

Original Filing

Received

Shreyans H. Desai

Edison, NJ

Defendant, Pro Se

MAY 27 2016

Office of Administrative Law Judges

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

RECEIVED
MAY 27 2016
OFFICE OF THE SECRETARY

Administrative Proceeding File No.: 3-17035

IN THE MATTER OF

SHREYANS DESAI

Emergency Application
Requesting To File Opposition To SEC's Motion By
Thursday, June 16, 2016

I, most respectfully, ask Your Honor's permission to submit the recent developments and to submit my genuine prayer that I am allowed to file my Opposition to SEC's Motion by June 16, 2016. The following is my Certification:

1) Please review attached Exhibit A, which is a one-page email communication between Ms. Christina McGill of SEC and I. Please note, Ms. McGill agreed without any objections to possibly allow me to file my Opposition of SEC's Motion.

2) [REDACTED], [REDACTED], [REDACTED], from [REDACTED], [REDACTED], [REDACTED], and [REDACTED]s. Attached Exhibit B, two pages, is just one example of the most recent [REDACTED]. Granting me until June 16, 2016 would allow [REDACTED]. Also, please review Exhibit C, two pages, due to [REDACTED] I have [REDACTED] and I have to go for [REDACTED]. [REDACTED] as documentation are attached herewith in Exhibit D, five pages.

3) [REDACTED] Edison, NJ [REDACTED]
Currently, [REDACTED]

[REDACTED]
[REDACTED]
Please review Exhibit E, two pages. [REDACTED]

[REDACTED] Most importantly, I still don't have a full and a complete access to my case files.

- 4) After completing my 15 months sentencing, I met with my Probation Officer on May 05, 2016 for over 3 hours. It is mandatory for me to complete a series of documentations on or before May 23, 2016. As of today, to follow-up on Federal requirements is my first and priority focus.
- 5) Please review Exhibit F, which is about a very important Brief, which I will have to file at Hon. Third Circuit by June 03, 2016. I sincerely beg that I am granted time to prepare and to submit my Brief at Docket No.:16-1629 at Hon. Third Circuit Court.
- 6) I am also [REDACTED] [REDACTED] I [REDACTED] New Jersey, [REDACTED]. Kindly review Exhibit G.
- 7) I received SEC's 170 Page motion document just on May 12, 2016 [REDACTED] [REDACTED] UPS left a notice of your package at [REDACTED] Edison, NJ [REDACTED], and my Father tried to chase the UPS Truck on foot, but was not able to catch the UPS Truck to get the package. Exhibit H is copy of the UPS notice. On Monday, May 16, 2016, a family member took me to the UPS office to retrieve SEC's packages.
- 8) Please review Exhibit I, I recently received a letter from New Jersey Bureau of Securities Administrative Law Judge and State of New Jersey Attorney General.
- 9) Please review Exhibit J, three pages. SEC has always accused me that I am lying, that is simple not true. For example, I tried to retain an attorney and during the last six weeks, I meet with an attorney three times. As of today, I have not been able to raise a retainer money and now that I am not allowed to borrow, I need some time to finalize on a possible attorney representation.
- 10) I discussed all the details with Attorney Thomas Clark, who might represent me. However, as a part of the preparation, Attorney Clark, wanted to find out EXACTLY,

which individual I defrauded? Please note, originally, SEC had blamed me that I had defrauded two individuals, then SEC changed its position by admitting that I defrauded not two, but only one individual. I did ask Ms. McGill twice to provide me an accurate information about which individual I defrauded, but as of today, Ms. McGill has not cooperated. Without an accurate information, I will not be able to articulate and complete my Defense. I reserve the right to file a separate motion requesting an accurate information from SEC about which individual I defrauded.

- 11) While I was about to complete this document, I received an email from Ms. McGill. Please see Exhibit K. Accordingly, I yet have to receive the material including a CD for review and to prepare my Defense. Since the Scheduling Order was mailed, I have lost a considerable amount of time to receive all the information to prepare for my Opposition.

