Lathen, Jay	Kane, Darren; Hartman, David		SEC Info Request	Attorney Client Privilege	Communication requesting legal advice of counsel.		
897	Email	10/3/14 1:21 PM	Kane, Darren	Lathen, Jay	Hartman, David	RE: SEC Info Request	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
898	Email with Attachment(s)	10/3/14 1:53 PM	Galbraith, Kevin	Lathen, Jay		Call with Prospect - Pushed Back to Monday	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
899	Email	10/3/14 2:13 PM	Lathen, Jay	Kane, Darren	Hartman, David	RE: SEC Info Request	Attorney Client Privilege	Communication requesting legal advice of counsel.		
900	Email	10/3/14 2:17 PM	Kane, Darren	Lathen, Jay	Hartman, David	RE: SEC Info Request	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
901	Email	10/6/14 5:37 PM	Hartman, David	Lathen, Jay		Call tomorrow	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
902	Email	10/6/14 6:16 PM	Lathen, Jay	Hartman, David		RE: call tomorrow	Attorney Client Privilege	Communication requesting legal advice of counsel.		
903	Email	10/8/14 2:20 PM	Galbraith, Kevin	Lathen, Jay		Status Update	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
904	Email	10/8/14 2:58 PM	Lathen, Jay	Galbraith, Kevin		RE: Status Update	Attorney Client Privilege	Communication requesting legal advice of counsel.		
905	Email	10/8/14 3:34 PM	Galbraith, Kevin	Lathen, Jay		RE: Status Update	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
906	Email	10/9/14 10:49 AM	Galbraith, Kevin	Lathen, Jay		RE: Status Update	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
907	Email	10/9/14 10:53 AM	Lathen, Jay	Galbraith, Kevin		RE: Status Update	Attorney Client Privilege	Communication requesting legal advice of counsel.		
908	Email	10/9/14 11:15 AM	Galbraith, Kevin	Lathen, Jay		RE: Status Update	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
909	Email with Attachment(s)	10/9/14 2:37 PM	Lathen, Jay	Kane, Darren		Line of Credit Agreement	Attorney Client Privilege	Communication requesting legal advice of counsel.		
910	Email	10/9/14 3:27 PM	Kane, Darren	Lathen, Jay		RE: Line of Credit Agreement	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
911	Email	10/9/14 4:53 PM	Lathen, Jay	Kane, Darren		RE: Line of Credit Agreement	Attorney Client Privilege	Communication requesting legal advice of counsel.		
912	Email	10/9/14 6:46 PM	Lathen, Jay	Kane, Darren		SEC Stuff	Attorney Client Privilege	Communication requesting legal advice of counsel.		
913	Email	10/9/14 7:27 PM	Kane, Darren	Lathen, Jay		RE: SEC Stuff	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
914	Email	10/9/14 7:46 PM	Galbraith, Kevin	Lathen, Jay		Pwd: GE Capital Internotes - Follow-Up Information	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
915	Email with Attachment(s)	10/10/14 1:44 PM	Lathen, Jay	Kane, Darren		RE: SEC Stuff	Attorney Client Privilege	Communication requesting legal advice of counsel.		
916	Email with Attachment(s)	10/10/14 2:05 PM	Lathen, Jay	Kane, Darren		Litigation Summary	Attorney Client Privilege	Communication requesting legal advice of counsel.		
917	Email with Attachment(s)	10/10/14 2:21 PM	Kane, Darren	Lathen, Jay		Portfolio Holdings	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
918	Email with Attachment(s)	10/10/14 4:45 PM	Kane, Darren	Lathen, Jay		RE: Litigation Summary	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
919	Email with Attachment(s)	10/10/14 4:48 PM	Kane, Darren	Lathen, Jay		RE: Litigation Summary	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
920	Email with Attachment(s)	10/10/14 4:52 PM	Lathen, Jay	Kane, Darren		Letter to US Bank	Attorney Client Privilege	Communication requesting legal advice of counsel.		
921	Email with Attachment(s)	10/10/14 5:16 PM	Galbraith, Kevin	Lathen, Jay		FNRA Letter - Draft for Your Review	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
922	Email with Attachment(s)	10/10/14 5:16 PM	Kane, Darren	Lathen, Jay		Redacted Investor Letter	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
923	Email with Attachment(s)	10/10/14 5:23 PM	Galbraith, Kevin	Lathen, Jay		[PWD: Survivor's Option]	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
924	Email with Attachment(s)	10/10/14 6:01 PM	Kane, Darren	Lathen, Jay		EndCare Brochure	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
925	Email	10/14/14 11:07 AM	Galbraith, Kevin	Lathen, Jay		Call Re: US Bank	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
926	Email	10/14/14 11:21 AM	Lathen, Jay	Galbraith, Kevin		Re: Call Re: US Bank	Attorney Client Privilege	Communication requesting legal advice of counsel.		
927	Email with Attachment(s)	10/14/14 12:10 PM	Lathen, Jay	Kane, Darren		PW: FW: EndCare Brochure	Attorney Client Privilege	Communication requesting legal advice of counsel.		
928	Email	10/14/14 2:39 PM	Kane, Darren	Lathen, Jay		RE: FW: EndCare Brochure	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
929	Email	10/14/14 4:53 PM	Galbraith, Kevin	Lathen, Jay		RE: Call Re: US Bank	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
930	Email	10/14/14 4:58 PM	Lathen, Jay	Galbraith, Kevin		RE: Call Re: US Bank	Attorney Client Privilege	Communication requesting legal advice of counsel.		
931	Email with Attachment(s)	10/14/14 5:33 PM	Galbraith, Kevin	Lathen, Jay		[PWD: Eden Arc Capital]	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
932	Email	10/15/14 2:59 PM	Galbraith, Kevin	Lathen, Jay		RE: Call Re: US Bank	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
933	Email	10/15/14 3:02 PM	Lathen, Jay	Galbraith, Kevin		Re: Call Re: US Bank	Attorney Client Privilege	Communication requesting legal advice of counsel.		
934	Email	10/15/14 5:35 PM	Robinson, Michael D.	Roper, Eric		FedEx	Attorney Client Privilege	Communication requesting legal advice of counsel.		
935	Email	10/16/14 10:34 AM	Lathen, Jay	Kane, Darren	Hartman, David	SEC Conference Call	Attorney Client Privilege	Communication requesting legal advice of counsel.		
936	Email	10/16/14 1:04 PM	Kane, Darren	Lathen, Jay	Hartman, David	RE: SEC Conference Call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
937	Email	10/16/14 1:47 PM	Lathen, Jay	Kane, Darren	Hartman, David	Re: SEC Conference Call	Attorney Client Privilege	Communication requesting legal advice of counsel.		
938	Email	10/16/14 1:48 PM	Kane, Darren	Lathen, Jay		RE: SEC Conference Call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
939	Email	10/16/14 7:43 PM	Kane, Darren	Lathen, Jay		do you have a sec? 212-229-2229	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
940	Email	10/17/14 10:50 AM	Galbraith, Kevin	Lathen, Jay		GE Capital	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
941	Email with Attachment(s)	10/17/14 12:17 PM	Lathen, Jay	Kane, Darren; Hartman, David		SEC Additional Info Request	Attorney Client Privilege	Communication requesting legal advice of counsel.		
942	Email	10/17/14 2:50 PM	Kane, Darren	Lathen, Jay	Hartman, David	RE: SEC Additional Info Request	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
943	Email	10/17/14 4:10 PM	Lathen, Jay	Kane, Darren	Hartman, David	RE: SEC Additional Info Request	Attorney Client Privilege	Communication requesting legal advice of counsel.		
944	Email	10/17/14 4:31 PM	Kane, Darren	Lathen, Jay		RE: SEC Additional Info Request	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
945	Email	10/17/14 4:47 PM	Lathen, Jay	Kane, Darren		RE: SEC Additional Info Request	Attorney Client Privilege	Communication requesting legal advice of counsel.		
946	Email	10/17/14 6:32 PM	Kane, Darren	Lathen, Jay		RE: SEC Additional Info Request	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
947	Email	10/19/14 12:01 PM	Kane, Darren	Lathen, Jay		Inquiry	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
948	Email	10/19/14 12:11 PM	Lathen, Jay	Kane, Darren		RE: Inquiry	Attorney Client Privilege	Communication requesting legal advice of counsel.		
949	Email	10/20/14 1:57 PM	Kane, Darren	Lathen, Jay		RE: Inquiry	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
950	Email	10/20/14 12:40 PM	Galbraith, Kevin	Lathen, Jay		Status Update and Wednesday Call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
951	Email	10/20/14 12:42 PM	Lathen, Jay	Galbraith, Kevin		RE: Status Update and Wednesday Call	Attorney Client Privilege	Communication requesting legal advice of counsel.		
952	Email	10/20/14 12:44 PM	Galbraith, Kevin	Lathen, Jay		RE: Status Update and Wednesday Call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
953	Email with Attachment(s)	10/20/14 6:25 PM	Kane, Darren	Lathen, Jay		Revised EndCare Brochure	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
954	Email	10/21/14 8:35 AM	Galbraith, Kevin	Lathen, Jay		GE Capital Question	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
955	Email	10/21/14 9:27 AM	Lathen, Jay	Galbraith, Kevin		Re: GE Capital Question	Attorney Client Privilege	Communication requesting legal advice of counsel.		
956	Email	10/21/14 9:43 AM	Galbraith, Kevin	Lathen, Jay		RE: GE Capital Question	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
957	Email	10/21/14 9:48 AM	Lathen, Jay	Galbraith, Kevin		Re: GE Capital Question	Attorney Client Privilege	Communication requesting legal advice of counsel.		

**In the Matter of Eden Arc Capital Management, LLC (NY-9197)
Privilege Log**

Entry Number	Record Type	Date	From	To	Cc	Email Subject or File Name	Privilege Type	Privilege Description	Redacted	Production Bytes
958	Email with Attachment(s)	10/21/14 5:12 PM	Lathen, Jay	Kane, Darren		FBI Agent Grosse Memo	Attorney Client Privilege	Communication requesting legal advice of counsel.		
959	Email	10/21/14 6:45 PM	Kane, Darren	Lathen, Jay		RE: FBI Agent Grosse Memo	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
960	Email with Attachment(s)	10/22/14 2:58 PM	Robinson, Michael D.	Galbraith, Kevin	lathen, Jay	GECC at First SouthWest	Attorney Client Privilege	Communication requesting legal advice of counsel.		
961	Email	10/22/14 3:30 PM	Galbraith, Kevin	Robinson, Michael D.	Lathen, Jay	RE: GECC at First SouthWest	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
962	Email	10/22/14 4:24 PM	Galbraith, Kevin	Lathen, Jay		Language for SEC	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
963	Email with Attachment(s)	10/22/14 4:40 PM	Galbraith, Kevin	Lathen, Jay		RE: Language for SEC	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
964	Email with Attachment(s)	10/22/14 10:19 PM	Kane, Darren	Lathen, Jay		Definition of "Documents"	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
965	Email with Attachment(s)	10/23/14 9:21 AM	Lathen, Jay	Kane, Darren		EndCare Application Form	Attorney Client Privilege	Communication requesting legal advice of counsel.		
966	Email	10/23/14 10:35 AM	Kane, Darren	Lathen, Jay		RE: EndCare Application Form	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
967	Email	10/23/14 1:03 PM	Lathen, Jay	Kane, Darren		SEC email	Attorney Client Privilege	Communication requesting legal advice of counsel.		
968	Email with Attachment(s)	10/23/14 1:49 PM	Robinson, Michael D.	Kane, Darren	lathen, Jay	EndCare Brochure	Attorney Client Privilege	Communication requesting legal advice of counsel.		
969	Email	10/23/14 3:03 PM	Kane, Darren	Robinson, Michael D.		RE: EndCare Brochure	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
970	Email	10/23/14 4:57 PM	Kane, Darren	Lathen, Jay		RE: SEC email	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
971	Email	10/23/14 6:27 PM	Lathen, Jay	Galbraith, Kevin		Staples Case	Attorney Client Privilege	Communication requesting legal advice of counsel.		
972	Email with Attachment(s)	10/23/14 8:04 PM	Kane, Darren	Lathen, Jay		RE: EndCare Brochure	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
973	Email	10/24/14 10:28 AM	Lathen, Jay	Galbraith, Kevin		RE: Staples Case	Attorney Client Privilege	Communication requesting legal advice of counsel.		
974	Email	10/24/14 10:28 AM	Galbraith, Kevin	Lathen, Jay		RE: Staples Case	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
975	Email	10/24/14 11:42 AM	Galbraith, Kevin	Lathen, Jay		[FWD: RE: Eden Arc Capital]	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
976	Email with Attachment(s)	10/24/14 7:51 PM	Lathen, Jay	Kane, Darren		Investor Letter	Attorney Client Privilege	Communication requesting legal advice of counsel.		
977	Email	10/24/14 8:02 PM	Kane, Darren	Lathen, Jay		RE: Investor Letter	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
978	Email	10/27/14 8:32 AM	Galbraith, Kevin	Lathen, Jay		Call today	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
979	Email	10/27/14 8:53 AM	Lathen, Jay	Galbraith, Kevin		RE: Call today	Attorney Client Privilege	Communication requesting legal advice of counsel.		
980	Email	10/27/14 9:55 AM	Galbraith, Kevin	Lathen, Jay		Re: Call today	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
981	Email with Attachment(s)	10/27/14 2:06 PM	Lathen, Jay	Galbraith, Kevin		US Bank Securities	Attorney Client Privilege	Communication requesting legal advice of counsel.		
982	Email with Attachment(s)	10/27/14 2:36 PM	Lathen, Jay	Galbraith, Kevin		FW: US Bank Securities	Attorney Client Privilege	Communication requesting legal advice of counsel.		
983	Email	10/27/14 3:13 PM	Lathen, Jay	Galbraith, Kevin		Prospect Talking Points	Attorney Client Privilege	Communication requesting legal advice of counsel.		
984	Email	10/27/14 3:30 PM	Galbraith, Kevin	Lathen, Jay		RE: Prospect Talking Points	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
985	Email	10/27/14 4:58 PM	Galbraith, Kevin	Lathen, Jay		RE: Prospect Talking Points	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
986	Email	10/27/14 7:00 PM	Lathen, Jay	Galbraith, Kevin		US Bank Talking Points	Attorney Client Privilege	Communication requesting legal advice of counsel.		
987	Email	10/27/14 8:33 PM	Lathen, Jay	Galbraith, Kevin		GE Capital Talking Points	Attorney Client Privilege	Communication requesting legal advice of counsel.		
988	Email	10/28/14 9:10 AM	Galbraith, Kevin	Lathen, Jay		RE: US Bank Talking Points	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
989	Email	10/28/14 12:44 PM	Galbraith, Kevin	Lathen, Jay	Robinson, Michael D.	Process Server	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
990	Email with Attachment(s)	10/28/14 1:15 PM	Kane, Darren	Lathen, Jay		RE: Investor Letter	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
991	Email	10/28/14 3:32 PM	Lathen, Jay	Galbraith, Kevin		4 o'clock call	Attorney Client Privilege	Communication requesting legal advice of counsel.		
992	Email	10/28/14 3:40 PM	Galbraith, Kevin	Lathen, Jay		RE: 4 o'clock call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
993	Email	10/30/14 3:55 PM	Kane, Darren	Lathen, Jay		RE: Outstanding Invoices From Mission Critical Services	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
994	Email	10/31/14 12:02 PM	Galbraith, Kevin	Lathen, Jay		Prospect	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
995	Email	10/31/14 3:08 PM	Galbraith, Kevin	Lathen, Jay		RE: Prospect	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
996	Email	10/31/14 3:10 PM	Lathen, Jay	Galbraith, Kevin		RE: Prospect	Attorney Client Privilege	Communication requesting legal advice of counsel.		
997	Email	11/2/14 7:27 PM	Kane, Darren	Lathen, Jay		Checking In	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
998	Email	11/3/14 6:55 AM	Lathen, Jay	Kane, Darren		Re: Checking In	Attorney Client Privilege	Communication requesting legal advice of counsel.		
999	Email with Attachment(s)	11/3/14 11:23 AM	Lathen, Jay	Kane, Darren		SEC Request #2	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1000	Email with Attachment(s)	11/3/14 12:28 PM	Lathen, Jay	Galbraith, Kevin		RE: Prospect	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1001	Email	11/3/14 12:33 PM	Galbraith, Kevin	Lathen, Jay		RE: Prospect	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1002	Email	11/3/14 12:34 PM	Kane, Darren	Lathen, Jay		RE: SEC Request #2	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1003	Email	11/3/14 12:36 PM	Lathen, Jay	Kane, Darren		Re: SEC Request #2	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1004	Email	11/3/14 12:42 PM	Kane, Darren	Lathen, Jay		RE: SEC Request #2	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1005	Email	11/3/14 12:43 PM	Lathen, Jay	Galbraith, Kevin		RE: Prospect	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1006	Email	11/3/14 5:17 PM	Kane, Darren	Lathen, Jay		RE: Re: SEC Request #2	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1007	Email	11/3/14 5:34 PM	Lathen, Jay	Kane, Darren		RE: Re: SEC Request #2	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1008	Email with Attachment(s)	11/3/14 6:26 PM	Lathen, Jay	Kane, Darren		Solicitation Agreement	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1009	Email	11/3/14 8:04 PM	Kane, Darren	Lathen, Jay		RE: Solicitation Agreement	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1010	Email	11/4/14 11:31 AM	Lathen, Jay	Kane, Darren; Hartman, David		SEC Conference Call Request	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1011	Email	11/4/14 11:50 AM	Hartman, David	Lathen, Jay; Kane, Darren		RE: SEC Conference Call Request	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1012	Email	11/4/14 12:30 PM	Kane, Darren	Lathen, Jay	Hartman, David	RE: SEC Conference Call Request	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1013	Email	11/4/14 12:40 PM	Galbraith, Kevin	Lathen, Jay		Re: Prospect	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1014	Email with Attachment(s)	11/4/14 2:58 PM	Galbraith, Kevin	Lathen, Jay		RE: Prospect	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1015	Email	11/4/14 3:27 PM	Lathen, Jay	Kane, Darren	Hartman, David	RE: SEC Conference Call Request	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1016	Email with Attachment(s)	11/4/14 7:19 PM	Lathen, Jay	Galbraith, Kevin		RE: Prospect	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1017	Email	11/5/14 7:39 AM	Galbraith, Kevin	Lathen, Jay		RE: Prospect	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1018	Email with Attachment(s)	11/5/14 8:23 AM	Galbraith, Kevin	Lathen, Jay		RE: Prospect	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1019	Email	11/5/14 8:36 AM	Lathen, Jay	Galbraith, Kevin		RE: Prospect	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1020	Email with Attachment(s)	11/5/14 9:13 AM	Galbraith, Kevin	Lathen, Jay		RE: Prospect	Attorney Client Privilege	Communication reflecting legal advice of counsel.		

**In the Matter of Eden Arc Capital Management, LLC (NY-9197)
Privilege Log**

Entry Number	Record Type	Date	From	To	Cc	Email Subject or File Name	Privilege Type	Privilege Description	Redacted	Production Date
1021	Email	11/5/14 10:54 AM	Galbraith, Kevin	Lathen, Jay		Prospect Call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1022	Email	11/5/14 1:53 PM	Kane, Darren	Lathen, Jay		RE: Did you find your SEC Form ADV Part 2B?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1023	Email	11/5/14 3:09 PM	Lathen, Jay	Kane, Darren		RE: Did you find your SEC Form ADV Part 2B?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1024	Email	11/5/14 3:23 PM	Kane, Darren	Lathen, Jay		RE: Did you find your SEC Form ADV Part 2B?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1025	Email with Attachment(s)	11/5/14 3:30 PM	Kane, Darren	Lathen, Jay		PW: Involves from Mission Critical Services	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1026	Attachment(s)	11/5/14 3:31 PM	Lathen, Jay	Kane, Darren		RE: Did you find your SEC Form ADV Part 2B?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1027	Email	11/5/14 3:39 PM	Kane, Darren	Lathen, Jay		RE: Did you find your SEC Form ADV Part 2B?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1028	Email	11/5/14 3:40 PM	Lathen, Jay	Kane, Darren		RE: Involves from Mission Critical Services	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1029	Email with Attachment(s)	11/5/14 4:45 PM	Hartman, David	Lathen, Jay		ADV 2B draft for your review	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1030	Email	11/5/14 7:12 PM	Lathen, Jay	Hartman, David		RE: ADV 2B draft for your review	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1031	Attachment(s)	11/5/14 7:28 PM	Lathen, Jay	Hartman, David		RE: ADV 2B draft for your review	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1032	Email	11/6/14 11:08 AM	Kane, Darren	Robinson, Michael D.	Lathen, Jay	RE: New SEC Folder	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1033	Attachment(s)	11/6/14 11:31 AM	Hartman, David	Lathen, Jay	Kane, Darren	RE: ADV 2B draft for your review	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1034	Email	11/6/14 11:26 AM	Kane, Darren	Lathen, Jay	Robinson, Michael D.; Hartman, David	RE: New SEC Folder	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1035	Attachment(s)	11/6/14 11:42 AM	Kane, Darren	Lathen, Jay	Hartman, David	RE: ADV 2B draft for your review	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1036	Email	11/6/14 11:48 AM	Lathen, Jay	Hartman, David	Kane, Darren	RE: ADV 2B draft for your review	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1037	Email	11/6/14 11:48 AM	Lathen, Jay	Kane, Darren	Robinson, Michael D.; Hartman, David	RE: New SEC Folder	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1038	Attachment(s)	11/6/14 11:49 AM	Lathen, Jay	Kane, Darren	Robinson, Michael D.; Hartman, David	RE: New SEC Folder	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1039	Email	11/6/14 1:23 PM	Lathen, Jay	Galbraith, Kevin		Re: Prospect Call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1040	Email	11/6/14 2:58 PM	Galbraith, Kevin	Lathen, Jay		Re: Prospect Call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1041	Attachment(s)	11/6/14 6:34 PM	Hartman, David	Lathen, Jay	Kane, Darren	Initial document request for the annual review	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1042	Email	11/7/14 9:32 AM	Galbraith, Kevin	Lathen, Jay		Re: Prospect Call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1043	Attachment(s)	11/11/14 8:59 AM	Galbraith, Kevin	Lathen, Jay		PW: Prospect Capital - Eden Arc	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1044	Attachment(s)	11/11/14 2:00 PM	Lathen, Jay	Galbraith, Kevin		RE: Prospect Capital - Eden Arc	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1045	Attachment(s)	11/11/14 2:23 PM	Galbraith, Kevin	Lathen, Jay		Re: Prospect Capital - Eden Arc	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1046	Email	11/11/14 10:39 PM	Lathen, Jay	Galbraith, Kevin		Pw: Eden Arc - CONFIDENTIAL	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1047	Email	11/12/14 7:06 AM	Galbraith, Kevin	Lathen, Jay		Re: Eden Arc - CONFIDENTIAL	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1048	Email	11/12/14 8:59 AM	Lathen, Jay	Randers, Robert G.		RE: Eden Arc - CONFIDENTIAL	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1049	Email	11/12/14 9:02 AM	Randers, Robert G.	Lathen, Jay		RE: Eden Arc - CONFIDENTIAL	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1050	Email	11/12/14 10:09 AM	Randers, Robert G.	Robertson, Christopher	Lathen, Jay	RE: Eden Arc - CONFIDENTIAL	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1051	Email	11/12/14 10:15 AM	Lathen, Jay	Robertson, Christopher	Galbraith, Kevin	RE: Eden Arc - CONFIDENTIAL	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1052	Email	11/12/14 10:17 AM	Robertson, Christopher	Lathen, Jay	Galbraith, Kevin	RE: Eden Arc - CONFIDENTIAL	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1053	Email	11/12/14 10:19 AM	Lathen, Jay	Robertson, Christopher	Galbraith, Kevin	RE: Eden Arc - CONFIDENTIAL	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1054	Attachment(s)	11/12/14 10:20 AM	Galbraith, Kevin	Lathen, Jay		PW: Eden Arc - CONFIDENTIAL	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1055	Email	11/12/14 10:25 AM	Lathen, Jay	Robertson, Christopher	Galbraith, Kevin	Re: Eden Arc - CONFIDENTIAL	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1056	Attachment(s)	11/12/14 10:37 AM	Galbraith, Kevin	Lathen, Jay; Robertson, Christopher		Re: Eden Arc - CONFIDENTIAL	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1057	Email	11/12/14 1:06 PM	Galbraith, Kevin	Lathen, Jay; Robinson, Michael D.		Goldman Sachs File	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1058	Attachment(s)	11/12/14 1:49 PM	Lathen, Jay	Galbraith, Kevin; Robinson, Michael D.		RE: Goldman Sachs File	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1059	Attachment(s)	11/12/14 1:55 PM	Galbraith, Kevin	Lathen, Jay; Robinson, Michael D.		Re: Goldman Sachs File	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1060	Email	11/13/14 7:49 AM	Hartman, David	Lathen, Jay	Kane, Darren	RE: SEC Conference Call Request	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1061	Email	11/13/14 7:57 AM	Lathen, Jay	Hartman, David	Kane, Darren	Re: SEC Conference Call Request	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1062	Email	11/13/14 10:38 AM	Galbraith, Kevin	Lathen, Jay		GE Capital counsel	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1063	Attachment(s)	11/13/14 4:31 PM	Lathen, Jay	Kane, Darren; Hartman, David		SEC Request #3	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1064	Email	11/13/14 8:40 PM	Kane, Darren	Lathen, Jay	Hartman, David	RE: SEC Request #3	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1065	Attachment(s)	11/14/14 3:08 PM	Galbraith, Kevin	Lathen, Jay		GE Capital authorities	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1066	Attachment(s)	11/17/14 6:10 PM	Lathen, Jay	Galbraith, Kevin		Draft GE Letter	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1067	Email	11/17/14 6:31 PM	Galbraith, Kevin	Lathen, Jay		Re: Draft GE Letter	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1068	Attachment(s)	11/18/14 1:22 PM	Galbraith, Kevin	Lathen, Jay		Re: Draft GE Letter	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1069	Attachment(s)	11/18/14 2:26 PM	Lathen, Jay	Galbraith, Kevin		RE: Draft GE Letter	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1070	Attachment(s)	11/18/14 3:48 PM	Galbraith, Kevin	Lathen, Jay		PW: Survivor's Option	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1071	Email	11/19/14 8:57 AM	Hartman, David	Lathen, Jay		standing by for you call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1072	Attachment(s)	11/19/14 4:16 PM	Lathen, Jay	Kane, Darren; Kane, Darren		SEC Request #4	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1073	Email	11/19/14 10:07 PM	Kane, Darren	Lathen, Jay		RE: SEC Request #4	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1074	Email	11/20/14 10:25 AM	Lathen, Jay	Kane, Darren		RE: SEC Request #4	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1075	Email	11/20/14 10:47 AM	Galbraith, Kevin	Lathen, Jay		U.S. Bank - Call with Mucda	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1076	Email	11/20/14 11:32 AM	Kane, Darren	Lathen, Jay		RE: SEC Request #4	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1077	Email	11/20/14 1:29 PM	Lathen, Jay	Galbraith, Kevin		RE: U.S. Bank - Call with Mucda	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1078	Attachment(s)	11/20/14 1:54 PM	Galbraith, Kevin	Lathen, Jay		Re: U.S. Bank - Call with Mucda	Attorney Client Privilege	Communication reflecting legal advice of counsel.		

**In the Matter of Eden Arc Capital Management, LLC (NY-9197)
Privilege Log**

Entry Number	Record Type	Date	From	To	Cc	Email Subject or File Name	Privilege Type	Privilege Description	Redacted	Production Status
1079	Email with Attachment(s)	11/20/14 2:03 PM	Galbraith, Kevin	Lathen, Jay		Re: U.S. Bank - Call with Muccla	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1080	Email	11/20/14 2:04 PM	Lathen, Jay	Galbraith, Kevin		RE: U.S. Bank - Call with Muccla	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1081	Email with Attachment(s)	11/20/14 6:29 PM	Lathen, Jay	Kane, Darren		SEC Response #3 Reply	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1082	Email	11/24/14 4:13 PM	Lathen, Jay	Hartman, David; Kane, Darren		SEC Request	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1083	Email	11/24/14 4:20 PM	Hartman, David	Lathen, Jay; Kane, Darren		[BULK] RE: SEC Request	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1084	Email	11/24/14 4:21 PM	Lathen, Jay	Hartman, David; Kane, Darren		RE: [BULK] RE: SEC Request	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1085	Email	11/25/14 5:04 PM	Kane, Darren	Lathen, Jay		Tonight	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1086	Email	11/25/14 1:38 PM	Kane, Darren	Lathen, Jay		RE: Tonight	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1087	Email	11/25/14 4:47 PM	Kane, Darren	Lathen, Jay		204A-1	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1088	Email	11/27/14 9:14 AM	Kane, Darren	Lathen, Jay		[BULK] RE: Price File - October 2014	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1089	Email	12/2/14 11:27 AM	Lathen, Jay	Hartman, David	Kane, Darren	SEC Conference Call Request Tomorrow 1PM	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1090	Email	12/2/14 11:39 AM	Hartman, David	Lathen, Jay	Kane, Darren	RE: SEC Conference Call Request Tomorrow 1PM	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1091	Email	12/2/14 11:40 AM	Lathen, Jay	Hartman, David	Kane, Darren	RE: SEC Conference Call Request Tomorrow 1PM	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1092	Email	12/2/14 1:52 PM	Lathen, Jay	Hartman, David	Kane, Darren	RE: SEC Conference Call Request Tomorrow 1PM	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1093	Email	12/2/14 3:00 PM	Hartman, David	Lathen, Jay	Kane, Darren	RE: SEC Conference Call Request Tomorrow 1PM	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1094	Email	12/2/14 4:02 PM	Lathen, Jay	Hartman, David	Kane, Darren	RE: SEC Conference Call Request Tomorrow 1PM	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1095	Email	12/2/14 4:10 PM	Hartman, David	Lathen, Jay	Kane, Darren	[BULK] RE: SEC Conference Call Request Tomorrow 1PM	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1096	Email	12/3/14 9:43 AM	Galbraith, Kevin	Lathen, Jay		Message	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1097	Email	12/3/14 5:20 PM	Lathen, Jay	Galbraith, Kevin		You still on with Muccla?	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1098	Email	12/4/14 10:59 AM	Lathen, Jay	Galbraith, Kevin		RE: 10 am call running long - IT call around 11:15	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1099	Email	12/4/14 10:59 AM	Galbraith, Kevin	Lathen, Jay		10 am call running long - IT call around 11:15	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1100	Email	12/4/14 1:11 PM	Lathen, Jay	Galbraith, Kevin		RE: 10 am call running long - IT call around 11:15	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1101	Email with Attachment(s)	12/4/14 1:28 PM	Galbraith, Kevin	Lathen, Jay		Re: 10 am call running long - IT call around 11:15	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1102	Email with Attachment(s)	12/4/14 2:20 PM	Galbraith, Kevin	Lathen, Jay		Re: 10 am call running long - IT call around 11:15	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1103	Email with Attachment(s)	12/4/14 4:22 PM	Lathen, Jay	Hartman, David; Kane, Darren	Robbison, Michael D.	Request 5 from SEC	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1104	Email with Attachment(s)	12/4/14 8:43 PM	Lathen, Jay	Galbraith, Kevin		RE: 10 am call running long - IT call around 11:15	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1105	Email with Attachment(s)	12/5/14 10:30 AM	Galbraith, Kevin	Lathen, Jay		New Participant Agreement and Chris Robertson	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1106	Email with Attachment(s)	12/8/14 10:51 AM	Galbraith, Kevin	Lathen, Jay		Re: New Participant Agreement and Chris Robertson	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1107	Email with Attachment(s)	12/8/14 12:08 PM	Lathen, Jay	Galbraith, Kevin		RE: New Participant Agreement and Chris Robertson	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1108	Email with Attachment(s)	12/8/14 12:17 PM	Galbraith, Kevin	Lathen, Jay		Re: New Participant Agreement and Chris Robertson	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1109	Email with Attachment(s)	12/9/14 11:56 AM	Lathen, Jay	Kane, Darren	Hartman, David	SEC Request 5	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1110	Email	12/9/14 12:03 PM	Kane, Darren	Lathen, Jay	Hartman, David	RE: SEC Request 5	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1111	Email	12/9/14 12:05 PM	Lathen, Jay	Kane, Darren	Hartman, David	RE: SEC Request 5	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1112	Email	12/9/14 1:04 PM	Kane, Darren	Lathen, Jay		RE: SEC Request 5	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1113	Email with Attachment(s)	12/9/14 1:09 PM	Galbraith, Kevin	Lathen, Jay		Re: New Participant Agreement and Chris Robertson	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1114	Email with Attachment(s)	12/9/14 3:53 PM	Galbraith, Kevin	Lathen, Jay		Re: New Participant Agreement	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1115	Email	12/10/14 1:42 PM	Galbraith, Kevin	Lathen, Jay		U.S. Bank	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1116	Email	12/10/14 6:55 PM	Lathen, Jay	Galbraith, Kevin		RE: U.S. Bank	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1117	Email with Attachment(s)	12/11/14 10:42 AM	Galbraith, Kevin	Lathen, Jay		Re: U.S. Bank	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1118	Email	12/11/14 5:21 PM	Galbraith, Kevin	Lathen, Jay		GE Capital	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1119	Email	12/11/14 5:29 PM	Lathen, Jay	Galbraith, Kevin		RE: GE Capital	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1120	Email	12/11/14 5:55 PM	Lathen, Jay	Galbraith, Kevin		RE: U.S. Bank	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1121	Email with Attachment(s)	12/11/14 5:59 PM	Galbraith, Kevin	Lathen, Jay		Re: U.S. Bank	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1122	Email	12/11/14 6:05 PM	Lathen, Jay	Galbraith, Kevin		RE: U.S. Bank	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1123	Email with Attachment(s)	12/11/14 8:19 PM	Galbraith, Kevin	Lathen, Jay		Re: U.S. Bank	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1124	Email	12/12/14 8:54 AM	Lathen, Jay	Galbraith, Kevin		RE: U.S. Bank	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1125	Email	12/12/14 12:12 PM	Galbraith, Kevin	Lathen, Jay		Re: U.S. Bank	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1126	Email	12/12/14 12:18 PM	Lathen, Jay	Galbraith, Kevin		Re: U.S. Bank	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1127	Email	12/15/14 3:04 PM	Galbraith, Kevin	Lathen, Jay		Using Indenture Trustee for Damages	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1128	Email	12/15/14 3:48 PM	Lathen, Jay	Galbraith, Kevin		RE: Using Indenture Trustee for Damages	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1129	Email	12/17/14 3:58 PM	Lathen, Jay	Galbraith, Kevin		Have you heard anything back from Muccla/Apar?	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1130	Email	12/17/14 3:45 PM	Galbraith, Kevin	Lathen, Jay		Re: Have you heard anything back from Muccla/Apar?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1131	Email with Attachment(s)	12/17/14 5:11 PM	Lathen, Jay	Galbraith, Kevin		RE: Have you heard anything back from Muccla/Apar?	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1132	Email with Attachment(s)	12/17/14 5:13 PM	Galbraith, Kevin	Lathen, Jay		Re: Have you heard anything back from Muccla/Apar?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1133	Email	12/18/14 9:46 AM	Galbraith, Kevin	Lathen, Jay		[REDACTED] NYSECF Notification: New York - Tort - <STIPULATION> 156375/2014[PROSPECT CAPITAL CORPORATION - v. - DONALD LATHEN JR. et al]	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1134	Email with Attachment(s)	12/18/14 1:52 PM	Lathen, Jay	Galbraith, Kevin		Prospect	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1135	Email	12/18/14 3:27 PM	Galbraith, Kevin	Lathen, Jay		Re: Prospect	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1136	Email with Attachment(s)	12/18/14 5:34 PM	Lathen, Jay	Galbraith, Kevin		Legal cost reimbursement	Attorney Client Privilege	Communication requesting legal advice of counsel.		

**In the Matter of Eden Arc Capital Management, LLC (NY-9197)
Privilege Log**

Entry Number	Record Type	Date	From	To	Cc	Email Subject or File Name	Privilege Type	Privilege Description	Redacted	Production Batch
1137	Email with Attachment(s)	12/18/14 5:40 PM	Galbraith, Kevin	Lathen, Jay		PW: Prospect Capital v. Lathen: Settlement Proposal	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1138	Email with Attachment(s)	12/19/14 2:20 PM	Galbraith, Kevin	Lathen, Jay		Re: Prospect Capital etc.	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1139	Email with Attachment(s)	12/19/14 3:26 PM	Galbraith, Kevin	Lathen, Jay		RE: Prospect Capital etc.	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1140	Email with Attachment(s)	12/19/14 3:40 PM	Galbraith, Kevin	Lathen, Jay		PW: Prospect Capital etc.	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1141	Email with Attachment(s)	12/19/14 5:34 PM	Galbraith, Kevin	Lathen, Jay		Fee Proposal	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1142	Email with Attachment(s)	12/22/14 10:47 AM	Galbraith, Kevin	Lathen, Jay		PW: Prospect Capital etc.	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1143	Email with Attachment(s)	12/24/14 3:03 PM	Lathen, Jay	Galbraith, Kevin		RE: Fee Proposal	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1144	Email with Attachment(s)	12/24/14 3:28 PM	Lathen, Jay	Galbraith, Kevin		RE: Prospect Capital etc.	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1145	Email with Attachment(s)	12/24/14 3:52 PM	Galbraith, Kevin	Lathen, Jay		Re: Fee Proposal	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1146	Email with Attachment(s)	12/24/14 5:33 PM	Roper, Eric	Lathen, Jay		Re: Eden Arc Capital Partners - November 2014 Performance Summary	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1147	Email with Attachment(s)	12/28/14 9:07 PM	Kane, Darren	Lathen, Jay		Inquiry	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1148	Email with Attachment(s)	12/28/14 11:24 PM	Lathen, Jay	Kane, Darren		Re: Inquiry	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1149	Email with Attachment(s)	12/29/14 6:27 AM	Kane, Darren	Lathen, Jay		RE: Inquiry	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1150	Email with Attachment(s)	12/30/14 9:51 AM	Galbraith, Kevin	Lathen, Jay		Re: Prospect Capital etc.	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1151	Email with Attachment(s)	12/30/14 10:55 AM	Lathen, Jay	Galbraith, Kevin		Re: Prospect Capital etc.	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1152	Email with Attachment(s)	1/6/15 1:52 PM	Galbraith, Kevin	Lathen, Jay		Updates: Prospect and GE Capital	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1153	Email with Attachment(s)	1/6/15 2:03 PM	Lathen, Jay	Galbraith, Kevin		RE: Updates: Prospect and GE Capital	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1154	Email with Attachment(s)	1/6/15 2:16 PM	Galbraith, Kevin	Lathen, Jay		Re: Updates: Prospect and GE Capital	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1155	Email with Attachment(s)	1/7/15 12:07 PM	Galbraith, Kevin	Lathen, Jay		PW: Prospect Capital etc.	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1156	Email with Attachment(s)	1/7/15 2:13 PM	Galbraith, Kevin	Lathen, Jay		PW: Survivor's Option (Question from GE counsel)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1157	Email with Attachment(s)	1/7/15 2:33 PM	Lathen, Jay	Galbraith, Kevin		RE: Survivor's Option (Question from GE counsel)	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1158	Email with Attachment(s)	1/7/15 2:35 PM	Robinson, Michael D.	Galbraith, Kevin	Lathen, Jay	Fwd: GECC Rejection Letter CUSIP 36966TG12 (Nonone)	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1159	Email with Attachment(s)	1/7/15 2:38 PM	Galbraith, Kevin	Robinson, Michael D.	Lathen, Jay	Re: GECC Rejection Letter CUSIP 36966TG12 (Nonone)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1160	Email with Attachment(s)	1/7/15 5:25 PM	Galbraith, Kevin	Lathen, Jay		Bill for Fees through 1-7-15	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1161	Email with Attachment(s)	1/9/15 3:52 PM	Galbraith, Kevin	Lathen, Jay		PW: Prospect Capital	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1162	Email with Attachment(s)	1/9/15 4:06 PM	Lathen, Jay	Galbraith, Kevin		RE: Prospect Capital	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1163	Email with Attachment(s)	1/9/15 4:13 PM	Galbraith, Kevin	Lathen, Jay		Re: Prospect Capital	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1164	Email with Attachment(s)	1/9/15 9:08 PM	Hartman, David	Lathen, Jay		Do you have a business continuity plan?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1165	Email with Attachment(s)	1/11/15 12:57 PM	Hartman, David	Lathen, Jay		Call and Annual Review	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1166	Email with Attachment(s)	1/11/15 2:19 PM	Hartman, David	Lathen, Jay		Draft Risk Assessment	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1167	Email with Attachment(s)	1/12/15 5:16 PM	Lathen, Jay	Hartman, David		RE: Call and Annual Review	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1168	Email with Attachment(s)	1/12/15 5:31 PM	Lathen, Jay	Hartman, David		RE: Do you have a business continuity plan?	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1169	Email with Attachment(s)	1/13/15 3:29 PM	Kane, Darren	Lathen, Jay		PW: Involes From Mission Critical Services	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1170	Email with Attachment(s)	1/14/15 10:25 AM	Lathen, Jay	Hartman, David	Kane, Darren	SEC Examination - Exit Interview	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1171	Email with Attachment(s)	1/14/15 11:06 AM	Hartman, David	Lathen, Jay	Kane, Darren	RE: SEC Examination - Exit Interview	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1172	Email with Attachment(s)	1/14/15 11:07 AM	Lathen, Jay	Hartman, David	Kane, Darren	RE: SEC Examination - Exit Interview	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1173	Email with Attachment(s)	1/15/15 10:04 AM	Kane, Darren	Lathen, Jay		QuickBooks	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1174	Email with Attachment(s)	1/16/15 2:48 PM	Lathen, Jay	Hartman, David		Compliance Call	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1175	Email with Attachment(s)	1/16/15 3:08 PM	Hartman, David	Lathen, Jay		RE: Compliance Call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1176	Email with Attachment(s)	1/16/15 3:09 PM	Lathen, Jay	Hartman, David		RE: Compliance Call	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1177	Email with Attachment(s)	1/16/15 3:14 PM	Hartman, David	Lathen, Jay		RE: Compliance Call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1178	Email with Attachment(s)	1/16/15 3:21 PM	Lathen, Jay	Hartman, David		RE: Compliance Call	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1179	Email with Attachment(s)	1/16/15 4:24 PM	Hartman, David	Lathen, Jay		RE: Compliance Call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1180	Email with Attachment(s)	1/16/15 4:25 PM	Lathen, Jay	Hartman, David		RE: Compliance Call	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1181	Email with Attachment(s)	1/19/15 5:09 PM	Kane, Darren	Lathen, Jay	accounting@missioncriticalservices.com	PW: Involes From Mission Critical Services	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1182	Email with Attachment(s)	1/20/15 4:21 PM	Galbraith, Kevin	Lathen, Jay		PW: Survivor's Option	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1183	Email with Attachment(s)	1/20/15 5:10 PM	Galbraith, Kevin	Lathen, Jay		PW: Eden Arc Capital	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1184	Email with Attachment(s)	1/20/15 5:28 PM	Lathen, Jay	Galbraith, Kevin		RE: Eden Arc Capital	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1185	Email with Attachment(s)	1/20/15 5:32 PM	Galbraith, Kevin	Lathen, Jay		Re: Eden Arc Capital	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1186	Email with Attachment(s)	1/21/15 9:25 AM	Hartman, David	Lathen, Jay		RE: Compliance Call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1187	Email with Attachment(s)	1/21/15 9:35 AM	Lathen, Jay	Hartman, David		RE: Compliance Call	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1188	Email with Attachment(s)	1/21/15 9:59 AM	Galbraith, Kevin	Lathen, Jay		Re: Eden Arc Capital	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1189	Email with Attachment(s)	1/21/15 10:00 AM	Lathen, Jay	Galbraith, Kevin		RE: Eden Arc Capital	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1190	Email with Attachment(s)	1/21/15 10:00 AM	Lathen, Jay	Galbraith, Kevin		RE: Eden Arc Capital	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1191	Email with Attachment(s)	1/21/15 11:21 AM	Lathen, Jay	Kane, Darren		RE: Involes From Mission Critical Services	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1192	Email with Attachment(s)	1/21/15 11:42 AM	Lathen, Jay	Kane, Darren	Robinson, Michael D.	RE: QuickBooks	Attorney Client Privilege	Communication requesting legal advice of counsel.		

