UNITED STATES OF AMERICA before the SECURITIES AND EXCHANGE COMMISSION

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In The Matter of:

The Application of SECURITIES INDUSTRY AND FINANCIAL MARKETS ASSOCIATION

Admin. Proc. File No. <u>3-/7/</u>38

For Review of Action Taken by Certain Self-Regulatory Organizations.

NOTICE OF ENTRY OF APPEARANCE ON BEHALF OF APPLICANT SECURITIES INDUSTRY AND FINANCIAL MARKETS ASSOCIATION

PLEASE TAKE NOTICE that the following hereby appear as counsel in the abovecaptioned proceeding on behalf of Securities Industry and Financial Markets Association ("SIFMA"), 1101 New York Avenue, N.W., 8th Floor, Washington, D.C. 20005:

Eric D. McArthur (D.C. Bar No. 987560) Sidley Austin LLP 1501 K Street, N.W. Washington, D.C. 20005 (202) 736-8018

Kevin P. Garvey (D.C. Bar No. 1050268) Sidley Austin LLP 1501 K Street, N.W. Washington, D.C. 20005 (202) 736-8518 HL Rogers (D.C. Bar No. 974462) Sidley Austin LLP 1501 K Street, N.W. Washington, D.C. 20005 (202) 736-8301

This Notice of Appearance is submitted pursuant to 17 C.F.R. § 201.102.

Dated: February 26, 2016

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Respectfully submitted,

SIDLEY AUSTIN LLP

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Counsel for SIFMA

UNITED STATES OF AMERICA before the SECURITIES AND EXCHANGE COMMISSION

In The Matter of:

The Application of SECURITIES INDUSTRY AND FINANCIAL MARKETS ASSOCIATION Admin. Proc. File No. 3- 10138

For Review of Action Taken by Certain Self-Regulatory Organizations.

CERTIFICATE OF SERVICE

I hereby certify that on February 26, 2016 I caused a copy of the foregoing Notice of Entry of Appearance on Behalf of Applicant Securities Industry and Financial Markets Association to be served on the parties listed below by First Class Mail. Service was accomplished on the Exchanges via First Class Mail because of the large service list.

Brent J. Fields Secretary U.S. Securities and Exchange Commission 100 F Street, N.E. Washington, D.C. 20549 (via hand delivery)

Edward S. Knight Executive Vice President and General Counsel NASDAQ Stock Market LLC One Liberty Plaza 165 Broadway New York, NY 10006 Martha Redding Assistant Secretary New York Stock Exchange LLC NYSE Arca, Inc. NYSE MKT LLC 11 Wall Street New York, NY 10005

Elizabeth King Corporate Secretary New York Stock Exchange LLC NYSE Arca, Inc. NYSE MKT LLC 11 Wall Street New York, NY 10005

Dated: February 26, 2016

Eric D. McArthur