Based on the above and based on the attached exhibits, I submit that I am overwhelmed with the paperwork and because of [REDACTED], I beg that I am allowed to submit my Opposition to SEC's Motion by June 16, 2016.

I am in a very [REDACTED]. [REDACTED] sending certified mails. Therefore, I certify that I am mailing a copy of this document to my adversary: Attorney Ms. Christina McGill, SEC at Securities and Exchange Commission, 100 F St. N.E., Washington, DC, 20549, via USPS First Class mail with certificate of mailing.

May 19, 2016

Respectfully Submitted By,



Shreyans H. Desai

From: McGill, Christina M. <McGillCh@SEC.GOV>
To: Harshad Desai [REDACTED]
Cc: Stoelting, David <StoeltingD@SEC.GOV>
Subject: RE: SEC v. Desai, et al; Case No. 2:11-cv-05597; Administrative Proceeding File No.: 3-17035
Date: Mon, May 16, 2016 5:56 pm

Dear Mr. Desai,

We do not object to your request for an extension to June 13, 2016 for you to file an opposition to the Division's Motion for Summary Disposition; however, you should make any request for additional time directly to Chief Judge Murray.

Additionally, in response to your letter dated April 27, 2016, we mailed you a disc with investigative materials to your listed residence, [REDACTED] Edison, NJ. The materials were returned by UPS today stating that the location was vacant. We received notification from UPS that different package addressed to you at the same address could not be delivered because you had moved. We are planning to resend investigative materials to you. Please advise us if these materials should be sent to an address that differs from the address you had previously provided.

Regards,

Christina M. McGill
Senior Attorney, Division of Enforcement
U.S. Securities and Exchange Commission
100 F Street NE | Washington, D.C. 20549
t: (202) 551-5714 | e: mcgillch@sec.gov

From: Harshad Desai [REDACTED]
Sent: Friday, May 13, 2016 2:34 PM
To: McGill, Christina M.
Subject: RE: SEC v. Desai, et al; Case No. 2:11-cv-05597; Administrative Proceeding File No.: 3-17035

Dear Ms. McGill:

I am in receipt of your certified mail package. Please find the attached document and please acknowledge upon receipt of this email.

Thank you.

Respectfully,
Shreyans H. Desai

Exhibit A

Exhibit B
Page 1 of 2

DOB: [REDACTED]

Chief Complaint: [REDACTED]

Interval Events: [REDACTED]

History of [REDACTED]

History: [REDACTED]

it is located [REDACTED]

nger

History: [REDACTED]

History: [REDACTED]

History: [REDACTED]

Denies [REDACTED]

Review of Systems:

477 Rahway Avenue
Woodbridge, NJ 07095
(Tel) 732-527-0770 (Fax) 732-218-5872

54 South Dean Street
Englewood, NJ 07631
(Tel) 201-871-4000 (Fax) 201-568-6851

5605 Palisade Avenue
West New York, NJ 07093
(Tel) 201-422-7400 (Fax) 201-608-7500

[REDACTED] Denies any [REDACTED] or [REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

Other Studies:
[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

Attending Physician:

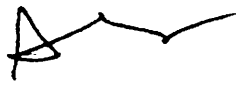


Exhibit B
Page 2 of 2

State of New Jersey



DAVID ADIN, D.O.
477 RAHWAY AVENUE
WOODBIDGE, NJ 07095

Phone: (732) 527-0770 Fax: (732) 218-5872



LICENSE #



DEA #



Debar, Shreyans

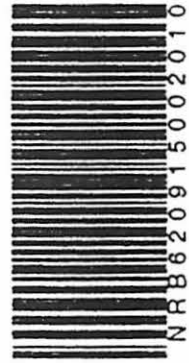
D.O.B.