**In the Matter of Eden Arc Capital Management, LLC (NY-9197)
Privilege Log**

Entry Number	Record Type	Date	From	To	CC	Email Subject or File Name	Privilege Type	Privilege Description	Redacted	Production Dates
1193	Email with Attachment(s)	3/21/15 12:06 PM	Galbraith, Kevin	Lathen, Jay		FW: Prospect Capital	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1194	Email	3/21/15 12:10 PM	Kane, Darren	Lathen, Jay		RE: Invoices From Mission Critical Services	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1195	Email	3/21/15 1:02 PM	Lathen, Jay	Hartman, David		RE: Compliance Call	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1196	Email	3/21/15 1:04 PM	Hartman, David	Lathen, Jay		RE: Compliance Call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1197	Email	3/21/15 1:05 PM	Lathen, Jay	Hartman, David		RE: Compliance Call	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1198	Email	3/21/15 5:37 PM	Galbraith, Kevin	Lathen, Jay		FW: Prospect Update	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1199	Email	3/21/15 5:38 PM	Lathen, Jay	Galbraith, Kevin		RE: Prospect Update	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1200	Email	3/21/15 6:00 PM	Galbraith, Kevin	Lathen, Jay		RE: Prospect Update	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1201	Email	3/21/15 6:06 PM	Lathen, Jay	Galbraith, Kevin		RE: Prospect Update	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1202	Email	3/21/15 9:12 PM	Kane, Darren	Lathen, Jay		FW: FW, QuidBooks	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1203	Email	3/22/15 10:20 AM	Galbraith, Kevin	Lathen, Jay		RE: Prospect Update	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1204	Email	3/22/15 12:22 PM	Galbraith, Kevin	Lathen, Jay		Prospect Capital v. Lathen et al. - Confidential Settlement/Communications	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1205	Email with Attachment(s)	3/22/15 1:43 PM	Lathen, Jay	Galbraith, Kevin		RE: Prospect Capital v. Lathen et al. - Confidential Settlement/Communications	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1206	Email with Attachment(s)	3/22/15 1:48 PM	Galbraith, Kevin	Lathen, Jay		RE: Prospect Capital v. Lathen et al. - Confidential Settlement/Communications	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1207	Email with Attachment(s)	3/22/15 1:57 PM	Galbraith, Kevin	Lathen, Jay		FW: Prospect Capital v. Lathen et al. - Confidential Settlement/Communication	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1208	Email	3/22/15 2:01 PM	Lathen, Jay	Galbraith, Kevin		RE: Prospect Capital v. Lathen et al. - Confidential Settlement/Communication	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1209	Email with Attachment(s)	3/22/15 2:02 PM	Galbraith, Kevin	Lathen, Jay		RE: Prospect Capital v. Lathen et al. - Confidential Settlement/Communication	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1210	Email	3/22/15 2:07 PM	Lathen, Jay	Galbraith, Kevin		RE: Prospect Capital v. Lathen et al. - Confidential Settlement/Communications	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1211	Email with Attachment(s)	3/22/15 2:11 PM	Galbraith, Kevin	Lathen, Jay		RE: Prospect Capital v. Lathen et al. - Confidential Settlement/Communications	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1212	Email	3/22/15 2:16 PM	Lathen, Jay	Galbraith, Kevin		RE: Prospect Capital v. Lathen et al. - Confidential Settlement/Communications	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1213	Email	3/23/15 11:29 AM	Lathen, Jay	Hartman, David		Our call	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1214	Email	3/23/15 2:57 PM	Hartman, David	Lathen, Jay		RE: Our call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1215	Email	3/23/15 4:21 PM	Lathen, Jay	Hartman, David		RE: Our call	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1216	Email with Attachment(s)	3/25/15 8:51 AM	Hartman, David	Lathen, Jay		Preparation for the ADV Update	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1217	Email	3/26/15 3:58 PM	Hartman, David	Lathen, Jay		SEC letter	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1218	Email	3/26/15 4:23 PM	Lathen, Jay	Hartman, David		RE: SEC letter	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1219	Email	3/26/15 9:19 AM	Galbraith, Kevin	Robertson, Christopher	Lathen, Jay	Confirming 10 am call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1220	Email	3/28/15 10:04 AM	Galbraith, Kevin	Lathen, Jay		FW: Automatic reply: Confirming 10 am call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1221	Email with Attachment(s)	3/28/15 10:12 AM	Robertson, Christopher	Galbraith, Kevin	Lathen, Jay	Re: Confirming 10 am call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1222	Email	3/28/15 10:14 AM	Robertson, Christopher	Lathen, Jay		Automatic reply: Confirming 10 am call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1223	Email	3/28/15 10:14 AM	Lathen, Jay	Robertson, Christopher; Galbraith, Kevin		RE: Confirming 10 am call	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1224	Email	3/28/15 10:23 AM	Galbraith, Kevin	Lathen, Jay; Robertson, Christopher		RE: Confirming 10 am call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1225	Email	3/28/15 10:34 AM	Robertson, Christopher	Galbraith, Kevin; Lathen, Jay		RE: Confirming 10 am call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1226	Email	3/28/15 10:36 AM	Galbraith, Kevin	Robertson, Christopher; Lathen, Jay		RE: Confirming 10 am call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1227	Email	3/28/15 10:44 AM	Lathen, Jay	Robertson, Christopher	Robertson, Christopher	RE: Confirming 10 am call	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1228	Email	3/28/15 10:47 AM	Galbraith, Kevin	Lathen, Jay	Robertson, Christopher	RE: Confirming 10 am call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1229	Email with Attachment(s)	3/28/15 11:46 AM	Galbraith, Kevin	Lathen, Jay		FW: Prospect Capital v. Lathen et al. - Confidential Settlement/Communication	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1230	Email	3/28/15 1:59 PM	Galbraith, Kevin	Lathen, Jay		Check for Legal Fee	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1231	Email	3/30/15 9:10 AM	Robertson, Christopher	Galbraith, Kevin; Lathen, Jay		Move call to 10:45?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1232	Email	3/30/15 9:25 AM	Lathen, Jay	Robertson, Christopher; Galbraith, Kevin		RE: Move call to 10:45?	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1233	Email	3/30/15 1:22 PM	Lathen, Jay	Galbraith, Kevin		RE: Prospect Capital	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1234	Email with Attachment(s)	3/30/15 2:20 PM	Galbraith, Kevin	Lathen, Jay		Re: Prospect Capital	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1235	Email	3/30/15 2:43 PM	Galbraith, Kevin	Lathen, Jay		Prospect Meeting Proposal	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1236	Email	3/30/15 2:45 PM	Lathen, Jay	Galbraith, Kevin		RE: Prospect Meeting Proposal	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1237	Email	3/30/15 2:46 PM	Lathen, Jay	Galbraith, Kevin		RE: Prospect Meeting Proposal	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1238	Email with Attachment(s)	3/30/15 2:57 PM	Galbraith, Kevin	Lathen, Jay		Re: Prospect Meeting Proposal	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1239	Email	3/21/15 11:28 AM	Galbraith, Kevin	Lathen, Jay		FW: Prospect Capital	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1240	Email	2/11/15 11:54 AM	Hartman, David	Lathen, Jay		Valuation for month end August 31, 2014	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1241	Email	2/2/15 12:04 PM	Galbraith, Kevin	Lathen, Jay		Prospect Settlement Discussions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1242	Email	2/2/15 12:55 PM	Lathen, Jay	Galbraith, Kevin		RE: Prospect Settlement Discussions	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1243	Email	2/3/15 11:14 AM	Lathen, Jay	Galbraith, Kevin		RE: Prospect Capital	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1244	Email with Attachment(s)	2/3/15 11:24 AM	Galbraith, Kevin	Lathen, Jay		RE: Prospect Capital	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1245	Email	2/3/15 1:18 PM	Galbraith, Kevin	Lathen, Jay		FW: Prospect Capital	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1246	Email	2/4/15 6:13 PM	Robertson, Christopher	Lathen, Jay; Galbraith, Kevin		One more Question	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1247	Email	2/4/15 6:24 PM	Lathen, Jay	Robertson, Christopher	Galbraith, Kevin	RE: One more Question	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1248	Email	2/4/15 6:36 PM	Robertson, Christopher	Lathen, Jay	Galbraith, Kevin	RE: One more Question	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1249	Email	2/6/15 10:40 AM	Hartman, David	Lathen, Jay		Valuation	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1250	Email with Attachment(s)	2/9/15 3:13 PM	Lathen, Jay	Hartman, David		RE: Valuation	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1251	Email	2/9/15 5:03 PM	Lathen, Jay	Galbraith, Kevin		RE: Prospect Capital	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1252	Email	2/9/15 5:04 PM	Lathen, Jay	Galbraith, Kevin		RE: Prospect Settlement Discussions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1253	Email with Attachment(s)	2/9/15 5:07 PM	Galbraith, Kevin	Lathen, Jay		Re: Prospect Settlement Discussions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1254	Email	2/9/15 5:07 PM	Galbraith, Kevin	Lathen, Jay		Re: Prospect Capital	Attorney Client Privilege	Communication reflecting legal advice of counsel.		

**In the Matter of Eden Arc Capital Management, LLC (NY-9197)
Privilege Log**

Entry Number	Record Type	Date	From	To	Cc	Email Subject or File Name	Privilege Type	Privilege Description	Redacted	Production Status
1255	Email with Attachment(s)	2/9/15 5:29 PM	Lathen, Jay	Galbraith, Kevin		RE: Prospect Capital	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1256	Email	2/9/15 5:40 PM	Galbraith, Kevin	Lathen, Jay		RE: Prospect Capital	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1257	Email	2/9/15 6:09 PM	Lathen, Jay	Galbraith, Kevin		RE: Prospect Capital	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1258	Email	2/9/15 8:13 AM	Galbraith, Kevin	Lathen, Jay		RE: Prospect Capital	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1259	Email	2/10/15 11:04 AM	Galbraith, Kevin	Lathen, Jay		RE: Prospect Capital	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1260	Email	2/10/15 11:27 AM	Lathen, Jay	Galbraith, Kevin		RE: Prospect Capital	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1261	Email	2/10/15 6:32 PM	Galbraith, Kevin	Lathen, Jay		RE: Prospect Capital	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1262	Email with Attachment(s)	2/10/15 6:33 PM	Galbraith, Kevin	Lathen, Jay		Re: Prospect Settlement Discussions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1263	Email with Attachment(s)	2/11/15 11:52 AM	Galbraith, Kevin	Lathen, Jay		Re: Prospect Settlement Discussions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1264	Email with Attachment(s)	2/11/15 12:02 PM	Hartman, David	Lathen, Jay		SEC Response letter for today's call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1265	Email	2/11/15 12:27 PM	Lathen, Jay	Galbraith, Kevin		RE: Prospect Capital	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1266	Email	2/11/15 12:53 PM	Galbraith, Kevin	Lathen, Jay		RE: Prospect Capital	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1267	Email with Attachment(s)	2/11/15 3:34 PM	Hartman, David	Lathen, Jay		custody policies and procedures	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1268	Email with Attachment(s)	2/12/15 1:36 PM	Lathen, Jay	Hartman, David		RE: SEC Response letter for today's call	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1269	Email with Attachment(s)	2/13/15 11:04 AM	Hartman, David	Lathen, Jay		Updated version	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1270	Email with Attachment(s)	2/13/15 12:05 PM	Galbraith, Kevin	Lathen, Jay		Re: Prospect Settlement Discussions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1271	Email with Attachment(s)	2/13/15 3:42 PM	Lathen, Jay	Hartman, David		Response to SEC	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1272	Email with Attachment(s)	2/18/15 3:28 PM	Lathen, Jay	Hood, Bruce E.		RE:	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1273	Email	2/18/15 5:29 PM	Lathen, Jay	Robertson, Christopher; Galbraith, Kevin		RE: Move call to 10457	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1274	Email	2/18/15 5:39 PM	Robertson, Christopher	Lathen, Jay; Galbraith, Kevin		RE: Move call to 10457	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1275	Email	2/18/15 5:41 PM	Lathen, Jay	Robertson, Christopher; Galbraith, Kevin		RE: Move call to 10457	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1276	Email	2/18/15 8:29 PM	Lathen, Jay	Kane, Darren; Hartman, David		FW: UPS Update: Package Scheduled for Delivery Tomorrow	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1277	Email	2/19/15 10:55 AM	Galbraith, Kevin	Lathen, Jay		Prospect - Motion to Dismiss	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1278	Email	2/19/15 10:56 AM	Lathen, Jay	Galbraith, Kevin		RE: Prospect - Motion to Dismiss	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1279	Email with Attachment(s)	2/19/15 10:58 AM	Galbraith, Kevin	Lathen, Jay		Re: Prospect - Motion to Dismiss	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1280	Email	2/20/15 9:42 AM	Galbraith, Kevin	Lathen, Jay		U.S. Bank and Prospect Updates	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1281	Email	2/20/15 10:43 AM	Lathen, Jay	Galbraith, Kevin		RE: U.S. Bank and Prospect Updates	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1282	Email with Attachment(s)	2/20/15 10:45 AM	Galbraith, Kevin	Lathen, Jay		Re: U.S. Bank and Prospect Updates	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1283	Email	2/20/15 10:50 AM	Lathen, Jay	Galbraith, Kevin		RE: U.S. Bank and Prospect Updates	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1284	Email	2/20/15 10:56 AM	Lathen, Jay	Kane, Darren; Hartman, David		SEC Update	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1285	Email with Attachment(s)	2/20/15 1:54 PM	Lathen, Jay	Galbraith, Kevin		SEC Exam Findings and Our response	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1286	Email with Attachment(s)	2/20/15 1:59 PM	Lathen, Jay	Galbraith, Kevin		FW: SEC Docs	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1287	Email	2/20/15 2:07 PM	Galbraith, Kevin	Lathen, Jay		Re: SEC Docs	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1288	Email with Attachment(s)	2/20/15 2:28 PM	Lathen, Jay	Kane, Darren		FW: SEC Docs	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1289	Email	2/20/15 2:31 PM	Kane, Darren	Lathen, Jay; Hartman, David		RE: SEC Update	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1290	Email with Attachment(s)	2/20/15 2:34 PM	Lathen, Jay	Hartman, David		FW: SEC Docs	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1291	Email	2/20/15 2:35 PM	Kane, Darren	Lathen, Jay		Enforcement Actions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1292	Email	2/20/15 3:07 PM	Kane, Darren	Lathen, Jay		Kaye Scholer LLP	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1293	Email	2/20/15 6:49 PM	Kane, Darren	Lathen, Jay		RE: SEC Docs	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1294	Email	2/20/15 6:59 PM	Kane, Darren	Lathen, Jay		FW: Kaye Scholer LLP	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1295	Email	2/22/15 4:33 PM	Kane, Darren	Lathen, Jay		FW: Vertical Capital Complaint	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1296	Email	2/23/15 8:38 AM	Galbraith, Kevin	Lathen, Jay		Re: SEC Docs	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1297	Email	2/23/15 9:29 AM	Lathen, Jay	Galbraith, Kevin		Re: SEC Docs	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1298	Email	2/23/15 9:30 AM	Galbraith, Kevin	Lathen, Jay		Re: SEC Docs	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1299	Email	2/23/15 9:52 AM	Lathen, Jay	Kane, Darren		RE: SEC Docs	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1300	Email	2/23/15 10:25 AM	Kane, Darren	Lathen, Jay		RE: SEC Docs	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1301	Email	2/23/15 10:26 AM	Lathen, Jay	Kane, Darren		RE: SEC Docs	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1302	Email	2/23/15 10:54 AM	Lathen, Jay	Kane, Darren		RE: SEC Docs	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1303	Email	2/23/15 11:02 AM	Kane, Darren	Lathen, Jay		RE: SEC Docs	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1304	Email	2/23/15 11:05 AM	Lathen, Jay	Culhane, Stephen; Green, Jonathan		FW: Kaye Scholer LLP	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1305	Email	2/23/15 11:18 AM	Green, Jonathan	Lathen, Jay; Culhane, Stephen		RE: Kaye Scholer LLP	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1306	Email	2/23/15 11:21 AM	Lathen, Jay	Kane, Darren		RE: Vertical Capital Complaint	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1307	Email	2/23/15 11:23 AM	Lathen, Jay	Green, Jonathan; Culhane, Stephen		RE: Kaye Scholer LLP	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1308	Email	2/23/15 11:25 AM	Culhane, Stephen	Lathen, Jay; Green, Jonathan		RE: Kaye Scholer LLP	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1309	Email	2/23/15 11:42 AM	Culhane, Stephen	Lathen, Jay		Eden Arc / US Ctl	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1310	Email	2/23/15 12:23 PM	Kane, Darren	Lathen, Jay		RE: Vertical Capital Complaint	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1311	Email	2/23/15 12:39 PM	Lathen, Jay	Galbraith, Kevin		FW: Kaye Scholer LLP	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1312	Email	2/23/15 7:02 PM	Lathen, Jay	Galbraith, Kevin		Letter to US Bank Counsel dated 11/5/14	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1313	Email with Attachment(s)	2/23/15 7:18 PM	Lathen, Jay	Green, Jonathan; Culhane, Stephen	Galbraith, Kevin	Eden Arc Follow-up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1314	Email	2/23/15 7:25 PM	Culhane, Stephen	Lathen, Jay; Green, Jonathan	Galbraith, Kevin	RE: Eden Arc Follow-up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1315	Email with Attachment(s)	2/23/15 9:54 PM	Galbraith, Kevin	Lathen, Jay		Re: Letter to US Bank Counsel dated 11/5/14	Attorney Client Privilege	Communication reflecting legal advice of counsel.		

**In the Matter of Eden Arc Capital Management, LLC (NY-9197)
Privilege Log**

Entry Number	Record Type	Date	From	To	Cc	Email Subject or File Name	Privilege Type	Privilege Description	Redacted	Production Status
1316	Email	2/24/15 10:28 AM	Galbraith, Kevin	Lathen, Jay		Checking In	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1317	Attachment(s)	2/24/15 10:31 AM	Lathen, Jay	Cuhane, Stephen; Green, Jonathan	Galbraith, Kevin	RE: Eden Arc Follow-up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1318	Email	2/24/15 10:39 AM	Green, Jonathan	Lathen, Jay	Cuhane, Stephen; Galbraith, Kevin	Re: Eden Arc Follow-up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1319	Email with Attachment(s)	2/24/15 11:45 AM	Galbraith, Kevin	Lathen, Jay		Re: Checking In	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1320	Email with Attachment(s)	2/24/15 3:47 PM	Galbraith, Kevin	Lathen, Jay		Outreach to SEC	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1321	Attachment(s)	2/24/15 4:22 PM	Lathen, Jay	Prosser, Harlan	Galbraith, Kevin	Eden Arc Follow-up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1322	Email	2/24/15 4:27 PM	Prosser, Harlan	Lathen, Jay	Galbraith, Kevin	Re: Eden Arc Follow-up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1323	Attachment(s)	2/24/15 5:25 PM	Lathen, Jay	Southwell, Alexander H.	Galbraith, Kevin	Eden Arc Follow-up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1324	Attachment(s)	2/24/15 7:32 PM	Kane, Darren	Lathen, Jay		Enforcement Manual	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1325	Email	2/25/15 11:29 AM	Galbraith, Kevin	Lathen, Jay		Update	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1326	Email	2/25/15 1:19 PM	Galbraith, Kevin	Lathen, Jay		Staples Update	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1327	Attachment(s)	2/25/15 4:29 PM	Lathen, Jay	Southwell, Alexander H.	Galbraith, Kevin	RE: Eden Arc Follow-up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1328	Email	2/25/15 7:59 PM	Lathen, Jay	Southwell, Alexander H.	Galbraith, Kevin	RE: Eden Arc Follow-up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1329	Email	2/25/15 8:52 PM	Prosser, Harlan	Lathen, Jay	Galbraith, Kevin	RE: Eden Arc Follow-up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1330	Email	2/25/15 9:38 PM	Lathen, Jay	Prosser, Harlan	Galbraith, Kevin	RE: Eden Arc Follow-up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1331	Email with Attachment(s)	2/26/15 3:27 AM	Kane, Darren	Lathen, Jay		FW: Response to Subpoena	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1332	Attachment(s)	2/26/15 6:51 AM	Prosser, Harlan	Lathen, Jay	Galbraith, Kevin	Re: Eden Arc Follow-up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1333	Email	2/26/15 9:31 AM	Lathen, Jay	Prosser, Harlan	Galbraith, Kevin	RE: Eden Arc Follow-up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1334	Email	2/26/15 9:49 AM	Prosser, Harlan	Lathen, Jay	Galbraith, Kevin	Re: Eden Arc Follow-up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1335	Email	2/26/15 11:43 AM	Lathen, Jay	Galbraith, Kevin; Prosser, Harlan		RE: Eden Arc Follow-up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1336	Email	2/26/15 11:43 AM	Galbraith, Kevin	Prosser, Harlan; Lathen, Jay		Re: Eden Arc Follow-up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1337	Email	2/26/15 11:43 AM	Galbraith, Kevin	Lathen, Jay		RE: Eden Arc Follow-up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1338	Email	2/26/15 6:15 PM	Lathen, Jay	Southwell, Alexander H.		Terms for Gibson Dunn & Contact with Weinstein	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1339	Email	2/26/15 6:41 PM	Lathen, Jay	Southwell, Alexander H.		Follow-up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1340	Email	2/26/15 6:43 PM	Lathen, Jay	Southwell, Alexander H.		Re: Follow-up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1341	Attachment(s)	2/26/15 7:40 PM	Prosser, Harlan	Lathen, Jay	Galbraith, Kevin	Re: Eden Arc Follow-up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1342	Attachment(s)	2/27/15 11:39 AM	Galbraith, Kevin	Lathen, Jay		RE: Eden Arc Follow-up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1343	Email	2/28/15 9:19 PM	Prosser, Harlan	Lathen, Jay	Galbraith, Kevin	SEC Investigation	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1344	Attachment(s)	3/1/15 10:52 AM	Hartman, David	Lathen, Jay		FW: Preparation for the ADV Update	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1345	Attachment(s)	3/1/15 7:44 PM	Prosser, Harlan	Lathen, Jay; Galbraith, Kevin		Monday	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1346	Email	3/1/15 9:03 PM	Galbraith, Kevin	Lathen, Jay		FW: Monday	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1347	Email	3/1/15 9:56 PM	Lathen, Jay	Prosser, Harlan	Galbraith, Kevin	Re: Monday	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1348	Attachment(s)	3/2/15 12:11 AM	Prosser, Harlan	Lathen, Jay	Galbraith, Kevin	Re: Monday	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1349	Email	3/2/15 12:14 AM	Galbraith, Kevin	Prosser, Harlan; Lathen, Jay		Re: Monday	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1350	Email	3/2/15 11:32 AM	Southwell, Alexander H.	Lathen, Jay		Checking In	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1351	Attachment(s)	3/2/15 12:37 PM	Galbraith, Kevin	Prosser, Harlan; Lathen, Jay		Re: Monday	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1352	Email	3/2/15 1:02 PM	Prosser, Harlan	Galbraith, Kevin	Lathen, Jay	Re: Monday	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1353	Attachment(s)	3/2/15 1:37 PM	Lathen, Jay	Southwell, Alexander H.		RE: Checking In	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1354	Email	3/2/15 4:41 PM	Prosser, Harlan	Lathen, Jay; Galbraith, Kevin			Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1355	Email	3/2/15 5:25 PM	Robinson, Michael D.	Galbraith, Kevin		Fwd: Fwd: CIT Bank CD Redemption Refusals	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1356	Attachment(s)	3/2/15 5:27 PM	Robinson, Michael D.	Galbraith, Kevin		Fwd: RE: Account Opening Documentation	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1357	Email	3/2/15 5:27 PM	Galbraith, Kevin	Robinson, Michael D.		RE: CIT Bank CD Redemption Refusals	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1358	Email	3/2/15 6:23 PM	Lathen, Jay	Galbraith, Kevin	Robinson, Michael D.	Gibson Dunne Scope of Work	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1359	Email	3/2/15 6:32 PM	Galbraith, Kevin	Lathen, Jay		Re: Gibson Dunne Scope of Work	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1360	Email	3/2/15 10:31 PM	Southwell, Alexander H.	Lathen, Jay		Re: Checking In	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1361	Email	3/3/15 7:39 AM	Lathen, Jay	Southwell, Alexander H.		Re: Checking In	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1362	Email	3/3/15 9:46 AM	Galbraith, Kevin	Lathen, Jay		Re: Gibson Dunne Scope of Work	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1363	Email	3/3/15 5:07 PM	Lathen, Jay	Southwell, Alexander H.		RE: Checking In	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1364	Email	3/3/15 5:19 PM	Lathen, Jay	Hartman, David		RE: Preparation for the ADV Update	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1365	Email	3/3/15 5:56 PM	Hartman, David	Lathen, Jay		RE: Preparation for the ADV Update	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1366	Email	3/3/15 6:01 PM	Lathen, Jay	Hartman, David		RE: Preparation for the ADV Update	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1367	Attachment(s)	3/3/15 6:33 PM	Galbraith, Kevin	Lathen, Jay		FW: Survivor's Option Notes	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1368	Attachment(s)	3/3/15 6:37 PM	Galbraith, Kevin	Lathen, Jay		FW: CIT Bank CD Redemption Refusals	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1369	Email	3/4/15 8:44 AM	Lathen, Jay	Galbraith, Kevin		RE: Survivor's Option Notes	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1370	Attachment(s)	3/4/15 10:37 AM	Galbraith, Kevin	Lathen, Jay		FW: Prospect Capital - Eden Arc	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1371	Attachment(s)	3/4/15 11:55 AM	Galbraith, Kevin	Lathen, Jay		FW: Survivor's Option Notes	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1372	Email	3/4/15 12:47 PM	Southwell, Alexander H.	Lathen, Jay		Re: Checking In	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1373	Email	3/4/15 12:57 PM	Lathen, Jay	Southwell, Alexander H.		Re: Checking In	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1374	Email	3/4/15 2:48 PM	Southwell, Alexander H.	Lathen, Jay		Re: Checking In	Attorney Client Privilege	Communication reflecting legal advice of counsel.		

**In the Matter of Eden Arc Capital Management, LLC (NY-9197)
Privilege Log**

Entry Number	Record Type	Date	From	To	Cc	Email Subject or File Name	Privilege Type	Privilege Description	Redacted	Production Dates
1375	Email	3/4/15 2:49 PM	Lathen, Jay	Southwell, Alexander H.		RE: Checking In	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1376	Email	3/4/15 3:58 PM	Lathen, Jay	Southwell, Alexander H.		Eden Arc - Gibson Dunne Scope of Work	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1377	Email	3/5/15 2:26 PM	Kane, Darren	Lathen, Jay		Enquiry	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1378	Email with Attachment(s)	3/5/15 4:06 PM	Galbraith, Kevin	Lathen, Jay		FW: Survivor's Option Notes	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1379	Email	3/5/15 7:15 PM	Lathen, Jay	Galbraith, Kevin		Re: Survivor's Option Notes	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1380	Email	3/5/15 9:26 AM	Galbraith, Kevin	Lathen, Jay		Re: Survivor's Option Notes	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1381	Email	3/5/15 10:04 AM	Lathen, Jay	Galbraith, Kevin		Re: Survivor's Option Notes	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1382	Email	3/5/15 10:37 AM	Lathen, Jay	Southwell, Alexander H.		RE: Eden Arc - Gibson Dunne Scope of Work	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1383	Email	3/5/15 10:50 AM	Galbraith, Kevin	Lathen, Jay		Re: Survivor's Option Notes	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1384	Email with Attachment(s)	3/5/15 11:33 AM	Lathen, Jay	Galbraith, Kevin		RE: Survivor's Option Notes	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1385	Email with Attachment(s)	3/5/15 11:41 AM	Galbraith, Kevin	Lathen, Jay		Re: Survivor's Option Notes	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1386	Email with Attachment(s)	3/6/15 3:40 PM	Lathen, Jay	Hartman, David; Kane, Darren		SEC Formal Order	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1387	Email	3/6/15 3:51 PM	Kane, Darren	Lathen, Jay		Cell: 212-229-2229	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1388	Email	3/6/15 4:05 PM	Lathen, Jay	Kane, Darren		RE: Cell: 212-229-2229	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1389	Email	3/6/15 4:09 PM	Lathen, Jay	Kane, Darren		RE: Cell: 212-229-2229	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1390	Email	3/6/15 4:09 PM	Kane, Darren	Lathen, Jay		RE: Cell: 212-229-2229	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1391	Email with Attachment(s)	3/7/15 9:13 AM	Galbraith, Kevin	Lathen, Jay		Prospect: Amended Complaint	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1392	Email	3/7/15 10:42 AM	Lathen, Jay	Galbraith, Kevin		Re: Prospect: Amended Complaint	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1393	Email	3/7/15 11:16 AM	Southwell, Alexander H.	Lathen, Jay		RE: Eden Arc - Gibson Dunne Scope of Work	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1394	Email	3/7/15 11:52 AM	Lathen, Jay	Southwell, Alexander H.		RE: Eden Arc - Gibson Dunne Scope of Work	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1395	Email	3/7/15 10:20 PM	Lathen, Jay	Southwell, Alexander H.		RE: Eden Arc - Gibson Dunne Scope of Work	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1396	Email	3/8/15 10:57 AM	Galbraith, Kevin	Lathen, Jay		Re: Prospect: Amended Complaint	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1397	Email	3/8/15 4:18 PM	Southwell, Alexander H.	Lathen, Jay		RE: Eden Arc - Gibson Dunne Scope of Work	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1398	Email	3/8/15 4:26 PM	Lathen, Jay	Southwell, Alexander H.		RE: Eden Arc - Gibson Dunne Scope of Work	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1399	Email	3/8/15 9:26 PM	Southwell, Alexander H.	Lathen, Jay		RE: Eden Arc - Gibson Dunne Scope of Work	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1400	Email	3/8/15 9:28 PM	Lathen, Jay	Southwell, Alexander H.		RE: Eden Arc - Gibson Dunne Scope of Work	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1401	Email	3/9/15 10:04 AM	Galbraith, Kevin	Lathen, Jay		SEC	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1402	Email	3/9/15 10:07 AM	Lathen, Jay	Galbraith, Kevin		RE: SEC	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1403	Email	3/9/15 10:50 AM	Galbraith, Kevin	Lathen, Jay		FW: CIT Bank CD Redemption Refusals	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1404	Email	3/9/15 11:02 AM	Lathen, Jay	Galbraith, Kevin		RE: CIT Bank CD Redemption Refusals	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1405	Email with Attachment(s)	3/9/15 11:44 AM	Galbraith, Kevin	Lathen, Jay		FW: Donald Lathen	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1406	Email with Attachment(s)	3/9/15 12:01 PM	Robinson, Michael D.	Galbraith, Kevin		Fwd: CIT BANK DEATH PUT REJECTS 8-22-14	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1407	Email	3/9/15 12:06 PM	Galbraith, Kevin	Robinson, Michael D.		RE: CIT BANK DEATH PUT REJECTS 8-22-14	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1408	Email with Attachment(s)	3/9/15 12:19 PM	Robinson, Michael D.	Galbraith, Kevin		Re: CIT BANK DEATH PUT REJECTS 8-22-14	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1409	Email	3/9/15 12:20 PM	Galbraith, Kevin	Robinson, Michael D.		RE: CIT BANK DEATH PUT REJECTS 8-22-14	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1410	Email	3/9/15 12:22 PM	Lathen, Jay	Galbraith, Kevin		RE: Donald Lathen	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1411	Email with Attachment(s)	3/9/15 12:33 PM	Galbraith, Kevin	Lathen, Jay		Re: Donald Lathen	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1412	Email with Attachment(s)	3/9/15 12:59 PM	Galbraith, Kevin	Lathen, Jay; Robinson, Michael D.		FW: CIT Bank CD Redemption Refusals	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1413	Email	3/9/15 1:04 PM	Lathen, Jay	Galbraith, Kevin; Robinson, Michael D.		RE: CIT Bank CD Redemption Refusals	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1414	Email with Attachment(s)	3/9/15 2:10 PM	Lathen, Jay	Galbraith, Kevin		FW: CD Disclosure Statement	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1415	Email with Attachment(s)	3/9/15 2:41 PM	Robinson, Michael D.	Hartman, David	Lathen, Jay	Re: FW: Preparation for the ADV Update	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1416	Email	3/9/15 2:57 PM	Lathen, Jay	Galbraith, Kevin		RE: Donald Lathen	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1417	Email with Attachment(s)	3/9/15 2:58 PM	Galbraith, Kevin	Lathen, Jay		Re: Donald Lathen	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1418	Email	3/9/15 4:30 PM	Galbraith, Kevin	Lathen, Jay		Re: CD Disclosure Statement	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1419	Email	3/9/15 4:32 PM	Lathen, Jay	Galbraith, Kevin		Re: CD Disclosure Statement	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1420	Email with Attachment(s)	3/9/15 4:44 PM	Galbraith, Kevin	Lathen, Jay		FW: CIT Bank CD Redemption Refusals	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1421	Email	3/10/15 9:35 AM	Robinson, Michael D.	Galbraith, Kevin		Quick Question	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1422	Email	3/10/15 10:43 AM	Galbraith, Kevin	Robinson, Michael D.		Re: Quick Question	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1423	Email	3/10/15 10:55 AM	Robinson, Michael D.	Galbraith, Kevin		Re: Quick Question	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1424	Email	3/10/15 11:21 AM	Lathen, Jay	Southwell, Alexander H.		RE: Eden Arc - Gibson Dunne Scope of Work	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1425	Email	3/10/15 11:23 AM	Lathen, Jay	Galbraith, Kevin		Counsel Decision	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1426	Email	3/10/15 11:26 AM	Galbraith, Kevin	Lathen, Jay		Re: Counsel Decision	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1427	Email	3/10/15 11:20 AM	Lathen, Jay	Galbraith, Kevin		Re: Counsel Decision	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1428	Email	3/10/15 11:32 AM	Protass, Harlan	Lathen, Jay		RE: Counsel Decision	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1429	Email	3/10/15 11:38 AM	Lathen, Jay	Protass, Harlan		RE:	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1430	Email	3/10/15 11:40 AM	Protass, Harlan	Lathen, Jay		RE:	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1431	Email	3/10/15 12:02 PM	Protass, Harlan	Lathen, Jay		RE:	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1432	Email	3/10/15 12:03 PM	Lathen, Jay	Protass, Harlan		RE: Re:	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1433	Email	3/10/15 12:04 PM	Lathen, Jay	Protass, Harlan		RE: Re:	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1434	Email with Attachment(s)	3/10/15 12:37 PM	Galbraith, Kevin	Protass, Harlan	Lathen, Jay	FW: Donald Lathen	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1435	Email	3/10/15 12:56 PM	Lathen, Jay	Protass, Harlan	Galbraith, Kevin	RE: Donald Lathen	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1436	Email	3/10/15 1:01 PM	Protass, Harlan	Lathen, Jay	Galbraith, Kevin	RE: Donald Lathen	Attorney Client Privilege	Communication reflecting legal advice of counsel.		

**In the Matter of Eden Arc Capital Management, LLC (NY-9197)
Privilege Log**

Entry Number	Record Type	Date	From	To	Cc	Email Subject or File Name	Privilege Type	Privilege Description	Redacted	Production Notes
1437	Email with Attachment(s)	3/10/15 3:16 PM	Galbraith, Kevin	Lathen, Jay		FW: Survivor's Option Notes	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1438	Email with Attachment(s)	3/10/15 4:17 PM	Galbraith, Kevin	Lathen, Jay		Bill through 3-10-15.	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1439	Email with Attachment(s)	3/10/15 4:25 PM	Lathen, Jay	Galbraith, Kevin	Robinson, Michael D.	RE: Survivor's Option Notes	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1440	Email with Attachment(s)	3/10/15 4:27 PM	Protass, Harlan	Lathen, Jay		Eden Arc / SEC	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1441	Email with Attachment(s)	3/10/15 4:28 PM	Robinson, Michael D.	Hartman, David	Lathen, Jay	Dropbox Folder for Compliance Review Memo	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1442	Email with Attachment(s)	3/10/15 4:31 PM	Galbraith, Kevin	Lathen, Jay	Robinson, Michael D.	Re: Survivor's Option Notes	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1443	Email with Attachment(s)	3/10/15 4:37 PM	Lathen, Jay	Lathen, Kathy		FW: Eden Arc / SEC	Attorney Client Privilege/Work Product	Communication reflecting legal advice of Harlan Protass.		
1444	Email with Attachment(s)	3/10/15 4:51 PM	Lathen, Jay	Protass, Harlan		RE: Eden Arc / SEC	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1445	Email with Attachment(s)	3/10/15 5:05 PM	Protass, Harlan	Lathen, Jay		Re: Eden Arc / SEC	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1446	Email with Attachment(s)	3/10/15 5:18 PM	Lathen, Jay	Protass, Harlan	Robinson, Michael D.	RE: Eden Arc / SEC	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1447	Email with Attachment(s)	3/10/15 5:21 PM	Protass, Harlan	Lathen, Jay		Re: Eden Arc / SEC	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1448	Email with Attachment(s)	3/10/15 5:25 PM	Lathen, Jay	Protass, Harlan		RE: Eden Arc / SEC	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1449	Email with Attachment(s)	3/10/15 5:56 PM	Lathen, Kathy	Lathen, Jay		Re: Eden Arc / SEC	Attorney Client Privilege/Work Product	Communication reflecting legal advice of Harlan Protass.		
1450	Email with Attachment(s)	3/10/15 5:58 PM	Protass, Harlan	Lathen, Jay		Re: Eden Arc / SEC	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1451	Email with Attachment(s)	3/10/15 6:00 PM	Lathen, Jay	Lathen, Kathy		RE: Eden Arc / SEC	Attorney Client Privilege/Work Product	Communication reflecting legal advice of Harlan Protass.		
1452	Email with Attachment(s)	3/10/15 6:01 PM	Lathen, Jay	Protass, Harlan		FW: Eden Arc / SEC	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1453	Email with Attachment(s)	3/10/15 10:25 PM	Protass, Harlan	Lathen, Jay		Re: Eden Arc / SEC	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1454	Email with Attachment(s)	3/11/15 12:31 PM	Robinson, Michael D.	Galbraith, Kevin; Lathen, Jay		Prospect Redemption Database	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1455	Email with Attachment(s)	3/11/15 12:32 PM	Galbraith, Kevin	Robinson, Michael D.; Lathen, Jay		Re: Prospect Redemption Database	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1456	Email with Attachment(s)	3/11/15 12:33 PM	Robinson, Michael D.	Hartman, David		Pwd: Dropbox Folder for Compliance Review Memo	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1457	Email with Attachment(s)	3/11/15 12:40 PM	Robinson, Michael D.	Galbraith, Kevin		Re: Prospect Redemption Database	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1458	Email with Attachment(s)	3/11/15 12:47 PM	Galbraith, Kevin	Robinson, Michael D.		Re: Prospect Redemption Database	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1459	Email with Attachment(s)	3/11/15 12:57 PM	Robinson, Michael D.	Galbraith, Kevin		Re: Prospect Redemption Database	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1460	Email with Attachment(s)	3/11/15 1:38 PM	Lathen, Jay	Galbraith, Kevin; Robinson, Michael D.		RE: Prospect Redemption Database	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1461	Email with Attachment(s)	3/11/15 2:09 PM	Lathen, Jay	Protass, Harlan		RE: Eden Arc / SEC	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1462	Email with Attachment(s)	3/11/15 2:37 PM	Galbraith, Kevin	Lathen, Jay; Robinson, Michael D.		Re: Prospect Redemption Database	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1463	Email with Attachment(s)	3/11/15 2:59 PM	Galbraith, Kevin	Lathen, Jay		FW: CIT Bank CD Redemption Refusals	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1464	Email with Attachment(s)	3/11/15 6:28 PM	Lathen, Jay	Galbraith, Kevin		RE: CIT Bank CD Redemption Refusals	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1465	Email with Attachment(s)	3/11/15 8:40 PM	Lathen, Jay	Protass, Harlan		Amphaber executed retainer.	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1466	Email with Attachment(s)	3/11/15 8:42 PM	Lathen, Jay	Southwell, Alexander H.		Re: Eden Arc - Gibson Dunne Scope of Work	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1467	Email with Attachment(s)	3/12/15 6:39 AM	Protass, Harlan	Lathen, Jay		Re: Amphaber executed retainer	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1468	Email with Attachment(s)	3/12/15 7:22 AM	Hartman, David	Robinson, Michael D.	Lathen, Jay	RE: ADV info IARD sign in	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1469	Email with Attachment(s)	3/12/15 7:59 AM	Robinson, Michael D.	Hartman, David		IARD	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1470	Email with Attachment(s)	3/12/15 10:12 AM	Galbraith, Kevin	Lathen, Jay		Re: CIT Bank CD Redemption Refusals	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1471	Email with Attachment(s)	3/12/15 10:24 AM	Lathen, Jay	Galbraith, Kevin		Re: CIT Bank CD Redemption Refusals	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1472	Email with Attachment(s)	3/12/15 10:48 AM	Protass, Harlan	Lathen, Jay		EndCare	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1473	Email with Attachment(s)	3/12/15 10:50 AM	Protass, Harlan	Lathen, Jay		SEC Document Production	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1474	Email with Attachment(s)	3/12/15 12:56 PM	Lathen, Jay	Protass, Harlan		RE: EndCare	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1475	Email with Attachment(s)	3/12/15 1:29 PM	Lathen, Jay	Protass, Harlan	Robinson, Michael D.	RE: SEC Document Production	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1476	Email with Attachment(s)	3/12/15 1:31 PM	Protass, Harlan	Lathen, Jay	Robinson, Michael D.	Re: SEC Document Production	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1477	Email with Attachment(s)	3/12/15 1:32 PM	Protass, Harlan	Lathen, Jay	Robinson, Michael D.	Re: SEC Document Production	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1478	Email with Attachment(s)	3/12/15 2:21 PM	Hartman, David	Lathen, Jay		example	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1479	Email with Attachment(s)	3/12/15 4:18 PM	Galbraith, Kevin	Robinson, Michael D.		Re: Michael Robinson wants to share "Prospect Project-IG" with you	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1480	Email with Attachment(s)	3/12/15 4:38 PM	Lathen, Jay	Protass, Harlan		Retainer	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1481	Email with Attachment(s)	3/12/15 4:38 PM	Protass, Harlan	Lathen, Jay		Re: Retainer	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1482	Email with Attachment(s)	3/12/15 4:43 PM	Robinson, Michael D.	Galbraith, Kevin		Re: Michael Robinson wants to share "Prospect Project-IG" with you	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1483	Email with Attachment(s)	3/12/15 4:51 PM	Galbraith, Kevin	Robinson, Michael D.		Re: Michael Robinson wants to share "Prospect Project-IG" with you	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1484	Email with Attachment(s)	3/12/15 5:20 PM	Robinson, Michael D.	Wambata, Leah		Document Process	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1485	Email with Attachment(s)	3/12/15 5:26 PM	Galbraith, Kevin	Lathen, Jay		FW: Survivor's Option Notes	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1486	Email with Attachment(s)	3/13/15 12:23 PM	Hartman, David	Robinson, Michael D.	Lathen, Jay	RE: IARD	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1487	Email with Attachment(s)	3/13/15 2:04 PM	Robinson, Michael D.	Hartman, David	Lathen, Jay	RE: IARD	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1488	Email with Attachment(s)	3/13/15 3:02 PM	Protass, Harlan	Lathen, Jay		Quick Question	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1489	Email with Attachment(s)	3/13/15 3:05 PM	Protass, Harlan	Lathen, Jay		Also, can you send me the letter you first received from the SEC examination staff?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1490	Email with Attachment(s)	3/13/15 3:17 PM	Lathen, Jay	Protass, Harlan		RE: Quick Question	Attorney Client Privilege	Communication requesting legal advice of counsel.		

