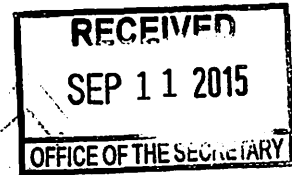


September 3, 2015

Securities and Exchange Commission
1 F Street NE
Washington, D.C. 20549-1090



Re: Bar from Association with any FINRA member (FINRA Rule 9552)
Aliza Ann Manzella, CRD No. 6014502
Matter No. 2014040782101

SEP 04 2015



To Whom It May Concern:

I am writing this letter because I have recently been barred from FINRA due to failing to provide information to FINRA. I received three different letters on August 11, 2015 via e-mail after calling FINRA and asking for copies of the letters I did not receive. I never took physical receipt of these letters via Certified Mail. This is my Application for Review.

I am unsure how to have this decision reversed. When FINRA called me last August, I was told that not writing a letter of explanation would prevent me from selling securities; the representative on the phone did not tell me that I would be barred from the entire financial services industry as a whole.

I was grieving during the time I was called by FINRA. It did not seem like something I needed to do because I had no interest in getting back into sales at that time. I was recently terminated as an Executive Administrative Assistant to a CEO in the financial services industry due to this FINRA violation.

My bachelor's degree is in finance, and I am scheduled to graduate in June 2016 with my MBA in finance. My degrees will be useless if I am unable to work in this field. I have 40 years left in the workforce and I do not want to be limited on what I can do.

I would greatly appreciate any direction someone from the SEC may provide on how to clear this matter. Thank you for your assistance in this matter. I appreciate you taking the time to read my letter. Please feel free to contact me directly with any questions or concerns you may have with the information provided below.

Most Sincerely,

A handwritten signature in cursive script that reads "Aliza A. Manzella".

Aliza A. Manzella

Chicago, Illinois

[Redacted]

[Redacted]

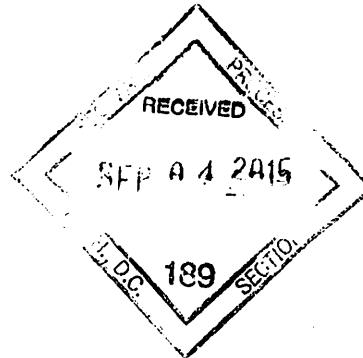
July 25, 2014

Via Certified and First Class Mail

Ms. Aliza Ann Manzella

Lake Barrington, [REDACTED]

Re: Notice of Suspension (FINRA Rule 9552)
Aliza Ann Manzella, CRD No. 6014502
Matter No. 2014040782101



Dear Ms. Manzella:

Notice of Suspension

PLEASE TAKE NOTICE that on August 18, 2014 (the "Suspension Date"), pursuant to FINRA Rule 9552, you will be suspended from associating with any FINRA member in any capacity because you failed to provide information to FINRA, which had been requested from you in accordance with and pursuant to FINRA Rule 8210. Specifically, you failed to respond to requests for information and documents from FINRA dated April 24, 2014 and May 14, 2014. Copies of the subject request letters are attached.

If you take corrective action by complying with the requests before the Suspension Date, the suspension will not take effect. Nonetheless, you may still be subject to a disciplinary action for your failure to respond timely to a request for information under FINRA Rule 8210.

Request for Hearing

Under FINRA Rule 9552(e), you may request a hearing in response to this Notice. Any hearing request must be in writing, state with specificity any and all defenses to the suspension and be filed with the Office of Hearing Officers. Any request for a hearing shall be made before the Suspension Date. A timely request for a hearing will stay the effective date of any suspension and FINRA Rule 9559 will govern the hearing. Your hearing request should be directed to:

FINRA Office of Hearing Officers
1735 K Street, NW, 2nd Floor
Washington, DC 20006

Ms. Aliza Ann Manzella
July 25, 2014
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Pursuant to FINRA Rules 8310(a) and 9559(n), a Hearing Officer or, if applicable, a Hearing Panel, may approve, modify or withdraw any and all sanctions or limitations imposed by this Notice and may impose any other fitting sanction.

Request for Termination of the Suspension

Under FINRA Rule 9552(f), if you are suspended, you may file a written Request for Termination of the Suspension on the ground of full compliance with this Notice. Such request must be filed with:

J Bradley Bennett, Executive Vice President, Enforcement
c/o Sandra J. Harris, Senior Director, Policy & Expedited Proceedings
FINRA
300 South Grand Avenue, Suite 1600
Los Angeles, CA 90071

Default

If you fail to request termination of the suspension within three (3) months of the date of this Notice of Suspension, you will automatically be barred on October 28, 2014, from associating with any FINRA member in any capacity. See FINRA Rule 9552(h).