ADDRESS

DATE

4/26/16



SUBSTITUTION PERMISSIBLE

DO NOT SUBSTITUTE

DO NOT REFILL



REFILL _____ TIMES

SIGNATURE OF PRESCRIBER

[Handwritten signature]

Exhibit C
Page 1 of 2

Use a separate form for each controlled substance prescription

THEFT, UNAUTHORIZED POSSESSION AND/OR USE OF THIS FORM INCLUDING ALTERATIONS OR FORGERY, ARE CRIMES PUNISHABLE BY LAW

State of New Jersey
PRESCRIPTION BLANK

INTERNAL MEDICINE ASSOCIATES OF
CENTRAL JERSEY

SUNIL A. PATEL, M.D.
1804 OAKTREE ROAD, SUITE 3
EDISON, NJ 08820-2783

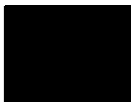
(732) 494-0080

NPI # 1972679942

LICENSE # [REDACTED]

DEA # [REDACTED]

IF PRESCRIPTION IS WRITTEN AT ALTERNATE PRACTICE SITE, CHECK HERE
AND PRINT ALTERNATE ADDRESS AND TELEPHONE NUMBER ON REVERSE SIDE



Desai, Shreyans

D.O.B.

5/10/16

ADDRESS



Dr. [REDACTED], Robert



Exhibit C
Page 2 of 2



MSIFF1115001182

SUBSTITUTION PERMISSIBLE

DO NOT SUBSTITUTE

DO NOT REFILL

SIGNATURE OF PRESCRIBER

REFILL *1* TIMES

Use a separate form for each controlled substance prescription

THEFT, UNAUTHORIZED POSSESSION AND/OR USE OF THIS FORM INCLUDING ALTERATIONS OR FORGERY, ARE CRIMES PUNISHABLE BY LAW

EXAMINATION REPORT

WFNJ-MED-1 (rev 1/15)

Patient's Name: SHREYANS DESAI

WFNJ Case Number: S562430

Section 1

Examining Healthcare Professional Name (Print):

David Asher

Date: 4/26/16

Examining Healthcare Professional Name (Signature):

Professional Credential and License Number:

Office Address:

477 Rahway Ave, Woodbridge, NJ 07095

Office Phone Number:

732 527 0770

Section 2

Date of Patient's Last Exam:

4/26/16

Patient's Date of Birth:

Patient Diagnoses/Date of Onset:

ICD-9-CM/DSM-5 Codes:

Current Treatment Regimen:

Treatment Recommendations/Frequency:

Does the patient require behavioral health/substance abuse treatment?

Do any of the above diagnoses limit the patient's ability to participate in gainful employment and/or occupational training (ex. unable to stand for long periods of time, unable to lift objects, etc.):

If yes, please specifically explain how the diagnoses limits the patient's ability to participate in gainful employment and/or occupational training (ex. unable to stand for long periods of time, unable to lift objects, etc.):

Is the patient able to engage in any gainful employment and/or occupational training of any kind?

— Please specify the date when you expect that the patient will be able to engage in any gainful employment and/or occupational training.

Do you expect the patient's barriers to employment/training to last longer than 6 months

County/Municipal Welfare Agency Use

Approved Deferral start date: ___/___/___ Deferral end date: ___/___/___

Incomplete-Requested additional information from provider on ___/___/___

Refer to One-Stop

Refer to SAI/BHI

Refer to SSI Project

Refer to Medical Fraud Division

CWAMWA Representative Name: GA Processing

Date: 4/25/2016

Exhibit D
Page 1 of 5

State of New Jersey
PRESCRIPTION BLANK

INTERNAL MEDICINE ASSOCIATES OF
CENTRAL JERSEY

SUNIL A. PATEL, M.D.
1804 OAKTREE ROAD, SUITE 3
EDISON, NJ 08820-2783

(732) 494-0080

NPI # [REDACTED]

LICENSE # [REDACTED] DEA # [REDACTED]

IF PRESCRIPTION IS WRITTEN AT ALTERNATE PRACTICE SITE. CHECK HERE AND PRINT ALTERNATE ADDRESS AND TELEPHONE NUMBER ON REVERSE SIDE

