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#### UNITED STATES OF AMERICA

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SECURITIES AND EXCHANGE COMMISSION OFFICE OF THE SECRETARY

In the Matter of Gregory T. Bolan, Jr. and Joseph C. Ruggieri, Respondents.

Admin. Pro. File No. 3-16178

## RESPONDENT GREGORY T. BOLAN JR.'S NOTICE OF REQUEST FOR ISSUANCE OF HEARING SUBPOENAS

Pursuant to Rule 232 of the Commission's Rules of Practice, Respondent Gregory T. Bolan, Jr. gives notice that he has requested that Administrative Law Judge Jason S. Patil issue the attached hearing subpoenas to Robert Hoehn, Eric Hebert, and Dave Foertsch.

Dated: March 3, 2015 New York, New York

SADIS & GOLDBERG LLP
SIM.
By: ())//
Samuel J. Lieberman
Michelle N. Tan <u>nev</u>



#### SUBPOENA TO APPEAR AND TESTIFY AT A HEARING

Issued Pursuant to U.S. Securities and Exchange Commission Rules of Practice 111(b) and 232, 17 C.F.R. §§ 201.111(b), 201.232.

1. TO Robert Hoehn Sterne, Agee & Leach, Inc.		This subpoena requires you to testify at a hearing, at the date and time specified in Item 4, at the request of the Party and/or Counsel described in Item 6, in this U.S. Securities and Exchange Commission Administrative Proceeding described in Item 5.		
<ol> <li>PLACE OF HEARING Jacob K. Javitz Federal Building</li> <li>Federal Plaza, Room 238 New York, N.Y. 10278</li> </ol>		<ul> <li>3. YOUR TESTIMONY AT THE HEARING WILL BE BEFORE</li> <li>Hon. Jason S. Patil</li> <li>Administrative Law Judge</li> <li>U.S. Securities and Exchange Commission</li> </ul>		
		4. DATE AND TIME OF TESTIMONY (testimony may also be required on subsequent dates of hearing) Beginning on Monday, March 30, 2015 at 9:30 a.m.		
5. TITLE OF THE MATTER AND ADMINISTRATIVE PROCEEDING NUMBER In the Matter of Gregory T. Bolan, Jr. and Joseph C. Ruggeri, AP File No. 3-16178		<ol> <li>PARTY AND COUNSEL REQUESTING ISSUANCE OF SUBPOENA Gregory T. Bolan, Jr.</li> </ol>		
DATE SIGNED	SIGNATURE OF ADM	INISTRATIVE LAW JUDGE		
GENERAL INSTRUCTIONS				
MOTION TO OUASH		TRAVEL EXPENSES		

The U.S. Securities and Exchange Commission's Rules of Practice require that any application to quash or modify a subpoena comply with Commission Rule of Practice 232(e)(1). 17 C.F.R. § 201.232(e)(1).

IRAVEL EXPENSES

Witness fees and mileage will be paid by the party at whose instance the witness appears. 17 C.F.R. § 201.232(f).

U.S. Securities and Exchange Commission Office of Administrative Law Judges Form



#### SUBPOENA TO APPEAR AND TESTIFY AT A HEARING

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1. TO Eric Hebert Highland Capital Management, L.P.		This subpoena requires you to testify at a hearing, at the date and time specified in Item 4, at the request of the Party and/or Counsel described in Item 6, in this U.S. Securities and Exchange Commission Administrative Proceeding described in Item 5.		
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### SUBPOENA TO APPEAR AND TESTIFY AT A HEARING

