

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION

ADMINISTRATIVE PROCEEDING

File No. 3-16181

In the Matter of

Duncan J. MacDonald, III,


Respondent.

**DIVISION OF ENFORCEMENT'S
NOTICE REGARDING RESPONDENT'S
REQUEST TO VACATE NRSRO AND
MUNICIPAL ADVISOR BARS**

On February 1, 2017, the Commission ordered the parties to provide briefing regarding Respondent Duncan MacDonald's March 9, 2016 request to vacate the NRSRO and municipal advisor bars imposed against him by an April 24, 2015 order of the Commission in this proceeding. In light of the nature of the Respondent's conduct that occurred on or after July 22, 2010, the Division does not object to vacating the NRSRO and municipal advisor bars.

March 1, 2017

Respectfully submitted,


Timothy L. Evans
Division of Enforcement
Securities and Exchange Commission
Fort Worth Regional Office
801 Cherry St, Suite 1900
Fort Worth, Texas 76102
(817) 978-8036 (phone)
(817) 978-4927 (fax)

CERTIFICATE OF SERVICE

In accordance with Rule 150 of the Commission's Rules of Practice, I hereby certify that a true and correct copy of the foregoing **DIVISION OF ENFORCEMENT'S NOTICE REGARDING RESPONDENT'S REQUEST TO VACATE NRSRO AND MUNICIPAL ADVISOR BARS** was served on the persons listed below on the 1st day of March, 2017, via UPS, certified mail, return-receipt requested, and e-mail as indicated:

Via UPS and electronic mail
Honorable Jason S. Patil
Administrative Law Judge
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549-2557

Via certified mail
Mr. Duncan J. MacDonald, III
Register Number: 46632-177 SPECIAL MAIL
OPEN ONLY IN PRESENCE OF INMATE
Seagoville FCI
2113 North Hwy 175
Seagoville, TX 75159



Timothy L. Evans

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
100 F St. NE
Washington, D.C. 20549



FAX TRANSMITTAL

PLEASE DELIVER THE FOLLOWING PAGES TO:

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Please find attached the *Division of Enforcement's Notice Regarding Respondent's Request to Vacate NRSRO and Municipal Advisor Bars* in the above matter. Original hard copies will be forwarded via UPS Overnight Delivery as noted in the attached letter.

Respectfully,

Deborah A. Minnick

Trial Paralegal

U.S. Securities and Exchange Commission

Fort Worth Regional Office

(817) 900-2617

A05



UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
BURNETT PLAZA, SUITE 1900
801 CHERRY STREET, UNIT #18
FORT WORTH, TEXAS 76102-8882
PHONE: (817) 978-3821 FAX: (817) 978-4927

IN REPLYING
PLEASE QUOTE
FW-3757

March 1, 2017

Via RightFax and United Parcel Services

Office of the Secretary
United States Securities and Exchange Commission
100 F. Street, NE
Washington, D.C. 20549



Re: *In the Matter of Duncan J. MacDonald, III*
Administrative No. 3-16181

Dear Ladies and/or Gentlemen:

Pursuant to Rules 151 and 152 of the Commission Rules of Practice, the Division is filing the enclosed *Notice Regarding Respondent's Request to Vacate NRSRO and Municipal Advisor Bars* regarding the above-referenced matter transmitting to the Commission (Office of the Secretary) an original and three copies.

Sincerely,



Deborah Minnick
Trial Paralegal

Attachment

cc: Honorable Jason S. Patil Via UPS and Email
Administrative Law Judge
U.S. Securities and Exchange Commission
100 F. Street, NE
Washington, DC 20549-2557

Mr. Duncan J. MacDonald, III Via U.S. Mail, Certified Return Receipt Requested
Register Number: 46632-177 SPECIAL MAIL
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