PATIENT Desai, Shreyans D.O.B. 5/10/16
ADDRESS _____ DATE _____



Dr. [REDACTED]
Rahmammurthy

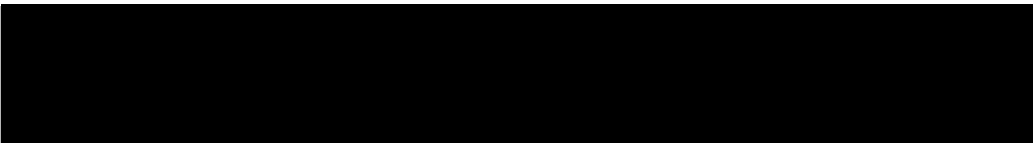


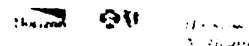
Exhibit D
Page 2 of 5



~~SUBSTITUTION PERMISSIBLE~~ DO NOT SUBSTITUTE
DO NOT REFILL _____ SIGNATURE OF PRESCRIBER _____
REFILL _____ TIMES _____

Use a separate form for each controlled substance prescription

THEFT, UNAUTHORIZED POSSESSION AND/OR USE OF THIS FORM INCLUDING ALTERATIONS OR FORGERY, ARE CRIMES PUNISHABLE BY LAW



Referral Submission - Response

Horizon NJ Health will compensate providers for services rendered, based on facts provided to Horizon NJ Health, except where the information is based on erroneous details given by the provider.

Referral Information

Referral Number:	0264207	Referral Status:	Valid
Referral Date:	03/23/2016	Referral Expiration:	03/23/2016

Patient Information

Member Name:	MEMBER NAME	Benefit Begin:	03/23/2016
Member ID:	MEMBER ID	Member Address:	MEMBER ADDRESS
Product:	MEMBER PRODUCT	Date Of Birth:	MEMBER DOB
Eligibility Status:	MEMBER STATUS	Gender:	MEMBER GENDER
		Member Language:	MEMBER LANGUAGE

Diagnosis Information

Type	Diagnosis Code	Description
------	----------------	-------------

Service Information

Referred From Provider Group:	MEMBER PROVIDER GROUP	Referred To Individual Provider:	MEMBER PROVIDER
Referred From Provider Group PIN / NPI:	MEMBER GROUP PIN/NPI	Referred To Individual Provider PIN / NPI:	MEMBER PROVIDER PIN/NPI
Service Type:	MEMBER SERVICE TYPE		
Number Of Visits:	MEMBER VISITS		

Disclaimer

Referral Submission does not guarantee payment of services. Refer to the Member Benefit Matrix on the Plan Central for coverage information.

If you provide services without appropriate referral/authorization, understand that the claim may be denied for payment. Please refer to the Provider Manual for a list of services which require authorization. For authorization, please call Horizon NJ Health at 1-800-682-9094.

Horizon NJ Health will compensate providers for services rendered, based on facts provided to Horizon NJ Health, except where the information is based on erroneous details given by the provider.

Disclaimer

Referral Submission does not guarantee payment of services. Refer to the Member Benefit Matrix on the Plan Central for coverage information.

If you provide services without appropriate referral/authorization, understand that the claim may be denied for payment. Please refer to the Provider Manual for a list of services which require authorization. For authorization, please call Horizon NJ Health at 1-800-682-9094.

Horizon NJ Health will compensate providers for services rendered, based on facts provided to Horizon NJ Health, except where the information is based on erroneous details given by the provider.

Exhibit D
Page 4 of 5



Referral Submission - Response

Horizon NJ Health is pleased to provide you with this information regarding your referral submission. This information is provided for informational purposes only and does not constitute a contract.

Referral Information

Referral Number: 10000000000000000000	Referral Status: Approved
Referral Date: 01/01/2016	Referral Expiration: 01/01/2016

Patient Information

Member Name: JOHN A. BROWN, JR.	Benefit Begin: 01/01/2016
Member ID: 10000000000000000000	Member Address: 1000 MAIN ST, NEWARK, NJ 07102
Product: MEDICAID - FAMILY CARE	Date Of Birth: 01/01/1950
Eligibility Status: Active	Gender: M
	Member Language: English

Diagnosis Information

Type	Diagnosis Code	Description
------	----------------	-------------

Service Information

Referred From Provider Group: HORIZON AMBA, AL PAC, INC	Referred To Individual Provider: JOHN A. BROWN, JR.
Referred From Provider Group PIN / NPI: 10000000000000000000	Referred To Individual Provider PIN / NPI: 10000000000000000000
Service Type: Consultation and Treatment	
Number Of Visits: 1	

Disclaimer

Referral Submission does not guarantee payment of services. Refer to the Member Benefit Matrix on the Plan Central for coverage information.

