

U.S. SECURITIES AND EXCHANGE COMMISSION

-----X

In the Matter of the Application of	:	AP File No. 3-16424
LEK SECURITIES CORPORATION	:	
For Review of Disciplinary Action Taken By the	:	
NEW YORK STOCK EXCHANGE, INC.	:	

-----X

MOTION FOR ISSUANCE OF FINAL ORDER

Pursuant to Rules 154 and 420 of the Rules of Practice of the U.S. Securities and Exchange Commission (“Commission”), Applicant Lek Securities Corporation (“LSC”) and New York Stock Exchange LLC (the “NYSE”) (collectively, the “Parties”) hereby request jointly that the Commission issue forthwith a “final order”, as that term is used in Section 25(a)(1) of the Securities Exchange Act of 1934 (the “Exchange Act”), 15 U.S.C. §78y(a)(1), without any further briefs or other written submissions to the Commission by the Parties.

On February 6, 2015, the NYSE issued a decision imposing discipline on LSC (the “2015 NYSE Decision”), from which LSC timely sought review by the Commission. On March 6, 2025, the Commission issued an order (the “2025 SEC Decision”) deciding all but one of the review issues raised by LSC with respect to the 2015 NYSE Decision, with the sole remaining issue remanded back to the NYSE for further proceedings. That last remaining issue was resolved by an NYSE decision dated May 6, 2025 (the “2025 NYSE Decision”).

The Parties agree that there are no remaining factual or legal issues to be reviewed by the Commission. The Parties further agree that the 2025 SEC Decision (and its supporting record), as supplemented by the record concerning the 2025 NYSE Decision filed with the Commission by the NYSE on June 17, 2025, provide sufficient basis for the Commission to issue a final order

in this matter, from which LSC has preserved its rights to take further appeal by filing a petition with the appropriate United States Circuit Court of Appeals pursuant to Section 25(a)(1) of the Exchange Act. Nothing in this joint motion waives or in any way affects LSC's right to prosecute that appeal.

Dated: June 20, 2025

GUNGNIR LAW PLLC

By: /s/ Robert Knuts
Robert Knuts

P. O. Box 150
Greenport, NY 11944
(631) 477-6300 (o)
(917) 828-2235 (m)
rknuts@gungnirlaw.com

Attorneys for Applicant Lek Securities Corporation

NEW YORK STOCK EXCHANGE LLC

By: /s/ Daniel Z. Mollin
Daniel Z. Mollin
VP, Associate General Counsel

11 Wall Street
New York, NY 10005
(212) 656-4420 (o)
(917) 584-3308 (m)
Daniel.Mollin@ice.com

Counsel for New York Stock Exchange LLC