If you have any questions, please contact me at (213) 613-2601 or via e-mail:
Sandra.Harris@finra.org.

Very truly yours,


Sai

Senior Director, Policy & Expedited Proceedings
Enforcement

Attachments

cc. Carla A. Romano, Regional Director (District 8 Chicago)



Financial Industry Regulatory Authority

VIA CERTIFIED AND FIRST CLASS MAIL

August 18, 2014

Aliza Ann Manzella



Lake Barrington, IL [Redacted]

Re: Suspension from Association with any FINRA Member (FINRA Rule 9552)
Aliza Ann Manzella, CRD No. 6014502
Matter No. 2014040782101

Dear Ms. Manzella:

Please be advised that, pursuant to FINRA Rule 9552 and in accordance with FINRA’s Notice of Suspension letter dated July 25, 2014 (“Notice of Suspension”), you were suspended on August 18, 2014, from associating with any FINRA member in any capacity.

Under FINRA Rule 9552(f), you may file a written Request for Termination of the Suspension on the ground of full compliance with the Notice of Suspension. Such request must be filed with:

J. Bradley Bennett, Executive Vice President, Enforcement
c/o Sandra J. Harris, Senior Director, Policy & Expedited Proceedings
FINRA
300 South Grand Avenue, Suite 1600
Los Angeles, CA 90071

If you fail to request termination of the suspension within three (3) months of the date of the original Notice of Suspension, you will automatically be barred on **October 28, 2014** from associating with any FINRA member in any capacity. See FINRA Rule 9552(h).

Aliza Ann Manzella

August 18, 2014

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If you have any questions, or if you would like another copy of the Notice of Suspension, please contact me at (213) 613-2601.

Very truly yours



and



Senior Director, Policy & Expedited Proceedings

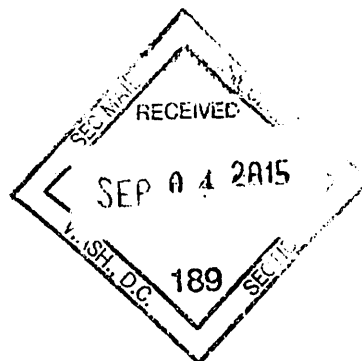
cc: Carla A. Romano, Regional Director (District 8 Chicago)



Financial Industry Regulatory Authority

VIA CERTIFIED AND FIRST CLASS MAIL

October 28, 2014



Aliza Ann Manzella

Lake Barrington, IL

Re: Bar from Association with any FINRA member (FINRA Rule 9552)
Aliza Ann Manzella, CRD No. 6014502
Matter No. 2014040782101

Dear Ms. Manzella:

Please be advised that, pursuant to FINRA Rule 9552(h) and, in accordance with FINRA's Notice of Suspension letter dated July 25, 2014 and the Suspension from Association letter dated August 18, 2014, you were barred from associating with any FINRA member in any capacity on October 28, 2014.

If you seek to appeal this regulatory action to the U.S. Securities and Exchange Commission (SEC), you must file an application with the SEC at the address listed below. To comply with the SEC's rule regarding timeliness, you must file the application for review within thirty days of your receipt of this letter. Also, a copy of the application, as well as copies of all documents you file with the SEC in connection with this matter, must be sent to FINRA. The SEC and FINRA addresses are as follows:

Office of the Secretary
U.S. Securities and Exchange Commission
100 F Street, NE
Mail Stop 1090
Washington, DC 20549

Alan Lawhead, Esq.
Office of General Counsel
FINRA
1735 K Street NW
Washington, D 20006

Any documents provided to the SEC via facsimile or overnight mail should also be provided to FINRA by similar means.

Aliza Ann Manzella
October 28, 2014
Page 2

If you file an application for review with the SEC, the application must identify the FINRA case number and set forth in summary form a brief statement of alleged errors in the determination and the supporting reasons. You must also include an address where you may be served and phone number where you may be reached during business hours. If your address or phone number changes, you must advise the SEC and FINRA. Attorneys must file a notice of appearance.

Questions regarding the appeal process may be directed to the Office of the Secretary at the SEC. The phone number of that office is (202) 551-5400.

Very truly yours,



Andrea J. Harri
Senior Director, Policy & Expedited Proceedings

cc: Edward Wegener, Director (District 8 Chicago)