If you provide services without appropriate referral/authorization, understand that the claim may be denied for payment. Please refer to the Provider Manual for a list of services which require authorization. For authorization, please call Horizon NJ Health at 1-800-682-9094.

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Exhibit D

Page 5 of 5

MIDDLESEX COUNTY BOARD OF SOCIAL SERVICES

181 How Lane

P.O. Box 509

New Brunswick NJ 08903 (732) 745-3500



EMERGENCY ASSISTANCE SERVICE PLAN

Case Name: SHREYANS DESAI

Case Number: [REDACTED] 61 / [REDACTED] 80

Current Address: [REDACTED]
EDISON, N.J.

Phone: [REDACTED]

Previous Address: _____

Household Composition:

Names, ages and relationship of:

Adult females: _____

Adult males: SHREYANS [REDACTED] [REDACTED]

Male Children: [REDACTED] DESAI (6 YEARS)

Female Children: _____

Income:

TANF: [REDACTED] GA: pending

SSI: [REDACTED] SSD: _____

Other Unearned Income: _____ Source: _____

Earned Income: _____ Employer: _____

Total Available Income: [REDACTED]

[REDACTED]

Exhibit E
Page 1 of 2

NOTICE OF
IMMINENT HAZARD

Control Number: 0

Permit Number: 0

Date Permit Issued:

Notice Date: 10/28/2015

Violation Number: 2015008140

TOWNSHIP OF EDISON
00 MUNICIPAL BOULEVARD
EDISON, NJ 08817
201-2487257

IDENTIFICATION

Work Site Location: 7 RAMSEY RD Block: 1004 Lot: 14 Quali
Owner In Fee: DESAL, HARSHAD H Agent/Contractor: DESAL, HARSHAD H
Address: [REDACTED] Address: [REDACTED]
EDISON NJ [REDACTED] EDISON NJ [REDACTED]
Telephone: _____ Telephone: _____

To: Owner: _____ Other: _____
 Agent/Contractor: _____

Date Of Inspection: 10/27/2015

Date Of This Notice: 10/28/2015

ACTION

Take **NOTICE** that as a result of the inspections conducted by this agency on 10/27/2015 of the above property, an imminent hazard has been found to exist pursuant to N.J.S.A. 52:27D-132 and N.J.A.C. 5:23-2:32. The building or structure, or portion thereof, deemed an imminent hazard is described as follows:

NO ENTRY ALLOWED. CONTACT EDISON PD TO ENTER-732-

As such, you are hereby **ORDERED** to immediately and forthwith vacate the above structure or portion thereof.

Further, you are **ORDERED** to:

- Immediately correct the above noticed imminent hazards so as to render the structure temporarily safe and secure.
- Demolish the above structure by _____

Failure to immediately comply with this **ORDER** may result in the necessary correction being made by the Construction Official at the expense of the property owner pursuant to N.J.A.C. 5:23-2.32(b)5.

Failure to render the structure temporarily safe and secure and/or demolish the structure in accordance with this **ORDER** will result in this matter being forwarded to legal counsel for prosecution, and assessment of penalties up to \$2,000.00 per week per violation. You must immediately declare to the Construction Official, your acceptance or rejection of the terms of this **ORDER**.

If you wish to contest this Order, you must apply for a stay to a court of competent jurisdiction within 24 hours.

