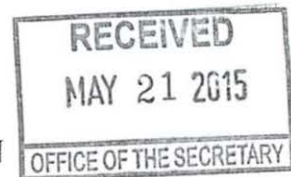


HARD COPY

**UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION**



In the Matter of the Application of:

BROOKSTONE SECURITIES, INC.

ANTONY LEE TURBEVILLE

CHRISTOPHER DEAN KLINE

DAVID WILLIAM LOCY

For Review of Action taken by

FINRA

Appeal of FINRA No. 2007011413501

3-16551

APPLICATION FOR REVIEW

Pursuant to FINRA Rule 9370(a) of the FINRA Code of Procedure, Section 19(d)(2) of the Securities and Exchange Act of 1934, C.F.R. §201.420, and Rule 420 of the SEC Rules of practice, Respondents Brookstone Securities, Inc. (“Brookstone”), Antony Lee Turbeville, Christopher Dean Kline, and David William Locy (“Respondents”) submit this Application for Review of the disciplinary action taken against them by FINRA. In support of this application, Respondents state as follows:

1. On April 16, 2015, FINRA’s National Adjudicatory Counsel (“NAC”) issued an order affirming the findings and sanctions imposed by the FINRA Hearing Panel. Specifically, the NAC affirmed the Hearing Panel’s finding that some or all of the Respondents made unsuitable recommendations to customers, committed fraud, issued violative communications to customers, failed to review discretionary customer accounts, and failed to enforce Brookstone’s supervisory procedures and reasonably supervise the activities of Brookstone’s representatives.

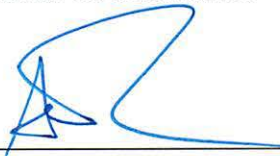
2. The NAC's determination was erroneous for the following reasons: (1) the Hearing Panel erred in finding that the Respondents made unsuitable recommendations involving CMO investments and/or failed to supervise those recommendations; (2) the Hearing Panel erred in finding that the Respondents committed fraud or misrepresented the CMO investments at issue; (3) Enforcement failed to prove the Respondents acted with scienter; (4) the Hearing Panel's evidentiary rulings improperly precluded Respondents from obtaining and presenting relevant evidence while allowing Enforcement to withhold discoverable evidence and present rampant hearsay testimony; and (5) the sanctions awarded were punitive rather than remedial in nature.

3. Respondents can be served at the following address:

c/o Alan M. Wolper
Ulmer & Berne LLP
500 West Madison, Suite 3600
Chicago, IL 60661
312-658-6564
Fax: 312-658-6565
awolper@ulmer.com

Respectfully submitted this 15th day of May, 2015.

Ulmer & Berne LLP



Alan M. Wolper
awolper@ulmer.com

Nathan W. Lamb
nlamb@ulmer.com
500 West Madison Street, Suite 3600
Chicago, Illinois 60661
(312) 658-6500 – General
(312) 658-6565 – Fax

James D. Sallah, Esq.
Sallah Astarita & Cox, LLC

**2255 Glades Road, Suite 300E
Boca Raton, Florida 33431
P: (561) 989-9080
F: (561) 989-9020
JDS@SALLAHLAW.COM**

Counsel for Respondents

CERTIFICATE OF SERVICE

I hereby certify that Respondents' APPLICATION FOR REVIEW has been sent to the following parties entitled to notice as follows:

Securities and Exchange Commission
Office of the Secretary
ATTN: Secretary of the Commission
100 F. Street N.E.
Washington D.C. 20549
Mail Stop 1090-Room 10915
Fax: 202-772-9324
(One copy via fax; original and three copies via Federal Express)

Gary Dernelle, Esq., Associate General Counsel
FINRA, Office of the General Counsel
1735 K Street, NW,
Washington, DC, 20006-1506
Fax: (202) 728-8264
(One copy via fax and one copy via Federal Express)

This 15th day of May, 2015.



Nathan Lamb