

**UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION**

**RECEIVED
JAN 09 2015
OFFICE OF THE SECRETARY**

**ADMINISTRATIVE PROCEEDING
File No. 3-16316**

In the Matter of

**PAUL J. POLLACK AND
MONTGOMERY STREET
RESEARCH, LLC,**

Respondents.

**NOTICE OF SERVICE OF ORDER
INSTITUTING ADMINISTRATIVE AND
CEASE-AND-DESIST PROCEEDINGS ON
RESPONDENTS**

I hereby certify that on December 26, 2014, the Order Instituting Administrative and Cease-and-Desist Proceedings, the Order Scheduling Hearing and Designating Presiding Judge and the Division of Enforcement's Entry of Appearance was served by process server on the following person:

[REDACTED]

Respondent Pollack was personally served through a competent member of the household at the usual place of abode of Respondent Pollack. See Exhibit 1, Certificate of Service.

I hereby certify that on January 8, 2015, the Order Instituting Administrative and Cease-and-Desist Proceedings, the Order Scheduling Hearing and Designating Presiding Judge and the Division of Enforcement's Entry of Appearance was served by process server on the following entity:

[REDACTED]

Respondent Montgomery Street Research, LLC., was served through its registered agent, Nevada Corporate Planners, Inc., at [REDACTED] [REDACTED] [REDACTED] [REDACTED] [REDACTED] [REDACTED]. See Exhibit 2, Affidavit of Service.

Respectfully submitted this 9th day of January, 2015.



Gregory Kasper
Marc Ricchiute
Division of Enforcement
Securities and Exchange Commission
Denver Regional Office
1961 Stout Street, Ste. 1700
Denver, CO 80294
Email: kasperg@sec.gov;
ricchiutem@sec.gov