If you have any questions concerning this matter, please call: [REDACTED]

By Order of: [Signature]
JOHN SOLTESZ CONSTRUCTION OFFICIAL

Date: 10/28/15

Exhibit E
Page 2 of 2

Sent by Certified Mail # : _____

UNITED STATES COURT OF APPEALS FOR THE THIRD CIRCUIT

No. 16-1629

SEC v. Desai

To: Clerk

- 1) Letter Motion by Appellant for Extension of Time to File Brief and Appendix

The foregoing motion is granted. Appellant's brief and appendix must be filed and served on or before June 3, 2016.

For the Court,

s/ Marcia M. Waldron
Clerk

Dated: April 18, 2016
JK/cc: Christopher Paik, Esq.
Shreyans Desai

Exhibit F

MIDDLESEX COUNTY BOARD OF SOCIAL SERVICES

181 HOW LANE
P.O. BOX 509
NEW BRUNSWICK NJ 08903
(732) 745-3500
Toll Free: 1-800-792-9773



TANF/FS/MED

GA/FS/MED

Date: 4/25/2016

Case #: [REDACTED] 30

SHREYANS DESAI
at 8:30 AM

has an appointment to complete an application for WFNJ Programs on 4/18/2016

Required Verification Needed In Order to Process Your Application for Benefits:

* If the information listed below is not provided within 30 days of the application date, your application will be denied

- 1. Proof of Residence:** May use any of the following: Postmarked envelope (within the last 30 days) with your name and address on it, current utility bill, lease, signed statement from your landlord indicating the address and the amount of your monthly rent. If you are living with someone, submit their current utility bill and letter from them stating the living arrangement.
- 2. Photo ID** for all persons for whom you are applying who are 18 years or older
- 3. Birth or Baptismal Certificate** for all persons for whom you are applying proof of relationship between adult's and children must be provided.
- 4. Social Security Card** for all persons for whom you are applying.
- 5. Verification of Alien Status:** registration card, passport, I-94, date of entry, name of sponsor and name of settlement agency.
- 6. Verification of wages or other earned income:**
Your last 4 pay stubs or a letter from your employer verifying your last 4 consecutive gross pays.
If you are not working, a note from your employer stating your last day of work, the date and gross amount of your final pay and total gross for the month.
- 7. Health Insurance Card** (other than Medicaid) for all household members.
- 8. Verification of unearned income:** Unemployment Benefits, Disability, Social Security Benefits, Supplemental Security Income, Veteran Benefits, Child Support, Pension, Workers Compensation, Contributions from family members or friends, and all other income.
- 9. School Verification** indicating current grade level for each child between the ages of 16-18, parent/guardian name(s), and current address of child.
- 10. College term bill, financial aid award letter, and college work study letter and pay stubs.**
- 11. Verification of Loans** stating the amount, terms of repayment, and the name, address and phone number of the individual who granted the loan.
- 12. Verification of Resources:** checking and/or savings account statement(s), Christmas/vacation club, trust funds, stocks, bonds, certificates of deposit, IRA's, life insurance policies, vehicle registration or title (transaction in last 30 days).
- 13. If pregnant, physician's signed statement indicating expected date of delivery.** Complete attached form.
- 14. Marriage certificate, divorce papers, restraining orders, support orders, custody orders, guardianship papers.**
- 15. Out-of-Pocket Child care costs, legally obligated Child Support payments, out-of-pocket medical expenses for an elderly or disable FS household member, shelter costs for consideration of deductible expenses.** (* Failure to provide proof of deductible expenses will not result in denial of benefits).
- 16. Other:** Complete and return the Med I Deferral
- 17. Other:** YOU NEED TO COMPLETE A CHILD SUPPORT INTERVIEW

If you are unable to obtain requested verification, you must inform your worker immediately. The worker will, whenever possible, assist you in obtaining documentation.

If the agency does not receive the required information listed above by 5/12/2016 (30 days from date of application), your application will be denied on 5/12/2016

Worker's Name: Chris Vega

Customer's Signature:

Worker Phone: (732) 324-5583

Date: 4/26/16

TANF Fax: (732) 745-3730

GA Fax: (732) 745-3772

Monday, April 25, 2016
DIMS SNAP/FSP-33

Exhibit G

TANF/GA DB: rptIM2E
IM-2E



J. Smith
21611 South...

Sorry we missed you...

