

**UNITED STATES OF AMERICA**  
**Before the**  
**SECURITIES AND EXCHANGE COMMISSION**

**ADMINISTRATIVE PROCEEDING**

**File No. 3-16706**

**In the Matter of**  
**Sachin K. Uppal,**  
**Respondent**

**THE DIVISION OF ENFORCEMENT'S STIPULATION  
REGARDING SERVICE OF OPINIONS AND ORDERS**

Pursuant to the Commission's Order, dated March 18, 2020, concerning electronic service of papers, the Division of Enforcement ("Division") hereby stipulates and agrees to waive paper service of all opinions and orders in these proceedings and instead accept service of all opinions and orders by email to the following email address:

For the Division:  
John E. Birkenheier  
birkenheierj@sec.gov

The Division further stipulates and agrees to waive paper service in these proceedings of all papers described in Rule 150(a) (the "Papers") and instead accept service of all Papers by email to the email address listed above.

STIPULATED AND AGREED TO BY:

Date: May 26, 2020



John E. Birkenheier  
Supervisory Trial Counsel  
Securities and Exchange Commission  
Division of Enforcement  
175 W. Jackson Blvd., Suite 1450  
Chicago, IL 60604  
312-886-3947  
birkenheierj@sec.gov