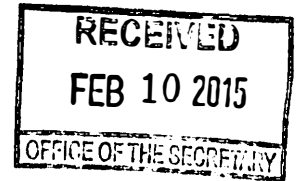


**UNITED STATES OF AMERICA**  
Before the  
**SECURITIES AND EXCHANGE COMMISSION**



**ADMINISTRATIVE PROCEEDING**  
**File No. 3-16358**

**In the Matter of**

**SPECTRUM CONCEPTS, LLC,  
DONALD JAMES WORSWICK,  
MICHAEL NICHOLAS GROSSO,  
and MICHAEL PATRICK  
BROWN,**

**Respondents.**

**DIVISION OF ENFORCEMENT'S STATUS  
REPORT ON SERVICE OF PROCESS ON  
RESPONDENT BROWN**

The Division of Enforcement ("the Division") hereby submits this status report on its efforts to obtain service of the Order Instituting Proceedings ("OIP") on Respondents Grosso and Brown. The Commission initiated this proceeding by instituting the OIP on January 23, 2015. On January 27, 2015, Chief ALJ Murray entered an order which, among other things, set the hearing in this matter for 9:30 am on February 27, 2015. By later order dated February 6, 2015, the hearing was cancelled and an initial prehearing conference was scheduled in this matter for 9:30 am on February 26, 2015.

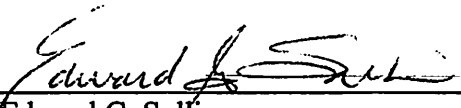
**I. SERVICE OF PROCESS ON RESPONDENT BROWN**

Throughout the staff's investigation in this matter, none of the four Respondents retained attorneys to represent them in this matter. The individuals all appeared pro se.

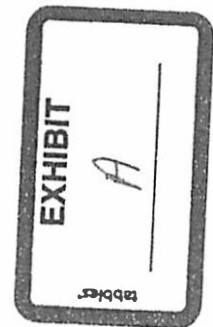
Counsel for the Division contacted RGP Attorney Services, LLC on January 23, 2015 and sent that firm the OIP and relevant addresses to perfect service of the OIP on the four Respondents, including on Respondents Brown and Grosso. To date, the process server has not obtained

*personal* service of process on Michael Patrick Brown at his last known address of 18153 181<sup>st</sup> Circle South, Boca Raton, Florida 33498. However, on February 9, 2015, undersigned Division counsel received a signed Domestic Return Receipt for delivery of the OIP to Mr. Brown at that address on January 29, 2015. This signed receipt was obtained by the Office of the Secretary, and provided to the Division. A true and correct copy of the Domestic Return Receipt signed by one Jeanette Baptist [handwritten surname unclear] at Brown's last known address is attached hereto as Exhibit A. The Division has no reason to believe that this signed, returned receipt at Brown's last known address would not constitute good service on Respondent Brown. Pursuant to the terms of the OIP, the Answer of Respondent Brown is due to be filed not later than February 18, 2015. Service of process efforts on Respondent Grosso continue, but have not been effectuated at this time.

Respectfully submitted, this 9<sup>th</sup> day of February, 2015.

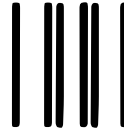
  
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<ul style="list-style-type: none"><li>■ Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired.</li><li>■ Print your name and address on the reverse so that we can return the card to you.</li><li>■ Attach this card to the back of the mailpiece, or on the front if space permits.</li></ul>	<p>A. Signature <input checked="" type="checkbox"/> <i>[Signature]</i> <input type="checkbox"/> Agent <input type="checkbox"/> Addressee</p> <p>B. Received by (Printed Name) _____ C. Date of Delivery <i>2-5-04</i></p>
<p>1. Article Addressed to:</p> <p>Mr. Michael Patrick Brown [Redacted] [Redacted]</p>	<p>D. Is delivery address different from item 1? <input type="checkbox"/> Yes If YES, enter delivery address below: <input type="checkbox"/> No</p> <p>3- 16358</p> <p><i>CLP</i></p> <p>Express Mail Return Receipt for Merchandise .O.D.</p> <p><input type="checkbox"/> Yes</p>
<p>2. Article Number (Transfer from service label)</p> <p>[Redacted]</p>	

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