**In the Matter of Eden Arc Capital Management, LLC (NY-9197)
Privilege Log**

Entry Number	Record Type	Date	From	To	Cc	Email Subject or File Name	Privilege Type	Privilege Description	Redacted	Production Basis
1491	Email with Attachment(s)	3/13/15 3:22 PM	Lathen, Jay	Protass, Harlan		RE: Also, can you send me the letter you first received from the SEC Examination staff?	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1492	Email	3/13/15 3:28 PM	Protass, Harlan	Lathen, Jay		RE: Also, can you send me the letter you first received from the SEC Examination staff?	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1493	Email	3/13/15 3:28 PM	Protass, Harlan	Lathen, Jay		RE: Quick Question	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1494	Email	3/16/15 1:29 PM	Protass, Harlan	Robinson, Michael D.		DropBox Folder Invitations	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1495	Email	3/16/15 1:29 PM	Protass, Harlan	Robinson, Michael D.	Dhambata, Leah	DropBox Folder Invitations	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1496	Email	3/16/15 1:33 PM	Protass, Harlan	Robinson, Michael D.		DropBox Folder Invitations	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1497	Email	3/16/15 2:28 PM	Protass, Harlan	Robinson, Michael D.		DropBox Folder Invitations	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1498	Email	3/17/15 1:56 PM	Galbraith, Kevin	Lathen, Jay		Out of the office through 3/24	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1499	Email with Attachment(s)	3/18/15 12:29 PM	Galbraith, Kevin	Lathen, Jay		FW: Survivor's Option Notes	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1500	Email	3/18/15 3:10 PM	Lathen, Jay	Galbraith, Kevin		Re: Survivor's Option Notes	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1501	Email	3/18/15 3:39 PM	Protass, Harlan	Robinson, Michael D.	Lathen, Jay	Documents for Production to SEC	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1502	Email	3/18/15 4:03 PM	Robinson, Michael D.	Protass, Harlan	Lathen, Jay	RE: Documents for Production to SEC	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1503	Email	3/18/15 4:19 PM	Protass, Harlan	Robinson, Michael D.	Lathen, Jay	RE: Documents for Production to SEC	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1504	Email	3/18/15 4:26 PM	Robinson, Michael D.	Protass, Harlan		RE: Documents for Production to SEC	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1505	Email	3/18/15 4:37 PM	Protass, Harlan	Robinson, Michael D.		RE: Documents for Production to SEC	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1506	Email	3/18/15 6:10 PM	Galbraith, Kevin	Lathen, Jay		RE: Survivor's Option Notes	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1507	Email	3/18/15 6:23 PM	Robinson, Michael D.	Protass, Harlan	Lathen, Jay	RE: Documents for Production to SEC	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1508	Email	3/19/15 9:38 AM	Protass, Harlan	Robinson, Michael D.		RE: Documents for Production to SEC	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1509	Email	3/19/15 9:54 AM	Robinson, Michael D.	Protass, Harlan		RE: Documents for Production to SEC	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1510	Email	3/19/15 10:28 PM	Protass, Harlan	Lathen, Jay; Robinson, Michael D.		SEC Document Production Update	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1511	Email	3/20/15 10:59 AM	Lathen, Jay	Galbraith, Kevin	Robinson, Michael D.	RE: Survivor's Option Notes	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1512	Email	3/20/15 6:15 PM	Protass, Harlan	Lathen, Jay; Robinson, Michael D.		SEC Production Update	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1513	Email	3/20/15 6:31 PM	Protass, Harlan	Lathen, Jay		RE: SEC Production Update	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1514	Email	3/21/15 3:06 AM	Galbraith, Kevin	Lathen, Jay	Robinson, Michael D.	RE: Survivor's Option Notes	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1515	Email	3/23/15 8:33 AM	Hartman, David	Robinson, Michael D.	Lathen, Jay	URGENT for ADV Filing	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1516	Email	3/23/15 5:43 PM	Robinson, Michael D.	Hartman, David		RE: IARD Fee for ADV filing inquiry	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1517	Email	3/23/15 11:17 AM	Kane, Darren	Lathen, Jay		RE: IARD Fee for ADV filing inquiry	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1518	Email with Attachment(s)	3/23/15 11:22 AM	Robinson, Michael D.	Hartman, David	Lathen, Jay	RE: IARD Fee for ADV filing	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1519	Email	3/23/15 11:25 AM	Hartman, David	Robinson, Michael D.	Lathen, Jay	RE: IARD Fee for ADV filing	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1520	Email	3/23/15 11:39 AM	Robinson, Michael D.	Hartman, David	Lathen, Jay	RE: IARD Fee for ADV filing	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1521	Email	3/23/15 11:42 AM	Lathen, Jay	Kane, Darren		RE: inquiry	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1522	Email	3/23/15 12:02 PM	Kane, Darren	Lathen, Jay	accounting@missionnoticalterservices.com	RE: Inquiry	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1523	Email	3/23/15 12:16 PM	Protass, Harlan	Lathen, Jay		Did you go to the Hoover Dam yet?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1524	Email	3/23/15 12:16 PM	Protass, Harlan	Robinson, Michael D.	Lathen, Jay	RE: SEC Production Update	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1525	Email	3/23/15 12:37 PM	Robinson, Michael D.	Protass, Harlan	Lathen, Jay	RE: SEC Production Update	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1526	Email	3/23/15 11:30 PM	Lathen, Jay	Protass, Harlan		RE: Did you go to the Hoover Dam yet?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1527	Email with Attachment(s)	3/23/15 3:45 PM	Robinson, Michael D.	Hartman, David	Lathen, Jay	RE: IARD Fee for ADV filing	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1528	Email	3/23/15 3:55 PM	Robinson, Michael D.	Protass, Harlan	Lathen, Jay	RE: SEC Production Update	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1529	Email	3/23/15 4:06 PM	Protass, Harlan	Robinson, Michael D.		RE: SEC Production Update	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1530	Email with Attachment(s)	3/23/15 4:34 PM	Protass, Harlan	Robinson, Michael D.		Pages 398-408	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1531	Email	3/23/15 5:59 PM	Robinson, Michael D.	Protass, Harlan		RE: Pages 398-408	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1532	Email with Attachment(s)	3/23/15 6:01 PM	Protass, Harlan	Robinson, Michael D.			Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1533	Email with Attachment(s)	3/24/15 11:59 AM	Robinson, Michael D.	Protass, Harlan		Jay Lathen Memorandum 6-19-12	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1534	Email	3/24/15 4:43 PM	Robinson, Michael D.	Hartman, David	Lathen, Jay	RE: IARD Fee for ADV filing	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1535	Email	3/25/15 6:46 AM	Galbraith, Kevin	Robinson, Michael D.		Voicemail	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1536	Email	3/25/15 11:19 AM	Robinson, Michael D.	Galbraith, Kevin		RE: Voicemail	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1537	Email with Attachment(s)	3/25/15 5:05 PM	Galbraith, Kevin	Lathen, Jay	Robinson, Michael D.	RE: Survivor's Option Notes	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1538	Email with Attachment(s)	3/26/15 11:32 AM	Galbraith, Kevin	Lathen, Jay	Robinson, Michael D.	RE: Survivor's Option Notes	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1539	Email	3/26/15 11:45 AM	Lathen, Jay	Galbraith, Kevin	Robinson, Michael D.	RE: Survivor's Option Notes	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1540	Email	3/26/15 1:50 PM	Protass, Harlan	Lathen, Jay; Robinson, Michael D.		SEC Document Production	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1541	Email with Attachment(s)	3/29/15 3:41 PM	Hartman, David	Lathen, Jay		ADV 2A and	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1542	Email	3/29/15 4:30 PM	Lathen, Jay	Hartman, David		RE: ADV 2A and	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1543	Email	3/29/15 6:20 PM	Hartman, David	Lathen, Jay		RE: ADV 2A and	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1544	Email	3/29/15 6:21 PM	Lathen, Jay	Hartman, David		RE: ADV 2A and	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1545	Email	3/29/15 6:23 PM	Hartman, David	Lathen, Jay		RE: ADV 2A and	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1546	Email with Attachment(s)	3/31/15 11:10 AM	Hartman, David	Lathen, Jay		ADV for Filing Approval	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1547	Email	3/31/15 1:07 PM	Lathen, Jay	Hartman, David		RE: ADV for Filing Approval	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1548	Email with Attachment(s)	3/31/15 1:29 PM	Hartman, David	Lathen, Jay	Kane, Darren	ADV Filed	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1549	Email with Attachment(s)	4/1/15 9:24 AM	Galbraith, Kevin	Lathen, Jay		FW: Survivor's Option Notes	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1550	Email	4/1/15 5:37 PM	Protass, Harlan	Lathen, Jay; Robinson, Michael D.		Follow-Up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1551	Email	4/2/15 7:37 PM	Lathen, Jay	Protass, Harlan; Robinson, Michael D.		RE: Follow-Up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1552	Email	4/2/15 3:22 PM	Kane, Darren	Lathen, Jay		Your Availability	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1553	Email	4/2/15 3:56 PM	Lathen, Jay	Kane, Darren		RE: Your Availability	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1554	Email	4/2/15 4:19 PM	Kane, Darren	Lathen, Jay	Hartman, David	RE: Your Availability	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1555	Email	4/6/15 9:35 AM	Galbraith, Kevin	Lathen, Jay		Updates	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1556	Email with Attachment(s)	4/8/15 9:21 AM	Kane, Darren	Lathen, Jay		False Statement in WestEnd's Form ADV	Attorney Client Privilege	Communication reflecting legal advice of counsel.		

**In the Matter of Eden Arc Capital Management, LLC (NY-9197)
Privilege Log**

Entry Number	Record Type	Date	From	To	Cc	Email Subject or File Name	Privilege Type	Privilege Description	Redacted	Production Notes
1552	Email with Attachment(s)	4/9/15 5:40 AM	Hartman, David	Lathen, Jay		Questions on annual review	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1558	Email with Attachment(s)	4/9/15 8:55 AM	Protass, Harlan	Lathen, Jay	Robinson, Michael D.	Re: Follow-Up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1559	Email with Attachment(s)	4/9/15 8:55 AM	Protass, Harlan	Lathen, Jay	Robinson, Michael D.	Re: Follow-Up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1560	Email	4/9/15 9:34 AM	Lathen, Jay	Protass, Harlan	Robinson, Michael D.	Re: Follow-Up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1561	Email	4/9/15 9:39 AM	Protass, Harlan	Lathen, Jay	Robinson, Michael D.	Re: Follow-Up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1562	Email	4/9/15 10:12 AM	Lathen, Jay	Protass, Harlan	Robinson, Michael D.	Re: Follow-Up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1563	Email	4/9/15 10:14 AM	Protass, Harlan	Lathen, Jay	Robinson, Michael D.	Re: Follow-Up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1564	Email	4/9/15 10:36 AM	Hartman, David	Lathen, Jay; Robinson, Michael D.		Do you have a word version of the LPA?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1565	Email with Attachment(s)	4/9/15 11:03 AM	Robinson, Michael D.	Hartman, David; Lathen, Jay		Re: Do you have a word version of the LPA?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1566	Email with Attachment(s)	4/10/15 3:57 PM	Hartman, David	Lathen, Jay	Kane, Darren	Draft Documents for the SEC Response Due Monday	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1567	Email	4/10/15 5:17 PM	Galbraith, Kevin	Lathen, Jay		Updates	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1568	Email with Attachment(s)	4/13/15 9:23 AM	Protass, Harlan	Lathen, Jay		Running about 10 mins late. Sorry.	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1569	Email	4/13/15 9:25 AM	Lathen, Jay	Protass, Harlan		Re: Running about 10 mins late. Sorry.	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1570	Email	4/13/15 2:20 PM	Lathen, Jay	Hartman, David	Kane, Darren	RE: Draft Documents for the SEC Response Due Monday	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1571	Email with Attachment(s)	4/13/15 3:05 PM	Hartman, David	Lathen, Jay	Kane, Darren	RE: Draft Documents for the SEC Response Due Monday	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1572	Email	4/13/15 3:32 PM	Protass, Harlan	Lathen, Jay		WSJ	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1573	Email with Attachment(s)	4/13/15 4:37 PM	Hartman, David	Lathen, Jay		updated annual review	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1574	Attachment(s)	4/13/15 4:40 PM	Hartman, David	Lathen, Jay		Blue Sand Broker Check 3 9 2014	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1575	Email	4/13/15 4:44 PM	Hartman, David	Lathen, Jay		OFAC list link	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1576	Email with Attachment(s)	4/13/15 5:06 PM	Hartman, David	Lathen, Jay	Kane, Darren	Privacy Policy	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1577	Email	4/13/15 5:14 PM	Lathen, Jay	Protass, Harlan		RE: WSJ	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1578	Email	4/13/15 5:18 PM	Protass, Harlan	Lathen, Jay		RE: WSJ	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1579	Email with Attachment(s)	4/13/15 6:09 PM	Lathen, Jay	Hartman, David		Revised LPA with New Valuation Language	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1580	Email	4/14/15 8:44 AM	Robinson, Michael D.	Protass, Harlan	Lathen, Jay	Fwd: Re: Search Services: Questions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1581	Email	4/14/15 2:38 PM	Lathen, Jay	Galbraith, Kevin		RE: Updates	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1582	Email with Attachment(s)	4/14/15 2:41 PM	Galbraith, Kevin	Lathen, Jay		Re: Updates	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1583	Email with Attachment(s)	4/14/15 6:11 PM	Galbraith, Kevin	Lathen, Jay		Re: Updates	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1584	Email	4/14/15 6:15 PM	Lathen, Jay	Galbraith, Kevin		RE: Updates	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1585	Email	4/14/15 6:17 PM	Galbraith, Kevin	Lathen, Jay		Re: Updates	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1586	Email with Attachment(s)	4/15/15 9:35 AM	Galbraith, Kevin	Lathen, Jay		Current Bill	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1587	Email with Attachment(s)	4/15/15 12:13 PM	Robinson, Michael D.	Protass, Harlan	Lathen, Jay	E-mail Data	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1588	Email with Attachment(s)	4/15/15 4:15 PM	Galbraith, Kevin	Doshi, Vinit	Lathen, Jay; Muscamera, Matthew	Re: Eden Arc Capital Partners Audit Confirm	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1589	Email with Attachment(s)	4/15/15 5:23 PM	Galbraith, Kevin	Lathen, Jay		Draft Motion to Dismiss	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1590	Attachment(s)	4/16/15 3:29 PM	Galbraith, Kevin	Lathen, Jay		Re: Draft Motion to Dismiss	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1591	Email	4/16/15 3:43 PM	Lathen, Jay	Galbraith, Kevin		RE: Draft Motion to Dismiss	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1592	Email with Attachment(s)	4/16/15 3:44 PM	Galbraith, Kevin	Lathen, Jay		Re: Draft Motion to Dismiss	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1593	Email with Attachment(s)	4/20/15 12:15 PM	Galbraith, Kevin	Lathen, Jay		Re: Draft Motion to Dismiss	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1594	Email	4/20/15 1:13 PM	Lathen, Jay	Galbraith, Kevin		Re: Draft Motion to Dismiss	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1595	Email	4/20/15 1:19 PM	Galbraith, Kevin	Lathen, Jay		Re: Draft Motion to Dismiss	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1596	Email with Attachment(s)	4/20/15 1:26 PM	Galbraith, Kevin	Lathen, Jay		FW: Eden Arc Capital Partners Audit Confirm	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1597	Email with Attachment(s)	4/20/15 6:08 PM	Lathen, Jay	Robinson, Michael D.		FW: Draft Motion to Dismiss	Attorney Client Privilege/Work Product	Communication reflecting legal advice of Kevin Galbraith.		
1598	Email	4/21/15 4:46 AM	Hartman, David	Lathen, Jay		Quick Books	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1599	Email	4/21/15 3:08 PM	Robinson, Michael D.	Protass, Harlan	Sampoli, Sara	Updated SEC Information - E-mails	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1600	Email	4/21/15 3:43 PM	Robinson, Michael D.	Sampoli, Sara		Fwd: Updated SEC Information - E-mails	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1601	Email	4/21/15 3:34 PM	Robinson, Michael D.	Sampoli, Sara		Fwd: Updated SEC Information - E-mails	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1602	Email with Attachment(s)	4/21/15 4:32 PM	Galbraith, Kevin	Lathen, Jay		Re: Eden Arc Capital Partners Audit Confirm	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1603	Email	4/21/15 4:43 PM	Lathen, Jay	Galbraith, Kevin		RE: Eden Arc Capital Partners Audit Confirm	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1604	Email with Attachment(s)	4/21/15 4:51 PM	Galbraith, Kevin	Doshi, Vinit	Lathen, Jay; Muscamera, Matthew	Re: Eden Arc Capital Partners Audit Confirm	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1605	Email	4/22/15 10:52 AM	Galbraith, Kevin	Lathen, Jay		Re: Draft Motion to Dismiss	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1606	Email	4/22/15 1:21 PM	Lathen, Jay	Galbraith, Kevin		RE: Draft Motion to Dismiss	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1607	Email with Attachment(s)	4/22/15 1:22 PM	Galbraith, Kevin	Lathen, Jay		Re: Draft Motion to Dismiss	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1608	Email with Attachment(s)	4/22/15 9:54 PM	Lathen, Jay	Galbraith, Kevin		RE: Draft Motion to Dismiss	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1609	Email with Attachment(s)	4/23/15 10:58 AM	Galbraith, Kevin	Lathen, Jay		Re: Draft Motion to Dismiss	Attorney Client Privilege	Communication reflecting legal advice of counsel.		

**In the Matter of Eden Arc Capital Management, LLC (NY-9197)
Privilege Log**

Entry Number	Record Type	Date	From	To	CC	Email Subject or File Name	Privilege Type	Privilege Description	Redacted	Production Status
1610	Email with Attachment(s)	4/23/15 1:54 PM	Galbraith, Kevin	Lathen, Jay		Re: Draft Motion to Dismiss	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1611	Email with Attachment(s)	4/24/15 10:34 AM	Lathen, Jay	Galbraith, Kevin		Prospect MDD	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1612	Email with Attachment(s)	4/24/15 10:35 AM	Galbraith, Kevin	Lathen, Jay		FW: CIT Bank CD Redemption Refusals	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1613	Email	4/24/15 11:50 AM	Lathen, Jay	Galbraith, Kevin		RE: CIT Bank CD Redemption Refusals	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1614	Email	4/24/15 11:27 AM	Galbraith, Kevin	Lathen, Jay		Re: Prospect MDD	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1615	Email	4/24/15 11:31 AM	Lathen, Jay	Galbraith, Kevin		RE: Prospect MDD	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1616	Email	4/24/15 1:16 PM	Galbraith, Kevin	Lathen, Jay		FW: NYSCEF Notification: New York - Tort - <NOTICE OF MOTION> 156375/2014 (PROSPECT CAPITAL CORPORATION - v. - DONALD LATHEN JR. et al)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1617	Email	4/24/15 1:17 PM	Lathen, Jay	Galbraith, Kevin		RE: NYSCEF Notification: New York - Tort - <NOTICE OF MOTION> 156375/2014 (PROSPECT CAPITAL CORPORATION - v. - DONALD LATHEN JR. et al)	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1618	Email with Attachment(s)	4/24/15 1:29 PM	Galbraith, Kevin	Lathen, Jay		Re: NYSCEF Notification: New York - Tort - <NOTICE OF MOTION> 156375/2014 (PROSPECT CAPITAL CORPORATION - v. - DONALD LATHEN JR. et al)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1619	Email with Attachment(s)	4/24/15 1:41 PM	Galbraith, Kevin	Lathen, Jay		RE: NYSCEF Notification: New York - Tort - <NOTICE OF MOTION> 156375/2014 (PROSPECT CAPITAL CORPORATION - v. - DONALD LATHEN JR. et al)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1620	Email with Attachment(s)	4/24/15 2:10 PM	Lathen, Jay	Galbraith, Kevin		Re: NYSCEF Notification: New York - Tort - <NOTICE OF MOTION> 156375/2014 (PROSPECT CAPITAL CORPORATION - v. - DONALD LATHEN JR. et al)	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1621	Email with Attachment(s)	4/24/15 2:13 PM	Galbraith, Kevin	Lathen, Jay		Re: NYSCEF Notification: New York - Tort - <NOTICE OF MOTION> 156375/2014 (PROSPECT CAPITAL CORPORATION - v. - DONALD LATHEN JR. et al)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1622	Attachment(s)	4/24/15 3:23 PM	Lathen, Jay	Protsass, Harlan	Galbraith, Kevin	Prospect Case	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1623	Email	4/24/15 3:55 PM	Lathen, Jay	Galbraith, Kevin		Re: Prospect Case	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1624	Email	4/26/15 11:24 AM	Protsass, Harlan	Lathen, Jay	Galbraith, Kevin	Re: Prospect Case	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1625	Email with Attachment(s)	4/27/15 4:29 PM	Galbraith, Kevin	Lathen, Jay		Flat Fee for Prospect MTD	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1626	Email	4/27/15 5:03 PM	Lathen, Jay	Galbraith, Kevin		RE: Flat Fee for Prospect MTD	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1627	Email with Attachment(s)	4/27/15 5:08 PM	Galbraith, Kevin	Lathen, Jay		Re: Flat Fee for Prospect MTD	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1628	Email	4/27/15 5:09 PM	Lathen, Jay	Galbraith, Kevin		RE: Flat Fee for Prospect MTD	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1629	Email	4/28/15 2:35 PM	Robinson, Michael D.	Galbraith, Kevin		CIT - Related Participant Agreements	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1630	Email	4/28/15 2:38 PM	Galbraith, Kevin	Robinson, Michael D.		Re: CIT - Related Participant Agreements	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1631	Email	4/28/15 4:40 PM	Galbraith, Kevin	Lathen, Jay		CIT	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1632	Email	4/28/15 4:55 PM	Lathen, Jay	Galbraith, Kevin		RE: CIT	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1633	Email	4/29/15 12:25 PM	Galbraith, Kevin	Robinson, Michael D.		Re: CIT - Related Participant Agreements	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1634	Email	4/29/15 12:48 PM	Galbraith, Kevin	Lathen, Jay		Draft Response to CIT	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1635	Email	4/29/15 1:45 PM	Robinson, Michael D.	Galbraith, Kevin		Re: CIT - Related Participant Agreements	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1636	Email	4/29/15 1:48 PM	Galbraith, Kevin	Robinson, Michael D.		Re: CIT - Related Participant Agreements	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1637	Email with Attachment(s)	4/29/15 1:53 PM	Robinson, Michael D.	Galbraith, Kevin		Re: CIT - Related Participant Agreements	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1638	Email	4/29/15 1:56 PM	Galbraith, Kevin	Robinson, Michael D.		Re: CIT - Related Participant Agreements	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1639	Email	4/29/15 3:50 PM	Protsass, Harlan	Robinson, Michael D.	Sampell, Sara	Re: Updated SEC Information - E-mails	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1640	Email	4/30/15 10:48 AM	Lathen, Jay	Galbraith, Kevin		RE: Draft Response to CIT	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1641	Email	4/30/15 11:21 AM	Lathen, Jay	Galbraith, Kevin		FW: Lawsuit from Prospect	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1642	Email	4/30/15 12:36 PM	Galbraith, Kevin	Lathen, Jay		Re: Lawsuit from Prospect	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1643	Email	4/30/15 12:42 PM	Lathen, Jay	Galbraith, Kevin		Re: Lawsuit from Prospect	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1644	Email	4/30/15 12:45 PM	Galbraith, Kevin	Lathen, Jay		Re: Lawsuit from Prospect	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1645	Email with Attachment(s)	4/30/15 12:46 PM	Galbraith, Kevin	Lathen, Jay		FW: CIT Bank CD Redemption Refusals	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1646	Attachment(s)	4/30/15 4:09 PM	Lathen, Jay	Galbraith, Kevin		Citibank CD Disclosure Statement - New Version	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1647	Email	4/30/15 4:25 PM	Protsass, Harlan	Lathen, Jay		Kathy's Documents	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1648	Email	4/30/15 4:42 PM	Galbraith, Kevin	Lathen, Jay		Re: Citibank CD Disclosure Statement - New Version	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1649	Email with Attachment(s)	4/30/15 5:01 PM	Lathen, Jay	Galbraith, Kevin		RE: Citibank CD Disclosure Statement - New Version	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1650	Email with Attachment(s)	4/30/15 5:07 PM	Galbraith, Kevin	Lathen, Jay		Re: Citibank CD Disclosure Statement - New Version	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1651	Email	4/30/15 5:07 PM	Lathen, Jay	Galbraith, Kevin		RE: Citibank CD Disclosure Statement - New Version	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1652	Attachment(s)	4/30/15 5:09 PM	Galbraith, Kevin	Lathen, Jay		Re: Citibank CD Disclosure Statement - New Version	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1653	Email	4/30/15 5:10 PM	Lathen, Jay	Galbraith, Kevin		RE: Citibank CD Disclosure Statement - New Version	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1654	Email with Attachment(s)	5/4/15 11:46 AM	Galbraith, Kevin	Lathen, Jay		Re: Citibank CD Disclosure Statement - New Version	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1655	Email	5/4/15 11:48 AM	Lathen, Jay	Galbraith, Kevin		Re: Citibank CD Disclosure Statement - New Version	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1656	Email	5/5/15 2:27 PM	Lathen, Jay	Lathen, Kathy		SEC Matter	Attorney Work Product	Communication containing privileged work product.		
1657	Email	5/5/15 2:33 PM	Lathen, Jay	Protsass, Harlan		RE: Kathy's Documents	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1658	Email	5/5/15 2:39 PM	Lathen, Jay	Protsass, Harlan		David Ambrose Meeting	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1659	Email	5/5/15 5:39 PM	Lathen, Jay	Galbraith, Kevin		RE: Citibank CD Disclosure Statement - New Version	Attorney Client Privilege	Communication requesting legal advice of counsel.		

In the Matter of Eden Arc Capital Management, LLC (NY-9197)
Privilege Log

Entry Number	Record Type	Date	From	To	Cc	Email Subject or File Name	Privilege Type	Privilege Description	Redacted	Production Notes
1660	Email with Attachment(s)	5/5/15 5:44 PM	Galbraith, Kevin	Lathen, Jay		Re: Citibank CD Disclosure Statement - New Version	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1661	Email with Attachment(s)	5/6/15 1:25 PM	Galbraith, Kevin	Lathen, Jay		Re: Citibank CD Disclosure Statement - New Version	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1662	Email	5/6/15 1:26 PM	Lathen, Jay	Galbraith, Kevin		RE: Citibank CD Disclosure Statement - New Version	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1663	Email with Attachment(s)	5/6/15 1:37 PM	Lathen, Jay	Protass, Harlan		Tax returns	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1664	Email with Attachment(s)	5/6/15 1:40 PM	Lathen, Jay	Protass, Harlan		FW: Fw:	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1665	Email with Attachment(s)	5/6/15 1:40 PM	Lathen, Jay	Protass, Harlan		FW: Brochure - REWORKED	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1666	Email	5/6/15 1:40 PM	Lathen, Jay	Protass, Harlan		FW: Charitable Giving Program	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1667	Email	5/6/15 1:40 PM	Lathen, Jay	Protass, Harlan		FW: Welcome to Web Hosting Hub - Your Account Info	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1668	Email	5/6/15 1:40 PM	Lathen, Jay	Protass, Harlan		FW: Web Hosting Hub, Inc - Receipt HUB-315-241018 - 1	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1669	Email	5/6/15 1:41 PM	Lathen, Jay	Protass, Harlan		FW: Receipt for Your Payment to GoDaddy.com, Inc.	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1670	Email	5/6/15 1:41 PM	Lathen, Jay	Protass, Harlan		FW: Re:	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1671	Email	5/6/15 1:41 PM	Lathen, Jay	Protass, Harlan		FW: RE:	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1672	Email	5/6/15 1:41 PM	Lathen, Jay	Protass, Harlan		FW:	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1673	Email	5/6/15 1:41 PM	Lathen, Jay	Protass, Harlan		FW: Order receipt from Google Apps (\$10.00)	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1674	Email	5/6/15 1:41 PM	Lathen, Jay	Protass, Harlan		FW: Web Hosting Hub - Account Confirmation Required	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1675	Email	5/6/15 1:49 PM	Protass, Harlan	Lathen, Jay		Re: FW: Receipt for Your Payment to GoDaddy.com, Inc.	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1676	Email	5/6/15 1:50 PM	Lathen, Jay	Protass, Harlan		RE: FW: Receipt for Your Payment to GoDaddy.com, Inc.	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1677	Email	5/6/15 1:54 PM	Protass, Harlan	Lathen, Jay		RE: FW: Receipt for Your Payment to GoDaddy.com, Inc.	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1678	Email	5/11/15 12:02 PM	Galbraith, Kevin	Lathen, Jay		CIT and U.S. Bank Updates	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1679	Email	5/11/15 12:07 PM	Protass, Harlan	Lathen, Jay; Robinson, Michael D.		E-Mail Production	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1680	Email	5/11/15 12:20 PM	Robinson, Michael D.	Protass, Harlan; Lathen, Jay		Re: E-Mail Production	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1681	Email	5/11/15 1:01 PM	Lathen, Jay	Galbraith, Kevin		RE: CIT and U.S. Bank Updates	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1682	Email with Attachment(s)	5/11/15 1:02 PM	Galbraith, Kevin	Lathen, Jay		Re: CIT and U.S. Bank Updates	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1683	Email	5/11/15 4:09 PM	Lathen, Jay	Protass, Harlan; Robinson, Michael D.		RE: E-Mail Production	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1684	Email with Attachment(s)	5/11/15 6:08 PM	Galbraith, Kevin	Lathen, Jay		Re: Citibank CD Disclosure Statement - New Version	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1685	Email	5/11/15 10:02 PM	Protass, Harlan	Lathen, Jay	Robinson, Michael D.	Re: E-Mail Production	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1686	Email	5/12/15 11:44 AM	Robinson, Michael D.	Protass, Harlan	Lathen, Jay; Sampoli, Sara	Re: E-Mail Production	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1687	Email	5/12/15 11:55 AM	Robinson, Michael D.	Sampoli, Sara		Fwd: Re: E-Mail Production	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1688	Email with Attachment(s)	5/12/15 12:08 PM	Lathen, Jay	Galbraith, Kevin		Eden Arc LPA	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1689	Email	5/12/15 12:11 PM	Robinson, Michael D.	Sampoli, Sara		Fwd: Re: E-Mail Production	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1690	Email with Attachment(s)	5/12/15 12:33 PM	Galbraith, Kevin	Lathen, Jay		FW: Survivor's Option Notes	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1691	Email	5/12/15 12:36 PM	Galbraith, Kevin	Lathen, Jay		Re: Eden Arc LPA	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1692	Email	5/12/15 1:37 PM	Galbraith, Kevin	Lathen, Jay		Re: Eden Arc LPA	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1693	Email with Attachment(s)	5/13/15 3:53 PM	Galbraith, Kevin	Lathen, Jay		FW: Survivor's Option Notes	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1694	Email	5/13/15 3:54 PM	Lathen, Jay	Galbraith, Kevin		RE: Survivor's Option Notes	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1695	Email	5/15/15 1:28 PM	Protass, Harlan	Robinson, Michael D.	Lathen, Jay; Sampoli, Sara	Re: E-Mail Production	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1696	Email	5/15/15 2:42 PM	Lathen, Jay	Protass, Harlan; Robinson, Michael D.	Sampoli, Sara	RE: E-Mail Production	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1697	Email	5/15/15 3:01 PM	Protass, Harlan	Lathen, Jay	Robinson, Michael D.; Sampoli, Sara	Re: E-Mail Production	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1698	Email with Attachment(s)	5/15/15 4:19 PM	Galbraith, Kevin	Lathen, Jay		Re: Survivor's Option Notes	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1699	Email	5/15/15 6:06 PM	Lathen, Jay	Galbraith, Kevin		Re: Survivor's Option Notes	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1700	Email	5/16/15 12:59 AM	Robinson, Michael D.	Protass, Harlan	Lathen, Jay; Sampoli, Sara	Re: E-Mail Production	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1701	Email	5/16/15 1:06 AM	Robinson, Michael D.	Sampoli, Sara		Fwd: E-Mail Production	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1702	Email with Attachment(s)	5/16/15 1:07 PM	Protass, Harlan	Robinson, Michael D.	Lathen, Jay; Sampoli, Sara	Re: E-Mail Production	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1703	Email with Attachment(s)	5/17/15 9:49 PM	Protass, Harlan	Robinson, Michael D.	Lathen, Jay; Sampoli, Sara	Re: E-Mail Production	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1704	Email with Attachment(s)	5/17/15 9:50 PM	Protass, Harlan	Robinson, Michael D.	Lathen, Jay; Sampoli, Sara	Re: E-Mail Production	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1705	Email	5/17/15 11:09 PM	Robinson, Michael D.	Protass, Harlan	Lathen, Jay; Sampoli, Sara	Re: E-Mail Production	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1706	Email with Attachment(s)	5/18/15 12:02 AM	Protass, Harlan	Robinson, Michael D.	Lathen, Jay; Sampoli, Sara	Re: E-Mail Production	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1707	Email with Attachment(s)	5/18/15 12:02 AM	Protass, Harlan	Robinson, Michael D.	Lathen, Jay; Sampoli, Sara	Re: E-Mail Production	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1708	Email	5/18/15 10:05 AM	Galbraith, Kevin	Lathen, Jay		Re: Survivor's Option Notes	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1709	Email	5/18/15 10:06 AM	Lathen, Jay	Galbraith, Kevin		Re: Survivor's Option Notes	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1710	Email	5/18/15 10:13 AM	Galbraith, Kevin	Lathen, Jay		Re: Survivor's Option Notes	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1711	Email	5/18/15 10:49 AM	Robinson, Michael D.	Protass, Harlan	Sampoli, Sara	Re: E-Mail Production	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1712	Email	5/18/15 12:34 PM	Lathen, Jay	Galbraith, Kevin		Re: Survivor's Option Notes	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1713	Email	5/18/15 12:41 PM	Galbraith, Kevin	Lathen, Jay		Re: Survivor's Option Notes	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1714	Email	5/18/15 1:30 PM	Protass, Harlan	Robinson, Michael D.	Sampoli, Sara	Question	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1715	Email	5/18/15 2:38 PM	Robinson, Michael D.	Protass, Harlan	Sampoli, Sara	Re: Question	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1716	Email	5/18/15 3:12 PM	Protass, Harlan	Robinson, Michael D.	Sampoli, Sara	Re: Question	Attorney Client Privilege	Communication reflecting legal advice of counsel.		

**In the Matter of Eden Arc Capital Management, LLC (NY-9197)
Privilege Log**

Entry Number	Record Type	Date	From	To	Cc	Email Subject or File Name	Privilege Type	Privilege Description	Redacted	Production Rate
1717	Email with Attachment(s)	5/18/15 3:37 PM	Galbraith, Kevin	Lathen, Jay		PW: Survivor's Option Notes	Attorney Client Privilege	Communication reflecting legal advice of counsel.		5
1718	Email	5/18/15 3:39 PM	Robinson, Michael D.	Protass, Harlan	Sampoli, Sara	Re: Question	Attorney Client Privilege	Communication requesting legal advice of counsel.		5
1719	Email with Attachment(s)	5/18/15 3:44 PM	Protass, Harlan	Robinson, Michael D.	Sampoli, Sara	Re: Question	Attorney Client Privilege	Communication reflecting legal advice of counsel.		5
1720	Email	5/18/15 7:02 AM	Robinson, Michael D.	Protass, Harlan	Sampoli, Sara	Re: Question	Attorney Client Privilege	Communication requesting legal advice of counsel.		5
1721	Email	5/19/15 10:17 AM	Protass, Harlan	Robinson, Michael D.	Sampoli, Sara	Re: Question	Attorney Client Privilege	Communication reflecting legal advice of counsel.		5
1722	Email	5/19/15 11:59 AM	Robinson, Michael D.	Protass, Harlan	Sampoli, Sara	Re: Question	Attorney Client Privilege	Communication requesting legal advice of counsel.		5
1723	Email	5/19/15 11:50 AM	Protass, Harlan	Robinson, Michael D.	Sampoli, Sara	Re: Question	Attorney Client Privilege	Communication reflecting legal advice of counsel.		5
1724	Email	5/19/15 11:47 AM	Robinson, Michael D.	Protass, Harlan	Sampoli, Sara	Re: Question	Attorney Client Privilege	Communication requesting legal advice of counsel.		5
1725	Email	5/19/15 11:41 AM	Protass, Harlan	Robinson, Michael D.	Sampoli, Sara	Re: Question	Attorney Client Privilege	Communication reflecting legal advice of counsel.		5
1726	Email	5/19/15 11:43 AM	Protass, Harlan	Robinson, Michael D.	Sampoli, Sara	Re: Question	Attorney Client Privilege	Communication reflecting legal advice of counsel.		5
1727	Email	5/19/15 1:25 PM	Protass, Harlan	Robinson, Michael D.	Lathen, Jay	Is anything else fully loaded yet?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		5
1728	Email	5/19/15 1:34 PM	Protass, Harlan	Robinson, Michael D.			Attorney Client Privilege	Communication reflecting legal advice of counsel.		5
1729	Email	5/19/15 3:05 PM	Protass, Harlan	Robinson, Michael D.		What is now available for me to give to the SEC?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		5
1730	Email	5/19/15 4:55 PM	Robinson, Michael D.	Protass, Harlan		Re: What is now available for me to give to the SEC?	Attorney Client Privilege	Communication requesting legal advice of counsel.		5
1731	Email	5/19/15 4:57 PM	Robinson, Michael D.	Protass, Harlan		Fwd: ATTENTION: BRETT [Case #00853096] [ref: 000301Fwd: M. 50013o61bMref]	Attorney Client Privilege	Communication requesting legal advice of counsel.		5
1732	Email with Attachment(s)	5/19/15 5:02 PM	Galbraith, Kevin	Lathen, Jay		PW: Survivor's Option Notes	Attorney Client Privilege	Communication reflecting legal advice of counsel.		5
1733	Email	5/19/15 7:48 PM	Robinson, Michael D.	Protass, Harlan	Sampoli, Sara; Lathen, Jay	Re: What is now available for me to give to the SEC?	Attorney Client Privilege	Communication requesting legal advice of counsel.		5
1734	Email	5/19/15 8:18 PM	Protass, Harlan	Lathen, Jay		Aud	Attorney Client Privilege	Communication reflecting legal advice of counsel.		5
1735	Email	5/20/15 6:31 AM	Protass, Harlan	Robinson, Michael D.	Sampoli, Sara; Lathen, Jay	Re: What is now available for me to give to the SEC?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		5
1736	Email	5/20/15 10:27 AM	Robinson, Michael D.	Protass, Harlan	Sampoli, Sara; Lathen, Jay	Re: What is now available for me to give to the SEC?	Attorney Client Privilege	Communication requesting legal advice of counsel.		5
1737	Email	5/20/15 10:27 AM	Robinson, Michael D.	Dean, Dave	Lathen, Jay; Protass, Harlan	Re: Place to place the statements you requested.	Attorney Work Product	Communication containing privileged work product.		5
1738	Email	5/20/15 10:49 AM	Protass, Harlan	Robinson, Michael D.	Dean, Dave; Lathen, Jay	Re: Place to place the statements you requested.	Attorney Client Privilege	Communication reflecting legal advice of counsel.		5
1739	Email	5/20/15 12:04 PM	Protass, Harlan	Robinson, Michael D.	Sampoli, Sara; Lathen, Jay	Re: What is now available for me to give to the SEC?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		5
1740	Email	5/20/15 3:50 PM	Robinson, Michael D.	Protass, Harlan		Re: What is now available for me to give to the SEC?	Attorney Client Privilege	Communication requesting legal advice of counsel.		5
1741	Email	5/20/15 4:15 PM	Lathen, Jay	Protass, Harlan		Dave Jungbauer - SEC testimony on June 15th	Attorney Client Privilege	Communication requesting legal advice of counsel.		5
1742	Email	5/20/15 5:38 PM	Protass, Harlan	Dean, Dave	Robinson, Michael D.; Seyeem, Mohammad; Beck, Rafael	Re: Broker statements requested for Eden Arc.	Attorney Client Privilege	Communication reflecting legal advice of counsel.		5
1743	Email	5/20/15 5:39 PM	Protass, Harlan	Lathen, Jay		Re: Dave Jungbauer - SEC testimony on June 15th	Attorney Client Privilege	Communication reflecting legal advice of counsel.		5
1744	Email	5/20/15 5:40 PM	Lathen, Jay	Protass, Harlan		Re: Dave Jungbauer - SEC testimony on June 15th	Attorney Client Privilege	Communication reflecting legal advice of counsel.		5
1745	Email	5/20/15 5:41 PM	Protass, Harlan	Lathen, Jay		Re: Dave Jungbauer - SEC testimony on June 15th	Attorney Client Privilege	Communication reflecting legal advice of counsel.		5
1746	Email	5/20/15 5:52 PM	Protass, Harlan	Dean, Dave	Seyeem, Mohammad; Beck, Rafael; Robinson, Michael D.	Re: Broker statements requested for Eden Arc.	Attorney Client Privilege	Communication reflecting legal advice of counsel.		5
1747	Email	5/20/15 8:53 PM	Robinson, Michael D.	Protass, Harlan; Sampoli, Sara		Michael Jay and Participants	Attorney Client Privilege	Communication requesting legal advice of counsel.		5
1748	Email	5/21/15 9:20 AM	Protass, Harlan	Lathen, Jay		Voicemail	Attorney Client Privilege	Communication reflecting legal advice of counsel.		5
1749	Email	5/21/15 9:49 AM	Protass, Harlan	Robinson, Michael D.	Sampoli, Sara	Re: Michael Jay and Participants	Attorney Client Privilege	Communication reflecting legal advice of counsel.		5
1750	Email	5/21/15 1:24 PM	Protass, Harlan	Robinson, Michael D.		Harlan Protass wants to share "SEC Eden Arc Email Production" with you	Attorney Client Privilege	Communication reflecting legal advice of counsel.		5
1751	Email	5/21/15 2:20 PM	Galbraith, Kevin	Lathen, Jay		PW: NYSECF Notification: New York - Tort - <PROPOSED ORDER TO SHOW CAUSE> 156378/2014 (PROSPECT CAPITAL CORPORATION - v. - DONALD LATHEN JR. et al)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		5
1752	Email with Attachment(s)	5/21/15 3:19 PM	Galbraith, Kevin	Lathen, Jay		PW: CIT Bank CD Redemption Refusals (3 of 3)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		5
1753	Email with Attachment(s)	5/21/15 5:09 PM	Lathen, Jay	Protass, Harlan		Email exchange we discussed	Attorney Client Privilege	Communication requesting legal advice of counsel.		5
1754	Email	5/21/15 7:12 PM	Protass, Harlan	Lathen, Jay		Re: Email exchange we discussed	Attorney Client Privilege	Communication reflecting legal advice of counsel.		5
1755	Email	5/22/15 12:04 PM	Protass, Harlan	Lathen, Jay		Re: Email exchange we discussed	Attorney Client Privilege	Communication reflecting legal advice of counsel.		5
1756	Email	5/22/15 1:47 PM	Seyeem, Mohammad	Lathen, Jay		RE: Eden arc Broker Statements you requested.	Attorney Work Product	Communication containing privileged work product.		5
1757	Email	5/22/15 1:48 PM	Protass, Harlan	Seyeem, Mohammad	Lathen, Jay	RE: Eden arc Broker Statements you requested.	Attorney Work Product	Communication containing privileged work product.		5
1758	Email	5/22/15 1:50 PM	Seyeem, Mohammad	Lathen, Jay	Protass, Harlan	RE: Eden arc Broker Statements you requested.	Attorney Work Product	Communication containing privileged work product.		5
1759	Email	5/22/15 1:51 PM	Protass, Harlan	Lathen, Jay	Seyeem, Mohammad	RE: Eden arc Broker Statements you requested.	Attorney Work Product	Communication containing privileged work product.		5
1760	Email	5/22/15 1:51 PM	Seyeem, Mohammad	Lathen, Jay		RE: Eden arc Broker Statements you requested.	Attorney Work Product	Communication containing privileged work product.		5
1761	Email	5/22/15 1:51 PM	Hartman, David	Lathen, Jay		Call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		5
1762	Email	5/22/15 1:52 PM	Protass, Harlan	Seyeem, Mohammad	Lathen, Jay	RE: Eden arc Broker Statements you requested.	Attorney Work Product	Communication containing privileged work product.		5
1763	Email	5/26/15 2:55 PM	Protass, Harlan	Robinson, Michael D.	Lathen, Jay; Sampoli, Sara	Email Production	Attorney Client Privilege	Communication reflecting legal advice of counsel.		5
1764	Email	5/26/15 4:23 PM	Protass, Harlan	Lathen, Jay		Testimony Dates	Attorney Client Privilege	Communication reflecting legal advice of counsel.		5
1765	Email	5/26/15 4:35 PM	Lathen, Jay	Lathen, Kathy		PW: Testimony Dates	Attorney Client Privilege	Communication reflecting legal advice of Harlan Protass.		5
1766	Email	5/26/15 4:41 PM	Robinson, Michael D.	Protass, Harlan	Lathen, Jay; Sampoli, Sara	Re: Email Production	Attorney Client Privilege	Communication requesting legal advice of counsel.		5
1767	Email	5/26/15 4:54 PM	Lathen, Jay	Robinson, Michael D.; Protass, Harlan	Sampoli, Sara	Re: Email Production	Attorney Client Privilege	Communication requesting legal advice of counsel.		5
1768	Email	5/26/15 5:04 PM	Lathen, Jay	Lathen, Kathy		RE: Testimony Dates	Attorney Client Privilege	Communication reflecting legal advice of Harlan Protass.		5
1769	Email	5/26/15 5:16 PM	Lathen, Jay	Lathen, Kathy		RE: Testimony Dates	Attorney Client Privilege	Communication reflecting legal advice of Harlan Protass.		5
1770	Email	5/26/15 5:23 PM	Lathen, Jay	Protass, Harlan		RE: Testimony Dates	Attorney Client Privilege	Communication reflecting legal advice of Harlan Protass.		5
1771	Email with Attachment(s)	5/27/15 1:12 PM	Galbraith, Kevin	Lathen, Jay		Re: Survivor's Option Notes	Attorney Client Privilege	Communication reflecting legal advice of counsel.		5
1772	Email	5/27/15 1:42 PM	Galbraith, Kevin	Lathen, Jay		Re: Survivor's Option Notes	Attorney Client Privilege	Communication requesting legal advice of counsel.		5
1773	Email with Attachment(s)	5/27/15 1:43 PM	Galbraith, Kevin	Lathen, Jay		Re: Survivor's Option Notes	Attorney Client Privilege	Communication reflecting legal advice of counsel.		5
1774	Email	5/27/15 4:37 PM	Protass, Harlan	Lathen, Jay		Re: Testimony Dates	Attorney Client Privilege	Communication reflecting legal advice of counsel.		5