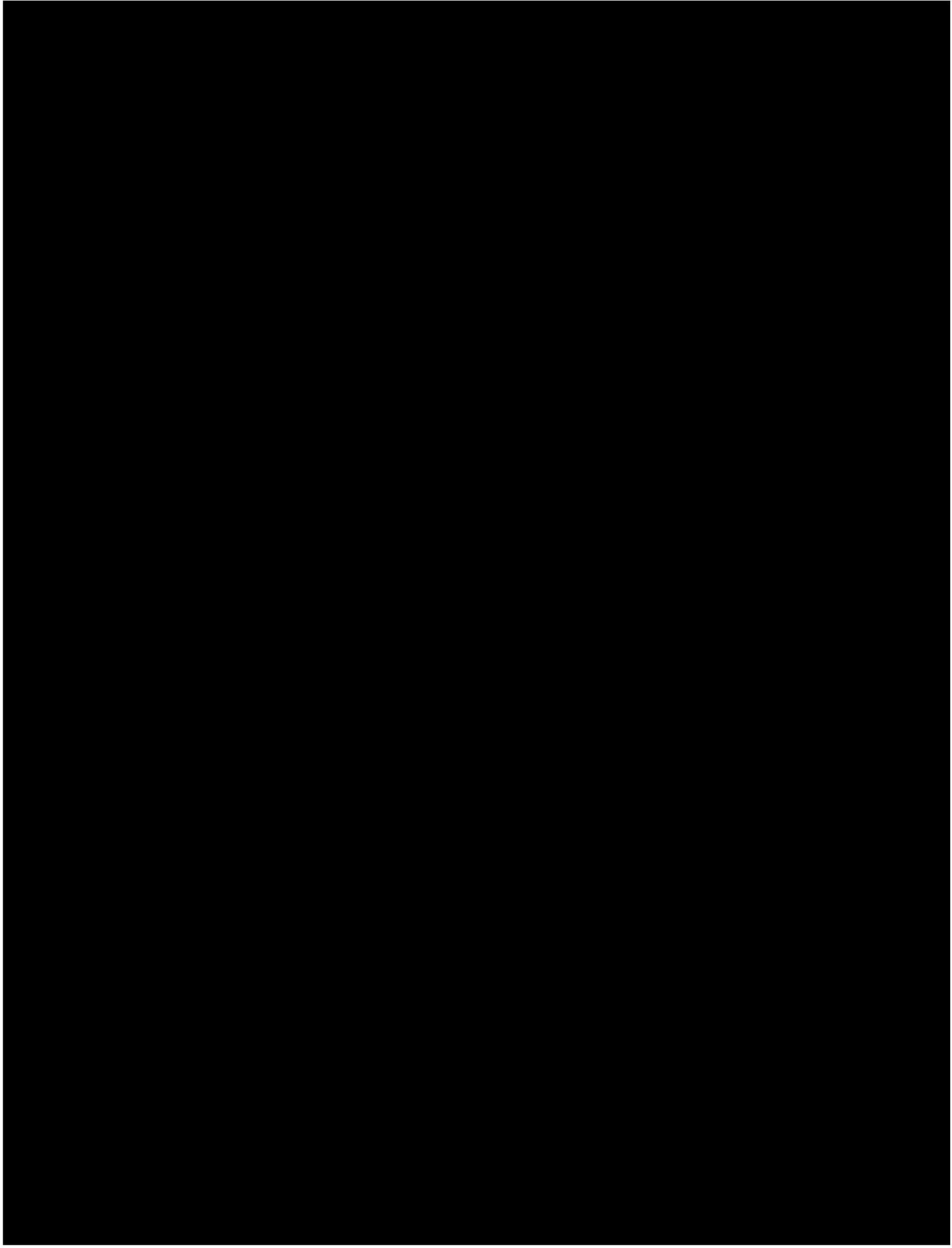


Exhibit 1

Attorney or Party without Attorney: Gregory Kasper, Esq. U.S. Securities and Exchange Commission 1991 Stout Street Ste 1700 Denver, CO 80294 TELEPHONE No.: (720) 533-4362 Attorney for: Division of Enforcement		Ref No. or File No.:	
Issued name of Court, and Judicial District and Branch Court:			
Plaintiff: IN THE MATTER OF Defendant: PAUL J. POLLACK, ET AL			
CERTIFICATE OF SERVICE		HEARING DATE: 1/20/2015	TIME: 9:30 AM
		DEPT.:	CASE NUMBER: 3-16316

At the time of service I was at least 18 years of age and authorized to serve process in this case.

I served copies of the ORDER INSTITUTING ADMINISTRATIVE PROCEEDINGS (001 OIP); ORDER SCHEDULING HEARING AND DESIGNATING PRESIDING JUDGE (002 ORDER SCHEDULING HEARING; DIVISION'S ENTRY OF APPEARANCE (003)

a. Party served Paul J. Pollack

Address where served: [Redacted]

5. I served the party

b. On: 12/28/2014 at: 10:10 AM I left the documents in the presence of: Sharon Pollack - Resident/Wife

Age: 40+ Race: White Sex: Female Eyes: Height: 5'5" Weight: 140 lbs Hair: Blonde

(2) (home) a competent member of the household at the dwelling house or usual place of abode of the party. I informed him or her of the general nature of the papers.

Person who served papers:

Name: Suzette Joralmon
County of Maricopa, [Redacted]
[Redacted]
www.nationwidecaseap.com

The fee for service was: \$ 60.00

I declare under penalty of perjury under the laws of the State of Arizona that the foregoing is true and correct.

Date: December 30, 2014


(Suzette Joralmon)

AZ11715

Exhibit 2

AFFIDAVIT OF SERVICE

Case Number: 3-16316

In the Matter of

**PAUL J. POLLACK and
MONTGOMERY STREET
RESEARCH, LLC,**

Respondents.

Received by Bulet Legal Services on the 8th day of January, 2015 at 1:45 pm to be served on **MONTGOMERY STREET RESEARCH, LLC, Nevada Corporate Planners, Inc. - Registered Agent,** [REDACTED]

I, Bert Lott, being duly sworn, depose and say that on the 8th day of January, 2015 at 2:54 pm, I:

served an ENTITY/CORPORATION by delivering a true copy of the **ORDER INSTITUTING ADMINISTRATIVE AND CEASE-AND-DESIST PROCEEDINGS PURSUANT TO SECTIONS 15(b) AND 21C OF THE SECURITIES EXCHANGE ACT OF 1934 AND SECTIONS 9(b) OF THE INVESTMENT COMPANY ACT OF 1940, AND NOTICE OF HEARING, ORDER SCHEDULING HEARING AND DESIGNATING PRESIDING JUDGE and ENTRY OF APPEARANCE OF GREGORY KASPER AND MARC RICCHUTE AS COUNSEL FOR THE DIVISION OF ENFORCEMENT** to: **SCOTT HINAU** as Registered Agent Office Manager, authorized to accept for **MONTGOMERY STREET RESEARCH, LLC,** at the address of: Nevada Corporate Planners, Inc. - Registered Agent, [REDACTED]

Description of Person Served: Age: 35, Sex: M, Race/Skin Color: WHITE, Height: 5'9", Weight: 210, Hair: BLACK, Glasses: N

I certify that at all times herein Affiant was and is a citizen of the United States, over 18 years of age, and not a party to or interested in the proceeding in which this affidavit is made.

State of Nevada
County of Clark

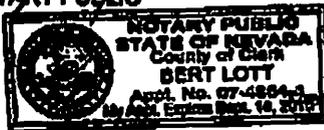

Bert Lott
#1471

Subscribed and Sworn to before me on the 8th day
of Jan, 2015 by the affiant who is
personally known to me.

Services
[REDACTED]

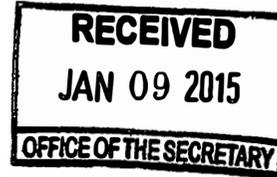
NOTARY PUBLIC

Our Job Serial Number: BRT-201600098





**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION**
DENVER REGIONAL OFFICE
1961 STOUT STREET, SUITE 1700
DENVER, COLORADO 80294-1961
303.844.1000



TELECOPIER TRANSMITTAL COVER SHEET

DATE: January 9, 2015
TO: Office of the Secretary
FAX NUMBER: 202.772.9324
FROM: Greg Kasper, Esq.

**Number of Pages
(Including Cover Sheet):** 8

RE: In the Matter of Paul J. Pollack, et al. (3-16316)

COMMENTS:

Notice of Service of OIP

This facsimile transmission is intended for the named recipient only and may contain confidential or privileged material. If you receive this transmission in error please notify the sender at the telephone number listed above immediately.