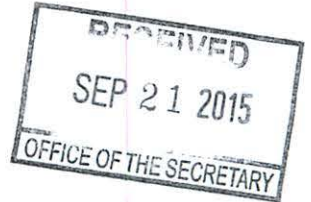


UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION

ADMINISTRATIVE PROCEEDING
File No. 3-16708



In the Matter of

SACHIN K. UPPAL,

Respondent.

THE DIVISION OF ENFORCEMENT'S
MOTION FOR SUMMARY DISPOSITION
AGAINST RESPONDENT SACHIN K. UPPAL

The Division of Enforcement ("Division"), pursuant to Rule 154 and Rule 250 of the Commission's Rules of Practice, submits this Motion for Summary Disposition against Respondent Sachin K. Uppal ("Respondent"). In support of this Motion, the Division submits the accompanying Memorandum of Law in support of its Motion for Summary Disposition Against Respondent and the exhibits attached thereto. The Division respectfully requests that the Court grant this motion and impose a collateral bar against Respondent.

Respectfully Submitted,

A handwritten signature in blue ink, appearing to read "Jerrold H. Kohn". The signature is written in a cursive style and is positioned above a horizontal line.

Jerrold H. Kohn
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Securities and Exchange Commission
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Dated: September 18, 2015



UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
CHICAGO REGIONAL OFFICE
SUITE 900
175 WEST JACKSON BLVD.
CHICAGO, ILLINOIS 60604

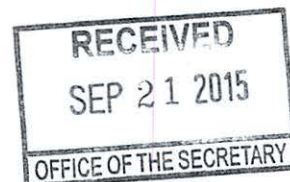
Jerrold H. Kohn
Senior Counsel
Division of Enforcement

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Facsimile: (312) 353-7398
E-mail: kohnj@sec.gov.

September 18, 2015

VIA UPS OVERNIGHT DELIVERY

The Honorable Brent J. Fields
Office of the Secretary
Securities and Exchange Commission
100 F. Street, N.E. - Mail Stop 8626
Washington D.C. 20549



Re: In the Matter of Sachin K. Uppal (Admin. Proc. File No. 3-16708)
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Dear Mr. Fields:

Enclosed please find the original and three copies of the Division of Enforcement's Renewed Motion for Summary Disposition against Respondent Sachin K. Uppal, the Memorandum of Law in Support of the Division's Motion, with exhibits, and the related Certificate of Service in the above-referenced matter.

Respectfully submitted,

Jerrold H. Kohn
Senior Counsel
Division of Enforcement

Enclosures