In the Matter of Eden Arc Capital Management, LLC (NY-9197)
Privilege Log

Entry Number	Record Type	Date	From	To	Cc	Email Subject or File Name	Privilege Type	Privilege Description	Redacted	Production Bases
1775	Email	5/27/15 5:16 PM	Lathen, Jay	Lathen, Kathy		FW: Testimony Dates	Attorney Client Privilege	Communication reflecting legal advice of Harlan Protass.		
1776	Email with Attachment(s)	5/28/15 12:42 PM	Galbraith, Kevin	Lathen, Jay		Re: Survivor's Option Notes	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1777	Email with Attachment(s)	5/28/15 5:28 PM	Galbraith, Kevin	Lathen, Jay		FW: CIT Bank CD Redemption Refusals (3 of 3)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1778	Email with Attachment(s)	5/28/15 9:27 PM	Kane, Darren	Lathen, Jay		FW: Outstanding Invoices From Mission Critical Services	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1779	Email	5/29/15 12:13 PM	Protass, Harlan	Lathen, Jay		Are we confirmed for Kathy's testimony on July 15?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1780	Email	6/2/15 10:33 AM	Protass, Harlan	Lathen, Jay		Are we confirmed for Kathy's testimony on July 15?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1781	Email	6/2/15 10:36 AM	Lathen, Jay	Lathen, Kathy		FW: Are we confirmed for Kathy's testimony on July 15?	Attorney Client Privilege	Communication reflecting legal advice of Harlan Protass.		
1782	Email	6/2/15 11:18 AM	Lathen, Kathy	Lathen, Jay		Re: Are we confirmed for Kathy's testimony on July 15?	Attorney Client Privilege	Communication reflecting legal advice of Harlan Protass.		
1783	Email	6/2/15 11:22 AM	Lathen, Jay	Protass, Harlan		RE: Are we confirmed for Kathy's testimony on July 15?	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1784	Email	6/2/15 1:31 PM	Protass, Harlan	Lathen, Jay		David Jungbauer	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1785	Email	6/2/15 2:21 PM	Galbraith, Kevin	Lathen, Jay		Status Update (Prospect, CIT and Fee)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1786	Email	6/2/15 3:02 PM	Lathen, Jay	Protass, Harlan	Robinson, Michael D.	RE: David Jungbauer	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1787	Email with Attachment(s)	6/2/15 6:41 PM	Robinson, Michael D.	Lathen, Jay; Protass, Harlan		Re: David Jungbauer	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1788	Email	6/2/15 8:12 PM	Protass, Harlan	Robinson, Michael D.	Lathen, Jay	Re: David Jungbauer	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1789	Email with Attachment(s)	6/3/15 9:57 AM	Robinson, Michael D.	Protass, Harlan	Lathen, Jay	Re: David Jungbauer	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1790	Email	6/3/15 11:18 AM	Protass, Harlan	Robinson, Michael D.	Lathen, Jay	Re: David Jungbauer	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1791	Email	6/3/15 12:15 PM	Lathen, Jay	Protass, Harlan	Robinson, Michael D.	Re: David Jungbauer	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1792	Email	6/3/15 12:36 PM	Protass, Harlan	Lathen, Jay		Re: David Jungbauer	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1793	Email	6/3/15 12:29 PM	Lathen, Jay	Protass, Harlan		Re: David Jungbauer	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1794	Email	6/4/15 11:54 AM	Robinson, Michael D.	Protass, Harlan	Sampoll, Sara; Lathen, Jay	Smash Archive Update	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1795	Email	6/4/15 11:55 AM	Robinson, Michael D.	Protass, Harlan	Sampoll, Sara	Fwd: Smash Import Case 00862225 and 00858349 are Complete [ref: 000301FqM_000130y8tref]	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1796	Email	6/4/15 2:54 PM	Protass, Harlan	Lathen, Jay			Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1797	Email	6/4/15 3:05 PM	Lathen, Jay	Protass, Harlan		Re:	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1798	Email	6/4/15 3:40 PM	Protass, Harlan	Lathen, Jay		Re:	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1799	Email	6/5/15 3:43 PM	Protass, Harlan	Lathen, Jay		Do you have a resume or CV with your work and education background? Or can you just shoot me an email with that info?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1800	Email	6/5/15 4:46 PM	Protass, Harlan	Lathen, Jay		Fwd: Eden Arc Capital estimate	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1801	Email	6/5/15 5:32 PM	Lathen, Jay	Protass, Harlan		Re: Eden Arc Capital estimate	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1802	Email	6/7/15 9:58 AM	Lathen, Jay	Galbraith, Kevin		RE: Status Update (Prospect, CIT and Fee)	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1803	Email	6/7/15 3:45 PM	Protass, Harlan	Lathen, Jay		Re: Eden Arc Capital estimate	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1804	Email	6/7/15 3:47 PM	Galbraith, Kevin	Lathen, Jay		Re: Status Update (Prospect, CIT and Fee)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1805	Email	6/8/15 1:06 PM	Protass, Harlan	Robinson, Michael D.		Re: Smash Archive Update	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1806	Email	6/9/15 6:24 PM	Lathen, Jay	Protass, Harlan		RE: Eden Arc Capital estimate	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1807	Email	6/9/15 6:26 PM	Protass, Harlan	Lathen, Jay		Re: Eden Arc Capital estimate	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1808	Email	6/10/15 2:50 PM	Protass, Harlan	Lathen, Jay		Separate Counsel for Michael	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1809	Email	6/10/15 9:39 PM	Protass, Harlan	Lathen, Jay		Follow-Up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1810	Email	6/10/15 10:12 PM	Protass, Harlan	Lathen, Jay		Question	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1811	Email	6/11/15 7:20 AM	Lathen, Jay	Protass, Harlan		Re: Question	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1812	Email	6/11/15 10:58 AM	Lathen, Jay	Protass, Harlan		RE: Follow-Up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1813	Email	6/11/15 11:20 AM	Protass, Harlan	Lathen, Jay		Re: Follow-Up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1814	Email	6/11/15 11:33 AM	Protass, Harlan	Robinson, Michael D.		Quick Question	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1815	Email	6/11/15 11:50 AM	Robinson, Michael D.	Lathen, Jay		Re: Quick Question	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1816	Email	6/11/15 11:55 AM	Lathen, Jay	Protass, Harlan		Re: Follow-Up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1817	Email	6/11/15 12:36 PM	Protass, Harlan	Lathen, Jay	Robinson, Michael D.	Re: Quick Question	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1818	Email	6/11/15 1:04 PM	Protass, Harlan	Lathen, Jay		Re: Follow-Up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1819	Email with Attachment(s)	6/11/15 2:12 PM	Galbraith, Kevin	Lathen, Jay		Re: Status Update (Prospect, CIT and Fee)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1820	Email with Attachment(s)	6/11/15 4:27 PM	Galbraith, Kevin	Lathen, Jay		Re: Status Update (Prospect, CIT and Fee)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1821	Email with Attachment(s)	6/12/15 12:58 PM	Robinson, Michael D.	Galbraith, Kevin	Lathen, Jay	Notarized Document	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1822	Email	6/12/15 1:10 PM	Lathen, Jay	Protass, Harlan		Fwd: Eden Arc Capital Partners	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1823	Email	6/12/15 1:14 PM	Protass, Harlan	Lathen, Jay		Re: Eden Arc Capital Partners	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1824	Email	6/12/15 1:15 PM	Lathen, Jay	Protass, Harlan		Fwd: Eden Arc Capital Partners	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1825	Email	6/12/15 1:17 PM	Lathen, Jay	Protass, Harlan		Re: Eden Arc Capital Partners	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1826	Email	6/12/15 1:20 PM	Protass, Harlan	Lathen, Jay		Re: Eden Arc Capital Partners	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1827	Email	6/12/15 1:32 PM	Lathen, Jay	Protass, Harlan		Re: Eden Arc Capital Partners	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1828	Email	6/12/15 2:27 PM	Protass, Harlan	Lathen, Jay		Judy	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1829	Email	6/12/15 3:18 PM	Galbraith, Kevin	Robinson, Michael D.	Lathen, Jay	Re: Notarized Document	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1830	Email	6/12/15 3:27 PM	Protass, Harlan	Lathen, Jay; Robinson, Michael D.		Quick Questions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1831	Email	6/12/15 3:28 PM	Protass, Harlan	Lathen, Jay		Re: Eden Arc Capital Partners	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1832	Email with Attachment(s)	6/12/15 3:31 PM	Robinson, Michael D.	Galbraith, Kevin	Lathen, Jay	National Rural & Fed Farm Credit	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1833	Email	6/12/15 3:34 PM	Robinson, Michael D.	Protass, Harlan; Lathen, Jay		Re: Quick Questions	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1834	Email	6/12/15 3:38 PM	Protass, Harlan	Robinson, Michael D.	Lathen, Jay	Re: Quick Questions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1835	Email	6/12/15 3:59 PM	Protass, Harlan	Robinson, Michael D.		Re: Quick Questions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1836	Email with Attachment(s)	6/12/15 4:07 PM	Robinson, Michael D.	Protass, Harlan	Lathen, Jay	Re: Quick Questions	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1837	Email	6/12/15 4:10 PM	Protass, Harlan	Robinson, Michael D.	Lathen, Jay	Re: Quick Questions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		

**In the Matter of Eden Arc Capital Management, LLC (NY-9197)
Privilege Log**

Entry Number	Record Type	Date	From	To	Cc	Email Subject or File Name	Privilege Type	Privilege Description	Redacted	Production Date
1838	Email	6/12/15 4:59 PM	Protass, Harlan	Graco, Adam S.	Weinstock, Judith	In the Matter of Eden Arc Capital Management, LLC (NY-9197)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1839	Email	6/12/15 5:04 PM	Protass, Harlan	Lathen, Jay		Communications with the SEC	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1840	Email	6/12/15 5:56 PM	Lathen, Jay	Protass, Harlan		Re: Communications with the SEC	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1841	Email	6/13/15 2:04 AM	Protass, Harlan	Lathen, Jay		Re: Communications with the SEC	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1842	Email	6/15/15 9:42 AM	Galbraith, Kevin	Lathen, Jay		Voicemail	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1843	Email	6/15/15 1:24 AM	Lathen, Jay	Galbraith, Kevin		Re: Voicemail	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1844	Email	6/15/15 12:02 PM	Galbraith, Kevin	Lathen, Jay		Re: Voicemail	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1845	Attachment(s)	6/15/15 12:38 PM	Galbraith, Kevin	Lathen, Jay		PW: National Rural and Federal Farm Holdings Updates - David Ambrose and SEC Contacts with Investors	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1846	Email	6/15/15 1:16 PM	Protass, Harlan	Lathen, Jay			Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1847	Attachment(s)	6/15/15 3:18 PM	Robinson, Michael D.	Protass, Harlan		This Week	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1848	Email	6/15/15 3:31 PM	Protass, Harlan	Robinson, Michael D.		Re: This Week	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1849	Email	6/15/15 3:42 PM	Protass, Harlan	Lathen, Jay		Have any other investors contacted you to say they'd been contacted by the SEC?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1850	Email	6/15/15 3:52 PM	Lathen, Jay	Protass, Harlan		Re: Have any other investors contacted you to say they'd been contacted by the SEC?	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1851	Email	6/15/15 5:25 PM	Robinson, Michael D.	Protass, Harlan		Re: This Week	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1852	Email	6/16/15 2:34 PM	Galbraith, Kevin	Lathen, Jay		Prospect Update	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1853	Email	6/16/15 3:05 PM	Lathen, Jay	Galbraith, Kevin		Re: Prospect Update	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1854	Email	6/16/15 3:10 PM	Galbraith, Kevin	Lathen, Jay		Re: Prospect Update	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1855	Attachment(s)	6/17/15 1:15 PM	Robinson, Michael D.	Protass, Harlan			Attorney Client Privilege	Communication requesting legal advice of counsel.		
1856	Attachment(s)	6/17/15 5:51 PM	Robinson, Michael D.	Protass, Harlan		Robinson's 2014 Tax Return	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1857	Email	6/18/15 1:53 PM	Lathen, Jay	Protass, Harlan		Pwd: Eden Arc Capital Partners	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1858	Email	6/18/15 3:10 PM	Protass, Harlan	Lathen, Jay		Re: Eden Arc Capital Partners	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1859	Email	6/18/15 3:20 PM	Protass, Harlan	Robinson, Michael D.		Friday	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1860	Email	6/18/15 3:36 PM	Robinson, Michael D.	Protass, Harlan		Re: Friday	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1861	Email	6/18/15 3:19 PM	Protass, Harlan	Robinson, Michael D.		Re: Friday	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1862	Email	6/19/15 10:16 AM	Galbraith, Kevin	Lathen, Jay		CT: Good News	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1863	Attachment(s)	6/19/15 10:52 AM	Galbraith, Kevin	Lathen, Jay		Bill 6-19-15	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1864	Email	6/19/15 10:58 AM	Lathen, Jay	Galbraith, Kevin		Re: CT: Good News	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1865	Email	6/19/15 1:11 PM	Protass, Harlan	Robinson, Michael D.		Re: CT: Good News	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1866	Email	6/19/15 9:12 PM	Protass, Harlan	Lathen, Jay		Report on Testimony	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1867	Email	6/20/15 1:10 PM	Lathen, Jay	Protass, Harlan		Re: Report on Testimony	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1868	Email	6/21/15 6:51 AM	Protass, Harlan	Lathen, Jay		Re: Report on Testimony	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1869	Attachment(s)	6/21/15 3:30 PM	Galbraith, Kevin	Lathen, Jay		Prospect: Opposition to Motion to Dismiss	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1870	Attachment(s)	6/21/15 3:32 PM	Galbraith, Kevin	Lathen, Jay	Robinson, Michael D.	PW: CIT Bank CD Redemption Refusals (3 of 3)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1871	Email	6/22/15 8:53 PM	Robinson, Michael D.	Galbraith, Kevin		Re: CIT Bank CD Redemption Refusals	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1872	Email	6/23/15 1:43 PM	Galbraith, Kevin	Lathen, Jay	Robinson, Michael D.	PW: National Rural and Federal Farm Holdings	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1873	Email	6/25/15 11:24 AM	Protass, Harlan	Lathen, Jay; Robinson, Michael D.	Knauser, Jorja	Preparing to Prepare Kathy for Testimony	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1874	Email	6/25/15 7:58 AM	Robinson, Michael D.	Protass, Harlan		Discussing SEC Testimony	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1875	Email	6/25/15 8:02 AM	Protass, Harlan	Robinson, Michael D.		Re: Discussing SEC Testimony	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1876	Email	6/29/15 6:56 AM	Robinson, Michael D.	Protass, Harlan		Re: Discussing SEC Testimony	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1877	Attachment(s)	6/29/15 11:35 AM	Galbraith, Kevin	Lathen, Jay		Call today	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1878	Email	6/29/15 11:36 AM	Lathen, Jay	Galbraith, Kevin		RE: Call today	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1879	Attachment(s)	6/29/15 11:51 AM	Galbraith, Kevin	Lathen, Jay		Re: Call today	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1880	Email	6/30/15 1:36 PM	Protass, Harlan	Lathen, Jay		Are you available to talk at 2:00 or 2:30?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1881	Email	6/30/15 1:37 PM	Lathen, Jay	Protass, Harlan		RE: Are you available to talk at 2:00 or 2:30?	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1882	Email	6/30/15 1:38 PM	Protass, Harlan	Lathen, Jay		Re: Are you available to talk at 2:00 or 2:30?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1883	Email	7/1/15 12:24 PM	Protass, Harlan	Lathen, Jay; Robinson, Michael D.	Knauser, Jorja	Re: Preparing to Prepare Kathy for Testimony	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1884	Email	7/1/15 1:23 PM	Lathen, Jay	Protass, Harlan; Robinson, Michael D.		RE: Preparing to Prepare Kathy for Testimony	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1885	Email	7/1/15 3:23 PM	Galbraith, Kevin	Lathen, Jay		Automatic reply: National Rural and Federal Farm Holdings	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1886	Email	7/1/15 3:23 PM	Protass, Harlan	Lathen, Jay	Robinson, Michael D.; Knauser, Jorja	Re: Preparing to Prepare Kathy for Testimony	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1887	Email	7/2/15 3:34 PM	Protass, Harlan	Lathen, Jay		Pwd: Telephone Message	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1888	Email	7/2/15 3:58 PM	Lathen, Jay	Protass, Harlan		Re: Telephone Message	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1889	Email	7/2/15 8:30 PM	Protass, Harlan	Lathen, Jay		Re: Telephone Message	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1890	Email	7/2/15 10:01 PM	Lathen, Jay	Protass, Harlan		Re: Telephone Message	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1891	Attachment(s)	7/6/15 11:52 AM	Galbraith, Kevin	Lathen, Jay		Re: National Rural and Federal Farm Holdings	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1892	Email	7/6/15 6:06 PM	Lathen, Jay	Galbraith, Kevin		RE: National Rural and Federal Farm Holdings	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1893	Attachment(s)	7/6/15 6:11 PM	Galbraith, Kevin	Lathen, Jay		Re: National Rural and Federal Farm Holdings	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1894	Email	7/7/15 1:35 PM	Galbraith, Kevin	Lathen, Jay		Prospect Docket & CIT Updates	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1895	Attachment(s)	7/8/15 1:47 PM	Galbraith, Kevin	Lathen, Jay		Re: Prospect Docket & CIT Updates	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1896	Email	7/8/15 2:22 PM	Lathen, Jay	Galbraith, Kevin		Re: Prospect Docket & CIT Updates	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1897	Email	7/8/15 2:34 PM	Galbraith, Kevin	Lathen, Jay		Re: Prospect Docket & CIT Updates	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1898	Attachment(s)	7/10/15 3:48 PM	Galbraith, Kevin	Lathen, Jay		Reply Brief & Affidavit	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1899	Email	7/10/15 3:59 PM	Kane, Darren	Lathen, Jay	Kane, Darren	VeriFirst Introduction	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1900	Attachment(s)	7/11/15 12:47 PM	Galbraith, Kevin	Lathen, Jay		Re: Reply Brief & Affidavit	Attorney Client Privilege	Communication reflecting legal advice of counsel.		

**In the Matter of Eden Arc Capital Management, LLC (NY-9197)
Privilege Log**

Entry Number	Record Type	Date	From	To	Cc	Email Subject or File Name	Privilege Type	Privilege Description	Redacted	Production Rules
1901	Email with Attachment(s)	7/13/15 4:07 PM	Galbraith, Kevin	Lathen, Jay		FW: CIT Bank CD Redemption Refusals (3 of 3)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1902	Email	7/13/15 4:26 PM	Lathen, Jay	Galbraith, Kevin		RE: CIT Bank CD Redemption Refusals (3 of 3)	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1903	Email with Attachment(s)	7/13/15 4:29 PM	Galbraith, Kevin	Lathen, Jay		Re: CIT Bank CD Redemption Refusals (3 of 3)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1904	Email	7/13/15 5:23 PM	Lathen, Kathy	Lathen, Jay		Re: Tomorrow prep session with Harlan	Attorney Work Product	Communication containing privileged work product.		
1905	Email with Attachment(s)	7/13/15 5:31 PM	Galbraith, Kevin	Lathen, Jay		Re: CIT Bank CD Redemption Refusals (3 of 3)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1906	Email with Attachment(s)	7/14/15 10:40 AM	Galbraith, Kevin	Lathen, Jay		FW: CIT Bank CD Redemption Refusals (3 of 3)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1907	Email with Attachment(s)	7/14/15 10:41 AM	Galbraith, Kevin	Lathen, Jay		Re: CIT Bank CD Redemption Refusals (3 of 3)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1908	Email with Attachment(s)	7/14/15 10:46 AM	Galbraith, Kevin	Lathen, Jay		Re: Reply Brief & Affidavit	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1909	Email	7/14/15 10:55 AM	Lathen, Jay	Galbraith, Kevin		Re: Reply Brief & Affidavit	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1910	Email	7/14/15 11:23 AM	Galbraith, Kevin	Robinson, Michael D.	Lathen, Jay	DTC Interface with CIT	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1911	Email	7/14/15 11:47 AM	Robinson, Michael D.	Galbraith, Kevin		Re: DTC Interface with CIT	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1912	Email	7/14/15 11:49 AM	Galbraith, Kevin	Robinson, Michael D.		Re: DTC Interface with CIT	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1913	Email with Attachment(s)	7/14/15 2:49 PM	Galbraith, Kevin	Robinson, Michael D.		Re: DTC Interface with CIT	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1914	Email	7/14/15 4:08 PM	Robinson, Michael D.	Galbraith, Kevin		Re: DTC Interface with CIT	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1915	Email with Attachment(s)	7/15/15 9:50 AM	Galbraith, Kevin	Robinson, Michael D.		Re: DTC Interface with CIT	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1916	Email	7/15/15 11:09 AM	Robinson, Michael D.	Galbraith, Kevin	Lathen, Jay	Fwd: FW: Returned Death Redemption Request(s)/Request for Additional Documentation	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1917	Email	7/15/15 11:34 AM	Galbraith, Kevin	Lathen, Jay		Re: Reply Brief & Affidavit	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1918	Email	7/15/15 11:42 AM	Lathen, Jay	Galbraith, Kevin		RE: Reply Brief & Affidavit	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1919	Email with Attachment(s)	7/15/15 11:43 AM	Galbraith, Kevin	Lathen, Jay		Re: Reply Brief & Affidavit	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1920	Email with Attachment(s)	7/15/15 11:57 AM	Galbraith, Kevin	Lathen, Jay		Re: Reply Brief & Affidavit	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1921	Email with Attachment(s)	7/15/15 12:02 PM	Galbraith, Kevin	Robinson, Michael D.		FW: Returned Death Redemption Request(s)/Request for Additional Documentation	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1922	Email with Attachment(s)	7/15/15 3:25 PM	Galbraith, Kevin	Robinson, Michael D.		FW: CIT Bank CD Redemption Refusals (3 of 3)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1923	Email	7/15/15 4:25 PM	Robinson, Michael D.	Galbraith, Kevin		Fwd: Fwd: FW: Returned Death Redemption Request(s)/Request for Additional Documentation	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1924	Email	7/15/15 4:31 PM	Galbraith, Kevin	Robinson, Michael D.		Re: Returned Death Redemption Request(s)/Request for Additional Documentation	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1925	Email with Attachment(s)	7/15/15 7:29 PM	Lathen, Jay	Galbraith, Kevin		RE: Reply Brief & Affidavit	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1926	Email	7/15/15 7:49 PM	Lathen, Jay	Galbraith, Kevin		RE: Reply Brief & Affidavit	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1927	Email with Attachment(s)	7/15/15 8:11 PM	Lathen, Jay	Galbraith, Kevin		RE: Reply Brief & Affidavit	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1928	Email with Attachment(s)	7/16/15 9:22 AM	Galbraith, Kevin	Lathen, Jay		Re: Reply Brief & Affidavit	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1929	Email with Attachment(s)	7/16/15 10:48 AM	Galbraith, Kevin	Lathen, Jay		Re: Reply Brief & Affidavit	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1930	Email with Attachment(s)	7/16/15 11:16 AM	Robinson, Michael D.	Galbraith, Kevin	Lathen, Jay	Notarized Page	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1931	Email with Attachment(s)	7/16/15 1:08 PM	Lathen, Jay	Galbraith, Kevin		RE: Reply Brief & Affidavit	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1932	Email	7/16/15 2:32 PM	Galbraith, Kevin	Robinson, Michael D.		Re: Notarized Page	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1933	Email with Attachment(s)	7/16/15 3:11 PM	Galbraith, Kevin	Lathen, Jay		Reply Brief and Affidavit in Support of Motion to Dismiss	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1934	Email with Attachment(s)	7/16/15 3:13 PM	Galbraith, Kevin	Lathen, Jay		Legal Fees	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1935	Email	7/16/15 5:18 PM	Lathen, Jay	Galbraith, Kevin		RE: Reply Brief and Affidavit in Support of Motion to Dismiss	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1936	Email with Attachment(s)	7/16/15 5:55 PM	Galbraith, Kevin	Lathen, Jay		Re: Reply Brief and Affidavit in Support of Motion to Dismiss	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1937	Email with Attachment(s)	7/17/15 11:41 AM	Protass, Harlan	Lathen, Jay		Draft White Paper	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1938	Email	7/17/15 12:20 PM	Lathen, Kathy	Lathen, Jay		Fwd: Would August 5 or 6 for testimony work for you?	Attorney Client Privilege/Work Product	Communication reflecting legal advice of Harlan Protass.		
1939	Email with Attachment(s)	7/17/15 7:28 PM	Lathen, Jay	Protass, Harlan		RE: Draft White Paper	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1940	Email	7/18/15 9:38 AM	Protass, Harlan	Lathen, Jay		Fwd: Docket Update: United States Securities and Exchange Commission v. Staples et al	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1941	Email	7/20/15 11:50 AM	Protass, Harlan	Lathen, Jay	Gosnell, Wayne	Prep Session	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1942	Email	7/20/15 11:55 AM	Lathen, Jay	Protass, Harlan	Gosnell, Wayne	Re: Prep Session	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1943	Email	7/20/15 12:00 PM	Protass, Harlan	Lathen, Jay	Gosnell, Wayne	Re: Prep Session	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1944	Email	7/20/15 12:32 PM	Lathen, Jay	Protass, Harlan	Gosnell, Wayne	Re: Prep Session	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1945	Email	7/20/15 12:35 PM	Protass, Harlan	Lathen, Jay		Re: Prep Session	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1946	Email with Attachment(s)	7/20/15 4:55 PM	Protass, Harlan	Lathen, Jay	Gosnell, Wayne	Revised Draft White Paper	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1947	Email with Attachment(s)	7/20/15 6:45 PM	Lathen, Jay	Protass, Harlan	Gosnell, Wayne	RE: Revised Draft White Paper	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1948	Email	7/21/15 11:54 AM	Protass, Harlan	Lathen, Jay		Re: Revised Draft White Paper	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1949	Email	7/21/15 11:54 AM	Lathen, Jay	Protass, Harlan		RE: Revised Draft White Paper	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1950	Email with Attachment(s)	7/21/15 3:59 PM	Robinson, Michael D.	Protass, Harlan	Lathen, Jay	EACP 2014 Audited Financials	Attorney Client Privilege	Communication requesting legal advice of counsel.		

**In the Matter of Eden Arc Capital Management, LLC (NY-9197)
Privilege Log**

Entry Number	Record Type	Date	From	To	CC	Email Subject or File Name	Privilege Type	Privilege Description	Redacted	Production Status
1951	Email	7/21/15 7:04 PM	Protass, Harlan	Lathen, Jay		Forgot to mention; no french cuffs, no fancy watches	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1952	Email	7/21/15 7:08 PM	Lathen, Jay	Protass, Harlan		RE: Forgot to mention; no french cuffs, no fancy watches	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1953	Email	7/21/15 8:12 PM	Protass, Harlan	Lathen, Jay		Re: Forgot to mention; no french cuffs, no fancy watches	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1954	Email	7/22/15 1:49 PM	Galbraith, Kevin	Lathen, Jay		Status Updates: Prospect and U.S. Bank	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1955	Email	7/22/15 3:12 PM	Gosnell, Wayne	Robinson, Michael D.	Protass, Harlan; Knauer, Jorja	Document Production Request	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1956	Email	7/22/15 3:50 PM	Robinson, Michael D.	Gosnell, Wayne	Protass, Harlan; Knauer, Jorja	Re: Document Production Request	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1957	Email	7/22/15 3:56 PM	Protass, Harlan	Robinson, Michael D.	Gosnell, Wayne; Knauer, Jorja	Re: Document Production Request	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1958	Email	7/22/15 5:29 PM	Robinson, Michael D.	Protass, Harlan	Gosnell, Wayne; Knauer, Jorja	Re: Document Production Request	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1959	Email	7/22/15 5:40 PM	Protass, Harlan	Knauer, Jorja	Robinson, Michael D.; Gosnell, Wayne	Re: Document Production Request	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1960	Email with Attachment(s)	7/22/15 6:04 PM	Robinson, Michael D.	Protass, Harlan; Knauer, Jorja	Gosnell, Wayne	Re: Document Production Request	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1961	Email	7/22/15 6:05 PM	Robinson, Michael D.	Protass, Harlan		Katten e-mails	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1962	Email with Attachment(s)	7/22/15 9:10 PM	Protass, Harlan	Lathen, Jay		Fwd: Re:	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1963	Email with Attachment(s)	7/22/15 9:11 PM	Protass, Harlan	Lathen, Jay		Fwd: Re:	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1964	Email	7/23/15 11:50 AM	Protass, Harlan	Robinson, Michael D.		Please copy me on anything you send to Jay	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1965	Email	7/23/15 11:55 AM	Robinson, Michael D.	Protass, Harlan		Re: Please copy me on anything you send to Jay	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1966	Email	7/23/15 11:58 AM	Robinson, Michael D.	Protass, Harlan		Fwd: Re: Law firm	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1967	Email with Attachment(s)	7/23/15 11:57 AM	Robinson, Michael D.	Protass, Harlan		Fwd: Re: EndCare brochure	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1968	Email	7/23/15 1:55 PM	Protass, Harlan	Robinson, Michael D.	Lathen, Jay; Gosnell, Wayne		Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1969	Email	7/23/15 2:05 PM	Robinson, Michael D.	Protass, Harlan		Re:	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1970	Email with Attachment(s)	7/23/15 2:37 PM	Robinson, Michael D.	Protass, Harlan	Lathen, Jay; Gosnell, Wayne		Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1971	Email	7/23/15 2:45 PM	Protass, Harlan	Robinson, Michael D.	Lathen, Jay; Gosnell, Wayne		Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1972	Email	7/23/15 2:51 PM	Robinson, Michael D.	Protass, Harlan	Lathen, Jay; Gosnell, Wayne		Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1973	Email	7/23/15 2:54 PM	Robinson, Michael D.	Protass, Harlan	Lathen, Jay; Gosnell, Wayne		Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1974	Email	7/23/15 3:06 PM	Protass, Harlan	Robinson, Michael D.	Lathen, Jay; Gosnell, Wayne		Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1975	Email	7/23/15 4:27 PM	Robinson, Michael D.	Protass, Harlan	Lathen, Jay; Gosnell, Wayne		Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1976	Email	7/24/15 9:55 AM	Protass, Harlan	Lathen, Jay	Gosnell, Wayne	Transcript	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1977	Email	7/24/15 10:01 AM	Protass, Harlan	Lathen, Jay	Ortiz, Clara	Outstanding Balance	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1978	Email	7/24/15 12:20 PM	Lathen, Jay	Protass, Harlan	Gosnell, Wayne	RE: Transcript	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1979	Email	7/24/15 1:30 PM	Lathen, Jay	Protass, Harlan	Ortiz, Clara	RE: Outstanding Balance	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1980	Email	7/24/15 1:31 PM	Protass, Harlan	Lathen, Jay	Ortiz, Clara	Re: Outstanding Balance	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1981	Email	7/24/15 1:34 PM	Lathen, Jay	Protass, Harlan	Ortiz, Clara	RE: Outstanding Balance	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1982	Email	7/24/15 1:37 PM	Protass, Harlan	Lathen, Jay	Ortiz, Clara	Re: Outstanding Balance	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1983	Email	7/27/15 9:37 AM	Protass, Harlan	Robinson, Michael D.		PPM	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1984	Email	7/27/15 10:19 AM	Robinson, Michael D.	Protass, Harlan		Michael Robinson has shared a file with you using Dropbox	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1985	Email with Attachment(s)	7/27/15 10:21 AM	Robinson, Michael D.	Protass, Harlan		PPM 2011	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1986	Email	7/27/15 10:22 AM	Robinson, Michael D.	Protass, Harlan		Re: PPM	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1987	Email	7/27/15 10:25 AM	Robinson, Michael D.	Protass, Harlan		Files	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1988	Email	7/27/15 11:01 AM	Protass, Harlan	Robinson, Michael D.		Next Question - When you get back to the office	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1989	Email	7/27/15 11:18 AM	Protass, Harlan	Robinson, Michael D.		Re: Files	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1990	Email	7/27/15 11:49 AM	Robinson, Michael D.	Protass, Harlan		Re: Next Question - When you get back to the office	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1991	Email	7/27/15 11:52 AM	Protass, Harlan	Robinson, Michael D.		Re: Next Question - When you get back to the office	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1992	Email	7/27/15 2:48 PM	Robinson, Michael D.	Protass, Harlan		Re: Next Question - When you get back to the office	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1993	Email	7/27/15 2:59 PM	Protass, Harlan	Robinson, Michael D.		Re: Next Question - When you get back to the office	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1994	Email	7/27/15 3:08 PM	Robinson, Michael D.	Protass, Harlan		Re: Next Question - When you get back to the office	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1995	Email	7/27/15 3:16 PM	Protass, Harlan	Robinson, Michael D.		Re: Next Question - When you get back to the office	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1996	Email with Attachment(s)	7/27/15 4:54 PM	Robinson, Michael D.	Protass, Harlan		Re: Next Question - When you get back to the office	Attorney Client Privilege	Communication requesting legal advice of counsel.		
1997	Email	7/28/15 9:43 AM	Protass, Harlan	Robinson, Michael D.		Re: Next Question - When you get back to the office	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1998	Email	7/28/15 9:52 AM	Galbraith, Kevin	Lathen, Jay		Fwd: Federal Farm Applications Update	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
1999	Email	7/28/15 11:01 AM	Lathen, Jay	Galbraith, Kevin		Re: Federal Farm Applications Update	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2000	Email	7/28/15 1:40 PM	Robinson, Michael D.	Protass, Harlan		Re: Next Question - When you get back to the office	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2001	Email	7/28/15 2:07 PM	Protass, Harlan	Robinson, Michael D.		Re: Next Question - When you get back to the office	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2002	Email	7/28/15 3:57 PM	Robinson, Michael D.	Protass, Harlan		Re: Next Question - When you get back to the office	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2003	Email	7/28/15 6:49 PM	Protass, Harlan	Robinson, Michael D.		Re: Next Question - When you get back to the office	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2004	Email	7/28/15 9:07 PM	Robinson, Michael D.	Protass, Harlan		Re: Next Question - When you get back to the office	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2005	Email	7/29/15 10:05 AM	Galbraith, Kevin	Lathen, Jay		Re: Federal Farm Applications Update	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2006	Email	7/29/15 1:40 PM	Protass, Harlan	Robinson, Michael D.		Tax Returns	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2007	Email	7/29/15 2:07 PM	Robinson, Michael D.	Protass, Harlan		Re: Tax Returns	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2008	Email	7/29/15 2:50 PM	Protass, Harlan	Lathen, Jay		Tax Returns	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2009	Email	7/29/15 3:04 PM	Lathen, Jay	Protass, Harlan		Re: Tax Returns	Attorney Client Privilege	Communication requesting legal advice of counsel.		

**In the Matter of Eden Arc Capital Management, LLC (NY-9197)
Privilege Log**

Entry Number	Record Type	Date	From	To	Cc	Email Subject or File Name	Privilege Type	Privilege Description	Redacted	Production Basis
2010	Email	7/20/15 1:29 PM	Robinson, Michael D.	Protass, Harlan	Lathen, Jay	Brochure Research and Emails	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2011	Email	7/20/15 1:33 PM	Lathen, Jay	Robinson, Michael D.	Protass, Harlan	Re: Brochure Research and Emails	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2012	Email	7/20/15 2:20 PM	Robinson, Michael D.	Lathen, Jay	Protass, Harlan	Re: Brochure Research and Emails	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2013	Email	7/20/15 2:28 PM	Robinson, Michael D.	Lathen, Jay	Protass, Harlan	Re: Brochure Research and Emails	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2014	Email	7/20/15 4:28 PM	Protass, Harlan	Robinson, Michael D.	Lathen, Jay	Re: Brochure Research and Emails	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2015	Email	7/20/15 4:56 PM	Robinson, Michael D.	Protass, Harlan	Lathen, Jay	Re: Brochure Research and Emails	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2016	Email	7/31/15 10:23 AM	Galbraith, Kevin	Lathen, Jay		Re: Federal Farm Applications Update	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2017	Email	7/31/15 10:27 AM	Protass, Harlan	Lathen, Jay		Transcript of Your Testimony	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2018	Email	7/31/15 10:20 AM	Lathen, Jay	Galbraith, Kevin		Re: Federal Farm Applications Update	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2019	Email	7/31/15 10:53 AM	Lathen, Jay	Protass, Harlan		Re: Transcript of Your Testimony	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2020	Email	7/31/15 12:29 PM	Galbraith, Kevin	Lathen, Jay		Re: Federal Farm Applications Update	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2021	Email	7/31/15 1:12 PM	Lathen, Jay	Galbraith, Kevin		Re: Federal Farm Applications Update	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2022	Email	7/31/15 2:59 PM	Protass, Harlan	Lathen, Jay		Wednesday	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2023	Email	7/31/15 4:29 PM	Lathen, Jay	Protass, Harlan		Re: Wednesday	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2024	Email	8/1/15 9:55 AM	Galbraith, Kevin	Lathen, Jay		Re: Federal Farm Applications Update	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2025	Email	8/3/15 9:49 AM	Protass, Harlan	Lathen, Jay		Re: Wednesday	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2026	Email	8/3/15 12:44 PM	Robinson, Michael D.	Lathen, Jay	Galbraith, Kevin	Fwd: RE: CD Redemption Rejections	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2027	Email	8/3/15 12:59 PM	Lathen, Jay	Protass, Harlan		Re: Wednesday	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2028	Email	8/3/15 1:16 PM	Robinson, Michael D.	Galbraith, Kevin		CIT Puts	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2029	Email with Attachment(s)	8/3/15 1:20 PM	Robinson, Michael D.	Galbraith, Kevin	Robinson, Michael D.	Re: CIT Puts	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2030	Attachment(s)	8/3/15 1:22 PM	Robinson, Michael D.	Galbraith, Kevin		Fwd: CD Redemption Rejections	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2031	Email	8/3/15 1:23 PM	Robinson, Michael D.	Galbraith, Kevin		Re: CIT Puts	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2032	Email	8/3/15 3:34 PM	Galbraith, Kevin	Lathen, Jay		Status Update	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2033	Email	8/3/15 3:34 PM	Lathen, Jay	Galbraith, Kevin		RE: Status Update	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2034	Email	8/3/15 4:22 PM	Protass, Harlan	Lathen, Jay		Re: Wednesday	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2035	Email	8/3/15 4:51 PM	Lathen, Jay	Protass, Harlan		RE: Wednesday	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2036	Email with Attachment(s)	8/3/15 4:53 PM	Protass, Harlan	Lathen, Jay	Gosnell, Wayne	EndCare Brochures	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2037	Email with Attachment(s)	8/3/15 5:05 PM	Protass, Harlan	Lathen, Jay		Question from Judy Weinstock	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2038	Attachment(s)	8/3/15 5:17 PM	Protass, Harlan	Lathen, Jay		Fwd: Question from Judy Weinstock	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2039	Email	8/3/15 5:34 PM	Protass, Harlan	Lathen, Jay		Re: Wednesday	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2040	Email	8/3/15 5:34 PM	Protass, Harlan	Lathen, Jay		Re: Did you get the transcript I dropped at your apt last Friday?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2041	Email	8/3/15 6:55 PM	Lathen, Jay	Protass, Harlan		Re: Did you get the transcript I dropped at your apt last Friday?	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2042	Email with Attachment(s)	8/3/15 7:42 PM	Lathen, Jay	Protass, Harlan		RE: Question from Judy Weinstock	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2043	Email	8/3/15 8:25 PM	Lathen, Jay	Protass, Harlan		RE: Question from Judy Weinstock	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2044	Email with Attachment(s)	8/4/15 12:10 PM	Galbraith, Kevin	Lathen, Jay		Re: Status Update	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2045	Attachment(s)	8/4/15 12:10 PM	Galbraith, Kevin	Lathen, Jay		Re: Status Update	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2046	Email	8/5/15 12:27 PM	Lathen, Jay	Protass, Harlan		Re: Wednesday	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2047	Email	8/5/15 12:33 PM	Protass, Harlan	Lathen, Jay; Gosnell, Wayne		Re: Wednesday	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2048	Email	8/5/15 12:36 PM	Lathen, Jay	Protass, Harlan; Gosnell, Wayne		Re: Wednesday	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2049	Email	8/6/15 8:49 AM	Protass, Harlan	Robinson, Michael D.	Gosnell, Wayne	Email Question	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2050	Email	8/6/15 8:55 AM	Robinson, Michael D.	Protass, Harlan	Gosnell, Wayne	Re: Email Question	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2051	Email	8/6/15 8:56 AM	Robinson, Michael D.	Protass, Harlan	Gosnell, Wayne	Re: Email Question	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2052	Email	8/6/15 8:59 AM	Protass, Harlan	Robinson, Michael D.	Gosnell, Wayne	Re: Email Question	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2053	Email	8/6/15 3:30 PM	Galbraith, Kevin	Lathen, Jay		SEC Testimony	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2054	Email	8/6/15 6:39 PM	Robinson, Michael D.	Lathen, Jay		Fwd: FIV: death put for GE and Synchrony Bank	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2055	Email	8/6/15 8:34 PM	Lathen, Jay	Robinson, Michael D.	Galbraith, Kevin	Re: death put for GE and Synchrony Bank	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2056	Email	8/6/15 9:38 PM	Robinson, Michael D.	Lathen, Jay	Galbraith, Kevin	Re: death put for GE and Synchrony Bank	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2057	Email	8/7/15 12:54 PM	Galbraith, Kevin	Robinson, Michael D.; Lathen, Jay	Galbraith, Kevin	Re: death put for GE and Synchrony Bank	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2058	Email	8/7/15 4:48 PM	Protass, Harlan	Lathen, Jay; Robinson, Michael D.	Gosnell, Wayne	Email Issue	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2059	Email	8/9/15 4:59 PM	Kane, Darren	Lathen, Jay	Hartman, David	Your Availability	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2060	Email	8/11/15 11:46 AM	Robinson, Michael D.	Protass, Harlan; Lathen, Jay	Gosnell, Wayne	Re: Email Issue	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2061	Email	8/11/15 2:18 PM	Robinson, Michael D.	Protass, Harlan; Lathen, Jay	Gosnell, Wayne	Re: Email Issue	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2062	Email with Attachment(s)	8/11/15 2:55 PM	Protass, Harlan	Robinson, Michael D.	Lathen, Jay; Gosnell, Wayne	Re: Email Issue	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2063	Email	8/11/15 3:23 PM	Robinson, Michael D.	Protass, Harlan	Lathen, Jay; Gosnell, Wayne	Re: Email Issue	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2064	Attachment(s)	8/11/15 4:41 PM	Robinson, Michael D.	Protass, Harlan	Lathen, Jay; Gosnell, Wayne	Re: Email Issue	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2065	Email	8/11/15 5:41 PM	Robinson, Michael D.	Protass, Harlan	Lathen, Jay; Gosnell, Wayne	Fwd: Smash Import Case 00896367 ref. 000301FwdH_50013pckWzref	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2066	Email	8/12/15 10:35 AM	Protass, Harlan	Robinson, Michael D.	Lathen, Jay; Gosnell, Wayne	Re: Email Issue	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2067	Email	8/12/15 11:26 AM	Protass, Harlan	Robinson, Michael D.	Lathen, Jay; Gosnell, Wayne	Re: Smash Import Case 00896367 ref. 000301FwdH_50013pckWzref	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2068	Email with Attachment(s)	8/12/15 11:29 AM	Robinson, Michael D.	Protass, Harlan	Lathen, Jay; Gosnell, Wayne	Re: Email Issue	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2069	Email	8/12/15 12:22 PM	Robinson, Michael D.	Protass, Harlan	Lathen, Jay	Analysis Question	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2070	Email	8/12/15 1:49 PM	Galbraith, Kevin	Lathen, Jay		DRAFT Email to Federal Farm Credit Counsel	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2071	Email	8/12/15 2:19 PM	Galbraith, Kevin	Robinson, Michael D.		Gulfs Material	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2072	Email	8/12/15 3:18 PM	Lathen, Jay	Galbraith, Kevin		RE: DRAFT Email to Federal Farm Credit Counsel	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2073	Email	8/12/15 3:21 PM	Galbraith, Kevin	Lathen, Jay	Robinson, Michael D.; Galbraith, Kevin	Re: DRAFT Email to Federal Farm Credit Counsel	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2074	Email with Attachment(s)	8/12/15 3:25 PM	Lathen, Jay	Galbraith, Kevin	Robinson, Michael D.	RE: DRAFT Email to Federal Farm Credit Counsel	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2075	Attachment(s)	8/12/15 3:52 PM	Galbraith, Kevin	Lathen, Jay		Fw: Lathen Survivor's Option Redemptions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		

