

UNITED STATES OF AMERICA  
Before the  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDING  
File No. 3-16708



In the Matter of :  
:   
Sachin K. Uppal :  
Respondent. :  
:

RESPONSE OF RESPONDENT TO SEC ORDER TO SHOW CAUSE AS TO WHY RESPONDENT'S RESPONSE IS DELAYED AND NOT CONFORMING TO RULES OF PRACTICE.

I. FACTS

On or about the 10th of September 10, 2015, the respondent received an "Order to Show Cause and Directing Division to Respond to Respondent's Motion" regarding his "Request of Extension" filed to Administrative Law Judge James E. Grimes.

In his response to the Respondent, Judge Grimes cites two issues that need to be resolved before he would be able to assess the Respondent's "Request of Extension."

A) "Uppal's motion did not include a certificate of service in accordance with Rule of Practice 151(d)..."

B) "...such information should be within's Uppal's personal knowledge and it is not apparent why he needs papers from the Division to Answer the OIP..."

II. DISCUSSION

On or about the 11th of September, 2015, the Respondent received, via certified-mail, a copy of the Division's Response to Respondent's request for an extension of time; and, the Respondent also received in that letter, a copy of the investigation file (packet).

In the aforementioned packet, the Division of Enforcement Attorney Mr. Jerrold Kohn states that "...the Division does not oppose Respondent's Motion for Extension of Time to File an Answer."

In regards to Point "A" cited under "Facts." Respondent was not aware of the need to file a certificate of service. That point is now clear to the Respondent and shall be adhered to in the future. Second, the Respondent did mail via regular mail Mr. Kohn's office a copy of the "Request of Extension." That particular letter was returned back to the Respondent on or about the 24th of August, 2015. The issue was that the letter mailed by the Respondent did not specify the suite number of the Chicago SEC office. (Exhibit 1) This matter, too, has been rectified. The label system at the BOP does provide for the addition of suite numbers on the address label.

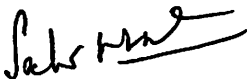
In regards to Point "B" cited under "Facts." Respondent is now in possession of the Division's investigation file re the Sachin K. Uppal matter that is before the SEC.

III. CONCLUSION

Since the Administrative Judge's requirements are met, the Respondent moves the Honorable Court to grant an extension of time--such that a timely response by the Respondent may be filed. Since the Respondent is now in possession of the investigation file, a reasonable extension may be granted/considered by the Court.

The Respondent respectfully asks for just that.

Submitted,



Sachin K. Uppal

[REDACTED]  
[REDACTED]  
Morgantown, WV [REDACTED]

Dated and Signed September 15, 2015

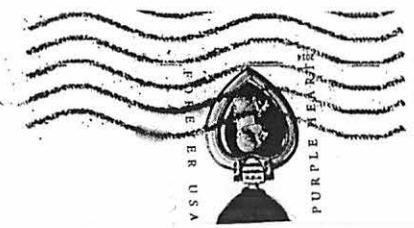
SACHIN KUMAR UPPAL

[REDACTED]

MORGANTOWN, WV [REDACTED]

PITTSBURGH PA 150

20 AUG 2015 PM 3 L



⇔50325-039⇔

Jerrold Kohn  
175 W Jackson BLVD  
Chicago, IL 60604  
United States

NIXIE 600 7E 1009 0008/26/15

RETURN TO SENDER  
ATTEMPTED - NOT KNOWN  
UNABLE TO FORWARD

BC: 26507100000 \*1143-03250-20-40

26507100000

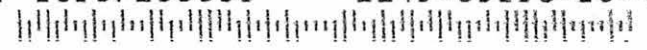


EXHIBIT 1

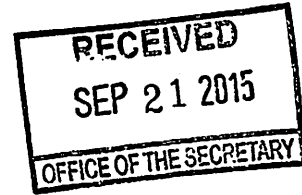
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Respondent.

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CERTIFICATE OF SERVICE

I, Sachin K. Uppal, certify that true and correct copies of the Respondent's Response to the "ORDER TO SHOW CAUSE AND DIRECTING DIVISION TO RESPOND TO RESPONDENT'S Motion," were served on the parties listed below:

Honorable James Grimes  
Administrative Law Judge  
Securities and Exchange Commission  
100 F Street, N.E. -Mail Stop 2557  
Washington, D.C. 20549  
(Via Postal Mail and Registered with the BOP)

Office of the Secretary  
U.S. SEC  
100 F. Street, N.E. -Mail Stop 1090  
Washington, D.C. 20549

Mr. Jerrold H. Kohn  
Division of Enforcement Attorney  
175 W. Jackson Blvd., Suite 900  
Chicago, IL 60604  
(Via Postal Mail and Registered with the BOP)

Respectfully Submitted,

A handwritten signature in black ink, appearing to read "Sachin Uppal".

Sachin Kumar Uppal

A large black rectangular redaction box covering several lines of text.

Morgantown, WV

Dated and Signed September 15, 2015