Track your package(s) at ups.com using the infoNotice number (provided below) or see the back for more detail.

Package(s) will be delivered to a **UPS Access Point™** location; you will need to pick up your package(s) at:

ADDRESS NAME CITY STATE ZIP+4

9th - 10:30

Package(s) will be available at this location for 7 calendar days.

Check ups.com to confirm package availability prior to pickup. Package(s) will typically be available for pickup by 1 p.m. on the next weekday (excluding holidays).

We will try again on the next weekday (excluding holidays).

Your action is required. See package(s) details.

- A) Someone must be here to accept delivery and sign for your package(s)
 - Must be 21 years of age or older
- B) We can leave your package(s) on the next weekday (excluding holidays) if you sign the back of this infoNotice
- C) Your package(s) has a C.O.D. due \$ See back for package details
 - Cashier's check or money order only

Your package was left at: Neighbor Other

Pickup attempted

This was our **final attempt**

Free delivery alerts!
ups.com/join



InfoNotice # 9396 5074 525 0

Need more help? Read the back or go to ups.com/infonotice

Exhibit H



State of New Jersey
OFFICE OF ADMINISTRATIVE LAW
33 Washington Street
Newark, New Jersey 07102
(973) 648-6063
Fax (973) 648-6124

Kelly J. Kirk
Administrative Law Judge

April 27, 2016

Mr. Shreyans H. Desai
[REDACTED]
Edison, NJ [REDACTED]

Victoria Manning, DAG
Division of Law
P.O. Box 45029
Newark, NJ 07101

Re: In the Matter of Shreyans Desai
OAL DKT. NO. BOS 16981-15

Dear Parties:

Per Mr. Desai's letter of April 22, 2016, he will be unavailable for the May 2, 2016 telephone conference. Additionally, per my assistant's telephone conference with Victoria Manning, DAG, the presently assigned DAG, Patrice Smiley, is moving to a different division and a new DAG will be assigned to this matter on May 9, 2016. Accordingly, please be advised that the May 2, 2016 telephone conference has been adjourned and rescheduled for May 16, 2016 at 2:00 p.m.

Thank you for your attention to this matter.

Very truly yours,


KELLY J. KIRK
Administrative Law Judge

KJK/dlc

Exhibit I
Page 1 of 2



State of New Jersey

OFFICE OF THE ATTORNEY GENERAL
DEPARTMENT OF LAW AND PUBLIC SAFETY
DIVISION OF LAW
PO Box 45029
Newark, NJ 07101
Labinot.Berlajolli@lps.state.nj.us

ROBERT LOUGY
Acting Attorney General

MICHELLE L. MILLER
Acting Director

CHRIS CHRISTIE
Governor

KIM GUADAGNO
Lt. Governor

May 2, 2016

VIA FACSIMILE (973) 648-6124
The Honorable Kelly J. Kirk, A.L.J.
State of New Jersey
Office of Administrative Law – Newark
33 Washington Street
Newark, New Jersey 07102

Re: IMO Shreyans Desai
Eocket No. BOS 16981-15

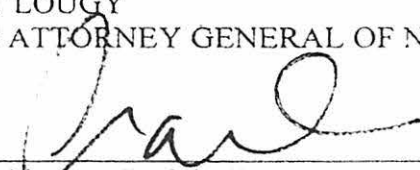
Dear Judge Kirk:

I write to inform you that I am the new Deputy Attorney General assigned to the Desai matter. Kindly send any future correspondence pertaining to the matter to my attention at the above-referenced address. Please take further note that for any future scheduling I will be away from June 2 – 14, 2016.

Thank you for your assistance in this matter.