**In the Matter of Eden Arc Capital Management, LLC (NY-9197)
Privilege Log**

Entry Number	Record Type	Date	From	To	Cc	Email Subject or File Name	Privilege Type	Privilege Description	Redacted	Production Status
2076	Email	8/12/15 4:04 PM	Protass, Harlan	Robinson, Michael D.		Re: Analyst Question	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2077	Email	8/12/15 10:35 PM	Robinson, Michael D.	Protass, Harlan	Lathen, Jay; Gosnell, Wayne	Re: Email Issue	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2078	Email	8/13/15 6:37 AM	Protass, Harlan	Robinson, Michael D.	Lathen, Jay; Gosnell, Wayne	Re: Email Issue	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2079	Email with Attachment(s)	8/13/15 3:25 PM	Galbraith, Kevin	Lathen, Jay		Draft letter to Mucida	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2080	Email	8/13/15 4:10 PM	Lathen, Jay	Galbraith, Kevin		Re: Draft letter to Mucida	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2081	Email	8/13/15 4:11 PM	Galbraith, Kevin	Lathen, Jay		Re: Draft letter to Mucida	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2082	Email	8/13/15 4:30 PM	Protass, Harlan	Robinson, Michael D.	Lathen, Jay; Gosnell, Wayne	Re: Email Issue	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2083	Email	8/13/15 5:21 PM	Robinson, Michael D.	Protass, Harlan	Lathen, Jay; Gosnell, Wayne	Re: Email Issue	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2084	Email	8/13/15 5:42 PM	Robinson, Michael D.	Protass, Harlan	Lathen, Jay; Gosnell, Wayne	Re: Email Issue	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2085	Email	8/14/15 7:02 AM	Protass, Harlan	Lathen, Jay; Lathen, Kathy		Re: Email Issue	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2086	Email	8/15/15 11:49 AM	Robinson, Michael D.	Protass, Harlan	Gosnell, Wayne; Lathen, Jay	E-mail Coynt	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2087	Email	8/18/15 3:57 PM	Galbraith, Kevin	Lathen, Jay		CTI Update	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2088	Email	8/18/15 3:59 PM	Lathen, Jay	Galbraith, Kevin	Robinson, Michael D.	RE: CTI Update	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2089	Email with Attachment(s)	8/18/15 8:07 PM	Protass, Harlan	Lathen, Jay; Robinson, Michael D.		Draft Letter to the SEC	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2090	Email	8/18/15 8:30 PM	Robinson, Michael D.	Protass, Harlan	Lathen, Jay	Re: Draft Letter to the SEC	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2091	Email with Attachment(s)	8/19/15 11:42 AM	Lathen, Jay	Protass, Harlan; Robinson, Michael D.		RE: Draft Letter to the SEC	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2092	Email	8/19/15 11:50 AM	Protass, Harlan	Lathen, Jay	Robinson, Michael D.	Re: Draft Letter to the SEC	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2093	Email	8/19/15 12:12 PM	Lathen, Jay	Protass, Harlan	Robinson, Michael D.	RE: Draft Letter to the SEC	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2094	Email with Attachment(s)	8/19/15 3:48 PM	Protass, Harlan	Lathen, Jay		Fwd: Telephone Message	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2095	Email	8/19/15 4:03 PM	Protass, Harlan	Lathen, Jay		Fwd: NACDL White Collar : Securities Fraud / Survivor option	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2096	Email	8/19/15 4:20 PM	Lathen, Jay	Protass, Harlan		RE: NACDL White Collar : Securities Fraud / Survivor option	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2097	Email	8/19/15 4:54 PM	Protass, Harlan	Lathen, Jay		Re: NACDL White Collar : Securities Fraud / Survivor option	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2098	Email with Attachment(s)	8/19/15 4:55 PM	Protass, Harlan	Lathen, Jay		Re: Favorites	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2099	Email	8/19/15 5:17 PM	Robinson, Michael D.	Galbraith, Kevin	Lathen, Jay	GE/Synchrony Bank	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2100	Email	8/19/15 5:21 PM	Galbraith, Kevin	Robinson, Michael D.	Lathen, Jay	Re: GE/Synchrony Bank	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2101	Email	8/19/15 5:41 PM	Robinson, Michael D.	Galbraith, Kevin	Lathen, Jay	Re: GE/Synchrony Bank	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2102	Email	8/19/15 5:47 PM	Galbraith, Kevin	Robinson, Michael D.	Lathen, Jay	Re: GE/Synchrony Bank	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2103	Email	8/19/15 5:48 PM	Lathen, Jay	Protass, Harlan		RE: Favorites	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2104	Email	8/19/15 6:01 PM	Lathen, Jay	Galbraith, Kevin; Robinson, Michael D.		RE: GE/Synchrony Bank	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2105	Email with Attachment(s)	8/19/15 6:44 PM	Galbraith, Kevin	Lathen, Jay; Robinson, Michael D.		Re: GE/Synchrony Bank	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2106	Email	8/20/15 10:31 AM	Protass, Harlan	Lathen, Jay		Re: Favorites	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2107	Email with Attachment(s)	8/20/15 11:12 AM	Protass, Harlan	Lathen, Jay		SEC letter attached	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2108	Email with Attachment(s)	8/20/15 11:41 AM	Protass, Harlan	Lathen, Jay		Your Day 3 Testimony Attached	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2109	Email	8/21/15 1:07 AM	Protass, Harlan	Lathen, Jay		Fwd: Doctat Update: United States Securities and Exchange Commission v. Staples et al	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2110	Email with Attachment(s)	8/21/15 9:45 AM	Protass, Harlan	Lathen, Jay	Gosnell, Wayne	Staples' Expert Witness	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2111	Email with Attachment(s)	8/21/15 10:40 AM	Protass, Harlan	Lathen, Jay	Gosnell, Wayne	Response from the SEC	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2112	Email	8/21/15 12:04 PM	Protass, Harlan	Lathen, Jay		Cds	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2113	Email with Attachment(s)	8/21/15 12:49 PM	Protass, Harlan	Lathen, Jay		Here's the final letter sent to the SEC	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2114	Email	8/21/15 2:02 PM	Galbraith, Kevin	Lathen, Jay		GE Capital Draft Email	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2115	Email with Attachment(s)	8/21/15 2:04 PM	Galbraith, Kevin	Lathen, Jay		Prospect Motion	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2116	Email	8/21/15 4:25 PM	Lathen, Jay	Protass, Harlan	Gosnell, Wayne	RE: Staples' Expert Witness	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2117	Email	8/21/15 4:28 PM	Protass, Harlan	Lathen, Jay		Re: Staples' Expert Witness	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2118	Email	8/21/15 4:48 PM	Lathen, Jay	Galbraith, Kevin		RE: Prospect Motion	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2119	Email with Attachment(s)	8/24/15 11:38 AM	Galbraith, Kevin	Lathen, Jay		Re: Prospect Motion	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2120	Email	8/24/15 12:19 PM	Lathen, Jay	Galbraith, Kevin		Re: Prospect Motion	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2121	Email	8/24/15 2:34 PM	Galbraith, Kevin	Lathen, Jay		Re: Prospect Motion	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2122	Email	8/24/15 2:40 PM	Lathen, Jay	Galbraith, Kevin		Re: Prospect Motion	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2123	Email	8/24/15 2:47 PM	Galbraith, Kevin	Lathen, Jay		Re: Prospect Motion	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2124	Email	8/24/15 2:54 PM	Lathen, Jay	Galbraith, Kevin		Re: Prospect Motion	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2125	Email	8/24/15 3:04 PM	Protass, Harlan	Lathen, Jay		Just left you a email. Please call when you can.	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2126	Email	8/24/15 3:20 PM	Lathen, Jay	Galbraith, Kevin		RE: GE Capital Draft Email	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2127	Email with Attachment(s)	8/24/15 3:43 PM	Galbraith, Kevin	Lathen, Jay		Re: GE Capital Draft Email	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2128	Email with Attachment(s)	8/24/15 4:04 PM	Robinson, Michael D.	Galbraith, Kevin	Lathen, Jay	Fwd: FW: CIT BANK PUT CUSIP#17284A3N6 AND 17284CD24	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2129	Email	8/24/15 4:44 PM	Galbraith, Kevin	Robinson, Michael D.	Lathen, Jay	Johnson and Shelby participant agreements	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2130	Email with Attachment(s)	8/24/15 5:05 PM	Robinson, Michael D.	Galbraith, Kevin	Lathen, Jay	Re: Johnson and Shelby participant agreements	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2131	Email	8/25/15 10:08 AM	Galbraith, Kevin	Robinson, Michael D.	Lathen, Jay	Re: CIT BANK PUT CUSIP#17284A3N6 AND 17284CD24	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2132	Email with Attachment(s)	8/25/15 12:06 PM	Galbraith, Kevin	Lathen, Jay		FW: Survivor's Option Redemption Requests	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2133	Email with Attachment(s)	8/25/15 12:18 PM	Galbraith, Kevin	Lathen, Jay		FW: Survivor's Option Redemption Requests	Attorney Client Privilege	Communication reflecting legal advice of counsel.		

**In the Matter of Eden Arc Capital Management, LLC (NY-9197)
Privilege Log**

Entry Number	Record Type	Date	From	To	Cc	Email Subject or File Name	Privilege Type	Privilege Description	Redacted	Production Notes
2134	Email	8/25/15 2:46 PM	Galbraith, Kevin	Lathen, Jay		Prospect: Procedural Route	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2135	Attachment(s)	8/25/15 3:10 PM	Galbraith, Kevin	Robinson, Michael D.	Lathen, Jay	Re: FTB BANK PUT CUSIP#17284A3N6 AND 17284CD24	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2136	Email	8/26/15 4:15 PM	Galbraith, Kevin	Lathen, Jay		Status Updates	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2137	Email	8/26/15 4:36 PM	Lathen, Jay	Galbraith, Kevin	Robinson, Michael D.	Re: Status Updates	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2138	Attachment(s)	8/26/15 4:31 PM	Galbraith, Kevin	Lathen, Jay	Robinson, Michael D.	Re: Status Updates	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2139	Attachment(s)	8/27/15 12:30 PM	Robinson, Michael D.	Lathen, Jay	Galbraith, Kevin	SunTrust Rejection	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2140	Email	8/27/15 12:33 PM	Lathen, Jay	Robinson, Michael D.	Galbraith, Kevin	RE: SunTrust Rejection	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2141	Email	8/27/15 12:44 PM	Robinson, Michael D.	Lathen, Jay		Re: SunTrust Rejection	Attorney Client Privilege	Communication reflecting legal advice of Kevin Galbraith.	Yes	EA00033609
2142	Attachment(s)	8/27/15 1:44 PM	Lathen, Jay	Robinson, Michael D.		RE: SunTrust Rejection	Attorney Client Privilege	Communication reflecting legal advice of Kevin Galbraith.	Yes	EA00033611
2143	Email	8/27/15 3:08 PM	Lathen, Jay	Protass, Harlan		White Paper	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2144	Email	8/27/15 3:15 PM	Protass, Harlan	Lathen, Jay		Re: White Paper	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2145	Email	8/27/15 3:31 PM	Zane, Darren	Lathen, Jay		Client Alert - Anti-money Laundering Rule	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2146	Email	8/27/15 3:31 PM	Zane, Darren	Robinson, Michael D.		Client Alert - Anti-money Laundering Rule	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2147	Email	8/27/15 3:39 PM	Lathen, Jay	Protass, Harlan		RE: White Paper	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2148	Email	8/27/15 3:45 PM	Robinson, Michael D.	Lathen, Jay		Re: SunTrust Rejection	Attorney Client Privilege	Communication reflecting legal advice of Kevin Galbraith.	Yes	EA00033653
2149	Email	8/27/15 3:48 PM	Lathen, Jay	Robinson, Michael D.		RE: SunTrust Rejection	Attorney Client Privilege	Communication reflecting legal advice of Kevin Galbraith.	Yes	EA00033656
2150	Email	8/27/15 4:07 PM	Robinson, Michael D.	Lathen, Jay		Re: SunTrust Rejection	Attorney Client Privilege	Communication reflecting legal advice of Kevin Galbraith.	Yes	EA00033659
2151	Email	8/27/15 4:08 PM	Lathen, Jay	Robinson, Michael D.		RE: SunTrust Rejection	Attorney Client Privilege	Communication reflecting legal advice of Kevin Galbraith.	Yes	EA00033663
2152	Email	8/27/15 4:48 PM	Robinson, Michael D.	Lathen, Jay	Galbraith, Kevin	BAO/Harris Rejections	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2153	Email	8/27/15 4:53 PM	Galbraith, Kevin	Robinson, Michael D.	Lathen, Jay	Re: BAO/Harris Rejections	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2154	Attachment(s)	8/27/15 6:28 PM	Robinson, Michael D.	Lathen, Jay; Galbraith, Kevin		Re: Status Updates	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2155	Attachment(s)	8/28/15 11:37 AM	Galbraith, Kevin	Lathen, Jay	Robinson, Michael D.	PW: Caterpillar Submission	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2156	Email	8/28/15 12:01 PM	Lathen, Jay	Galbraith, Kevin	Robinson, Michael D.	RE: Caterpillar Submission	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2157	Email	8/28/15 12:04 PM	Lathen, Jay	Galbraith, Kevin		RE: Caterpillar Submission	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2158	Attachment(s)	8/28/15 12:28 PM	Galbraith, Kevin	Lathen, Jay		Re: Caterpillar Submission	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2159	Email	8/28/15 3:14 PM	Galbraith, Kevin	Lathen, Jay	Robinson, Michael D.	Offering Documents Language	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2160	Email	8/29/15 2:34 PM	Protass, Harlan	Lathen, Jay; Robinson, Michael D.	Gosnell, Wayne	Email Production	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2161	Attachment(s)	8/29/15 6:37 PM	Protass, Harlan	Lathen, Jay		IMPORTANT - Staples Update	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2162	Email	8/29/15 6:41 PM	Robinson, Michael D.	Protass, Harlan	Lathen, Jay; Gosnell, Wayne	Re: Email Production	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2163	Email	8/29/15 6:52 PM	Protass, Harlan	Robinson, Michael D.	Lathen, Jay; Gosnell, Wayne	Re: Email Production	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2164	Email	8/31/15 10:44 AM	Lathen, Jay	Protass, Harlan; Robinson, Michael D.	Gosnell, Wayne	RE: Email Production	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2165	Email	8/31/15 10:48 AM	Lathen, Jay	Protass, Harlan		RE: IMPORTANT - Staples Update	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2166	Email	8/31/15 11:05 AM	Protass, Harlan	Lathen, Jay	Robinson, Michael D.; Gosnell, Wayne	Re: Email Production	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2167	Email	8/31/15 11:06 AM	Protass, Harlan	Lathen, Jay	Gosnell, Wayne	Re: IMPORTANT - Staples Update	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2168	Attachment(s)	8/31/15 1:56 PM	Galbraith, Kevin	Robinson, Michael D.		PW: Caterpillar Submission	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2169	Attachment(s)	8/31/15 4:20 PM	Galbraith, Kevin	Lathen, Jay		Draft Summary Judgment Papers	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2170	Attachment(s)	8/31/15 5:18 PM	Lathen, Jay	Galbraith, Kevin		RE: Draft Summary Judgment Papers	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2171	Attachment(s)	8/31/15 5:45 PM	Galbraith, Kevin	Lathen, Jay		Re: Draft Summary Judgment Papers	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2172	Attachment(s)	9/1/15 5:14 PM	Robinson, Michael D.	Galbraith, Kevin		Re: PW: Caterpillar Submission	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2173	Attachment(s)	9/1/15 5:57 PM	Robinson, Michael D.	Galbraith, Kevin		RE: Caterpillar Submission	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2174	Email	9/1/15 6:12 PM	Protass, Harlan	Lathen, Jay; Robinson, Michael D.		Call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2175	Email	9/1/15 6:23 PM	Lathen, Jay	Protass, Harlan		Re: Call	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2176	Email	9/1/15 8:59 PM	Robinson, Michael D.	Protass, Harlan	Lathen, Jay	Re: Call	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2177	Email	9/2/15 8:55 AM	Galbraith, Kevin	Robinson, Michael D.		Re: Caterpillar Submission	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2178	Attachment(s)	9/2/15 8:59 AM	Galbraith, Kevin	Lathen, Jay		PW: Caterpillar Submission	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2179	Email	9/2/15 9:59 AM	Galbraith, Kevin	Robinson, Michael D.		Call Yesterday	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2180	Email	9/2/15 1:47 PM	Protass, Harlan	Lathen, Jay		White Paper and Emails	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2181	Email	9/2/15 11:03 PM	Galbraith, Kevin	Lathen, Jay		Brief Revisions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2182	Email	9/3/15 8:44 AM	Harjman, David	Lathen, Jay	Zane, Darren	White Paper	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2183	Attachment(s)	9/3/15 12:42 PM	Lathen, Jay	Galbraith, Kevin		RE: Draft Summary Judgment Papers	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2184	Attachment(s)	9/3/15 12:44 PM	Galbraith, Kevin	Lathen, Jay		RE: Draft Summary Judgment Papers	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2185	Email	9/3/15 12:46 PM	Lathen, Jay	Galbraith, Kevin		RE: Draft Summary Judgment Papers	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2186	Attachment(s)	9/3/15 12:55 PM	Galbraith, Kevin	Lathen, Jay		Re: Draft Summary Judgment Papers	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2187	Attachment(s)	9/3/15 1:34 PM	Protass, Harlan	Lathen, Jay	Gosnell, Wayne	Draft White Paper	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2188	Attachment(s)	9/3/15 1:37 PM	Lathen, Jay	Galbraith, Kevin		RE: Draft Summary Judgment Papers	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2189	Attachment(s)	9/3/15 3:37 PM	Galbraith, Kevin	Lathen, Jay		Filed Versions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		

**In the Matter of Eden Arc Capital Management, LLC (NY-9197)
Privilege Log**

Entry Number	Record Type	Date	From	To	Cc	Email Subject or File Name	Privilege Type	Privilege Description	Redacted	Production Status
2190	Email	9/3/15 3:54 PM	Lathen, Jay	Galbraith, Kevin		RE: Filed Versions	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2191	Email with Attachment(s)	9/4/15 9:07 AM	Galbraith, Kevin	Lathen, Jay		Re: Filed Versions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2192	Email	9/4/15 10:15 AM	Lathen, Jay	Galbraith, Kevin		Re: Filed Versions	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2193	Email	9/4/15 10:17 AM	Galbraith, Kevin	Lathen, Jay		Re: Filed Versions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2194	Email with Attachment(s)	9/4/15 1:35 PM	Galbraith, Kevin	Lathen, Jay		Bill and Status Updates	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2195	Email	9/5/15 10:51 AM	Kane, Darren	Lathen, Jay		Draft SEC Letter	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2196	Email with Attachment(s)	9/5/15 11:43 AM	Lathen, Jay	Kane, Darren		Pwd: Draft White Paper	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2197	Email with Attachment(s)	9/5/15 11:25 AM	Kane, Darren	Lathen, Jay		RE: Draft White Paper	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2198	Email	9/8/15 10:11 AM	Lathen, Jay	Kane, Darren		RE: Draft White Paper	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2199	Email	9/8/15 10:18 AM	Kane, Darren	Lathen, Jay		RE: Draft White Paper	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2200	Email	9/8/15 10:38 AM	Lathen, Jay	Kane, Darren		RE: Draft White Paper	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2201	Email	9/8/15 11:58 AM	Kane, Darren	Lathen, Jay		RE: Draft White Paper	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2202	Email	9/8/15 12:52 PM	Lathen, Jay	Kane, Darren		RE: Draft White Paper	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2203	Email	9/8/15 1:43 PM	Lathen, Jay	Protass, Harlan	Gosnell, Wayne	RE: Draft White Paper	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2204	Email	9/8/15 1:44 PM	Protass, Harlan	Lathen, Jay		RE: Draft White Paper	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2205	Email	9/8/15 1:45 PM	Lathen, Jay	Protass, Harlan		RE: Draft White Paper	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2206	Email	9/8/15 1:49 PM	Protass, Harlan	Lathen, Jay		RE: Draft White Paper	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2207	Email	9/8/15 2:16 PM	Lathen, Jay	Kane, Darren		RE: Draft White Paper	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2208	Email	9/8/15 3:16 PM	Lathen, Jay	Protass, Harlan		RE: Draft White Paper	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2209	Email	9/8/15 3:25 PM	Protass, Harlan	Lathen, Jay		RE: Draft White Paper	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2210	Email with Attachment(s)	9/8/15 5:35 PM	Lathen, Jay	Protass, Harlan		RE: Draft White Paper	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2211	Email with Attachment(s)	9/10/15 9:06 AM	Galbraith, Kevin	Lathen, Jay		Prospect Reply Brief	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2212	Email	9/10/15 9:45 AM	Lathen, Jay	Galbraith, Kevin		RE: Prospect Reply Brief	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2213	Email with Attachment(s)	9/10/15 9:50 AM	Galbraith, Kevin	Lathen, Jay		Re: Prospect Reply Brief	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2214	Email	9/10/15 9:57 AM	Lathen, Jay	Galbraith, Kevin		RE: Prospect Reply Brief	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2215	Email with Attachment(s)	9/10/15 9:59 AM	Galbraith, Kevin	Lathen, Jay		Re: Prospect Reply Brief	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2216	Email with Attachment(s)	9/10/15 11:23 AM	Protass, Harlan	Lathen, Jay		White Paper	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2217	Email	9/10/15 12:52 PM	Lathen, Jay	Galbraith, Kevin		RE: Prospect Reply Brief	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2218	Email with Attachment(s)	9/10/15 12:56 PM	Galbraith, Kevin	Lathen, Jay		Re: Prospect Reply Brief	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2219	Email	9/10/15 1:20 PM	Protass, Harlan	Lathen, Jay; Robinson, Michael D.		Where are we on the emails?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2220	Email	9/10/15 1:31 PM	Lathen, Jay	Protass, Harlan; Robinson, Michael D.		RE: Where are we on the emails?	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2221	Email with Attachment(s)	9/10/15 2:02 PM	Lathen, Jay	Protass, Harlan		RE: White Paper	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2222	Email with Attachment(s)	9/10/15 4:11 PM	Protass, Harlan	Lathen, Jay		Have a look at the attached	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2223	Email	9/10/15 4:34 PM	Protass, Harlan	Lathen, Jay		Three Needed Exhibits	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2224	Email with Attachment(s)	9/10/15 4:44 PM	Lathen, Jay	Protass, Harlan		RE: Three Needed Exhibits	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2225	Email	9/10/15 4:53 PM	Protass, Harlan	Lathen, Jay		RE: Three Needed Exhibits	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2226	Email with Attachment(s)	9/10/15 5:54 PM	Lathen, Jay	Protass, Harlan		RE: Have a look at the attached	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2227	Email	9/10/15 5:57 PM	Protass, Harlan	Lathen, Jay		RE: Have a look at the attached	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2228	Email	9/10/15 5:59 PM	Lathen, Jay	Protass, Harlan		RE: Have a look at the attached	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2229	Email	9/10/15 6:14 PM	Lathen, Jay	Protass, Harlan		RE: Have a look at the attached	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2230	Email	9/10/15 6:23 PM	Protass, Harlan	Lathen, Jay		RE: Have a look at the attached	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2231	Email	9/10/15 6:23 PM	Lathen, Jay	Protass, Harlan		RE: Have a look at the attached	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2232	Email with Attachment(s)	9/10/15 6:32 PM	Lathen, Jay	Kane, Darren		Close to final draft of SEC "White Paper"	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2233	Email	9/10/15 6:35 PM	Lathen, Jay	Protass, Harlan		Re: Have a look at the attached	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2234	Email with Attachment(s)	9/10/15 10:19 PM	Kane, Darren	Lathen, Jay	Hartman, David	RE: Close to final draft of SEC "White Paper"	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2235	Email with Attachment(s)	9/11/15 5:45 AM	Protass, Harlan	Lathen, Jay		White Paper	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2236	Email	9/11/15 6:47 AM	Kane, Darren	Lathen, Jay		RE: Close to final draft of SEC "White Paper"	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2237	Email	9/11/15 9:27 AM	Lathen, Jay	Kane, Darren		RE: Close to final draft of SEC "White Paper"	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2238	Email	9/11/15 10:50 AM	Protass, Harlan	Lathen, Jay		Did you get my draft early this morning - something like 5:45 am?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2239	Email	9/11/15 10:52 AM	Lathen, Jay	Protass, Harlan		RE: Did you get my draft early this morning - something like 5:45 am?	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2240	Email with Attachment(s)	9/11/15 11:38 AM	Lathen, Jay	Protass, Harlan		RE: Did you get my draft early this morning - something like 5:45 am?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2241	Email	9/11/15 11:39 AM	Protass, Harlan	Lathen, Jay		RE: Did you get my draft early this morning - something like 5:45 am?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2242	Email with Attachment(s)	9/11/15 12:21 PM	Protass, Harlan	Lathen, Jay		Here it is. I'll drop a hard copy at your apartment on the way home tonight.	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2243	Email	9/11/15 12:25 PM	Lathen, Jay	Protass, Harlan		RE: Here it is. I'll drop a hard copy at your apartment on the way home tonight.	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2244	Email	9/11/15 12:28 PM	Protass, Harlan	Lathen, Jay		Re: Here it is. I'll drop a hard copy at your apartment on the way home tonight.	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2245	Email with Attachment(s)	9/11/15 5:36 PM	Galbraith, Kevin	Lathen, Jay		U.S. Bank Response re: GIDs (Caterpillar)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2246	Email	9/11/15 6:10 PM	Lathen, Jay	Galbraith, Kevin		RE: U.S. Bank Response re: GIDs (Caterpillar)	Attorney Client Privilege	Communication requesting legal advice of counsel.		

**In the Matter of Eden Arc Capital Management, LLC (NY-9197)
Privilege Log**

Entry Number	Record Type	Date	From	To	Cr	Email Subject or file Name	Privilege Type	Privilege Description	Redacted	Production Notes
2247	Email with Attachment(s)	9/14/15 2:35 PM	Galbraith, Kevin	Lathen, Jay		Re: U.S. Bank Response re: Gilky (Caterpillar)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2248	Email	9/14/15 3:22 PM	Lathen, Jay	Galbraith, Kevin		Re: U.S. Bank Response re: Gilky (Caterpillar)	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2249	Email	9/14/15 3:24 PM	Galbraith, Kevin	Lathen, Jay		Re: U.S. Bank Response re: Gilky (Caterpillar)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2250	Email	9/14/15 5:19 PM	Galbraith, Kevin	Lathen, Jay		Re: U.S. Bank Response re: Gilky (Caterpillar)	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2251	Email with Attachment(s)	9/15/15 11:37 AM	Galbraith, Kevin	Lathen, Jay		FW: Caterpillar Submission	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2252	Email	9/15/15 12:38 PM	Lathen, Jay	Galbraith, Kevin		RE: Caterpillar Submission	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2253	Email	9/15/15 11:29 AM	Galbraith, Kevin	Lathen, Jay; Robinson, Michael D.		CTI Update	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2254	Email	9/15/15 11:46 AM	Robinson, Michael D.	Galbraith, Kevin	Lathen, Jay	RE: CTI Update	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2255	Email with Attachment(s)	9/15/15 12:47 PM	Galbraith, Kevin	Robinson, Michael D.	Lathen, Jay	Re: CTI Update	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2256	Email	9/16/15 2:42 PM	Robinson, Michael D.	Protsass, Harlan		E-mails - Privileged	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2257	Email	9/16/15 2:58 PM	Lathen, Jay	Robinson, Michael D.; Protsass, Harlan	Gosnell, Wayne	RE: E-mails - Privileged	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2258	Email	9/16/15 4:00 PM	Protsass, Harlan	Lathen, Jay	Robinson, Michael D.; Gosnell, Wayne	RE: E-mails - Privileged	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2259	Email	9/16/15 4:44 PM	Lathen, Jay	Protsass, Harlan	Robinson, Michael D.; Gosnell, Wayne	RE: E-mails - Privileged	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2260	Email with Attachment(s)	9/17/15 9:15 AM	Galbraith, Kevin	Robinson, Michael D.	Lathen, Jay	Re: CTI Update	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2261	Email	9/17/15 3:14 PM	Galbraith, Kevin	Lathen, Jay		Draft Email to CIT Counsel	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2262	Email	9/17/15 3:35 PM	Lathen, Jay	Galbraith, Kevin		RE: Draft Email to CIT Counsel	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2263	Email with Attachment(s)	9/21/15 9:10 AM	Galbraith, Kevin	Lathen, Jay		FW: CONFIDENTIAL/FOR SETTLEMENT PURPOSES ONLY - RE: Pending Redemption Requests	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2264	Email with Attachment(s)	9/21/15 10:30 AM	Galbraith, Kevin	Lathen, Jay		FW: Prospect v. Lathen et al.: Oral Argument 10/7 9:30 am	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2265	Email with Attachment(s)	9/21/15 10:41 AM	Lathen, Jay	Robinson, Michael D.		FW: CONFIDENTIAL/FOR SETTLEMENT PURPOSES ONLY - RE: Pending Redemption Requests	Attorney Client Privilege	Communication reflecting legal advice of Kevin Galbraith.		
2266	Email with Attachment(s)	9/21/15 1:12 PM	Robinson, Michael D.	Galbraith, Kevin		Fwd: Documents	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2267	Email with Attachment(s)	9/21/15 1:17 PM	Robinson, Michael D.	Galbraith, Kevin		Fwd: Constance Lanno	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2268	Email	9/21/15 1:27 PM	Robinson, Michael D.	Galbraith, Kevin		Osika	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2269	Email with Attachment(s)	9/21/15 1:33 PM	Robinson, Michael D.	Galbraith, Kevin		Kerr	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2270	Email with Attachment(s)	9/21/15 2:15 PM	Robinson, Michael D.	Galbraith, Kevin		Johnson Signature Pages	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2271	Email	9/21/15 2:27 PM	Galbraith, Kevin	Robinson, Michael D.		Re: Osika	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2272	Email	9/21/15 2:45 PM	Galbraith, Kevin	Robinson, Michael D.		Johnson Issue	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2273	Email with Attachment(s)	9/21/15 3:17 PM	Robinson, Michael D.	Galbraith, Kevin		Fwd: eFax message from "unknown" - 6 page(s), Caller-ID: 1-973-696-6976	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2274	Email with Attachment(s)	9/21/15 3:23 PM	Robinson, Michael D.	Galbraith, Kevin		Fwd: eFax message from "9737289664" - 8 page(s), Caller-ID: 1-973-728-9664	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2275	Email with Attachment(s)	9/21/15 3:24 PM	Robinson, Michael D.	Galbraith, Kevin		Fwd: eFax message from "5612763229" - 6 page(s), Caller-ID: 1-561-276-3229	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2276	Email	9/21/15 3:26 PM	Robinson, Michael D.	Galbraith, Kevin		Re: Osika et al.	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2277	Email	9/21/15 3:37 PM	Galbraith, Kevin	Robinson, Michael D.		Re: Osika et al.	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2278	Email	9/23/15 6:31 AM	Kane, Darren	Lathen, Jay		SEC Form D	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2279	Email	9/23/15 11:42 AM	Kane, Darren	Lathen, Jay		Client Alert - First SEC Cybersecurity Enforcement Action	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2280	Email	9/23/15 11:42 AM	Kane, Darren	Robinson, Michael D.		Client Alert - First SEC Cybersecurity Enforcement Action	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2281	Email with Attachment(s)	9/23/15 1:58 PM	Lathen, Jay	Galbraith, Kevin		FW: CD Redemption Rejections	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2282	Email	9/23/15 1:58 PM	Lathen, Jay	Galbraith, Kevin		RE: CONFIDENTIAL/FOR SETTLEMENT PURPOSES ONLY - RE: Pending Redemption Requests	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2283	Email with Attachment(s)	9/23/15 2:08 PM	Galbraith, Kevin	Lathen, Jay		RE: CONFIDENTIAL/FOR SETTLEMENT PURPOSES ONLY - RE: Pending Redemption Requests	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2284	Email	9/23/15 2:12 PM	Galbraith, Kevin	Lathen, Jay		Re: CD Redemption Rejections	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2285	Email	9/23/15 2:15 PM	Lathen, Jay	Galbraith, Kevin		RE: CD Redemption Rejections	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2286	Email	9/23/15 2:32 PM	Robinson, Michael D.	Lathen, Jay		Fwd: Client Alert - First SEC Cybersecurity Enforcement Action	Attorney Client Privilege	Communication reflecting legal advice of Darren Kane.		
2287	Email	9/23/15 4:28 PM	Galbraith, Kevin	Robinson, Michael D.		Re: eFax message from "9737289664" - 8 page(s), Caller-ID: 1-973-728-9664	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2288	Email	9/23/15 4:29 PM	Galbraith, Kevin	Robinson, Michael D.		Re: eFax message from "unknown" - 6 page(s), Caller-ID: 1-973-696-6976	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2289	Email	9/23/15 5:05 PM	Robinson, Michael D.	Galbraith, Kevin		Re: eFax message from "unknown" - 6 page(s), Caller-ID: 1-973-696-6976	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2290	Email	9/23/15 5:13 PM	Galbraith, Kevin	Robinson, Michael D.		Re: eFax message from "unknown" - 6 page(s), Caller-ID: 1-973-696-6976	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2291	Email with Attachment(s)	9/23/15 6:32 PM	Galbraith, Kevin	Lathen, Jay		RE: CONFIDENTIAL/FOR SETTLEMENT PURPOSES ONLY - RE: Pending Redemption Requests	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2292	Email	9/24/15 9:20 AM	Protsass, Harlan	Lathen, Jay	Gosnell, Wayne	Supplemental Letter?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2293	Email	9/24/15 10:00 AM	Robinson, Michael D.	Galbraith, Kevin		Re: eFax message from "unknown" - 6 page(s), Caller-ID: 1-973-696-6976	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2294	Email with Attachment(s)	9/24/15 10:38 AM	Robinson, Michael D.	Galbraith, Kevin		Fwd: eFax message from "9737289664" - 8 page(s), Caller-ID: 1-973-728-9664	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2295	Email with Attachment(s)	9/24/15 10:39 AM	Robinson, Michael D.	Galbraith, Kevin		Fwd: eFax message from "unknown" - 6 page(s), Caller-ID: 1-973-696-6976	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2296	Email	9/24/15 10:46 AM	Galbraith, Kevin	Robinson, Michael D.		Re: eFax message from "unknown" - 6 page(s), Caller-ID: 1-973-696-6976	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2297	Email with Attachment(s)	9/24/15 10:55 AM	Robinson, Michael D.	Galbraith, Kevin		Fwd: CUSIP 8578VMD	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2298	Email	9/24/15 11:30 AM	Lathen, Jay	Protsass, Harlan	Gosnell, Wayne	RE: Supplemental Letter?	Attorney Client Privilege	Communication requesting legal advice of counsel.		

**In the Matter of Eden Arc Capital Management, LLC (NY-9197)
Privilege Log**

Entry Number	Record Type	Date	From	To	Cc	Email Subject or File Name	Privilege Type	Privilege Description	Redacted	Production Basis
2299	Email	9/24/15 11:46 AM	Protass, Harlan	Lathen, Jay	Gosnell, Wayne	Re: Supplemental Letter?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2300	Attachment(s)	9/24/15 11:49 AM	Protass, Harlan	Lathen, Jay	Gosnell, Wayne	Re: Supplemental Letter?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2301	Email	9/24/15 11:58 PM	Protass, Harlan	Lathen, Jay		Re: Note re: Authority to Withdraw	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2302	Email	9/24/15 12:10 PM	Galbraith, Kevin	Robinson, Michael D.		Gilts Participant Agreement	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2303	Attachment(s)	9/24/15 12:33 PM	Robinson, Michael D.	Galbraith, Kevin		Re: Gilts Participant Agreement	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2304	Email	9/24/15 12:35 PM	Galbraith, Kevin	Robinson, Michael D.		Re: Gilts Participant Agreement	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2305	Email	9/24/15 2:05 PM	Lathen, Jay	Protass, Harlan		RE: Note re: Authority to Withdraw	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2306	Email	9/24/15 2:10 PM	Lathen, Jay	Robinson, Michael D.		Fwd: Supplemental Letter?	Attorney Client Privilege	Communication reflecting legal advice of Harlan Protass.		
2307	Email	9/24/15 2:19 PM	Protass, Harlan	Lathen, Jay		Re: Note re: Authority to Withdraw	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2308	Email	9/24/15 5:27 PM	Robinson, Michael D.	Lathen, Jay		Re: Fwd: Supplemental Letter?	Attorney Client Privilege	Communication reflecting legal advice of Harlan Protass.		
2309	Email	9/24/15 5:41 PM	Robinson, Michael D.	Cacimello		Contact Info	Attorney Client Privilege	Communication requesting legal advice of Clayman & Rosenberg LLP.		
2310	Attachment(s)	9/24/15 5:45 PM	Galbraith, Kevin	Lathen, Jay		RE: CONFIDENTIAL/FOR SETTLEMENT PURPOSES ONLY - RE: Pending Redemption Requests	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2311	Email	9/24/15 6:13 PM	Robinson, Michael D.	Lathen, Jay	Galbraith, Kevin	Fwd: FW: CUSIP 86789VMQD / JTWRGS	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2312	Email	9/25/15 9:34 AM	Protass, Harlan	Robinson, Michael D.		Can I call you this morning on your mobile?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2313	Email	9/25/15 12:12 PM	Lathen, Jay	Robinson, Michael D.		RE: Fwd: Supplemental Letter?	Attorney Client Privilege	Communication reflecting legal advice of Harlan Protass.		
2314	Email	9/25/15 12:38 PM	Protass, Harlan	Lathen, Jay		Status	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2315	Email	9/25/15 12:59 PM	Lathen, Jay	Robinson, Michael D.		RE: Fwd: Supplemental Letter?	Attorney Client Privilege	Communication reflecting legal advice of Harlan Protass.		
2316	Email	9/25/15 2:35 PM	Galbraith, Kevin	Lathen, Jay	Robinson, Michael D.	RE: Synchrony Discussion	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2317	Email	9/25/15 2:43 PM	Lathen, Jay	Galbraith, Kevin	Robinson, Michael D.	Re: GE Synchrony Discussion	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2318	Email	9/25/15 2:47 PM	Galbraith, Kevin	Lathen, Jay	Robinson, Michael D.	Re: GE Synchrony Discussion	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2319	Email	9/25/15 3:34 PM	Kane, Darren	Lathen, Jay		Form D Information	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2320	Email	9/25/15 3:34 PM	Lathen, Jay	Galbraith, Kevin	Robinson, Michael D.	Re: GE Synchrony Discussion	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2321	Email	9/25/15 3:43 PM	Lathen, Jay	Galbraith, Kevin	Robinson, Michael D.	Re: GE Synchrony Discussion	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2322	Email	9/25/15 3:57 PM	Galbraith, Kevin	Lathen, Jay	Robinson, Michael D.	Re: GE Synchrony Discussion	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2323	Email	9/26/15 6:54 AM	Lathen, Jay	Galbraith, Kevin		Re: GE Synchrony Discussion	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2324	Attachment(s)	9/28/15 3:14 PM	Galbraith, Kevin	Lathen, Jay		CTI and Staples Updates	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2325	Email	9/28/15 3:23 PM	Lathen, Jay	Galbraith, Kevin		Re: CTI and Staples Updates	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2326	Email	9/28/15 3:26 PM	Galbraith, Kevin	Lathen, Jay		Re: CTI and Staples Updates	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2327	Attachment(s)	9/28/15 3:37 PM	Lathen, Jay	Robinson, Michael D.		Fwd: CTI and Staples Updates	Attorney Client Privilege	Communication reflecting legal advice of Kevin Galbraith.		
2328	Email	9/29/15 3:54 PM	Protass, Harlan	Lathen, Jay		I left a message for Judy at about 11:00. Will let you know when she calls back.	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2329	Email	9/29/15 6:10 PM	Protass, Harlan	Lathen, Jay		Fwd: got your message	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2330	Email	9/29/15 6:20 PM	Lathen, Jay	Protass, Harlan		RE: got your message	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2331	Email	9/30/15 6:21 AM	Protass, Harlan	Lathen, Jay		RE: got your message	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2332	Email	9/30/15 6:22 AM	Protass, Harlan	Lathen, Jay		RE: got your message	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2333	Attachment(s)	9/30/15 11:10 AM	Hartman, David	Lathen, Jay	Kane, Darren	Form D Draft for additional information and review	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2334	Email	9/30/15 1:54 PM	Galbraith, Kevin	Lathen, Jay		CTI Update	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2335	Email	10/1/15 10:40 AM	Lathen, Jay	Galbraith, Kevin	Robinson, Michael D.	RE: CTI Update	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2336	Attachment(s)	10/1/15 10:44 AM	Galbraith, Kevin	Lathen, Jay	Robinson, Michael D.	Re: CTI Update	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2337	Email	10/1/15 10:54 AM	Robinson, Michael D.	Galbraith, Kevin; Lathen, Jay		Re: CTI Update	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2338	Email	10/1/15 11:00 AM	Galbraith, Kevin	Robinson, Michael D.		Re: CTI Update	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2339	Attachment(s)	10/1/15 12:46 PM	Galbraith, Kevin	Lathen, Jay		Bill for Legal Services	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2340	Attachment(s)	10/1/15 4:29 PM	Galbraith, Kevin	Lathen, Jay		FW: Caterpillar Submission	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2341	Attachment(s)	10/1/15 4:29 PM	Galbraith, Kevin	Lathen, Jay		FW: Survivor's Option Redemption Requests	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2342	Email	10/1/15 6:27 PM	Lathen, Jay	Protass, Harlan		RE: got your message	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2343	Email	10/1/15 8:37 PM	Protass, Harlan	Lathen, Jay		Re: got your message	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2344	Attachment(s)	10/2/15 1:17 PM	Galbraith, Kevin	Robinson, Michael D.	Lathen, Jay	Notary Affidavit (CTI)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2345	Attachment(s)	10/2/15 1:22 PM	Galbraith, Kevin	Robinson, Michael D.	Lathen, Jay	Re: Notary Affidavit (CTI)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2346	Email	10/2/15 4:14 PM	Robinson, Michael D.	Lathen, Jay	Galbraith, Kevin	Fwd: CUSIP 86789VMQD / JTWRGS	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2347	Email	10/2/15 4:18 PM	Galbraith, Kevin	Robinson, Michael D.; Lathen, Jay		RE: CUSIP 86789VMQD / JTWRGS	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2348	Attachment(s)	10/2/15 5:09 PM	Galbraith, Kevin	Lathen, Jay		FW: Eden Arc Capital CD Redemptions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2349	Email	10/2/15 5:44 PM	Lathen, Jay	Robinson, Michael D.	Galbraith, Kevin	Re: CUSIP 86789VMQD / JTWRGS	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2350	Email	10/2/15 6:55 PM	Robinson, Michael D.	Galbraith, Kevin	Lathen, Jay	Re: CUSIP 86789VMQD / JTWRGS	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2351	Email	10/2/15 6:57 PM	Robinson, Michael D.	Galbraith, Kevin	Lathen, Jay	Re: Notary Affidavit (CTI)	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2352	Email	10/5/15 9:39 AM	Protass, Harlan	Lathen, Jay		RE: Notary Affidavit (CTI)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2353	Attachment(s)	10/5/15 11:06 AM	Robinson, Michael D.	Galbraith, Kevin		Re: Notary Affidavit (CTI)	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2354	Email	10/5/15 11:07 AM	Galbraith, Kevin	Robinson, Michael D.		Re: Notary Affidavit (CTI)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2355	Email	10/5/15 12:42 PM	Galbraith, Kevin	Lathen, Jay	Robinson, Michael D.	BMO Harris	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2356	Email	10/5/15 1:09 PM	Lathen, Jay	Galbraith, Kevin	Robinson, Michael D.	RE: BMO Harris	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2357	Attachment(s)	10/5/15 2:35 PM	Galbraith, Kevin	Lathen, Jay	Robinson, Michael D.	Re: BMO Harris	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2358	Attachment(s)	10/5/15 6:37 PM	Robinson, Michael D.	Lathen, Jay	Galbraith, Kevin	CTI Redemptions 10-5-15	Attorney Client Privilege	Communication requesting legal advice of counsel.		