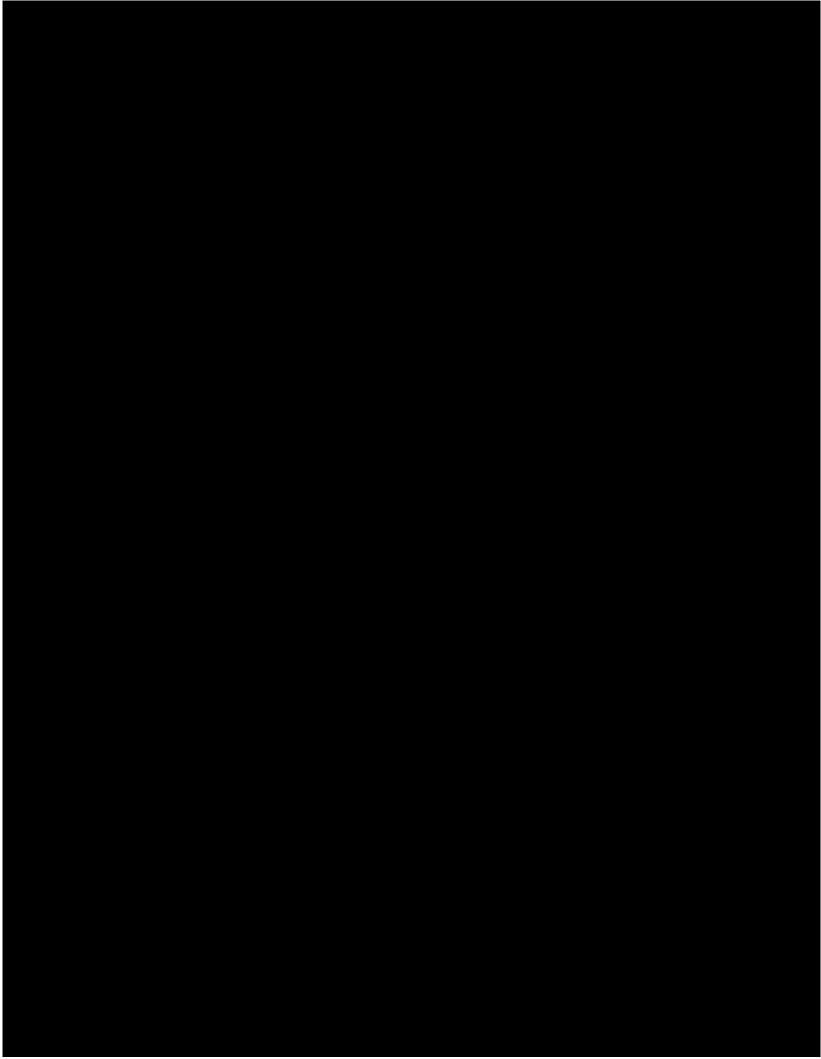
Issued Pursuant to U.S. Securities and Exchange Commission Rules of Practice 111(b) and 232, 17 C.F.R. §§ 201.111(b), 201.232.

1. TO Dave Foertsch Wells Fargo Securities, LLC c/o Michael Missal K&L Gates		This subpoena requires you to testify at a hearing, at the date and time specified in Item 4, at the request of the Party and/or Counsel described in Item 6, in this U.S. Securities and Exchange Commission Administrative Proceeding described in Item 5.		
<ol> <li>PLACE OF HEARING</li> <li>Jacob K. Javitz Federal Building</li> <li>26 Federal Plaza, Room 238</li> <li>New York, N.Y. 10278</li> </ol>		<ul> <li>3. YOUR TESTIMONY AT THE HEARING WILL BE BEFORE</li> <li>Hon. Jason S. Patil</li> <li>Administrative Law Judge</li> <li>U.S. Securities and Exchange Commission</li> </ul>		
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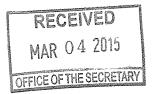
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U.S. Securities and Exchange Commission Office of Administrative Law Judges Form





March 3, 2015



#### VIA FACSIMILE & FEDEX OVERNIGHT MAIL

Brent J. Fields, Secretary Office of the Secretary U.S. Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549 Fax: (202) 772-9324

Re: <u>In the Matter of Gregory T. Bolan, Jr. and Joseph C. Ruggieri,</u> <u>AP File No. 3-16178</u>

Dear Mr. Fields:

Attached, please find Respondent Gregory T. Bolan Jr.'s Request for Issuance of Hearing Subpoenas and the requested subpoenas. The overnight delivery contains an original and three copies of each document for filing.

Respectfully submitted, Samuel J. Lieberman

cc: All counsel (by email)

The Honorable Jason S. Patil Administrative Law Judge Securities and Exchange Commission 100 F Street, NE Washington, DC 20549 alj@sec.gov (by email and first class mail)