Respectfully yours,

ROBERT LOUGY
ACTING ATTORNEY GENERAL OF NEW JERSEY

By: 
Labinot A. Berlajolli
Deputy Attorney General

cc: Shreyans Desai (via overnight and regular mail)

Exhibit I
Page 2 of 2



LAW OFFICES

SEAMAN AND CLARK

WELLS FARGO BANK BUILDING

14 SMITH STREET WHITE HALL

PERTH AMBOY, NEW JERSEY

(732) 391-1000

FAX (732) 391-1000

FRANCIS M. SEAMAN (1927-1970)

THOMAS A. CLARK

JOHN J. PINNE

ANDREW J. CLARK

March 29, 2016

Ms. Jade Beasley
Case Manager
Toler House
20 Toler Place
Newark, NJ 07114

Re: Shreyens Desai

Dear Ms. Beasley:

As you know, I represent Shreyens Desai, who is currently a resident of Toler House pending his residential release, regarding a number of legal matters. Mr. Desai had an appointment today to review numerous pending legal matters which have been delayed pending his release, which required his immediate attention, including his upcoming Social Security Disability Hearing, the health department issues regarding his father's home, the pending U.S. Supreme Court matter and federal court cases, as well as a state court claim made against him. Unfortunately, the sheer bulk of these matters meant that we could not get to all the issues we needed to.

It is important that I meet with Mr. Desai to review these matters again in detail, which will necessitate at least another three hour meeting, in order to best protect his legal interests. I am proposing that the meeting take place on Friday, April 8, 2016 at noon.

I greatly appreciate your cooperation and kind consideration. If there are any questions, please do not hesitate to contact me on my cell phone at [REDACTED]

Very Truly Yours


Thomas A. Clark

Exhibit J
Page 1 of 3

LAW OFFICES

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FRANCIS M. SEAMAN (1927-1970)

THOMAS A. CLARK

OF COUNSEL

ANDREW V. CLARK

PLEASE REFER ALL
CORRESPONDENCE TO

April 14, 2016

Ms. Jade Beasley
Case Manager
Toler House
20 Toler Place
Newark, NJ 07114

Re: Shreyens Desai

Dear Ms. Beasley:

As you are aware I represent Shreyens Desai. Unfortunately, I was unable to meet with him on April 8, 2016 as I was detained. I still urgently need to confer with him on the issues I previously indicated, including his upcoming Social Security Disability Hearing, the health department issues regarding his father's home, the pending U.S. Supreme Court matter and federal court cases, as well as a state court claim made against him.

I would like to meet with him on Wednesday, April 20, 2016 at 1 p.m..

I greatly appreciate your cooperation. If there are any questions, please do not hesitate to contact me on my cell phone at [REDACTED]

Very Truly Yours,
SEAMAN AND CLARK


Thomas A. Clark

Exhibit J
Page 2 of 3

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FRANCIS M. SEAMAN (902) 1970

THOMAS A. CLARK

STEPHEN M. CLARK

ANDREA S. CLARK

April 20, 2016

Ms. Jade Beasley
Case Manager
Toler House
20 Toler Place
Newark, NJ 07114

Re: Shreyens Desai

Dear Ms. Beasley:

As you are aware I represent Shreyens Desai. We met today, however, the meeting was shorter than scheduled because I was delayed in getting back to my office.

I do need to continue to confer with him on the issues I previously indicated, including civil, criminal, and disability issues.

I would like to meet with him on Wednesday, May 4, 2016 at 2 p.m. The meeting would last for two hours. Hopefully, I will be available at 2 for the scheduled two hour meeting.

I greatly appreciate your cooperation. If there are any questions, please do not hesitate to contact me on my cell phone at [REDACTED].

Very Truly Yours,
SEAMAN AND CLARK


Thomas A. Clark

Exhibit J
Page 3 of 3

From: McGill, Christina M. <McGillCh@SEC.GOV>
To: Harshad Desai [REDACTED]
Subject: Shreyans Desai, AP File No. 3-17035
Date: Thu, May 19, 2016 8:48 am

Mr. Desai,

The materials were resent to you yesterday via overnight delivery. The password is the same [REDACTED]

Christina M. McGill
Senior Attorney, Division of Enforcement
U.S. Securities and Exchange Commission
100 F Street NE | Washington, D.C. 20549
t: (202) 551-5714 | e: mcgillch@sec.gov

Exhibit K