**In the Matter of Eden Arc Capital Management, LLC (NY-9197)
Privilege Log**

Entry Number	Record Type	Date	From	To	Cc	Email Subject or File Name	Privilege Type	Privilege Description	Redacted	Production Dates
2359	Email	10/6/15 1:45 PM	Galbraith, Kevin	Robinson, Michael D.	Lathen, Jay	Re: CIT Redemptions 10-5-15	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2360	Email	10/6/15 2:46 PM	Robinson, Michael D.	Galbraith, Kevin	Lathen, Jay	Re: CIT Redemptions 10-5-15	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2361	Email	10/6/15 4:42 PM	Galbraith, Kevin	Lathen, Jay		Oral Argument: 9:30 am, 111 Centre Street, Courtroom 1127	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2362	Email	10/6/15 4:54 PM	Galbraith, Kevin	Robinson, Michael D.	Lathen, Jay	Re: CIT Redemptions 10-5-15	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2363	Email	10/6/15 5:06 PM	Robinson, Michael D.	Galbraith, Kevin	Lathen, Jay	Re: CIT Redemptions 10-5-15	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2364	Email	10/6/15 5:09 PM	Galbraith, Kevin	Robinson, Michael D.	Lathen, Jay	Re: CIT Redemptions 10-5-15	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2365	Email	10/6/15 5:11 PM	Lathen, Jay	Galbraith, Kevin		RE: Oral Argument: 9:30 am, 111 Centre Street, Courtroom 1127	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2366	Attachment(s)	10/6/15 5:12 PM	Galbraith, Kevin	Lathen, Jay		Re: Oral Argument: 9:30 am, 111 Centre Street, Courtroom 1127	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2367	Email	10/6/15 7:40 PM	Lathen, Jay	Galbraith, Kevin		Re: Oral Argument: 9:30 am, 111 Centre Street, Courtroom 1127	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2368	Email	10/6/15 8:03 PM	Galbraith, Kevin	Lathen, Jay		Re: Oral Argument: 9:30 am, 111 Centre Street, Courtroom 1127	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2369	Email	10/8/15 1:43 PM	Protass, Harlan	Lathen, Jay		Tomorrow	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2370	Email	10/8/15 2:46 PM	Lathen, Jay	Galbraith, Kevin		RE: Bill for Legal Services	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2371	Attachment(s)	10/8/15 2:50 PM	Galbraith, Kevin	Lathen, Jay		Re: Bills for Legal Services	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2372	Email	10/9/15 4:21 PM	Protass, Harlan	Lathen, Jay		PVI - I emailed Judy and Adam after our meeting about setting up a call but haven't heard back from them.	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2373	Email	10/10/15 9:52 AM	Protass, Harlan	Lathen, Jay		Just set the call with Judy for Thursday at 11:00	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2374	Email	10/13/15 11:03 PM	Lathen, Jay			RE: Just set the call with Judy for Thursday at 11:00	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2375	Email	10/13/15 12:06 PM	Protass, Harlan	Lathen, Jay		CIT Johnson Affidavit	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2376	Email	10/13/15 4:22 PM	Galbraith, Kevin	Lathen, Jay	Robinson, Michael D.		Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2377	Email	10/13/15 4:46 PM	Lathen, Jay	Galbraith, Kevin	Robinson, Michael D.	RE: Johnson Affidavit	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2378	Email	10/14/15 2:45 PM	Protass, Harlan	Lathen, Jay		What time can you talk?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2379	Email	10/14/15 3:18 PM	Lathen, Jay	Protass, Harlan		Re: What time can you talk?	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2380	Email	10/14/15 3:42 PM	Protass, Harlan	Lathen, Jay	Gosnell, Wayne	Re: What time can you talk?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2381	Email	10/14/15 3:44 PM	Lathen, Jay	Protass, Harlan		Re: What time can you talk?	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2382	Email	10/14/15 6:28 PM	Lathen, Jay	Protass, Harlan		RE: What time can you talk?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2383	Email	10/14/15 7:30 PM	Protass, Harlan	Lathen, Jay		Re: What time can you talk?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2384	Email	10/14/15 7:50 PM	Lathen, Jay	Protass, Harlan		Re: What time can you talk?	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2385	Email	10/16/15 9:17 AM	Protass, Harlan	Lathen, Jay	Gosnell, Wayne	Questions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2386	Email	10/16/15 11:52 AM	Protass, Harlan	Weinstock, Judith; Grace, Adam S.	Gosnell, Wayne	Letter	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2387	Email	10/16/15 12:50 PM	Galbraith, Kevin	Lathen, Jay	Robinson, Michael D.	BMO Harris Update	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2388	Email	10/16/15 2:39 PM	Protass, Harlan	Lathen, Jay	Gosnell, Wayne	Pwd: Letter	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2389	Email	10/16/15 2:41 PM	Lathen, Jay	Protass, Harlan		RE: Letter	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2390	Email	10/16/15 5:19 PM	Protass, Harlan	Lathen, Jay	Gosnell, Wayne	SEC Procedure	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2391	Attachment(s)	10/16/15 5:50 PM	Lathen, Jay	Protass, Harlan	Gosnell, Wayne	RE: SEC Procedure	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2392	Email	10/16/15 6:01 PM	Galbraith, Kevin	Lathen, Jay		Re: BMO Harris Update	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2393	Attachment(s)	10/16/15 6:36 PM	Lathen, Jay	Galbraith, Kevin		RE: BMO Harris Update	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2394	Attachment(s)	10/16/15 8:10 PM	Galbraith, Kevin	Lathen, Jay		Re: BMO Harris Update	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2395	Email	10/16/15 9:40 PM	Lathen, Jay	Galbraith, Kevin		Re: BMO Harris Update	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2396	Attachment(s)	10/16/15 10:16 PM	Galbraith, Kevin	Lathen, Jay		PW: Eden Arc Capital CD Redemptions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2397	Email	10/19/15 9:26 AM	Protass, Harlan	Lathen, Jay		Got a draft ready yet?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2398	Email	10/19/15 10:37 AM	Lathen, Jay	Protass, Harlan		RE: Got a draft ready yet?	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2399	Attachment(s)	10/19/15 10:53 AM	Protass, Harlan	Lathen, Jay		Re: Got a draft ready yet?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2400	Attachment(s)	10/19/15 12:52 PM	Galbraith, Kevin	Lathen, Jay		Re: Eden Arc Capital CD Redemptions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2401	Attachment(s)	10/19/15 1:16 PM	Lathen, Jay	Robinson, Michael D.		PW: Eden Arc Capital CD Redemptions	Attorney Client Privilege	Communication reflecting legal advice of Kevin Galbraith.		
2402	Attachment(s)	10/19/15 8:55 PM	Lathen, Jay	Protass, Harlan		RE: Got a draft ready yet?	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2403	Email	10/20/15 6:15 AM	Protass, Harlan	Lathen, Jay		Re: Got a draft ready yet?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2404	Attachment(s)	10/20/15 12:15 PM	Protass, Harlan	Lathen, Jay	Gosnell, Wayne	Re: Got a draft ready yet?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2405	Email	10/20/15 1:03 PM	Lathen, Jay	Protass, Harlan	Gosnell, Wayne	RE: Got a draft ready yet?	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2406	Attachment(s)	10/20/15 1:07 PM	Protass, Harlan	Lathen, Jay		Pwd: WestlawNext - Fishedick v Heitmann	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2407	Email	10/20/15 1:22 PM	Lathen, Jay	Protass, Harlan		RE: WestlawNext - Fishedick v Heitmann	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2408	Attachment(s)	10/20/15 1:25 PM	Protass, Harlan	Lathen, Jay		Pwd: WestlawNext - Matter of Grancapic	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2409	Email	10/20/15 1:25 PM	Protass, Harlan	Lathen, Jay		RE: WestlawNext - Fishedick v Heitmann	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2410	Email	10/20/15 1:29 PM	Lathen, Jay	Protass, Harlan		RE: WestlawNext - Fishedick v Heitmann	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2411	Email	10/20/15 1:30 PM	Protass, Harlan	Lathen, Jay		Re: WestlawNext - Fishedick v Heitmann	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2412	Attachment(s)	10/20/15 4:26 PM	Galbraith, Kevin	Lathen, Jay		Re: Eden Arc Capital CD Redemptions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2413	Attachment(s)	10/20/15 5:57 PM	Lathen, Jay	Protass, Harlan	Gosnell, Wayne	RE: Got a draft ready yet?	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2414	Email	10/20/15 7:19 PM	Lathen, Jay	Galbraith, Kevin	Robinson, Michael D.	RE: Eden Arc Capital CD Redemptions	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2415	Attachment(s)	10/21/15 6:16 AM	Protass, Harlan	Lathen, Jay	Gosnell, Wayne	Re: Got a draft ready yet?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2416	Attachment(s)	10/21/15 9:11 AM	Galbraith, Kevin	Lathen, Jay	Robinson, Michael D.	Re: Eden Arc Capital CD Redemptions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		

**In the Matter of Eden Arc Capital Management, LLC (NY-9197)
Privilege Log**

Entry Number	Record Type	Date	From	To	Cc	Email Subject or File Name	Privilege Type	Privilege Description	Redacted	Production Status
2417	Email with Attachment(s)	10/21/15 9:40 AM	Galbraith, Kevin	Lathen, Jay		FW: Eden Arc Capital CD Redemptions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2418	Email with Attachment(s)	10/21/15 11:55 AM	Lathen, Jay	Protass, Harlan	Gosnell, Wayne	RE: Got a draft ready yet?	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2419	Email with Attachment(s)	10/21/15 1:51 PM	Protass, Harlan	Lathen, Jay	Gosnell, Wayne	Re: Got a draft ready yet?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2420	Email with Attachment(s)	10/21/15 2:33 PM	Robinson, Michael D.	Galbraith, Kevin; Lathen, Jay		Re: Eden Arc Capital CD Redemptions	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2421	Email with Attachment(s)	10/21/15 2:37 PM	Galbraith, Kevin	Robinson, Michael D.; Lathen, Jay		Re: Eden Arc Capital CD Redemptions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2422	Email with Attachment(s)	10/21/15 3:57 PM	Protass, Harlan	Lathen, Jay	Gosnell, Wayne		Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2423	Email	10/21/15 4:46 PM	Protass, Harlan	Lathen, Jay		I'm waiting on a couple sentences from you. Was there a miscommunication?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2424	Email	10/21/15 4:48 PM	Lathen, Jay	Protass, Harlan		RE: I'm waiting on a couple sentences from you. Was there a miscommunication?	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2425	Email with Attachment(s)	10/21/15 5:38 PM	Lathen, Jay	Protass, Harlan		RE: I'm waiting on a couple sentences from you. Was there a miscommunication?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2426	Email	10/21/15 5:41 PM	Protass, Harlan	Lathen, Jay		Re: I'm waiting on a couple sentences from you. Was there a miscommunication?	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2427	Email	10/21/15 5:43 PM	Lathen, Jay	Protass, Harlan		RE: I'm waiting on a couple sentences from you. Was there a miscommunication?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2428	Email	10/21/15 5:50 PM	Protass, Harlan	Lathen, Jay		Re: I'm waiting on a couple sentences from you. Was there a miscommunication?	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2429	Email	10/21/15 5:54 PM	Lathen, Jay	Protass, Harlan		RE: I'm waiting on a couple sentences from you. Was there a miscommunication?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2430	Email	10/21/15 6:26 PM	Protass, Harlan	Lathen, Jay		Re: I'm waiting on a couple sentences from you. Was there a miscommunication?	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2431	Email	10/21/15 6:53 PM	Lathen, Jay	Protass, Harlan		RE: I'm waiting on a couple sentences from you. Was there a miscommunication?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2432	Email with Attachment(s)	10/22/15 6:59 AM	Protass, Harlan	Lathen, Jay	Gosnell, Wayne; Protass, Harlan	Letter	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2433	Email with Attachment(s)	10/22/15 12:05 PM	Lathen, Jay	Protass, Harlan	Gosnell, Wayne	RE: Letter	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2434	Email with Attachment(s)	10/22/15 2:02 PM	Protass, Harlan	Lathen, Jay	Gosnell, Wayne	Final Letter	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2435	Email	10/22/15 2:17 PM	Lathen, Jay	Protass, Harlan	Gosnell, Wayne	RE: Final Letter	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2436	Email	10/22/15 2:18 PM	Protass, Harlan	Lathen, Jay		Re: Final Letter	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2437	Email with Attachment(s)	10/22/15 3:38 PM	Protass, Harlan	Weinstock, Judith Grace, Adam S.	Gosnell, Wayne	In the Matter of Eden Arc Capital Management, LLC (NY 9197)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2438	Email	10/22/15 5:13 PM	Lathen, Jay	Hartman, David	Kane, Darren	RE: Form D Draft for additional information and review	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2439	Email	10/22/15 8:29 PM	Kane, Darren	Lathen, Jay	Hartman, David	RE: Form D Draft for additional information and review	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2440	Email	10/23/15 9:03 AM	Hartman, David	Lathen, Jay	Kane, Darren	RE: Form D Draft for additional information and review	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2441	Email with Attachment(s)	10/23/15 11:40 AM	Galbraith, Kevin	Lathen, Jay		FW: Eden Arc Capital CD Redemptions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2442	Email	10/23/15 12:25 PM	Lathen, Jay	Hartman, David	Kane, Darren	RE: Form D Draft for additional information and review	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2443	Email with Attachment(s)	10/23/15 12:35 PM	Hartman, David	Lathen, Jay	Kane, Darren	RE: Form D Draft for additional information and review CYBERSECURITY SEC RISK ALERTS AND GUIDANCE ON CYBERSECURITY	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2444	Email	10/23/15 1:06 PM	Hartman, David	Lathen, Jay	Kane, Darren		Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2445	Email with Attachment(s)	10/23/15 1:14 PM	Hartman, David	Lathen, Jay		Cybersecurity	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2446	Email with Attachment(s)	10/23/15 9:46 PM	Hartman, David	Lathen, Jay	Kane, Darren	FW: Form D Draft for additional information and review	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2447	Email with Attachment(s)	10/24/15 7:45 AM	Galbraith, Kevin	Lathen, Jay		FW: Eden Arc Capital CD Redemptions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2448	Email	10/24/15 8:45 AM	Lathen, Jay	Galbraith, Kevin		Re: Eden Arc Capital CD Redemptions	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2449	Email	10/24/15 10:53 AM	Galbraith, Kevin	Lathen, Jay		Re: Eden Arc Capital CD Redemptions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2450	Email with Attachment(s)	10/24/15 11:18 AM	Lathen, Jay	Robinson, Michael D.		Pend: Eden Arc Capital CD Redemptions	Attorney Client Privilege	Communication reflecting legal advice of Kevin Galbraith.		
2451	Email	10/24/15 11:30 AM	Lathen, Jay	Galbraith, Kevin		Re: Eden Arc Capital CD Redemptions	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2452	Email	10/24/15 11:54 AM	Galbraith, Kevin	Lathen, Jay		Re: Eden Arc Capital CD Redemptions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2453	Email with Attachment(s)	10/26/15 10:32 AM	Galbraith, Kevin	Lathen, Jay	Robinson, Michael D.	FW: CONFIDENTIAL/FOR SETTLEMENT PURPOSES ONLY - RE: Pending Redemption Requests	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2454	Email	10/26/15 11:01 AM	Lathen, Jay	Hartman, David	Kane, Darren	RE: Form D Draft for additional information and review	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2455	Email	10/26/15 12:06 PM	Lathen, Jay	Galbraith, Kevin		RE: Eden Arc Capital CD Redemptions	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2456	Email with Attachment(s)	10/26/15 12:10 PM	Galbraith, Kevin	Lathen, Jay		Re: Eden Arc Capital CD Redemptions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2457	Email with Attachment(s)	10/26/15 2:23 PM	Galbraith, Kevin	Lathen, Jay	Robinson, Michael D.	FW: Eden Arc Capital CD Redemptions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2458	Email	10/26/15 2:59 PM	Lathen, Jay	Robinson, Michael D.		RE: Eden Arc Capital CD Redemptions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2459	Email with Attachment(s)	10/26/15 3:09 PM	Galbraith, Kevin	Lathen, Jay	Robinson, Michael D.	Re: Eden Arc Capital CD Redemptions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2460	Email with Attachment(s)	10/26/15 5:03 PM	Galbraith, Kevin	Lathen, Jay		FW: Eden Arc Capital CD Redemptions	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2461	Email with Attachment(s)	10/27/15 4:02 PM	Galbraith, Kevin	Lathen, Jay		Message from Christopher Robertson	Attorney Client Privilege	Communication reflecting legal advice of counsel.		

**In the Matter of Eden Arc Capital Management, LLC (NY-9197)
Privilege Log**

Entry Number	Record Type	Date	From	To	CC	Email Subject or File Name	Privilege Type	Privilege Description	Redacted	Production Basis
2452	Email with Attachment(s)	10/27/15 4:10 PM	Galbraith, Kevin	Lathen, Jay	Robinson, Michael D.	Re: CONFIDENTIAL/FOR SETTLEMENT PURPOSES ONLY - RE: Pending Redemption Requests	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2453	Email with Attachment(s)	10/27/15 4:32 PM	Robinson, Michael D.	Galbraith, Kevin; Lathen, Jay		Re: CONFIDENTIAL/FOR SETTLEMENT PURPOSES ONLY - RE: Pending Redemption Requests	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2454	Email	10/27/15 4:33 PM	Galbraith, Kevin	Robinson, Michael D.; Lathen, Jay		Re: CONFIDENTIAL/FOR SETTLEMENT PURPOSES ONLY - RE: Pending Redemption Requests	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2455	Email	10/27/15 4:52 PM	Lathen, Jay	Galbraith, Kevin		Re: Message from Christopher Robertson	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2456	Email with Attachment(s)	10/27/15 5:07 PM	Galbraith, Kevin	Lathen, Jay		Re: Message from Christopher Robertson	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2457	Email	10/27/15 5:09 PM	Lathen, Jay	Galbraith, Kevin		Re: Message from Christopher Robertson	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2458	Email	10/27/15 11:16 PM	Galbraith, Kevin	Lathen, Jay; Robertson, Christopher; Protass, Harlan		Conference Call 11:15 am Thursday	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2459	Email	10/28/15 7:15 PM	Hartman, David	Lathen, Jay	Kane, Darren; Hartman, David	Fund ID	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2470	Email	10/29/15 10:59 AM	Galbraith, Kevin	Lathen, Jay; Protass, Harlan		FW: Can we move call to 11:45?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2471	Email	10/29/15 11:50 AM	Lathen, Jay	Galbraith, Kevin; Protass, Harlan		RE: Can we move call to 11:45?	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2472	Email	10/29/15 11:57 AM	Protass, Harlan	Galbraith, Kevin	Lathen, Jay	Re: FW: Can we move call to 11:45?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2473	Email	10/29/15 11:03 AM	Galbraith, Kevin	Protass, Harlan	Lathen, Jay	Re: Can we move call to 11:45?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2474	Email with Attachment(s)	10/29/15 11:04 AM	Galbraith, Kevin	Lathen, Jay; Robertson, Christopher; Protass, Harlan		Re: Conference Call 11:45 am Thursday	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2475	Email	10/29/15 11:07 AM	Protass, Harlan	Galbraith, Kevin	Lathen, Jay	Re: Can we move call to 11:45?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2476	Email with Attachment(s)	10/29/15 11:17 AM	Robertson, Christopher	Galbraith, Kevin	Lathen, Jay; Protass, Harlan	Re: Conference Call 11:45 am Thursday	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2477	Email	10/29/15 11:32 AM	Lathen, Jay	Hartman, David	Kane, Darren	Re: Fund ID	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2478	Email with Attachment(s)	10/29/15 11:42 AM	Robertson, Christopher	Galbraith, Kevin	Lathen, Jay; Protass, Harlan	Re: Conference Call 11:45 am Thursday	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2479	Email	10/29/15 11:43 AM	Protass, Harlan	Robertson, Christopher	Galbraith, Kevin; Lathen, Jay	Re: Conference Call 11:45 am Thursday	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2480	Email	10/29/15 11:43 AM	Lathen, Jay	Protass, Harlan; Robertson, Christopher	Galbraith, Kevin	Re: Conference Call 11:45 am Thursday	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2481	Email	10/29/15 11:47 AM	Robertson, Christopher	Lathen, Jay	Protass, Harlan; Galbraith, Kevin	Re: Conference Call 11:45 am Thursday	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2482	Email	10/29/15 12:40 PM	Robertson, Christopher	Lathen, Jay; Protass, Harlan	Robertson, Christopher; Lathen, Jay;	Re: Conference Call 11:45 am Thursday	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2483	Email	10/29/15 12:41 PM	Galbraith, Kevin	Protass, Harlan		Re: Conference Call 11:45 am Thursday	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2484	Email	10/29/15 12:42 PM	Lathen, Jay	Robertson, Christopher; Protass, Harlan	Galbraith, Kevin	Re: Conference Call 11:45 am Thursday	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2485	Email	10/29/15 1:41 PM	Protass, Harlan	Lathen, Jay		SEC	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2486	Email	10/29/15 3:21 PM	Lathen, Jay	Protass, Harlan		RE: SEC	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2487	Email	10/29/15 3:24 PM	Protass, Harlan	Lathen, Jay		Re: SEC	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2488	Email with Attachment(s)	10/29/15 4:34 PM	Lathen, Jay	Protass, Harlan		RE: SEC	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2489	Email	10/29/15 5:20 PM	Protass, Harlan	Lathen, Jay		Re: SEC	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2490	Email	11/2/15 8:00 AM	Kane, Darren	Lathen, Jay		Form D Submission	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2491	Email	11/2/15 4:01 PM	Protass, Harlan	Lathen, Jay		No News	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2492	Email	11/2/15 4:10 PM	Lathen, Jay	Protass, Harlan		RE: No News	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2493	Email with Attachment(s)	11/2/15 5:07 PM	Galbraith, Kevin	Lathen, Jay		Bill through 11/2/15	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2494	Email	11/5/15 6:13 PM	Lathen, Jay	Galbraith, Kevin		GE Capital	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2495	Email with Attachment(s)	11/5/15 10:59 PM	Galbraith, Kevin	Lathen, Jay		Re: GE Capital	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2496	Email with Attachment(s)	11/5/15 10:45 AM	Galbraith, Kevin	Lathen, Jay		FW: Survivor's Option Redemption Requests	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2497	Email with Attachment(s)	11/5/15 10:47 AM	Galbraith, Kevin	Lathen, Jay		Re: Survivor's Option Redemption Requests	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2498	Email	11/5/15 12:00 PM	Protass, Harlan	Lathen, Jay		Invoice	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2499	Email	11/6/15 3:13 PM	Protass, Harlan	Lathen, Jay		Please give me a call.	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2500	Email	11/6/15 4:06 PM	Lathen, Jay	Protass, Harlan		Follow-up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2501	Email	11/6/15 4:08 PM	Protass, Harlan	Lathen, Jay	Gosnell, Wayne	Re: Follow-up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2502	Email	11/6/15 4:09 PM	Lathen, Jay	Protass, Harlan	Gosnell, Wayne	RE: Follow-up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2503	Email	11/6/15 4:30 PM	Kane, Darren	Lathen, Jay		Confidential	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2504	Email	11/6/15 4:35 PM	Protass, Harlan	Lathen, Jay		Re: Follow-up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2505	Email with Attachment(s)	11/6/15 4:56 PM	Protass, Harlan	Lathen, Jay	Galbraith, Kevin; Gosnell, Wayne	Memo of Call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2506	Email	11/6/15 4:58 PM	Lathen, Jay	Protass, Harlan	Galbraith, Kevin; Gosnell, Wayne	RE: Memo of Call	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2507	Email with Attachment(s)	11/6/15 5:00 PM	Lathen, Jay	Kane, Darren		FW: Memo of Call	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2508	Email	11/6/15 5:14 PM	Lathen, Jay	Protass, Harlan	Galbraith, Kevin; Gosnell, Wayne	RE: Memo of Call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2509	Email	11/6/15 5:24 PM	Protass, Harlan	Lathen, Jay	Galbraith, Kevin; Gosnell, Wayne	Re: Memo of Call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2510	Email	11/6/15 5:47 PM	Lathen, Jay	Protass, Harlan	Galbraith, Kevin; Gosnell, Wayne	RE: Memo of Call	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2511	Email with Attachment(s)	11/6/15 5:52 PM	Lathen, Jay	Robinson, Michael D.		FW: Memo of Call	Attorney Client Privilege/Work Product	Communication reflecting legal advice of Harlan Protass.		
2512	Email	11/6/15 6:51 PM	Robinson, Michael D.	Lathen, Jay		Re: Memo of Call	Attorney Client Privilege	Communication reflecting legal advice of Harlan Protass.		
2513	Email	11/6/15 9:13 PM	Lathen, Jay	Protass, Harlan	Galbraith, Kevin; Gosnell, Wayne	Re: Memo of Call	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2514	Email	11/6/15 9:13 PM	Lathen, Jay	Robinson, Michael D.		Re: Memo of Call	Attorney Client Privilege	Communication reflecting legal advice of Harlan Protass.		
2515	Email	11/7/15 7:46 AM	Protass, Harlan	Lathen, Jay	Galbraith, Kevin; Gosnell, Wayne	Re: Memo of Call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2516	Email	11/7/15 8:09 AM	Kane, Darren	Lathen, Jay		RE: FW: Memo of Call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2517	Email with Attachment(s)	11/8/15 3:51 PM	Kane, Darren	Lathen, Jay		RE: Memo of Call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2518	Email	11/9/15 2:13 PM	Protass, Harlan	Lathen, Jay; Galbraith, Kevin	Gosnell, Wayne		Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2519	Email	11/9/15 3:56 PM	Galbraith, Kevin	Lathen, Jay		Option Letter	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2520	Email	11/10/15 9:54 AM	Protass, Harlan	Lathen, Jay; Galbraith, Kevin; Gosnell, Wayne		Call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2521	Email	11/10/15 10:18 AM	Lathen, Jay	Protass, Harlan	Galbraith, Kevin; Gosnell, Wayne	Re: Call	Attorney Client Privilege	Communication requesting legal advice of counsel.		

**In the Matter of Eden Arc Capital Management, LLC (NY-9197)
Privilege Log**

Entry Number	Record Type	Date	From	To	CC	Email Subject or File Name	Privilege Type	Privilege Description	Redacted	Production Basis
2522	Email	11/10/15 10:51 AM	Protass, Harlan	Lathen, Jay	Galbraith, Kevin; Gosnell, Wayne	Re: Call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2523	Email with Attachment(s)	11/10/15 1:18 PM	Protass, Harlan	Lathen, Jay		See attached	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2524	Email	11/10/15 1:54 PM	Protass, Harlan	Lathen, Jay		RE: See attached	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2525	Email	11/10/15 2:10 PM	Protass, Harlan	Lathen, Jay	Gosnell, Wayne	Re: See attached	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2526	Email with Attachment(s)	11/10/15 2:55 PM	Protass, Harlan	Lathen, Jay		See attached and call me	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2527	Email	11/10/15 3:38 PM	Lathen, Jay	Galbraith, Kevin		RE: Opinion Letter	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2528	Email with Attachment(s)	11/10/15 3:53 PM	Galbraith, Kevin	Lathen, Jay		Re: Opinion Letter	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2529	Email	11/10/15 4:37 PM	Lathen, Jay	Kane, Darren		RE: Memo of Call	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2530	Email with Attachment(s)	11/10/15 5:47 PM	Galbraith, Kevin	Lathen, Jay; Robinson, Michael D.		FW: Eden Arc	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2531	Email	11/10/15 6:26 PM	Kane, Darren	Lathen, Jay		FYI	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2532	Email with Attachment(s)	11/10/15 7:52 PM	Auslander, Jay S.	Grundstein, Robert	Lathen, Jay	Re: Introduction	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2533	Email	11/10/15 10:31 PM	Kane, Darren	Lathen, Jay		RE: Memo of Call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2534	Email	11/11/15 11:11 AM	Lathen, Jay	Auslander, Jay S.		RE: Introduction	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2535	Email	11/11/15 11:52 AM	Lathen, Jay	Southwell, Alexander H.		Eden Arc - SEC Matter	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2536	Email	11/11/15 1:04 PM	Galbraith, Kevin	Lathen, Jay		Firms + Attorneys	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2537	Email	11/11/15 1:55 PM	Protass, Harlan	Lathen, Jay		Fwd: In re Eden Arc Capital Management, LLC, File No. NY-9197	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2538	Email with Attachment(s)	11/11/15 2:21 PM	Lathen, Jay	Auslander, Jay S.		RE: Introduction	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2539	Email	11/11/15 2:26 PM	Lathen, Jay	Galbraith, Kevin		Re: Firms + Attorneys	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2540	Email	11/11/15 2:34 PM	Southwell, Alexander H.	Lathen, Jay		RE: Eden Arc - SEC Matter	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2541	Email	11/11/15 2:45 PM	Lathen, Jay	Galbraith, Kevin		Fwd: In re Eden Arc Capital Management, LLC, File No. NY-9197	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2542	Email	11/11/15 2:47 PM	Lathen, Jay	Southwell, Alexander H.		Re: Eden Arc - SEC Matter	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2543	Email	11/11/15 2:48 PM	Galbraith, Kevin	Lathen, Jay		Re: In re Eden Arc Capital Management, LLC, File No. NY 9197	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2544	Email	11/11/15 2:59 PM	Lathen, Jay	Protass, Harlan		RE: In re Eden Arc Capital Management, LLC, File No. NY 9197	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2545	Email	11/11/15 3:04 PM	Galbraith, Kevin	Lathen, Jay		Re: Firms + Attorneys	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2546	Email	11/11/15 3:15 PM	Protass, Harlan	Lathen, Jay		Re: In re Eden Arc Capital Management, LLC, File No. NY 9197	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2547	Email	11/11/15 4:50 PM	Galbraith, Kevin	Lathen, Jay		DRAFT Message to Prospective Firms	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2548	Email with Attachment(s)	11/11/15 5:17 PM	Lathen, Jay	Galbraith, Kevin		FW: Introduction	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2549	Email	11/11/15 5:17 PM	Lathen, Jay	Galbraith, Kevin		RE: DRAFT Message to Prospective Firms	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2550	Email with Attachment(s)	11/11/15 5:49 PM	Galbraith, Kevin	Lathen, Jay		FW: Meeting with Prospective Client?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2551	Email	11/11/15 10:33 PM	Auslander, Jay S.	Lathen, Jay		Re: Introduction	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2552	Email with Attachment(s)	11/12/15 9:35 AM	Galbraith, Kevin	Lathen, Jay		Re: Meeting with Prospective Client?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2553	Email	11/12/15 9:53 AM	Lathen, Jay	Galbraith, Kevin		RE: Meeting with Prospective Client?	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2554	Email with Attachment(s)	11/12/15 10:31 AM	Galbraith, Kevin	Lathen, Jay		Re: Meeting with Prospective Client?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2555	Email with Attachment(s)	11/12/15 10:38 AM	Galbraith, Kevin	Lathen, Jay		Re: Meeting with Prospective Client?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2556	Email	11/12/15 10:40 AM	Lathen, Jay	Galbraith, Kevin		RE: Meeting with Prospective Client?	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2557	Email with Attachment(s)	11/12/15 10:42 AM	Galbraith, Kevin	Lathen, Jay		Re: Meeting with Prospective Client?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2558	Email	11/12/15 10:44 AM	Lathen, Jay	Galbraith, Kevin		RE: Meeting with Prospective Client?	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2559	Email with Attachment(s)	11/12/15 11:32 AM	Galbraith, Kevin	Lathen, Jay		Re: Meeting with Prospective Client?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2560	Email with Attachment(s)	11/12/15 11:33 AM	Galbraith, Kevin	Lathen, Jay		Re: Meeting with Prospective Client?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2561	Email	11/12/15 12:02 PM	Lathen, Jay	Galbraith, Kevin		RE: Meeting with Prospective Client?	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2562	Email with Attachment(s)	11/12/15 12:21 PM	Galbraith, Kevin	Lathen, Jay		Re: Meeting with Prospective Client?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2563	Email	11/12/15 2:12 PM	Galbraith, Kevin	Lathen, Jay		Arfin & O'Brien Meeting	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2564	Email	11/12/15 2:23 PM	Lathen, Jay	Galbraith, Kevin		RE: Arfin & O'Brien Meeting	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2565	Email with Attachment(s)	11/12/15 2:58 PM	Galbraith, Kevin	Lathen, Jay		Re: Meeting with Prospective Client?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2566	Email with Attachment(s)	11/12/15 2:49 PM	Lathen, Jay	Galbraith, Kevin		RE: Meeting with Prospective Client?	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2567	Email with Attachment(s)	11/12/15 3:05 PM	Galbraith, Kevin	Lathen, Jay		Re: Meeting with Prospective Client?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2568	Email	11/12/15 3:41 PM	Protass, Harlan	Lathen, Jay		Links	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2569	Email	11/12/15 4:05 PM	Lathen, Jay	Protass, Harlan		RE: Links	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2570	Email	11/12/15 4:10 PM	Protass, Harlan	Lathen, Jay		RE: Links	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2571	Email	11/12/15 4:13 PM	Lathen, Jay	Protass, Harlan		RE: Links	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2572	Email	11/12/15 4:15 PM	Protass, Harlan	Lathen, Jay		RE: Links	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2573	Email	11/13/15 6:14 AM	Protass, Harlan	Lathen, Jay		Cozen O'Connor	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2574	Email with Attachment(s)	11/13/15 10:05 AM	Galbraith, Kevin	Lathen, Jay		Re: Arfin & O'Brien Meeting	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2575	Email	11/13/15 11:29 AM	Protass, Harlan	Lathen, Jay		Another Possible Name	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2576	Email	11/13/15 11:58 AM	Lathen, Jay	Galbraith, Kevin		Re: Arfin & O'Brien Meeting	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2577	Email	11/13/15 1:25 PM	Lathen, Jay	Protass, Harlan		RE: Another Possible Name	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2578	Email	11/13/15 1:28 PM	Protass, Harlan	Lathen, Jay		Re: Another Possible Name	Attorney Client Privilege	Communication reflecting legal advice of counsel.		

**In the Matter of Eden Arc Capital Management, LLC (NY-9197)
Privilege Log**

Entry Number	Record Type	Date	From	To	CC	Email Subject or File Name	Privilege Type	Privilege Description	Redacted	Production Status
2579	Email	11/13/15 4:01 PM	Lathen, Jay	Protass, Harlan		RE: Cozen O'Connor	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2580	Email	11/13/15 4:10 PM	Protass, Harlan	Lathen, Jay		Re: Cozen O'Connor	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2581	Email	11/13/15 4:17 PM	Protass, Harlan	Lathen, Jay		Re: How'd it go with Stanley Arkin?	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2582	Email	11/13/15 4:31 PM	Lathen, Jay	Protass, Harlan		Re: How'd it go with Stanley Arkin?	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2583	Email	11/13/15 7:36 PM	Lathen, Jay	Protass, Harlan		Re: How'd it go with Stanley Arkin?	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2584	Email	11/13/15 8:12 PM	Protass, Harlan	Lathen, Jay		Re: How'd it go with Stanley Arkin?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2585	Email	11/13/15 10:30 AM	Galbraith, Kevin	Lathen, Jay		SEC Items	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2586	Email	11/13/15 11:00 PM	Lathen, Jay	Galbraith, Kevin		Re: SEC Items	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2587	Email	11/16/15 12:41 PM	Galbraith, Kevin	Lathen, Jay		Paul Weiss Meeting Tues 11/17 @ 2 pm: 1285 Sixth Avenue	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2588	Email	11/16/15 1:17 PM	Lathen, Jay	Protass, Harlan; Gosnell, Wayne		One other thing	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2589	Email	11/16/15 3:26 PM	Protass, Harlan	Lathen, Jay	Gosnell, Wayne	Follow-Up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2590	Email	11/16/15 4:31 PM	Protass, Harlan	Lathen, Jay		Chris LaVigne	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2591	Email	11/16/15 5:33 PM	Lathen, Jay	Protass, Harlan		RE: Chris LaVigne	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2592	Email	11/16/15 5:40 PM	Protass, Harlan	Lathen, Jay		Re: Chris LaVigne	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2593	Email	11/17/15 8:42 AM	Southwell, Alexander H.	Lathen, Jay		Sorry missed connected	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2594	Email with Attachment(s)	11/17/15 9:16 AM	Lathen, Jay	Southwell, Alexander H.		Re: Sorry missed connected	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2595	Email with Attachment(s)	11/17/15 12:23 PM	Lathen, Jay	Hunter, Fraser; Platt, Charles C.	Galbraith, Kevin	Eden Arc follow-up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2596	Email with Attachment(s)	11/17/15 1:26 PM	Lathen, Jay	Southwell, Alexander H.		RE: Sorry missed connected	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2597	Email	11/17/15 4:16 PM	Protass, Harlan	Lathen, Jay		Susan Brune	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2598	Email	11/17/15 5:14 PM	Galbraith, Kevin	Lathen, Jay		Re: Susan Brune	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2599	Email with Attachment(s)	11/17/15 5:50 PM	Lathen, Jay	Galbraith, Kevin		Re: Susan Brune	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2600	Email with Attachment(s)	11/17/15 5:59 PM	Galbraith, Kevin	Lathen, Jay		Re: Susan Brune	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2601	Email	11/17/15 6:51 PM	Lathen, Jay	Protass, Harlan		Script with Judy	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2602	Email	11/18/15 7:28 AM	Protass, Harlan	Lathen, Jay	Gosnell, Wayne	Re: Script with Judy	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2603	Email	11/18/15 8:35 AM	Lathen, Jay	Protass, Harlan	Gosnell, Wayne	Re: Script with Judy	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2604	Email	11/18/15 8:41 AM	Lathen, Jay	Protass, Harlan	Gosnell, Wayne	Re: Script with Judy	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2605	Email	11/18/15 9:31 AM	Protass, Harlan	Lathen, Jay	Gosnell, Wayne	Re: Script with Judy	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2606	Email	11/18/15 9:32 AM	Galbraith, Kevin	Lathen, Jay		Susan Brune	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2607	Email	11/18/15 10:40 AM	Galbraith, Kevin	Lathen, Jay		Fee Proposal for Wells Submission and Senior Enforcement Staff Meeting	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2608	Email	11/18/15 11:20 AM	Lathen, Jay	Galbraith, Kevin		Re: Susan Brune	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2609	Email	11/18/15 11:20 AM	Lathen, Jay	Galbraith, Kevin		Re: Susan Brune	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2610	Email	11/18/15 11:31 AM	Galbraith, Kevin	Lathen, Jay		Re: Susan Brune	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2611	Email	11/18/15 1:07 PM	Southwell, Alexander H.	Lathen, Jay		RE: Sorry missed connected	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2612	Email	11/18/15 1:13 PM	Lathen, Jay	Southwell, Alexander H.		RE: Sorry missed connected	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2613	Email with Attachment(s)	11/18/15 1:22 PM	Lathen, Jay	Protass, Harlan	Gosnell, Wayne	RE: Script with Judy	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2614	Email with Attachment(s)	11/18/15 3:09 PM	Robinson, Michael D.	Lathen, Jay	Galbraith, Kevin	BMO-Harris Redemptions	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2615	Email	11/18/15 3:39 PM	Lathen, Jay	Galbraith, Kevin		GE follow-up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2616	Email with Attachment(s)	11/18/15 4:06 PM	Galbraith, Kevin	Lathen, Jay		Re: GE follow-up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2617	Email with Attachment(s)	11/18/15 4:22 PM	Protass, Harlan	Lathen, Jay		Wells Submission	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2618	Email with Attachment(s)	11/18/15 4:54 PM	Galbraith, Kevin	Lathen, Jay		Re: GE follow-up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2619	Email	11/18/15 5:07 PM	Protass, Harlan	Lathen, Jay		Sean O'Shea	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2620	Email	11/18/15 5:12 PM	Protass, Harlan	Lathen, Jay		This sums up O'Shea	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2621	Email with Attachment(s)	11/18/15 6:21 PM	Galbraith, Kevin	Lathen, Jay		Re: Susan Brune	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2622	Email	11/18/15 6:23 PM	Lathen, Jay	Galbraith, Kevin		RE: Susan Brune	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2623	Email	11/19/15 11:10 AM	Hunter, Fraser	Lathen, Jay; Platt, Charles C.	Galbraith, Kevin	RE: Eden Arc follow-up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2624	Email with Attachment(s)	11/19/15 3:12 PM	Lathen, Jay	Hunter, Fraser; Platt, Charles C.	Galbraith, Kevin	RE: Eden Arc follow-up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2625	Email with Attachment(s)	11/19/15 4:09 PM	Lathen, Jay	O'Shea, Sean		Follow-up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2626	Email	11/19/15 4:12 PM	O'Shea, Sean	Lathen, Jay		RE: Follow-up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2627	Email	11/19/15 6:25 PM	Lathen, Jay	O'Shea, Sean		RE: Follow-up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2628	Email	11/20/15 9:38 AM	Lathen, Jay	Protass, Harlan		SEC	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2629	Email	11/20/15 9:41 AM	Protass, Harlan	Lathen, Jay		Re: SEC	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2630	Email	11/20/15 9:47 AM	Lathen, Jay	Protass, Harlan		Re: SEC	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2631	Email	11/20/15 10:27 AM	O'Shea, Sean	Lathen, Jay		RE: Follow-up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2632	Email	11/20/15 11:01 PM	Lathen, Jay	O'Shea, Sean		Re: Follow-up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2633	Email	11/20/15 1:33 PM	Hunter, Fraser	Lathen, Jay; Platt, Charles C.	Galbraith, Kevin	RE: Eden Arc follow-up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2634	Email	11/20/15 2:17 PM	Galbraith, Kevin	Lathen, Jay		FW: Eden Arc follow-up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2635	Email	11/20/15 2:28 PM	Lathen, Jay	Galbraith, Kevin		RE: Eden Arc follow-up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2636	Email with Attachment(s)	11/20/15 2:29 PM	Protass, Harlan	Lathen, Jay		When do you want to talk?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2637	Email with Attachment(s)	11/20/15 2:40 PM	Galbraith, Kevin	Lathen, Jay		Re: Eden Arc follow-up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2638	Email	11/20/15 2:56 PM	Lathen, Jay	Southwell, Alexander H.		RE: Sorry missed connected	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2639	Email	11/20/15 3:20 PM	Southwell, Alexander H.	Lathen, Jay		RE: Sorry missed connected	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2640	Email	11/21/15 12:21 AM	Protass, Harlan	Lathen, Jay		Fwd: Docket Update: United States Securities and Exchange Commission v. Staples et al	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2641	Email	11/21/15 3:42 PM	Lathen, Jay	Protass, Harlan		Re: Docket Update: United States Securities and Exchange Commission v. Staples et al	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2642	Email	11/21/15 4:30 PM	Austander, Jay S.	Lathen, Jay		Re: introduction	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2643	Email	11/21/15 9:00 PM	Protass, Harlan	Lathen, Jay		Re: Docket Update: United States Securities and Exchange Commission v. Staples et al	Attorney Client Privilege	Communication reflecting legal advice of counsel.		

