HARD COPY

RECEIVED
SEP 0.2 2015
OFFICE OF THE SECRETARY

UNITED STATES OF AMERICA before the SECURITIES AND EXCHANGE COMMISSION September 1, 2016

Administrative Proceeding File No. 3-17365	
	:
In the Matter of	:
	:
Alison, LLC and	:
Stephen D. Alison,	:
•	:
Respondents.	_
	<u> </u>

AGREED MOTION TO CONVERT HEARING DATE TO TELEPHONIC PRE-HEARING CONFERENCE AND EXTEND TIME FOR FILING ANSWER

Pursuant to Rules 161 and 221 of the Commission's Rules of Practice, 17 C.F.R. §§ 201.161 and 201.221, the Division of Enforcement ("Division") and Respondents

Stephen D. Alison and Alison, LLC respectfully ask the Administrative Law Judge to postpone the hearing from its currently scheduled date of September 12, 2016, and to hold a telephonic pre-hearing conference on September 12, 2016 at 2:00 p.m. (Eastern Time). In addition, the Respondents request an extension of 10 days to file an Answer to the Order Instituting Proceedings, from September 1, 2016 to September 12, 2016. The Division does not object to the extension.

In support, the Division and Respondents state as follows:

1. On August 2, 2016, the Commission issued an Order Instituting

Administrative and Cease-and-Desist Proceedings Pursuant to Sections 15(b) of the

Securities Exchange Act of 1934, Sections 203(e), 203(f) and 203(k) of the Investment

Advisers Act of 1940, and Section 9(b) of the Investment Company Act of 1940 (the "OIP"). The OIP was served by personal service on August 12, 2016.

- 2. On August 4, 2016, the Chief Administrative Law Judge issued the Order Scheduling Hearing and Designating Presiding Judge ("Scheduling Order"), assigning the proceeding to Administrative Law Judge Jason S. Patil, and setting the hearing in this matter for September 12, 2016 at 9:30 a.m. in Washington, D.C. On August 25, 2016, the parties jointly submitted a request that the hearing be conducted under the amended rules of practice adopted by the Commission on July 13, 2016 to become effective on September 27, 2016.
- 3. Respondent Alison, on his own behalf and on behalf of Alison, LLC, acknowledges they have a statutory right to a hearing date not earlier than 30 days nor later than 60 days after service of the OIP unless they consent to a later date for the hearing. On his behalf and on behalf of Alison, LLC, Stephen Alison hereby waives that right and consents to hold the hearing at a date greater than 60 days from the service of the OIP.
- 4. The Scheduling Order also ordered the parties to confer and suggest a date and time for a telephonic prehearing conference. In accordance with the order, the parties have conferred regarding a suggested date and time for a pre-hearing conference. The parties have also conferred regarding the currently scheduled hearing date as well as other matters that will promote the efficient conduct or resolution of this proceeding.
- 5. Given the issues in the case and Respondents' need to review information related to this matter, a hearing date after the currently set date of September 12, 2016 is necessary in order to ensure an orderly and fair presentation of this case. A

postponement is warranted and appropriate under amended Rule of Practice 360(a)(2), which states that where the OIP sets forth a 120-day time line for issuance of the initial decision after completion of post-hearing briefing, a hearing should begin approximately four months (but no later than ten months) after the institution of the OIP. The parties will be prepared to suggest appropriate hearing dates for the consideration of the Chief Administrative Law Judge during the telephonic prehearing conference. Alternatively, the parties agree to meet and confer to discuss the proposed schedule and the matters set forth in Rule of Practice Rule 221(c) and submit a joint filing by September 19, 2016.

6. In addition, Respondents request additional time of 10 days to answer the OIP. Pursuant to Rule of Practice 161, good cause exists to grant such an extension as the Respondents seek additional time to review over 60 GB of data provided pursuant to Rule of Practice 230 that was received by Respondents on August 31st, 2016. The Division does not object to the Respondents' request for an extension.

Accordingly, the parties hereby respectfully ask the Administrative Law Judge to postpone the presently scheduled hearing date and to hold a telephonic pre-hearing conference on September 12, 2016 at 2:00 p.m. (Eastern Time) or at such time thereafter as is convenient for the Administrative Law Judge or alternatively direct the parties to file a joint prehearing conference statement by September 19, 2016 instead of holding a pre-

Pursuant to Rule of Practice 230, on August 15, 2016, the Division made available to Respondents for inspection and copying documents obtained by the Division prior to the institution of proceedings.

hearing conference. The Respondents also respectfully request that the Court issue an order extending the date for the Answer to before September 12th, 2016.

Dated: September 1, 2016

Respectfully-submitted,

Sheila•E.•O'Callaghan,•Esq. San•Francisco•Regional•Office
Securities and Exchange Commission
44 Montgomery Street, Suite 2800

San Francisco, CA 94104 Phone: (415) 705-2459 Email: ocallaghans@sec.gov

Attorney for the Division of Enforcement

Stephen D. Alison (Pro-per)

Eugene, OR

Phone: (541) 799-5430

Email:

Alison, LLC

By Stephen D. Alison

Eugene, OR

Phone: (541) 799-5430

Email:

CERTIFICATE OF SERVICE

I, John Stearns, hereby certify that an original and three copies of the foregoing AGREED MOTION TO CONVERT HEARING DATE TO TELEPHONIC PRE-HEARING CONFERENCE AND EXTEND TIME FOR FILING ANSWER were filed with the Securities and Exchange Commission, Office of the Secretary, 100 F Street, N.E., Washington, D.C. 20549, and that a true and correct copy of the foregoing has been served by UPS for next day delivery on September 1, 2016, on the following person entitled to notice:

Honorable Jason S. Patil Administrative Law Judge Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549-2557

and by U.S. Mail and email on the following person entitled to notice:

Stephen D. Alison

Eugene,	OR

Phone: (541) 799-5430

Email:

Alison, LLC

c/o Stephen D. Alison

Eugene, OR

Phone: (541) 799-5430

Email:

John Stearns