**In the Matter of Eden Arc Capital Management, LLC (NY-9197)
Privilege Log**

Entry Number	Record Type	Date	From	To	Cc	Email Subject or File Name	Privilege Type	Privilege Description	Redacted	Production Bytes
2644	Email	11/23/15 9:27 AM	Southwell, Alexander H.	Lathen, Jay		RE: Sorry missed connected	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2645	Email	11/23/15 11:24 AM	Protass, Harlan	Lathen, Jay		FW: following up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2646	Email	11/23/15 11:57 AM	Lathen, Jay	Protass, Harlan		Re: following up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2647	Email	11/23/15 1:27 PM	Protass, Harlan	Lathen, Jay		Draft Email	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2648	Email	11/23/15 1:42 PM	Southwell, Alexander H.	Lathen, Jay		Obus trial info	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2649	Email	11/23/15 5:01 PM	Lathen, Jay	Protass, Harlan		RE: Draft Email	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2650	Email	11/23/15 5:08 PM	Protass, Harlan	Lathen, Jay		Re: Draft Email	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2651	Email	11/23/15 5:30 PM	Lathen, Jay	Protass, Harlan		RE: Draft Email	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2652	Email	11/23/15 5:35 PM	Protass, Harlan	Lathen, Jay		Re: Draft Email	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2653	Email	11/23/15 6:34 PM	Southwell, Alexander H.	Lathen, Jay		Can you talk at 10am Wednesday	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2654	Email	11/23/15 6:34 PM	Lathen, Jay	Southwell, Alexander H.		RE: Can you talk at 10am Wednesday	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2655	Email	11/23/15 6:44 PM	Lathen, Jay	Protass, Harlan		RE: Draft Email	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2656	Email	11/24/15 8:35 AM	Southwell, Alexander H.	Lathen, Jay; Schonfeld, Mark K.; Cohen, Joel M.		Call re case	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2657	Email	11/24/15 9:51 AM	Protass, Harlan	Lathen, Jay		Sean would like to see your SEC testimony. OK with you if I send it to him?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2658	Email	11/24/15 10:03 AM	Lathen, Jay	Protass, Harlan		Re: Sean would like to see your SEC testimony. OK with you if I send it to him?	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2659	Email with Attachment(s)	11/24/15 10:21 AM	Galbraith, Kevin	Lathen, Jay		GE / Synchrony etc.	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2660	Attachment(s)	11/24/15 11:36 AM	Protass, Harlan	Lathen, Jay		Re: Draft Email	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2661	Email	11/24/15 1:53 PM	Southwell, Alexander H.	Lathen, Jay; Schonfeld, Mark K.; Cohen, Joel M.		Call re case	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2662	Email	11/24/15 1:54 PM	Lathen, Jay	Southwell, Alexander H.		Accepted: Call re case	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2663	Email with Attachment(s)	11/24/15 2:49 PM	Lathen, Jay	Protass, Harlan		RE: Draft Email	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2664	Email	11/24/15 2:55 PM	Protass, Harlan	Lathen, Jay		Re: Draft Email	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2665	Email	11/24/15 3:35 PM	Lathen, Jay	Protass, Harlan		RE: Draft Email	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2666	Email	11/24/15 3:57 PM	Protass, Harlan	Lathen, Jay		Re: Draft Email	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2667	Email	11/24/15 4:05 PM	Lathen, Jay	Protass, Harlan		RE: Draft Email	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2668	Email	11/24/15 4:24 PM	Protass, Harlan	Lathen, Jay		Re: Draft Email	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2669	Email	11/24/15 4:41 PM	Lathen, Jay	Protass, Harlan		RE: Draft Email	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2670	Email	11/24/15 4:43 PM	Protass, Harlan	Lathen, Jay		Re: Draft Email	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2671	Email	11/24/15 4:47 PM	Lathen, Jay	Protass, Harlan		RE: Draft Email	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2672	Email	11/24/15 4:48 PM	Protass, Harlan	Lathen, Jay		Re: Draft Email	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2673	Email with Attachment(s)	11/24/15 5:09 PM	Galbraith, Kevin	Lathen, Jay		Re: GE / Synchrony etc.	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2674	Email	11/24/15 5:16 PM	Lathen, Jay	Protass, Harlan		RE: Draft Email	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2675	Email with Attachment(s)	11/24/15 5:29 PM	Lathen, Jay	Galbraith, Kevin		Re: GE / Synchrony etc.	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2676	Email	11/24/15 6:10 PM	Protass, Harlan	Lathen, Jay		Re: Draft Email	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2677	Email with Attachment(s)	11/24/15 6:24 PM	Galbraith, Kevin	Lathen, Jay		Re: GE / Synchrony etc.	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2678	Email	11/25/15 9:24 AM	O'Shea, Sean	Lathen, Jay	Petralla, Michael	SEC (NY-9197)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2679	Email with Attachment(s)	11/25/15 11:42 AM	Galbraith, Kevin	Lathen, Jay		FW: Survivor's Option Redemption Requests	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2680	Email	11/25/15 11:49 AM	Lathen, Jay	Galbraith, Kevin		RE: Survivor's Option Redemption Requests	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2681	Email with Attachment(s)	11/25/15 12:03 PM	Galbraith, Kevin	Lathen, Jay		Re: Survivor's Option Redemption Requests	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2682	Email with Attachment(s)	11/25/15 2:29 PM	Galbraith, Kevin	Lathen, Jay		Re: Survivor's Option Redemption Requests	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2683	Email with Attachment(s)	11/25/15 2:31 PM	Lathen, Jay	Robinson, Michael D.		FW: Survivor's Option Redemption Requests	Attorney Client Privilege	Communication reflecting legal advice of Harlan Protass.		
2684	Email	11/25/15 2:32 PM	Lathen, Jay	Galbraith, Kevin		RE: Survivor's Option Redemption Requests	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2685	Email	11/25/15 2:34 PM	Lathen, Jay	Protass, Harlan		RE: Draft Email	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2686	Email with Attachment(s)	11/25/15 3:19 PM	Galbraith, Kevin	Lathen, Jay		Re: Survivor's Option Redemption Requests	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2687	Email	11/25/15 3:22 PM	Lathen, Jay	Galbraith, Kevin		RE: Survivor's Option Redemption Requests	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2688	Email with Attachment(s)	11/25/15 3:26 PM	Galbraith, Kevin	Lathen, Jay		Re: Survivor's Option Redemption Requests	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2689	Email	11/25/15 3:34 PM	Protass, Harlan	Lathen, Jay		Re: Draft Email	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2690	Email with Attachment(s)	11/25/15 3:45 PM	Galbraith, Kevin	Lathen, Jay		Re: Survivor's Option Redemption Requests	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2691	Email	11/25/15 3:53 PM	Protass, Harlan	Lathen, Jay		We are good with Judy about getting back to her on Monday.	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2692	Email with Attachment(s)	11/25/15 4:20 PM	Protass, Harlan	Lathen, Jay		Revised draft letter to Judy attached	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2693	Email	11/25/15 4:34 PM	Lathen, Jay	Protass, Harlan		Re: Revised draft letter to Judy attached	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2694	Email	11/25/15 4:58 PM	Protass, Harlan	Lathen, Jay		Re: Revised draft letter to Judy attached	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2695	Email with Attachment(s)	11/25/15 5:01 PM	Protass, Harlan	Lathen, Jay		FW: WestlawNext - Chiarella v. U. S.	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2696	Email with Attachment(s)	11/29/15 9:38 AM	Robinson, Michael D.	Lathen, Jay	Galbraith, Kevin	Re: Survivor's Option Redemption Requests	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2697	Email	11/30/15 9:44 AM	Galbraith, Kevin	Robinson, Michael D.; Lathen, Jay		Re: Survivor's Option Redemption Requests	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2698	Email	11/30/15 10:37 AM	Robinson, Michael D.	Galbraith, Kevin; Lathen, Jay		Re: Survivor's Option Redemption Requests	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2699	Email	11/30/15 10:45 AM	Galbraith, Kevin	Robinson, Michael D.; Lathen, Jay		Re: Survivor's Option Redemption Requests	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2700	Email with Attachment(s)	11/30/15 1:31 PM	Protass, Harlan	Lathen, Jay		Revised Letter	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2701	Email	11/30/15 2:04 PM	Lathen, Jay	Protass, Harlan		RE: Revised Letter	Attorney Client Privilege	Communication requesting legal advice of counsel.		

**In the Matter of Eden Arc Capital Management, LLC (NY-9197)
Privilege Log**

Entry Number	Record Type	Date	From	To	CC	Email Subject/Attachment Name	Overriding Type	Privilege Description	Redacted	Production Boxes
2702	Email	11/30/15 2:05 PM	Protass, Harlan	Lathen, Jay		Re: Revised Letter	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2703	Attachment(s)	11/30/15 5:04 PM	Lathen, Jay	Protass, Harlan		RE: Revised Letter	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2704	Attachment(s)	11/30/15 5:26 PM	Protass, Harlan	Lathen, Jay		Re: Revised Letter	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2705	Email	11/30/15 5:31 PM	Lathen, Jay	Galbraith, Kevin; Robinson, Michael D.		RE: Survivor's Option Redemption Requests	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2706	Attachment(s)	11/30/15 5:40 PM	Galbraith, Kevin	Lathen, Jay; Robinson, Michael D.		Re: Survivor's Option Redemption Requests	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2707	Email	11/30/15 5:48 PM	Lathen, Jay	Protass, Harlan		RE: Revised Letter	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2708	Email	11/30/15 5:45 PM	Protass, Harlan	Lathen, Jay		Re: Revised Letter	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2709	Email	11/30/15 5:46 PM	Lathen, Jay	Protass, Harlan		RE: Revised Letter	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2710	Attachment(s)	12/1/15 10:56 AM	Protass, Harlan	Weinstock, Judith; Grace, Adam S.	Gosnell, Wayne	In the Matter of Eden Arc Capital Management, LLC (NY-9197)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2711	Attachment(s)	12/1/15 2:07 PM	Lathen, Jay	Eane, Darren		PW: In the Matter of Eden Arc Capital Management, LLC (NY-9197)	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2712	Email	12/1/15 2:44 PM	Eane, Darren	Lathen, Jay		Office of Inspector General	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2713	Email	12/1/15 3:27 PM	Protass, Harlan	Lathen, Jay		Pwd: In the Matter of Eden Arc Capital Management, LLC (NY-9197)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2714	Email	12/1/15 5:49 PM	Lathen, Jay	Protass, Harlan		RE: In the Matter of Eden Arc Capital Management, LLC (NY-9197)	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2715	Attachment(s)	12/1/15 6:01 PM	Lathen, Jay	Auslander, Jay S.		Follow-up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2716	Email	12/1/15 7:57 PM	Protass, Harlan	Lathen, Jay		RE: In the Matter of Eden Arc Capital Management, LLC (NY-9197)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2717	Email	12/1/15 8:17 PM	Auslander, Jay S.	Lathen, Jay		Re: Follow-up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2718	Email	12/1/15 8:21 PM	Lathen, Jay	Protass, Harlan		RE: In the Matter of Eden Arc Capital Management, LLC (NY-9197)	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2719	Email	12/1/15 8:26 PM	Protass, Harlan	Lathen, Jay		Re: In the Matter of Eden Arc Capital Management, LLC (NY-9197)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2720	Email	12/1/15 8:30 PM	Lathen, Jay	Protass, Harlan		RE: In the Matter of Eden Arc Capital Management, LLC (NY-9197)	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2721	Email	12/1/15 9:02 PM	Protass, Harlan	Lathen, Jay		Re: In the Matter of Eden Arc Capital Management, LLC (NY-9197)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2722	Email	12/2/15 10:48 AM	O'Shea, Sean	Lathen, Jay	Petrella, Michael	RE: SEC (NY-9197)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2723	Attachment(s)	12/2/15 2:02 PM	Lathen, Jay	Hunter, Fraser		RE: Eden Arc follow-up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2724	Attachment(s)	12/2/15 2:17 PM	Lathen, Jay	O'Shea, Sean	Petrella, Michael	RE: SEC (NY-9197)	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2725	Attachment(s)	12/2/15 2:24 PM	Lathen, Jay	Brune, Susan		Eden Arc - follow up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2726	Attachment(s)	12/2/15 2:32 PM	Lathen, Jay	Galbraith, Kevin		RE: GE / Synchrony etc.	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2727	Attachment(s)	12/2/15 2:40 PM	Galbraith, Kevin	Lathen, Jay		Re: GE / Synchrony etc.	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2728	Email	12/2/15 4:52 PM	Brune, Susan	Lathen, Jay		Re: Eden Arc - follow up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2729	Email	12/2/15 5:12 PM	Lathen, Jay	Brune, Susan		RE: Eden Arc - follow up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2730	Email	12/2/15 5:17 PM	Brune, Susan	Lathen, Jay		Re: Eden Arc - follow up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2731	Attachment(s)	12/3/15 10:50 AM	Galbraith, Kevin	Lathen, Jay; Robinson, Michael D.		Re: Survivor's Option Redemption Requests	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2732	Email	12/3/15 10:59 AM	Protass, Harlan	Lathen, Jay		I will send you our proposal today.	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2733	Email	12/3/15 12:20 PM	Galbraith, Kevin	Lathen, Jay		Follow-Up to Discussion	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2734	Attachment(s)	12/3/15 1:32 PM	Robinson, Michael D.	Galbraith, Kevin; Lathen, Jay		Re: Survivor's Option Redemption Requests	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2735	Email	12/3/15 3:17 PM	Galbraith, Kevin	Robinson, Michael D.	Lathen, Jay	Re: Survivor's Option Redemption Requests	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2736	Email	12/3/15 5:13 PM	Protass, Harlan	Lathen, Jay		Proposal for Continued Representation	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2737	Email	12/4/15 7:16 AM	Brune, Susan	Lathen, Jay		RE: Eden Arc - follow up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2738	Email	12/4/15 9:28 AM	Lathen, Jay	Brune, Susan		RE: Eden Arc - follow up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2739	Email	12/4/15 9:29 AM	Brune, Susan	Lathen, Jay		RE: Eden Arc - follow up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2740	Email	12/4/15 9:30 AM	Lathen, Jay	Brune, Susan		RE: Eden Arc - follow up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2741	Attachment(s)	12/4/15 1:13 PM	Galbraith, Kevin	Lathen, Jay		Legal Fee	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2742	Email	12/4/15 9:34 PM	Protass, Harlan	Lathen, Jay		Andy	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2743	Email	12/4/15 10:07 PM	Lathen, Jay	Protass, Harlan		Re: Andy	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2744	Email	12/5/15 10:50 AM	Protass, Harlan	Lathen, Jay		Re: Andy	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2745	Email	12/5/15 11:51 AM	Lathen, Jay	Protass, Harlan		Re: Andy	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2746	Email	12/5/15 12:49 PM	Auslander, Jay S.	Lathen, Jay		Re: Follow-up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2747	Email	12/5/15 1:10 PM	Protass, Harlan	Lathen, Jay		Re: Andy	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2748	Email	12/5/15 5:43 PM	Brune, Susan	Lathen, Jay	Kelly, Jacqueline	Engagement letter	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2749	Attachment(s)	12/5/15 10:41 PM	Lathen, Jay	Brune, Susan	Kelly, Jacqueline	Re: Engagement letter	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2750	Email	12/6/15 1:44 PM	Brune, Susan	Lathen, Jay			Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2751	Email	12/6/15 4:33 PM	Lathen, Jay	Brune, Susan		Jay Lathen has shared "EACP LPA 06 26 2013.pdf" with you using Dropbox	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2752	Email	12/6/15 4:33 PM	Lathen, Jay	Brune, Susan		Re: Jay Lathen has shared "EACP LPA 06 26 2013.pdf" with you using Dropbox	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2753	Email	12/6/15 5:15 PM	Brune, Susan	Lathen, Jay		Pwd: Judy	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2754	Email	12/7/15 9:39 AM	Lathen, Jay	Brune, Susan			Attorney Client Privilege	Communication requesting legal advice of counsel.		
2755	Attachment(s)	12/7/15 12:33 PM	Brune, Susan	Lathen, Jay		Pwd:	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2756	Email	12/7/15 2:33 PM	Lathen, Jay	Brune, Susan		RE: Pwd:	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2757	Email	12/8/15 9:44 AM	Galbraith, Kevin	Lathen, Jay		Status Check	Attorney Client Privilege	Communication reflecting legal advice of counsel.		

**In the Matter of Eden Arc Capital Management, LLC (NY-9197)
Privilege Log**

Entry Number	Record Type	Date	From	To	Cc	Email Subject or File Name	Privilege Type	Privilege Description	Redacted	Production Rate
2758	Email	12/8/15 9:58 AM	Lathen, Jay	Galbraith, Kevin		RE: Status Check	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2759	Email	12/8/15 10:03 AM	Lathen, Jay	O'Shea, Sean; Petrella, Michael		SEC Matter	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2760	Email with Attachment(s)	12/8/15 10:07 AM	Lathen, Jay	Galbraith, Kevin		Re: Status Check	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2761	Email	12/8/15 10:09 AM	Lathen, Jay	Auslander, Jay S.		Eden Arc - SEC Matter	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2762	Email	12/8/15 10:14 AM	Lathen, Jay	Hunter, Fraser	Galbraith, Kevin	Eden Arc - SEC Matter	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2763	Email	12/8/15 10:17 AM	Auslander, Jay S.	Lathen, Jay		Re: Eden Arc - SEC Matter	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2764	Email with Attachment(s)	12/8/15 10:34 AM	Lathen, Jay	Bruno, Susan		Follow-up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2765	Email	12/8/15 10:35 AM	Lathen, Jay	O'Shea, Sean		RE: SEC Matter	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2766	Email	12/8/15 10:39 AM	Hunter, Fraser	Lathen, Jay	Galbraith, Kevin	RE: Eden Arc - SEC Matter	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2767	Email	12/8/15 11:00 AM	Lathen, Jay	Auslander, Jay S.		RE: Eden Arc - SEC Matter	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2768	Email	12/8/15 11:17 AM	Lathen, Jay	Hunter, Fraser	Galbraith, Kevin	RE: Eden Arc - SEC Matter	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2769	Email	12/8/15 12:11 PM	Protass, Harlan	Lathen, Jay		Fwd: Eden Arc / Lathen / Wells Call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2770	Email	12/8/15 12:29 PM	Lathen, Jay	Bruno, Susan		Fwd: Eden Arc / Lathen / Wells Call	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2771	Email	12/8/15 12:58 PM	Lathen, Jay	Protass, Harlan		RE: Eden Arc / Lathen / Wells Call	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2772	Email	12/8/15 3:45 PM	Protass, Harlan	Lathen, Jay		RE: Eden Arc / Lathen / Wells Call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2773	Email	12/8/15 5:53 PM	Lathen, Jay	Roper, Eric		Eden Arc - SEC Matter	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2774	Email	12/9/15 2:29 AM	Bruno, Susan	Lathen, Jay		Re: Eden Arc / Lathen / Wells Call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2775	Email	12/9/15 8:57 AM	Kane, Darren	Lathen, Jay		Introduction	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2776	Email	12/9/15 9:03 AM	Lathen, Jay	Kane, Darren		RE: Introduction	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2777	Email	12/9/15 9:20 AM	Kane, Darren	Lathen, Jay		RE: Introduction	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2778	Email	12/9/15 12:04 PM	Kane, Darren	Lathen, Jay	Hartman, David	Reminder	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2779	Email	12/9/15 4:32 PM	Robinson, Michael D.	Lathen, Jay		Re: Rudi Transfer	Attorney Client Privilege	Communication reflecting legal advice of Susan Bruno.	Yes	EA0003793
2780	Email	12/9/15 6:07 PM	Lathen, Jay	Bruno, Susan		RE: Eden Arc / Lathen / Wells Call	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2781	Email	12/10/15 6:24 PM	Lathen, Jay	Bruno, Susan		Can we talk tomorrow morning?	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2782	Email	12/10/15 7:03 PM	Bruno, Susan	Lathen, Jay		RE: Can we talk tomorrow morning?	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2783	Email	12/10/15 9:31 PM	Lathen, Jay	Bruno, Susan		RE: Can we talk tomorrow morning?	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2784	Email	12/10/15 9:53 PM	Protass, Harlan	Lathen, Jay		Friday	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2785	Email	12/10/15 10:29 PM	Lathen, Jay	Protass, Harlan		Re: Friday	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2786	Email with Attachment(s)	12/11/15 1:51 PM	Lathen, Jay	Riley, John H.		Eden Arc - Follow-up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2787	Email	12/11/15 1:58 PM	Riley, John H.	Lathen, Jay	Riley, John H.	RE: Eden Arc - Follow-up	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2788	Email	12/11/15 3:50 PM	Lathen, Jay	Bruno, Susan		Fund counsel	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2789	Email	12/11/15 3:51 PM	Lathen, Jay	Roper, Eric		John Riley	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2790	Email	12/11/15 3:53 PM	Lathen, Jay	Riley, John H.		RE: Eden Arc - Follow-up	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2791	Email	12/11/15 4:45 PM	Galbraith, Kevin	Lathen, Jay		Checking in	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2792	Email	12/11/15 4:48 PM	Lathen, Jay	Galbraith, Kevin		RE: Checking in	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2793	Email with Attachment(s)	12/11/15 4:57 PM	Galbraith, Kevin	Lathen, Jay		Re: Checking in	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2794	Email	12/11/15 4:58 PM	Lathen, Jay	Galbraith, Kevin		RE: Checking in	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2795	Email with Attachment(s)	12/11/15 5:07 PM	Galbraith, Kevin	Lathen, Jay		Re: Checking in	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2796	Email with Attachment(s)	12/11/15 5:13 PM	Riley, John H.	Lathen, Jay	Riley, John H.; Molloy, Pam; Jardine, Penny B.; Gagliardi, Rose Ann	Eden Arc	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2797	Email with Attachment(s)	12/11/15 5:20 PM	Lathen, Jay	Riley, John H.		RE: Eden Arc	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2798	Email	12/11/15 5:23 PM	Roper, Eric	Lathen, Jay		Re: John Riley	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2799	Email	12/11/15 5:23 PM	Riley, John H.	Lathen, Jay	Molloy, Pam; Jardine, Penny B.; Gagliardi, Rose Ann	RE: Eden Arc	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2800	Email with Attachment(s)	12/11/15 6:23 PM	Lathen, Jay	Bruno, Susan		is a CD a Security and is a bond redemption a "security purchase"	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2801	Email	12/13/15 4:43 PM	Hartman, David	Lathen, Jay	Kane, Darren	IARD Password	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2802	Email	12/14/15 10:24 AM	Galbraith, Kevin	Lathen, Jay		GE / Symphony Update	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2803	Email	12/14/15 10:24 AM	Lathen, Jay	Hartman, David	Kane, Darren	RE: IARD Password	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2804	Email	12/14/15 10:30 AM	Lathen, Jay	Hartman, David	Kane, Darren	RE: IARD Password	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2805	Email	12/14/15 11:23 AM	Lathen, Jay	Bruno, Susan		Wells call	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2806	Email	12/14/15 11:24 AM	Bruno, Susan	Lathen, Jay		Re: Wells call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2807	Email with Attachment(s)	12/14/15 12:08 PM	Bruno, Susan	Lathen, Jay	draft@bruneandrichard.com	Fwd: Memo re SEC Wells Call	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2808	Email with Attachment(s)	12/14/15 12:35 PM	Popowsky, Matthew	Lathen, Jay	Bruno, Susan; Kelly, Jacqueline	Privileged & Confidential	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2809	Email with Attachment(s)	12/14/15 1:02 PM	Protass, Harlan	Lathen, Jay		Rle Transfer	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2810	Email with Attachment(s)	12/14/15 1:41 PM	Lathen, Jay	Riley, John H.		PW: Privileged & Confidential	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2811	Email with Attachment(s)	12/14/15 1:45 PM	Riley, John H.	Lathen, Jay	Riley, John H.; Danovitch, David E.	PW: Privileged & Confidential	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2812	Email with Attachment(s)	12/14/15 2:06 PM	Popowsky, Matthew	Lathen, Jay	Bruno, Susan; Kelly, Jacqueline	Privileged & Confidential	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2813	Email	12/15/15 8:00 AM	Hartman, David	Lathen, Jay	Kane, Darren	RE: IARD Password	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2814	Email	12/15/15 9:10 AM	Lathen, Jay	Robinson, Michael D.		Fwd: IARD Password	Attorney Client Privilege	Communication reflecting legal advice of David Hartman.		
2815	Email	12/15/15 11:17 AM	Robinson, Michael D.	Lathen, Jay	Hartman, David	RE: IARD Password	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2816	Email	12/15/15 2:09 PM	Lathen, Jay	Riley, John H.	Danovitch, David E.	RE: Privileged & Confidential	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2817	Email with Attachment(s)	12/16/15 1:50 PM	Popowsky, Matthew	Lathen, Jay	Bruno, Susan	Retainer Agreement	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2818	Email with Attachment(s)	12/16/15 3:34 PM	Lathen, Jay	Bruno, Susan	Riley, John H.	Follow-up on the 2 items we discussed	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2819	Email	12/17/15 6:42 AM	Bruno, Susan	Lathen, Jay	Riley, John H.	Re: Follow-up on the 2 items we discussed	Attorney Client Privilege	Communication reflecting legal advice of counsel.		

**In the Matter of Eden Arc Capital Management, LLC (NY-9197)
Privilege Log**

Entry Number	Record Type	Date	From	To	Cc	Email Subject or File Name	Privilege Type	Privilege Description	Redacted	Production Dates
2820	Email with Attachment(s)	12/17/15 11:46 AM	Bruno, Susan	Riley, John H.; Lathen, Jay		Wells letter	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2821	Email with Attachment(s)	12/17/15 12:32 PM	Proffess, Harlan	Lathen, Jay		Fwd: File Transfer	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2822	Email	12/17/15 1:07 PM	Lathen, Jay	Proffess, Harlan		RE: File Transfer	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2823	Email	12/17/15 1:51 PM	Proffess, Harlan	Lathen, Jay		Re: File Transfer	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2824	Email	12/17/15 2:36 PM	Lathen, Jay	Galbraith, Kevin		RE: Legal Fee	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2825	Email with Attachment(s)	12/17/15 3:08 PM	Galbraith, Kevin	Lathen, Jay		Re: Legal Fee	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2826	Email	12/17/15 3:26 PM	Lathen, Jay	Kane, Darren	Hartman, David	RE: Reminder	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2827	Email	12/17/15 3:43 PM	Hartman, David	Lathen, Jay; Kane, Darren	Calaguso, Cheryl	RE: Reminder	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2828	Email	12/17/15 5:10 PM	Kane, Darren	Lathen, Jay		[Auto-Reply] FW: Mission-Critical Services Corp.-Eden Arc Capital Partners, LP-	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2829	Email with Attachment(s)	12/17/15 5:10 PM	Lathen, Jay	Hartman, David; Kane, Darren		FW: Mission-Critical Services Corp.-Eden Arc Capital Partners, LP- Payment Update	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2830	Email with Attachment(s)	12/17/15 5:47 PM	Lathen, Jay	Popowsky, Matthew	Bruno, Susan	RE: Retainer Agreement	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2831	Email	12/17/15 6:43 PM	Kane, Darren	Lathen, Jay		[Auto-Reply] Wells Notice	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2832	Email with Attachment(s)	12/17/15 6:43 PM	Lathen, Jay	Kane, Darren; Hartman, David		Wells Notice	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2833	Email with Attachment(s)	12/17/15 7:34 PM	Bruno, Susan	Lathen, Jay	Riley, John H.	For discussion, see below	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2834	Email	12/17/15 7:39 PM	Kane, Darren	Lathen, Jay	Hartman, David	RE: Wells Notice	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2835	Email	12/17/15 7:49 PM	Lathen, Jay	Bruno, Susan	Riley, John H.	Re: For discussion, see below	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2836	Email	12/17/15 8:47 PM	Kane, Darren	Lathen, Jay	Hartman, David	RE: Mission-Critical Services Corp.-Eden Arc Capital Partners, LP- Payment Update	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2837	Email	12/17/15 10:34 AM	Riley, John H.	Lathen, Jay	Bruno, Susan; Riley, John H.	Re: For discussion, see below	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2838	Email	12/18/15 10:01 AM	Lathen, Jay	Bruno, Susan	Riley, John H.	Testimony Transcripts	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2839	Email	12/18/15 11:10 AM	Lathen, Jay	Kane, Darren		RE: Mission-Critical Services Corp.-Eden Arc Capital Partners, LP- Payment Update	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2840	Email	12/18/15 11:18 AM	Lathen, Jay	Bruno, Susan	Riley, John H.	RE: For discussion, see below	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2841	Email with Attachment(s)	12/18/15 12:05 PM	Kelly, Jacqueline	Lathen, Jay	Bruno, Susan; Popowsky, Matthew	Privileged & Confidential	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2842	Email	12/18/15 12:12 PM	Lathen, Jay	Bruno, Susan	Riley, John H.	RE: For discussion, see below	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2843	Email	12/18/15 1:34 PM	Flanders, Robert G.	Lathen, Jay	Farrali, Margaret D.	Jackie Kelly	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2844	Email	12/18/15 3:55 PM	Hartman, David	Lathen, Jay	Kane, Darren	ADV	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2845	Email	12/18/15 3:55 PM	Kane, Darren	Lathen, Jay	Hartman, David	RE: Reminder	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2846	Email with Attachment(s)	12/18/15 5:44 PM	Bruno, Susan	Lathen, Jay; Riley, John H.		Wells outline for our discussion on Monday	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2847	Email	12/21/15 10:31 AM	Lathen, Jay	Kane, Darren	Hartman, David	RE: Reminder	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2848	Email	12/23/15 10:34 AM	Kane, Darren	Lathen, Jay		[Auto-Reply] RE: ADV	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2849	Email	12/23/15 10:34 AM	Lathen, Jay	Hartman, David	Kane, Darren	RE: ADV	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2850	Email with Attachment(s)	12/21/15 11:20 AM	Bruno, Susan	Lathen, Jay	Kelly, Jacqueline; Popowsky, Matthew; Riley, John H.	FW: In the Matter of Eden Arc Capital Management, LLC	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2851	Email with Attachment(s)	12/21/15 11:26 AM	Lathen, Jay	Robinson, Michael D.		FW: In the Matter of Eden Arc Capital Management, LLC	Attorney Client Privilege/Work Product	Communication attaching privileged work product.		
2852	Email	12/21/15 1:11 PM	Kane, Darren	Lathen, Jay	Hartman, David	RE: ADV	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2853	Email	12/21/15 1:11 PM	Kane, Darren	Lathen, Jay		RE: Reminder	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2854	Email with Attachment(s)	12/21/15 4:06 PM	Hartman, David	Lathen, Jay	Kane, Darren; Hartman, David	Draft ADV for Review	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2855	Email with Attachment(s)	12/21/15 6:09 PM	Hartman, David	Lathen, Jay	Kane, Darren	Resend of ADV 1A	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2856	Email with Attachment(s)	12/21/15 6:27 PM	Lathen, Jay	Riley, John H.		Fwd: Edenarc-Summary capital account allocations_inception to 10/31/15	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2857	Email	12/21/15 6:33 PM	Riley, John H.	Lathen, Jay	Riley, John H.	RE: Edenarc-Summary capital account allocations_inception to 10/31/15 -Confidential;	Attorney - Client Privilege	Attorney Client Privilege	Communication reflecting legal advice of counsel.	
2858	Email with Attachment(s)	12/22/15 2:22 PM	Hartman, David	Lathen, Jay	Kane, Darren	Updated ADV 2B	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2859	Email	12/22/15 4:35 PM	Lathen, Jay	Hartman, David		Re: Resend of ADV 1A	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2860	Email with Attachment(s)	12/23/15 9:41 AM	Bruno, Susan	Lathen, Jay	Riley, John H.; Popowsky, Matthew; Kelly, Jacqueline	Another Wells notice	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2861	Email	12/23/15 9:47 AM	Hartman, David	Lathen, Jay		RE: Resend of ADV 1A	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2862	Email	12/23/15 12:18 PM	Robinson, Michael D.	Galbraith, Kevin		Fwd: Re: Caterpillar Survivor Option Cusip 14912HQA1	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2863	Email	12/23/15 12:26 PM	Galbraith, Kevin	Robinson, Michael D.		Re: Caterpillar Survivor Option Cusip 14912HQA1	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2864	Email	12/25/15 6:31 AM	Bruno, Susan	Lathen, Jay; Galbraith, Kevin; Popowsky, Matthew; Kelly, Jacqueline		NYTimes: Obscure Corner of Wall St. Draws Skepticism From Investors	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2865	Email	12/28/15 10:19 AM	Hartman, David	Lathen, Jay	Kane, Darren; Hartman, David	ADV update	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2866	Email	12/28/15 11:45 AM	Robinson, Michael D.	Lathen, Jay		Re: FW: In the Matter of Eden Arc Capital Management, LLC	Attorney Client Privilege/Work Product	Communication containing privileged work product.		
2867	Email	12/28/15 4:48 PM	Lathen, Jay	Robinson, Michael D.		Re: In the Matter of Eden Arc Capital Management, LLC	Attorney Client Privilege/Work Product	Communication containing privileged work product.		
2868	Email	12/29/15 7:02 PM	Robinson, Michael D.	Lathen, Jay		Re: In the Matter of Eden Arc Capital Management, LLC	Attorney Client Privilege/Work Product	Communication containing privileged work product.		
2869	Email	12/29/15 2:34 PM	Lathen, Jay	Hartman, David	Kane, Darren	RE: ADV update	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2870	Email with Attachment(s)	12/29/15 2:37 PM	Hartman, David	Lathen, Jay	Kane, Darren	RE: ADV update	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2871	Email	12/29/15 4:58 PM	Lathen, Jay	Hartman, David	Kane, Darren	Re: ADV update	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2872	Email with Attachment(s)	1/4/16 8:40 AM	Hartman, David	Lathen, Jay	Hartman, David; Kane, Darren	ADV Filed	Attorney Client Privilege	Communication reflecting legal advice of counsel.		

**In the Matter of Eden Arc Capital Management, LLC (NY-9197)
Privilege Log**

Entry Number	Record Type	Date	From	To	CC	Email Subject or File Name	Privilege Type	Privilege Description	Redacted	Production Rate
2873	Email with Attachment(s)	1/4/16 11:08 PM	Brune, Susan	Lathen, Jay		new card for me - please note that I don't always have my mobile phone on, so office is usually best	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2874	Email	1/4/16 2:15 PM	Brune, Susan	Lathen, Jay		A privileged and confidential happy new year!	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2875	Email	1/4/16 2:35 PM	Popowsky, Matthew	Robinson, Michael D.		Email	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2876	Email	1/4/16 2:53 PM	Robinson, Michael D.	Popowsky, Matthew		Re: Email	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2877	Email	1/4/16 3:40 PM	Lathen, Jay	Brune, Susan		RE: A privileged and confidential happy new year!	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2878	Email	1/4/16 6:01 PM	Robinson, Michael D.	Popowsky, Matthew		RE: Email	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2879	Email	1/4/16 6:13 PM	Popowsky, Matthew	Robinson, Michael D.		Re: Email	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2880	PDF	7/7/2015	Clayman & Rosenberg LLP	Lathen, Jay		Clayman & Rosenberg Invoice 7-7-15	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2881	PDF	8/11/2015	Clayman & Rosenberg LLP	Lathen, Jay		Clayman & Rosenberg Invoice 8-11-15	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2882	Email	7/16/15 3:13 PM	Galbraith, Kevin	Lathen, Jay		Legal Fees	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2883	PDF	7/15/2015	Galbraith, Kevin	Lathen, Jay		Galbraith Invoice 7-15-15	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2884	PDF	12/16/2015	Brune & Richard LLP	Lathen, Jay		Brune & Richard Retainer Invoice and Payment 12-17-15	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2885	PDF	12/14/2015	Clayman & Rosenberg LLP	Lathen, Jay		Clayman and Rosenberg Invoice and Payment 12-17-15	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2886	PDF	12/4/2015	Galbraith, Kevin	Lathen, Jay		Galbraith Invoice and Payment 12-17-15	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2887	PDF	5/7/2015	Clayman & Rosenberg LLP	Lathen, Jay		Clayman & Rosenberg Invoice & Payment 6-7-15	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2888	PDF	4/14/2015	Galbraith, Kevin	Lathen, Jay		Kevin Galbraith Bill & Payment 6-7-15	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2889	PDF	4/27/2015	Galbraith, Kevin	Lathen, Jay		Kevin Galbraith Bill & Payment	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2890	PDF	9/8/2015	Clayman & Rosenberg LLP	Lathen, Jay		Clayman & Rosenberg Invoice 9-8-15	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2891	PDF	9/4/2015	Galbraith, Kevin	Lathen, Jay		Galbraith Invoices 9-4-15 and 10-1-15	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2892	Spreadsheet					sacp redemptions current	Attorney Client Privilege	Communication reflecting legal advice of counsel.	Yes	EA00033924
2893	Email	10/10/14 6:21 PM	Kane, Darren	Lathen, Jay		EndCare Brochure	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2894	Attachment						Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2895	Email	10/20/14 6:25 PM	Kane, Darren	Lathen, Jay		Revised EndCare Brochure	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2896	Attachment						Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2897	Email	10/20/14 6:25 PM	Kane, Darren	Lathen, Jay		RE: EndCare Brochure	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2898	Attachment						Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2899	Email	7/23/15 11:57 AM	Robinson, Michael D.	Protass, Harlan		Fwd: Re: EndCare brochure	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2900	Attachment						Attorney Client Privilege	Communication requesting legal advice of counsel.		
2901	Email	7/23/15 2:37 PM	Robinson, Michael D.	Protass, Harlan		Re:	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2902	Attachment						Attorney Client Privilege	Communication requesting legal advice of counsel.		
2903	Email	7/23/15 10:15 AM	Robinson, Michael D.	Lathen, Jay		Re: EndCare brochure	Attorney Client Privilege	Communication reflecting legal advice of Darren Kane		
2904	Attachment						Attorney Client Privilege	Communication reflecting legal advice of Darren Kane		
2905	Attachment						Attorney Client Privilege	Communication reflecting legal advice of Darren Kane		
2906	Attachment						Attorney Client Privilege	Communication reflecting legal advice of Darren Kane		
2907	Email	10/23/14 1:49 PM	Robinson, Michael D.	Kane, Darren	Lathen, Jay	EndCare Brochure	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2908	Attachment						Attorney Client Privilege	Communication requesting legal advice of counsel.		
2909	Email	10/14/14 11:08 PM	Robinson, Michael D.	Lathen, Jay		Re: PW: EndCare Brochure	Attorney Client Privilege	Communication reflecting legal advice of Darren Kane		
2910	Attachment						Attorney Client Privilege	Communication reflecting legal advice of Darren Kane		
2911	Attachment						Attorney Client Privilege	Communication reflecting legal advice of Darren Kane		
2912	Attachment						Attorney Client Privilege	Communication reflecting legal advice of Darren Kane		
2913	Email	9/11/12 12:40 PM	Robinson, Michael D.	Ferrell, Margaret D.	Lathen, Jay	Documents	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2914	Attachment						Attorney Client Privilege	Communication requesting legal advice of counsel.		
2915	Email	9/18/12 2:25 PM	Ferrell, Margaret D.	Lathen, Jay; Robinson, Michael D.	Doring, Matthew P.; Mandi, Jacquelyn A.	EndCare Documents/Attorney client privileged	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2916	Attachment						Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2917	Email	10/1/12 5:10 PM	Robinson, Michael D.	Ferrell, Margaret D.	Doring, Matthew P.; Mandi, Jacquelyn A.; Lathen, Jay	Brochure and Participant's Agreement	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2918	Attachment						Attorney Client Privilege	Communication requesting legal advice of counsel.		
2919	Attachment						Attorney Client Privilege	Communication requesting legal advice of counsel.		
2920	Email	12/3/12 12:58 PM	Robinson, Michael D.	Ferrell, Margaret D.	Lathen, Jay	Re: "Caramadre Memorandum" Revisions	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2921	Attachment						Attorney Client Privilege	Communication requesting legal advice of counsel.		
2922	Email	12/19/12 1:05 PM	Robinson, Michael D.	Ferrell, Margaret D.	Lathen, Jay	Re: "Caramadre Memorandum" Revisions	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2923	Attachment						Attorney Client Privilege	Communication requesting legal advice of counsel.		
2924	Word Document					Affidavit ISO Opposition 9 3 15 lg draft	Attorney Work Product	Attorney draft prepared in Prospect Capital litigation.		
2925	Word Document					Affidavit ISO Reply JJ edits final	Attorney Work Product	Attorney draft prepared in Prospect Capital litigation.		
2926	Word Document					Opposition to Motion for Partial SJ JJ edits to lg draft 9 3 15	Attorney Work Product	Attorney draft prepared in Prospect Capital litigation.		
2927	Word Document					Opposition to Motion for Partial SJ lg draft 9 3 15	Attorney Work Product	Attorney draft prepared in Prospect Capital litigation.		
2928	PDF					Prospect Reply ISO MTD 7 15 15	Attorney Work Product	Attorney draft prepared in Prospect Capital litigation.		
2929	Word Document					Reply ISO MTD JJ markup to KG draft 7 15 15	Attorney Work Product	Attorney draft prepared in Prospect Capital litigation.		
2930	Word Document					Reply ISO MTD KG draft	Attorney Work Product	Attorney draft prepared in Prospect Capital litigation.		

**In the Matter of Eden Arc Capital Management, LLC (NY-9197)
Privilege Log**

Entry Number	Record Type	Date	From	To	CC	Email Subject or File Name	Privilege Type	Privilege Description	Redacted	Production Dates
2931	Word Document					Reply ISO MTD by Final	Attorney Work Product	Attorney draft prepared in Prospect Capital litigation.		
2932	Word Document					Reply ISO MTD by Final II last edits	Attorney Work Product	Attorney draft prepared in Prospect Capital litigation.		
2933	Word Document					07 17 15 Draft SEC White Paper - Eden Arc	Attorney Work Product	Legal research relating to SEC investigation.		
2934	Word Document					07 17 15 Draft SEC White Paper - Eden Arc II edits	Attorney Work Product	Legal research relating to SEC investigation.		
2935	Word Document					07 20 15 Draft SEC White Paper - Eden Arc CLEAN	Attorney Work Product	Legal research relating to SEC investigation.		
2936	Word Document					07 20 15 Draft SEC White Paper - Eden Arc REDLINE	Attorney Work Product	Legal research relating to SEC investigation.		
2937	Word Document					07 20 15 Draft SEC White Paper Redits redline	Attorney Work Product	Legal research relating to SEC investigation.		
2938	Word Document					08 19 15 Letter to Walstock and Grace re Email Production and Reverse Proffer	Attorney Work Product	Attorney draft prepared in connection with SEC investigation.		
2939	Word Document					08 19 15 Letter to Walstock and Grace re Email Production and Reverse Proffer Redits	Attorney Work Product	Attorney draft prepared in connection with SEC investigation.		
2940	Word Document					09 03 15 Draft SEC White Paper - Eden Arc	Attorney Work Product	Legal research relating to SEC investigation.		
2941	Word Document					09 03 15 Draft SEC White Paper - Eden Arc II edits	Attorney Work Product	Legal research relating to SEC investigation.		
2942	Word Document					09 10 15 Draft SEC White Paper - Eden Arc - H/P Comments II edits	Attorney Work Product	Legal research relating to SEC investigation.		
2943	Word Document					09 10 15 Draft SEC White Paper - Eden Arc - H/P Comments II edits	Attorney Work Product	Legal research relating to SEC investigation.		
2944	Word Document					09 10 15 Draft SEC White Paper - Eden Arc - H/P Comments II final edits clean - DX - 20150910	Attorney Work Product	Legal research relating to SEC investigation.		
2945	Word Document					09 10 15 Draft SEC White Paper - Eden Arc - H/P Comments II final edits clean	Attorney Work Product	Legal research relating to SEC investigation.		
2946	Word Document					09 10 15 Draft SEC White Paper - Eden Arc	Attorney Work Product	Legal research relating to SEC investigation.		
2947	Word Document					09 10 15 Draft SEC White Paper - Eden Arc II edits	Attorney Work Product	Legal research relating to SEC investigation.		
2948	Word Document					09 11 15 SEC White Paper - Eden Arc - Lathen	Attorney Work Product	Legal research relating to SEC investigation.		
2949	Word Document					09 11 15 SEC White Paper - Eden Arc - Lathen lathen final edits	Attorney Work Product	Legal research relating to SEC investigation.		
2950	PDF					33 act	Attorney Work Product	Legal research regarding securities law.		
2951	PDF					aba report task form pp brokers	Attorney Work Product	Legal research regarding broker-dealers.		
2952	PDF					admin agreement edits	Attorney Client Privilege	Attorney draft of agreement reflecting legal advice.		
2953	PDF					bony correspondence	Attorney Client Privilege	Materials received from Robert Flanders in connection with request for legal advice.		
2954	PDF					caramadre 106 RR Plea Agreement 11 19 12	Attorney Client Privilege	Materials received from Robert Flanders in connection with request for legal advice.		
2955	PDF					caramadre indictment 11-17 11	Attorney Client Privilege	Materials received from Robert Flanders in connection with request for legal advice.		
2956	PDF					caramadre Plea Agreement 11 19 12	Attorney Client Privilege	Materials received from Robert Flanders in connection with request for legal advice.		
2957	PDF					Doc 106-1 Statement of Facts 11 19 12 (2)	Attorney Client Privilege	Materials received from Robert Flanders in connection with request for legal advice.		
2958	PDF					disc mark up 2 3 11	Attorney Client Privilege	Attorney draft of agreement reflecting legal advice.		
2959	Word Document					FACP LPA 06 25 2013 clean	Attorney Client Privilege	Attorney draft of agreement reflecting legal advice.		
2960	Word Document					FACP LPA 06 25 2013 redline	Attorney Client Privilege	Attorney draft of agreement reflecting legal advice.		
2961	Word Document					FACP LPA 06 25 2013 comments	Attorney Client Privilege	Attorney draft of agreement reflecting legal advice.		
2962	Word Document					FACP PPM 06 26 2013	Attorney Client Privilege	Attorney draft of agreement reflecting legal advice.		
2963	Word Document					FACP PPM 06 26 2013 redline	Attorney Client Privilege	Attorney draft of agreement reflecting legal advice.		
2964	Word Document					eden_arc_capital_advisors_operating_agreement	Attorney Client Privilege	Attorney draft of agreement reflecting legal advice.		
2965	Word Document					eden_arc_capital_advisors_operating_agreement4_15_13	Attorney Client Privilege	Attorney draft of agreement reflecting legal advice.		
2966	Word Document					eden_arc_capital_management_operating_agreement	Attorney Client Privilege	Attorney draft of agreement reflecting legal advice.		
2967	Word Document					eden_arc_capital_management_operating_agreement_4_15_13	Attorney Client Privilege	Attorney draft of agreement reflecting legal advice.		
2968	Word Document	1/12/2011	Hood, Bruce E.	Lathen, Jay; Sophos, Constantine; Lavet, Jeff		EdenArcTaxMemorandum w/dgn dana 1 12 11	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2969	Word Document					EndCare legal issues	Attorney Client Privilege	Outline reflecting requests for legal advice.		
2970	Word Document					ENDCARENDCAPITALPARTNERS(I)	Attorney Work Product	Attorney draft of agreement reflecting legal advice.		
2971	Word Document					endcare contract	Attorney Work Product	Attorney draft of agreement reflecting legal advice.		
2972	Word Document					EndCare term sheet 10 6 10	Attorney Work Product	Attorney draft of agreement reflecting legal advice.		
2973	Word Document					EndCare term sheet 10 6 10 clean	Attorney Work Product	Attorney draft of agreement reflecting legal advice.		
2974	Word Document					EndCare term sheet9 30 10	Attorney Work Product	Attorney draft of agreement reflecting legal advice.		
2975	Word Document					EndCare term sheet9 30 10 clean	Attorney Work Product	Attorney draft of agreement reflecting legal advice.		
2976	Word Document					EndCare term sheet10 4 10	Attorney Work Product	Attorney draft of agreement reflecting legal advice.		
2977	Word Document					ENDCARENDCAPITALPARTNERS	Attorney Work Product	Attorney draft of agreement reflecting legal advice.		
2978	Word Document					ENDCARENDCAPITALPARTNERS limited life(I)	Attorney Work Product	Attorney draft of agreement reflecting legal advice.		
2979	Word Document					End mgmt agreements 199a	Attorney Work Product	Legal research regarding FINRA regulations.		
2980	PDF					fnra reg option	Attorney Work Product	Legal research regarding FINRA regulations.		
2981	PDF					fnra rule 4210	Attorney Work Product	Legal research regarding FINRA regulations.		
2982	Word Document	2/18/2010	Lathen, Jay	Flanders, Robert G.		Flanders 2 18 10	Attorney Client Privilege	Communication requesting legal advice of counsel.		
2983	PDF	5/9/2012	Roper, Eric	Lathen, Jay		jerzlen ewg ltr 5 9 12	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2984	Word Document	10/8/2010	Roper, Eric	Lathen, Jay		jerzlen ewage dirf ewg ltr fund 10 8 10	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2985	PDF	10/20/2010	Roper, Eric	Lathen, Jay		jerzlen ewage ewg ltr 10 20 10	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2986	PDF	8/18/2010	Roper, Eric	Lathen, Jay		jerzlen ewage ewg 8 18 10	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2987	PDF					hedge fund legal primar	Attorney Work Product	Legal research regarding hedge funds.		
2988	PDF	7/26/2012	Flanders, Robert G.	Lathen, Jay		Hindley ewg ltr 7 26 12	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2989	PDF	7/30/2012	Flanders, Robert G.	Lathen, Jay		Hindley ewg ltr executed 7 30 12	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2990	Word Document					IMA 1 26 11	Attorney Client Privilege	Attorney draft of agreement reflecting legal advice.		
2991	Word Document					IMA final 5 1 11	Attorney Client Privilege	Attorney draft of agreement reflecting legal advice.		
2992	Word Document	8/31/2012	Farnell, Margaret D.	Lathen, Jay		Lathen - Memo re Caramadre 8 5 20143846 (2)	Attorney Client Privilege	Communication reflecting legal advice of counsel.		
2993	PDF					lp agreement comments	Attorney Client Privilege	Attorney draft of agreement reflecting legal advice.		
2994	PDF					lp edits 6 26 12	Attorney Client Privilege	Attorney draft of agreement reflecting legal advice.		

**In the Matter of Eden Arc Capital Management, LLC (NY-9197)
Privilege Log**

Entry Number	Record Type	Date	From	To	CC	Email Subject or File Name	Privilege Type	Privilege Description	Redacted	Production Status
2995	PDF					lp edits 11 23 10	Attorney Client Privilege	Attorney draft of agreement reflecting legal advice.		
2996	Word Document					lpa 2 4 11	Attorney Client Privilege	Attorney draft of agreement reflecting legal advice.		
2997	PDF					lpn edits 5 28 12	Attorney Client Privilege	Attorney draft of agreement reflecting legal advice.		
2998	Word Document					lpa2 04 11	Attorney Client Privilege	Attorney draft of agreement reflecting legal advice.		
2999	Word Document					nda	Attorney Client Privilege	Attorney draft of agreement reflecting legal advice.		
3000	Word Document					nda 2 10 2010	Attorney Client Privilege	Attorney draft of agreement reflecting legal advice.		
3001	Word Document					nda 12 13 2010	Attorney Client Privilege	Attorney draft of agreement reflecting legal advice.		
3002	Word Document					nda hrc 4 28 2010	Attorney Client Privilege	Attorney draft of agreement reflecting legal advice.		
3003	Word Document					nda prelude 4 29 2010	Attorney Client Privilege	Attorney draft of agreement reflecting legal advice.		
3004	Word Document					nj pos 10 24 11	Attorney Client Privilege	Attorney draft of agreement reflecting legal advice.		
3005	Word Document					non-solicit-disclaimer-lang	Attorney Client Privilege	Attorney draft of contract language reflecting legal advice.		
3006	Word Document					ny limited pos	Attorney Client Privilege	Attorney draft of agreement reflecting legal advice.		
3007	Word Document					part agreement 2 17 11	Attorney Client Privilege	Attorney draft of agreement reflecting legal advice.		
3008	Word Document					part agreement 3 2 11	Attorney Client Privilege	Attorney draft of agreement reflecting legal advice.		
3009	PDF					part agreement 3 2 11	Attorney Client Privilege	Attorney draft of agreement reflecting legal advice.		
3010	Word Document					part agreement 8 11	Attorney Client Privilege	Attorney draft of agreement reflecting legal advice.		
3011	PDF					part agreement 8 11	Attorney Client Privilege	Attorney draft of agreement reflecting legal advice.		
3012	Word Document					part agreement 10 11	Attorney Client Privilege	Attorney draft of agreement reflecting legal advice.		
3013	Word Document					part agreement w/ markup 2 4 11	Attorney Client Privilege	Attorney draft of agreement reflecting legal advice.		
3014	Word Document					part agreement+pos 5 21 12	Attorney Client Privilege	Attorney draft of agreement reflecting legal advice.		
3015	Word Document					Participant Agreement2.4.11	Attorney Client Privilege	Attorney draft of agreement reflecting legal advice.		
3016	Word Document					pos taxes	Attorney Client Privilege	Attorney draft of Private Placement Memorandum reflecting legal advice.		
3017	Word Document					ppm 2 4 11	Attorney Client Privilege	Attorney draft of Private Placement Memorandum reflecting legal advice.		
3018	Word Document					ppm 11 22 10	Attorney Client Privilege	Attorney draft of Private Placement Memorandum reflecting legal advice.		
3019	Word Document					ppm 11 22 10 lathen edits	Attorney Client Privilege	Attorney draft of Private Placement Memorandum reflecting legal advice.		
3020	Word Document					ppm 11 22 10 lathen edits[1]	Attorney Client Privilege	Attorney draft of Private Placement Memorandum reflecting legal advice.		
3021	Word Document					ppm lathen edits 12 21 10	Attorney Client Privilege	Attorney draft of Private Placement Memorandum reflecting legal advice.		
3022	Word Document					PPM2 04 11	Attorney Client Privilege	Attorney draft of Private Placement Memorandum reflecting legal advice.		
3023	PDF					reg t	Attorney Work Product	Legal research regarding broker-dealers.		
3024	Notepad Document					reg t	Attorney Work Product	Legal research regarding broker-dealers.		
3025	Word Document					robbins ltr 8 26 10	Attorney Client Privilege	Attorney draft of correspondence reflecting legal advice.		
3026	PDF					robbins ltr final	Attorney Client Privilege	Attorney draft of correspondence reflecting legal advice.		
3027	PDF					sample so language	Attorney Client Privilege	Legal research regarding survivor's option.		
3028	PDF					sec34 (1)	Attorney Work Product	Legal research regarding securities.		
3029	Word Document					special POA 4 28 11	Attorney Client Privilege	Attorney draft of agreement reflecting legal advice.		
3030	PDF					special POA 4 28 11	Attorney Client Privilege	Attorney draft of agreement reflecting legal advice.		
3031	Word Document					sub agreement 01.10.11	Attorney Client Privilege	Attorney draft of agreement reflecting legal advice.		
3032	Word Document					sub agreement 02.25.11	Attorney Client Privilege	Attorney draft of agreement reflecting legal advice.		
3033	Word Document					Sub agreement 3 3 11	Attorney Client Privilege	Attorney draft of agreement reflecting legal advice.		

EXHIBIT C

In the Matter of Eden Arc Capital Management, LLC (NY-9197)

Attorney List

Name	Firm(s)	Email Address(es)	Additional Information
Auslander, Jay	Wilk Auslander LLP	JAuslander@wilkauslander.com	
Blattmachr, Jonathan	Pioneer Wealth Partners, LLC	jblattmachr@hotmail.com	
Brune, Susan	Brune Law P.C.; Brune & Richard LLP	sbrune@brunelaw.com; sbrune@bruneandrichard.com	
Caccimello	Clayman & Rosenberg LLP	caccimello@clayro.com	
Calagulo, Cheryl	Gersten Savage LLP	ccalagulo@gerstensavage.com	
Coumoutsos, Jami	Tannenbaum Helpert Syracuse & Hirschtritt LLP	Coumoutsos@thsh.com	Administrative Assistant
Culhane, Stephen	Kaye Scholer LLP	stephen.culhane@kayescholer.com	
Derosa, Stephen	Gersten Savage LLP	sderosa@gerstensavage.com	
Domina, Daren	Katten Muchin Rosenman LLP	daren.domina@kattenlaw.com	
Doring, Matthew	Hinckley, Allen & Snyder LLP	mdoring@haslaw.com	
Falco, Donna	Hinckley, Allen & Snyder LLP	dfalco@haslaw.com	Administrative Assistant
Farrell, Margaret	Hinckley, Allen & Snyder LLP	mfarrell@haslaw.com	
Flanders, Robert	Hinckley, Allen & Snyder LLP	rflanders@hinckleyallen.com	
Galbraith, Kevin	The Law Office of Kevin Galbraith LLC	kevin@kevingalbraithlaw.com	Also called "Law Office" in the message files
Gosnell, Wayne	Clayman & Rosenberg LLP	gosnell@clayro.com	
Green, Jonathan	Kaye Scholer LLP	jonathan.green@kayescholer.com	
Grundstein, Robert	Katten Muchin Rosenman LLP / Sabby Capital LLC (General Counsel)	robert.grundstein@kattenlaw.com; rgrundstein@sabbycapital.com	
Hartman, David	Mission Critical Services, Legal	David.hartman@missioncriticalservices.com	
Hood, Bruce	Wiggin and Dana LLP	BHood@wiggin.com	
Hunter, Fraser	Wilmer Cutler Pickering Hale and Dorr LLP	Fraser.Hunter@wilmerhale.com	
Joseph, Cassandra	Integrated Management Solutions USA LLC	cjoseph@intman.com	
Kane, Darren	Mission Critical Services, Legal	darren.kane@missioncriticalservices.com	
Kelly, Jacqueline	Brune Law P.C.; Brune & Richard LLP	jkelly@brunelaw.com; jkelly@bruneandrichard.com	
Khambata, Leah	Clayman & Rosenberg LLP	khambata@clayro.com	Paralegal
Loneragan, Kyle	McKool Smith	kloneragan@mckoolsmith.com	
Mancini, Jacquelyn	Hinckley, Allen & Snyder LLP	jmancini@haslaw.com	
Montgomery, Michael	Montgomery Willard, LLC	mhm@montgomerywillard.com	
Ortiz, Clara	Clayman & Rosenberg LLP	clara@clayro.com	Administrative Assistant
O'Shea, Sean	O'Shea Partners LLP	SOShea@osheapartners.com	
Michael Petrella	O'Shea Partners LLP	mpetrella@osheapartners.com	
Platt, Charles	Wilmer Cutler Pickering Hale and Dorr LLP	Charles.Platt@wilmerhale.com	
Popowsky, Matthew	Brune Law P.C.; Brune & Richard LLP	mpopowsky@brunelaw.com; mpopowsky@bruneandrichard.com	
Protass, Harlan	Clayman & Rosenberg LLP	protass@clayro.com	
Riley, John	Robinson Brog Leinwand Greene Genovese & Gluck, P.C.	jhr@robinsonbrog.com	
Robbins, David	Kaufmann Gildin & Robbins LLP	d Robbins@kaufmannGildin.com	
Robertson, Christopher	Seyfarth Shaw LLP	crobertson@seyfarth.com	
Roper, Eric	Eric Roper, Esq.	eric@ericroperesq.com	
Russell, Judith	Gersten Savage LLP	JRussell@gerstensavage.com	Administrative Assistant
Sampoli, Sara	Clayman & Rosenberg LLP	sampoli@clayro.com	Paralegal
Sarkozi, Paul	Tannenbaum Helpert Syracuse & Hirschtritt LLP	Sarkozi@thsh.com	
Southwell, Alexander	Gibson, Dunn & Crutcher	ASouthwell@gibsondunn.com	
Strohmeinger, Richard	Tannenbaum Helpert Syracuse & Hirschtritt LLP	Strohmeinger@thsh.com	
Tannenbaum, Michael	Tannenbaum Helpert Syracuse & Hirschtritt LLP	Tannenbaum@thsh.com	
Zeydel, Diana	Greenberg Traurig LLP	ZeydelD@gtlaw.com	
Zitter, Ken	Law Offices of Kenneth A. Zitter	Kzitter@aol.com	

EXHIBIT D

From: Weinstock, Judith
To: Harlan Protass; Wayne Gosnell
Cc: Berke, Janna; Janghorbani, Alexander; Brown, Nancy A
Date: Tuesday, September 13, 2016 2:38:02 PM
Attachments: Privileged Confidential - 1 11 16 Wells Draft 4849-9947-1916 v 1 JL redline.docx

Dear Harlan,

Attached please find a draft Wells submission we came across in our Recommind database that was produced by Respondents in this matter. We return it to you without waiver of our rights to assert that any privilege or protection that might have been asserted over the document has been waived. If we identify additional potentially privileged or protected material, we will notify you, but we have not undertaken to search the database for materials similar to that attached.

Best regards,

Judy Weinstock

EXHIBIT E

From: [Weinstock, Judith](#)
To: [Harlan Protass](#)
Cc: [Wayne Gosnell](#); [Berke, Janna](#); [Janqhorbani, Alexander](#); [Brown, Nancy A](#)
Date: Sunday, September 18, 2016 1:55:55 PM
Attachments: [IBM Daeja ViewONE Professional document \(3 pages\).pdf](#)
[IBM Daeja ViewONE Professional document \(5 pages\).pdf](#)
[IBM Daeja ViewONE Professional document \(7 pages\).pdf](#)

Dear Harlan,

I am writing to follow-up on my email to you of September 13, 2016, to which you have not responded. Please find attached three additional documents that you produced to us (and that we produced back to you in August when we made our investigative file available to you) that appear to be communications between your clients and their attorneys. At least one of them appears on your privilege log. We return these to you without waiver of our rights to assert that any privilege or protection that might have been asserted over the document has been waived. Moreover, given the length of time that you have had notice that these documents were produced to us and have not requested their return, it appears that you may have intended to waive any privilege attached to these documents. Thus, if we do not hear from you concerning the status of these documents (as well as any other potentially privileged documents that you have produced to us) by close of business on September 19, 2016, we will presume that you have intended a waiver and will act accordingly.

As we notified you earlier, we are returning these documents as a courtesy. By doing so, we do not assume any obligation to undertake a privilege review on your behalf.

Best regards,

Judy

EXHIBIT F

From: [Harlan Protass](#)
To: [Weinstock, Judith](#)
Cc: [Wayne Gosnell](#); [Berke, Janna](#); [Janghorbani, Alexander](#); [Brown, Nancy A](#); [Christina Corcoran](#)
Subject: Re:
Date: Sunday, September 18, 2016 2:16:05 PM

Thank you for your email, Judy. We have been and are still investigating the production of these privileged documents. Thus, please do not interpret the fact that we have not yet gotten back to you as anything other than the foregoing. Put differently, the inadvertent production of any privileged emails or any other document protected from disclosure by the attorney-client privilege, the attorney work product doctrine or any other applicable privilege or protection is not and should not be considered or interpreted as a waiver of any such privilege or protection.

Additionally, we will endeavor to conclude the matter of the inadvertent production of privileged documents by the close of business on September 19, as you have requested. But, as you no doubt know, no time limit exist on any party's right to recall inadvertently produced documents that are protected from discovery by any of the aforementioned privileges or protections. Thus, it is inappropriate for the SEC to attempt to set a false "deadline" by which the matters relating to that inadvertent production must be resolved. Moreover, the SEC will have acted in bad faith and in violation of well-established caselaw and norms relating to the inadvertent production of privileged materials if it considers the privilege attaching to such materials to have been waived after any artificial "deadline" that it sets but has no authority or justification to set. Still, we appreciate the fact that the SEC has not reviewed and is returning such documents to us. And, as I mentioned above, we will endeavor to resolve this issue tomorrow, Monday, September 19.

Best regards,

Harlan

Harlan Protass
Clayman & Rosenberg LLP
305 Madison Avenue
New York, NY 10165
T. 212-922-1080
[REDACTED]
F. 212-949-8255
www.clayro.com
protass@clayro.com

This message is intended only for the personal and confidential use of the designated recipient(s) named above. If you are not the intended recipient of this message you are hereby notified that any review, dissemination, distribution or copying of this message is strictly prohibited.

On Sun, Sep 18, 2016 at 1:55 PM, Weinstock, Judith <weinstockj@sec.gov> wrote:

| Dear Harlan,

I am writing to follow-up on my email to you of September 13, 2016, to which you have not responded. Please find attached three additional documents that you produced to us (and that we produced back to you in August when we made our investigative file available to you) that appear to be communications between your clients and their attorneys. At least one of them appears on your privilege log. We return these to you without waiver of our rights to assert that any privilege or protection that might have been asserted over the document has been waived. Moreover, given the length of time that you have had notice that these documents were produced to us and have not requested their return, it appears that you may have intended to waive any privilege attached to these documents. Thus, if we do not hear from you concerning the status of these documents (as well as any other potentially privileged documents that you have produced to us) by close of business on September 19, 2016, we will presume that you have intended a waiver and will act accordingly.

As we notified you earlier, we are returning these documents as a courtesy. By doing so, we do not assume any obligation to undertake a privilege review on your behalf.

Best regards,

Judy

EXHIBIT G



305 Madison Avenue
New York, NY 10165
T: 212-922-1080
F: 212-949-8255

Harlan J. Protass
Partner
protass@clayro.com

September 20, 2016

VIA E-MAIL

Judith Weinstock, Esq.
U.S. Securities and Exchange Commission
New York Regional Office
Brookfield Place
200 Vesey Street, Suite 400
New York, NY 10281-1022

Re: In the Matter of Donald F. Lathen, Jr., Eden Arc Capital Management, LLC
and Eden Arc Capital Advisors, LLC, Admin. Proc. File No. 3-17387

Dear Ms. Weinstock:

As you know, this firm represents respondents Donald F. Lathen, Jr., Eden Arc Capital Management, LLC and Eden Arc Capital Advisors, LLC (together the "Eden Arc Respondents") in the referenced matter. We write regarding your inquiries (on September 13 and 18, 2016) into several documents produced by the Eden Arc Respondents in response to subpoenas issued by the SEC and, in turn, produced by the SEC when it provided its investigative file to us. Those documents (and any attachments to those documents) were inadvertently produced by the Eden Arc Respondents and, as you noted, are protected from disclosure by the attorney-client privilege, the attorney work product doctrine and/or other similar, applicable privileges and protections. We believe that the overwhelming majority of those privileged documents were inadvertently produced to the SEC after January 1, 2016.

Given the short time-frame in which you requested a response, we have determined three categories of inadvertently produced documents. These documents can easily and readily be identified and returned to us.

The first category includes e-mails between Mr. Lathen and Kevin Galbraith, one of his attorneys. You can find these e-mails by searching the metadata fields "To," "From," and "CC" for the e-mail domain "kevingalbraithlaw.com."

The second category includes e-mails between Mr. Lathen and his attorneys at Clayman & Rosenberg LLP, including myself and Wayne Gosnell. You can find these e-mails by searching the metadata fields "To," "From," and "CC" for the e-mail domain "clayro.com."

The third category includes e-mails between Mr. Lathen and his attorneys at Brune Law PC, including Susan Brune and several of her attorneys and staff. You can find these e-mails by searching the metadata fields "To," "From," and "CC" for the e-mail domain "brunelaw.com."

The privileges applicable to each of the above categories of documents are the same: They are textbook attorney-client communications and/or documents protected from disclosure by the attorney work product doctrine. Accordingly, we ask that you identify the aforementioned documents using the search directions above and return the documents identified through those searches to us. Until such time as all such privileged documents have been returned to us, we ask that you and all members of your staff refrain from reviewing any such documents. To the extent that the SEC believes that a waiver of the attorney-client privilege has occurred (which it has not), we ask that the SEC still refrain from reviewing any such privileged documents until such time as the SEC presents that issue to Judge Grimes for purposes of adjudicating the question of whether there has been a waiver.

Finally, should the SEC determine that it has located additional documents that appear to be similarly privileged, we ask that you again refrain from reviewing such documents and return them to us.

Very truly yours,

/s/

Harlan Protass

cc: Janna Berke, Esq. (via e-mail)
Alex Janghorbani, Esq. (via e-mail)
Nancy Brown, Esq. (via e-mail)

EXHIBIT H

From: [Brown, Nancy A](#)
To: ["protass@clayco.com"](mailto:protass@clayco.com)
Cc: [Weinstock, Judith](#); [Janghorbani, Alexander](#); [Berke, Janna](#)
Subject: In re Donald L. Lathen, Jr., et al, Admin. Proc. File No. 3-17387
Date: Wednesday, September 21, 2016 9:36:10 AM
Attachments: [cc Galbraith.pdf](#)

Harlan,

I write in response to your letter of yesterday concerning your production of privileged documents. In your letter you ask that the Division of Enforcement undertake a search on your behalf in an attempt to cull potentially privileged material from your own productions to us. However, it would be inappropriate for us to undertake the search you request for a host of reasons.

First, as a general matter, the privilege is Respondents' and it is, therefore, your responsibility to assert and protect it. As we have indicated in the past, we are willing—without waiver of our rights to assert that any privilege or protection that might have been asserted over the document has been waived—to sequester the produced documents that you identify as privileged. However, you will need to provide us with a list of the produced documents, by Bates number, over which you assert privilege and claim inadvertent production. Second, if we undertook the review that you ask, you would necessarily authorize us to review the documents over which you claim to be re-asserting the privilege. That you would have us review that material is inconsistent with your assertion of privilege. Third, the search parameters that you propose appear on their face to be ineffective at culling out only privileged, and inadvertently-produced, materials. For example, not every document copied to Kevin Galbraith is even arguably privileged, and as the attached illustrates, was not inadvertently produced. Fourth, your letter seems to indicate that at least some of the otherwise-privileged documents were produced knowingly. (See Letter from Harlan Protass to Judith Weinstock, Sept. 20, 2016, at 1 (“We believe that the overwhelming majority of those privileged documents were inadvertently produced . . .”).) However, the search you now propose would not distinguish between those documents and the ones you now claim to have produced inadvertently.

Finally, and to reiterate what we've said before, we reserve all rights to argue that you have waived any ability to claim inadvertence given the breadth of the possibly privileged documents produced, and both the time that's elapsed since you first produced them and since you were notified of their production to us. For example, we re-produced these documents to you back to you in August, and provided you with direct notice on September 13, and then again this weekend. Still, it was not until yesterday morning that you even attempted to claw them back (and even then without identifying them with any specificity). For that reason, we have agreed to sequester the produced documents over which you specifically assert a privilege, but we want to make clear that, by doing so, we are not conceding the validity of your privilege assertions.

Thank you.
Nancy Brown

Message

From: Doshi, Vinit [/O=EISNER LLP/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=VINIT DOSHIBFO]
Sent: 4/15/2015 5:10:31 PM
To: Kevin Galbraith [kevin@kevingalbraithlaw.com]
CC: Jaylathen@edenarccapital.com; Muscarnera, Matthew [/O=EISNER LLP/OU=First Administrative Group/cn=Recipients/cn=MM4435]
Subject: RE: Eden Arc Capital Partners Audit Confirm

Thanks Kevin. Yes, early next week should work.

From: Kevin Galbraith [mailto:kevin@kevingalbraithlaw.com]
Sent: Wednesday, April 15, 2015 4:15 PM
To: Doshi, Vinit
Cc: Jaylathen@edenarccapital.com; Muscarnera, Matthew
Subject: Re: Eden Arc Capital Partners Audit Confirm

Vinit,

Confirming receipt of this request. I'll prepare the response shortly and will have it to you toward the beginning of next week, assuming that timing will work from your perspective.

Best,

Kevin



236 West 30th Street, 5th Floor
New York, New York 10001

212.203.1249 (p)
646.390.5935 (f)

kevin@kevingalbraithlaw.com

www.kevingalbraithlaw.com

From: <Doshi>, Vinit <Vinit.Doshi@eisneramper.com>
Date: Wednesday, April 15, 2015 at 12:46 PM
To: Kevin Galbraith <kevin@kevingalbraithlaw.com>

Cc: "Jaylathen@edenarccapital.com" <Jaylathen@edenarccapital.com>, "Muscarnera, Matthew" <matthew.muscarnera@eisneramper.com>

Subject: Eden Arc Capital Partners Audit Confirm

Hi Kevin,

We are the auditors for Eden Arc Capital Partners for 2014 and we would like you to respond to the attached confirmation request.

~~Your prompt response would be appreciated.~~

Thanks,

Vinit Doshi

EisnerAmper LLP

~~p: 2128916936~~

e: vinit.doshi@eisneramper.com

eisneramper.com | [LinkedIn](#) | [Twitter](#) | [Facebook](#)



EisnerAmper LLP is a member firm of the PKF North America association of legally independent firms and does not accept any responsibility or liability for the actions or inactions on the part of any other individual member firm or firms.

CONFIDENTIALITY NOTICE: This communication and any accompanying attachments are confidential and privileged. They are intended for the sole use of the addressee. If you receive this transmission in error, you are advised that any disclosure, copying, distribution, or the taking of any action in reliance upon this communication is strictly prohibited. Moreover, any such disclosure shall not compromise or waive the attorney-client, accountant-client, or other privileges as to this communication or otherwise. If you have received this communication in error, please contact me at the above internet e-mail address.

~~Any tax advice contained in this memorandum (including any attachments) is not intended for and cannot be used, for the purpose of (i) avoiding penalties imposed by the Internal Revenue Code or (ii) promoting, marketing, or recommending any transaction or matter addressed herein.~~

EXHIBIT I



305 Madison Avenue
New York, NY 10165
T: 212-922-1080
F: 212-949-8255

Harlan J. Protass
Partner
protass@clayro.com

September 22, 2016

VIA E-MAIL

Nancy Brown, Esq.
U.S. Securities and Exchange Commission
New York Regional Office
Brookfield Place
200 Vesey Street, Suite 400
New York, NY 10281-1022

Re: In the Matter of Donald F. Lathen, Jr., Eden Arc Capital Management, LLC
and Eden Arc Capital Advisors, LLC, Admin. Proc. File No. 3-17387

Dear Ms. Brown:

I write in response to your September 21, 2016 e-mail, which responded to my September 19, 2016 letter concerning certain privileged documents that the Eden Arc Respondents inadvertently produced. We disagree with several of the assertions in your e-mail.

First, we will shortly provide the SEC with a log of all documents that the Eden Arc Respondents inadvertently produced, which documents are protected from disclosure by the attorney-client privilege, the attorney work product doctrine and/or any other applicable privilege or protection. We dispute that any grounds exist for your assertion that a waiver of any privilege or protection occurred with respect to those inadvertently produced documents. In fact, the privileges and protections associated with those inadvertently produced documents have not been waived and remain in place today. We therefore expect the SEC to treat those inadvertently produced documents accordingly.

Second, given the clear instructions in my September 19, 2016 letter for identifying all such privileged documents that were inadvertently produced, the SEC is now on notice as to the existence and identity of those privileged documents. We therefore expect the SEC to refrain from examining or reviewing any such documents any more than is necessary than to determine that they fall within one of the categories of documents described in my September 19, 2016 letter. Lest there be any confusion, we specifically instruct the SEC to refrain from examining or reviewing any such documents except as provided for herein.

Third, we also dispute that the timing of our response to your recent e-mails concerning the privileged documents that the Eden Arc Respondents inadvertently produced somehow contributes to a finding (which, in any event, you have no authority to unilaterally declare) that a waiver of any privilege or protection has occurred. To the contrary, we understand the law to require notice to an adversary of any privileged documents that were inadvertently produced promptly after discovering same. We did just that and did so after undertaking an internal inquiry into the question of how and when such documents were inadvertently produced as well as after developing a means for identifying such documents so that they could be returned to us. We consider having done so to have been both professional and appropriate, particularly since we did so in a matter of days.

Finally, your e-mail claims that certain language in my September 19, 2016 letter suggests that certain of the privileged documents identified therein were “produced knowingly.” You are either confused as to what I wrote or are incorrect. In particular, I stated that we “believe that the overwhelming majority of those privileged documents were inadvertently produced to the SEC after January 1, 2016.” That sentence states that the overwhelming majority of those privileged documents were inadvertently produced after January 1, 2016, at a time when Brune Law PC represented the Eden Arc Respondents. That sentence also states that a few of those privileged documents were inadvertently produced before January 1, 2016, at a time when my firm was representing the Eden Arc Respondents. Lest there be any confusion, that sentence does not refer to any privileged documents that were “produced knowingly.”

Please let me know if you have any questions about the foregoing.

Very truly yours,

/s/

Harlan Protass

cc: Judith Weinstock, Esq. (via e-mail)
Alex Janghorbani, Esq. (via e-mail)
Janna Berke, Esq. (via e-mail)

EXHIBIT J

To: kevin@kevingalbraithlaw.com[kevin@kevingalbraithlaw.com]
Cc: Jaylathen@edenarccapital.com[Jaylathen@edenarccapital.com]; Muscamera, Matthew[matthew.muscamera@eisneramper.com]
From: Doshi, Vinit
Sent: Wed 4/15/2015 12:46:17 PM
Importance: Normal
Subject: Eden Arc Capital Partners Audit Confirm
[image001.png](#)
[Eden Arc Legal confirmation.pdf](#)

Hi Kevin,

We are the auditors for Eden Arc Capital Partners for 2014 and we would like you to respond to the attached confirmation request.

Your prompt response would be appreciated.

Thanks,

Vinit Doshi

EisnerAmper LLP

p: 2128916936

e: vinit.doshi@eisneramper.com

eisneramper.com | [LinkedIn](#) | [Twitter](#) | [Facebook](#)

cid:image001.png@01CF4503.21501AB0

EisnerAmper LLP is a member firm of the PKF North America association of legally independent firms and does not accept any responsibility or liability for the actions or inactions on the part of any other individual member firm or firms.

CONFIDENTIALITY NOTICE: This communication and any accompanying attachments are confidential and privileged. They are intended for the sole use of the addressee. If you receive this transmission in error, you are advised that any disclosure, copying, distribution, or the taking of any action in reliance upon this communication is strictly prohibited. Moreover, any such disclosure shall not compromise or waive the attorney-client, accountant-client, or other privileges as to this communication or otherwise. If you have received this communication in error, please contact me at the above internet e-mail address.

Any tax advice contained in this memorandum (including any attachments) is not intended for and cannot be used, for the purpose of (i) avoiding penalties imposed by the Internal Revenue Code or (ii) promoting, marketing, or recommending any transaction or matter addressed herein.

EXHIBIT K

To: Gabrielle.Vazquez@thompsonhine.com[Gabrielle.Vazquez@thompsonhine.com]
Cc: Michael Robinson[michaelrobinson@edenarcapital.com]; Kevin Galbraith[kevin@kevingalbraithlaw.com]; Joe.Muccia@thompsonhine.com[Joe.Muccia@thompsonhine.com]; Irving.Apar@thompsonhine.com[Irving.Apar@thompsonhine.com]
From: Jay Lathen
Sent: Wed 7/1/2015 3:23:02 PM
Importance: Normal
Subject: RE: National Rural and Federal Farm Holdings
[image001.png](#)
[FED FARM BK 6-30-15 Put 3133FXDT1.pdf](#)
[Osika affidavit of domicile signed.pdf](#)
[Osika May 2014 Statement.pdf](#)
[Osika May 2015 Statement.pdf](#)
[Kerr Fed Farm Credit BK 6-30-15 Put 3133FXEA1.pdf](#)
[Kerr affidavit of domicile signed.pdf](#)
[Kerr May 2015 Statement.pdf](#)
[Kerr May 2014 Statement.pdf](#)
[Eden Arc Holdings with US Bank as Trustee 6-30-15.xlsx](#)

Gabrielle,

This is a follow-up to the email below which you sent to Kevin Galbraith last week. Kevin is travelling this week and so, out of expediency, I have provided the information directly to you. Hopefully I am not "out of order" for doing so. In any event, the answers to your queries are as follows:

1. The basis for the change in amount associated with the Fogas holding. Sold some of position in the secondary market.

 2. The basis for the elimination of the Blair and Logan holdings. Sold in the secondary market.

 3. The basis for the addition of the Kerr and Osika holding. These holdings were not submitted with the batch on March 12, 2015 due to a one year holding requirement per the prospectus and indenture. They are being submitted now as the holding requirement has now been met. I have attached the put-back documentation supporting these two positions. Originals will also be mailed to you today. You were already provided the participant agreements and death certificates for these two accounts on March 12, 2015 in connection with other redemption requests in those accounts. If you do not have them for some reason, we can resend. Just let me know.
-

I have also attached a spreadsheet showing all positions (excluding Prospect) currently held in deceased accounts where US Bank is the trustee, including the Caterpillar and

Citibank paper which your client has already rejected. On this spreadsheet, I have included status of the positions as of 3/12/15 as well as current status.

It is our understanding that your client has formally rejected all of the submissions related to Caterpillar, Citibank and Prospect pursuant to its role as sole determination agent on those securities. Can you please confirm?

With respect to National Rural and Federal Farm Credit, we await word from those issuers with respect to their redemption decisions on our positions. We had hoped to have heard from them by now given that these items were submitted several months ago. In any event, I trust you will forward to them the relevant documentation for the two new Federal Farm Credit positions in the Kerr and Osika accounts. Please confirm.

If you have any questions, please let me or Kevin know.

Thanks,

Jay

Jay Lathen


Chief Investment Officer

Eden Arc Capital Management, LLC

One Penn Plaza, 36th Floor

New York, NY 10119

212-786-7414 Office


646-349-5964 Fax

jaylathen@edenarccapital.com

From: Kevin Galbraith [mailto:kevin@kevingalbraithlaw.com]
Sent: Thursday, June 25, 2015 1:42 PM
To: Jay Lathen
Cc: Michael Robinson
Subject: FW: National Rural and Federal Farm Holdings

Jay,

Please see request below from U.S. Bank counsel and let me know whether and how you'd like me to respond.

If you will ask Michael to prepare a spreadsheet with explanatory fields, then just give me an approximate timeframe by which you expect to provide that, so I can relay that information to counsel.

Thanks.

Kevin

kevin@kevingalbraithlaw.com

www.kevingalbraithlaw.com

From: <Vazquez>, Gabrielle <Gabrielle.Vazquez@thompsonhine.com>
Date: Tuesday, June 23, 2015 at 5:55 PM
To: Kevin Galbraith <kevin@kevingalbraithlaw.com>
Cc: "Muccia, Joe" <Joe.Muccia@thompsonhine.com>, "Apar, Irving" <Irving.Apar@thompsonhine.com>
Subject: National Rural and Federal Farm Holdings

Kevin,

I am a colleague of Joe Muccia and Irv Apar. I am writing to let you know that we received and reviewed the schedule you sent setting forth your client's National Rural and Federal Farm holdings as of June 15, 2015. We noted that there have been some changes to Federal Farm holdings, which we'd like to understand. In particular, can you please explain to us:

1. The basis for the change in amount associated with the Fogas holding.
 2. The basis for the elimination of the Blair and Logan holdings.
 3. The basis for the addition of the Kerr and Osika holding.
-

Please also provide the back-up documentation for the Kerr and Osika holdings, including the operative participant agreements, as you did with the other requests previously. Finally, we would appreciate if you could provide a similar

update for Caterpillar and Citibank holdings.

Thanks in advance,

Gabrielle

Gabrielle Y. Vázquez | Associate | **Thompson Hine LLP**
335 Madison Avenue—12th Floor | New York, New York, 10017
Office: 212-908-3945 | Mobile: 917-509-7818 | Fax: 212-344-6101

Email: Gabrielle.Vazquez@ThompsonHine.com

Named a Go-To Law Firm® for Fortune 500 companies (American Lawyer Media), a leading firm by *Chambers USA* for 12 straight years, and a top firm by *U.S. News & World Report/Best Lawyers* and *The Legal 500 United States*.

From: Kevin Galbraith [<mailto:kevin@kevingalbraithlaw.com>]
Sent: Monday, June 15, 2015 12:35 PM
To: Muccia, Joe
Cc: Apar, Irving
Subject: National Rural and Federal Farm Holdings

Joe,

Following up on our most recent discussion, attached is a schedule of my client's National Rural and Federal Farm holdings. Please let me know of any questions.

Best,

Kevin

<image004.png>

kevin@kevingalbraithlaw.com

www.kevingalbraithlaw.com

EXHIBIT L

From: Ostrove, Marjorie [MOSTROVE@BBANDTCM.COM]
Sent: 8/25/2015 1:41:49 PM
To: Harlan Protass [protass@clayro.com]; Jay Lathen [Jaylathen@edenarccapital.com]; Marjorie Ostrove [REDACTED]
Subject: RE: Introduction

Thank you Harlan. It was great seeing you and Simon.

Jay, feel to reach out to me at your convenience. I look forward to speaking with you.

Margie Ostrove

Senior Vice President

BB&T Capital Markets

a Division of BB&T Securities, LLC.

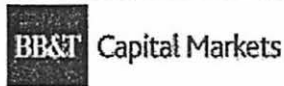
777 Terrace Ave, Suite 601

Hasbrouck Heights, NJ 07604

201-727-1307 (p)

201-727-9843 (f)

Mostrove@bbandtcm.com



From: Harlan Protass [mailto:protass@clayro.com]
Sent: Tuesday, August 25, 2015 9:40 AM
To: Jay Lathen; Ostrove, Marjorie; Marjorie Ostrove
Subject: Introduction

Dear Marjorie (Ostrove) and Jay (Lathen) --

I write to introduce the two of you because an opportunity might exist for you to work together.

Jay is a client and his business invests in (among other things) survivor's option CDs. Marjorie is in the business of brokering CDs. She is also one of my oldest friends -- We met at age 5 or so when her family moved into a house across the street from where my family lived. Our parents are still neighbors. You now have each other's email addresses (both work and gmail for Marjorie). I hope you'll be in touch with one another and hope you'll be able to work together.

Best regards,

Harlan

--

Harlan J. Protass
Clayman & Rosenberg LLP
305 Madison Avenue
New York, NY 10165
T. 212-922-1080

EA00033607

M. 917-733-3901
F. 212-949-8255
www.clayro.com
protass@clayro.com

This message is intended only for the personal and confidential use of the designated recipient(s) named above. If you are not the intended recipient of this message you are hereby notified that any review, dissemination, distribution or copying of this message is strictly prohibited.

This message is intended only for the addressee. BB&T Capital Markets, a division of BB&T Securities, LLC, member FINRA/SIPC, is a wholly-owned nonbank subsidiary of BB&T Corporation. The securities sold, offered or recommended are not a deposit, not FDIC insured, not guaranteed by a bank, not guaranteed by any federal government agency and may go down in value. Please be aware that since the confidentiality of Internet email cannot be guaranteed, do not include private or confidential information such as passwords, account numbers, social security numbers, etc., in emails to us. Additionally, instructions having financial consequences such as trade orders, funds transfer, etc., should not be included in your email communications to us as we cannot act on such instructions received by email. For a list of full disclosures relating to the firm and this communication, please click here <http://bbscottstringfellow.com/aboutus/disclosures> . If you no longer wish to receive commercial messages from our organization through electronic means, please email the following address (donotemailcommercial@BBandTCM.com) with the word "stop" in the subject line.

EXHIBIT M

To: Judith Weinstock[jweinstockj@sec.gov]; Grace, Adam S.[gracea@sec.gov]
Cc: Wayne Gosnell[gosnell@clayro.com]
Bcc: Jaylathen@edenarccapital.com[Jaylathen@edenarccapital.com]
From: Harlan Protass
Sent: Thur 10/22/2015 3:38:26 PM
Importance: Normal
Subject: In the Matter of Eden Arc Capital Management, LLC (NY-9197)
05.22.15 Letter to the SEC re Eden Arc.Lathen.pdf

Dear Judy and Adam --

As I'd stated last week, attached please find our letter memorializing the points we made on our October 15, 2015 conference call and supplementing our September 11, 2015 "white paper" submission. We ask that you consider this letter in your internal discussions at the SEC and in discussing your investigation with your supervisors at the NYRO.

As always, we are available at any time to discuss the contents of the attached letter or any other question/issue relating to the referenced matter.

Best regards,

Harlan

Harlan J. Protass
Clayman & Rosenberg LLP
305 Madison Avenue
New York, NY 10165
T. 212-922-1080
M. 917-733-3901
F. 212-949-8255
www.clayro.com
protass@clayro.com

This message is intended only for the personal and confidential use of the designated recipient(s) named above. If you are not the intended recipient of this message you are hereby notified that any review, dissemination, distribution or copying of this message is strictly prohibited.

EXHIBIT N

Search Results of Attorney List

Name	Search Results
Auslander, Jay	0
Blattmachr, Jonathan	4
Brune, Susan	369
Caccimelio	0
Calaguio, Cheryl	16
Coumoutsos, Jami	5
Culhane, Stephen	7
Derosa, Stephen	69
Domina, Daren	3
Doring, Matthew	50
Falcoa, Donna	14
Farrell, Margaret	215
Flanders, Robert	238
Galbraith, Kevin	533
Gosnell, Wayne	81
Green, Jonathan	1,197
Grundstein, Robert	494
Hartman, David	109
Hood, Bruce	103
Hunter, Fraser	181
Joseph, Cassondra	830
Kane, Darren	3,185
Kelly, Jacqueline	395
Khambata, Leah	3
Loneragan, Kyle	3
Mancini, Jacquelyn	22
Montgomery, Michael	150
Ortiz, Clara	38
O'Shea, Sean	29
Petrella, Michael	5
Platt, Charles	12
Popowsky, Matthew	136
Protass, Harlan	39,470
Riley, John	137
Robbins, David	26
Robertson, Christopher	84
Roper, Eric	277
Russell, Judith	969
Sampoli, Sara	19
Sarkozi, Paul	5
Southwell, Alexander	18
Strohenger, Richard	5
Tannenbaum, Michael	15
Zeydel, Diana	4
Zitter, Ken	4
TOTAL	49,529
Sub-total¹	8,485

¹ Net of Brune Law, P.C., Clayman & Rosenberg LLP, and Kevin Galbraith