

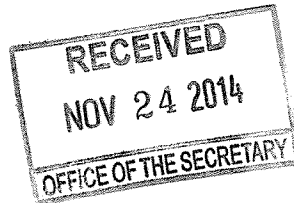
UNITED STATES OF AMERICA  
Before the  
SECURITIES AND EXCHANGE COMMISSION

ADMINISTRATIVE PROCEEDING  
File No. 3-16178

In the Matter of

Gregory T. Bolan, Jr. and  
Joseph C. Ruggieri,

Respondents.



CERTIFICATE OF SERVICE

I hereby certify that I served a copy of Respondent Joseph C. Ruggieri's Answer on November 17, 2014 upon the following parties by electronic mail in accordance with the parties' agreement:

The Honorable Jason S. Patil (alj@sec.gov)

Anthony Bruno (brunoa@sec.gov)

Alexander M. Vasilescu (vasilescua@sec.gov)

Charles Riely (rielyc@sec.gov)

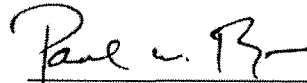
Daniel R. Walfish (walfishd@sec.gov)

Sandeep Satwalekar (satwalekars@sec.gov)

Sam Lieberman (sliberman@sglawyers.com) (counsel for Respondent Gregory T. Bolan)

Dated: New York, New York  
November 18, 2014

SERPE RYAN LLP

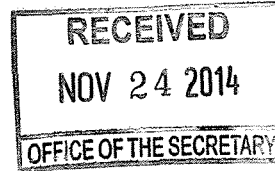


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Silvia L. Serpe  
Paul W. Ryan  
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New York, New York 10010  
(212) 257-5010

*Attorneys for Respondent*  
*Joseph C. Ruggieri*

November 18, 2014



**BY FIRST CLASS MAIL**

Brent J. Fields, Secretary  
Office of the Secretary  
Securities and Exchange Commission  
100 F Street N.E., Mail Stop 3628  
Washington, DC 20549

Re: *In the Matter of Gregory T. Bolan, Jr. and Joseph C. Ruggieri,*  
*AP File No. 3-16178*

Dear Mr. Fields:

Please find the attached Certificate of Service on behalf of Respondent Joseph C. Ruggieri. In accordance with Rule of Practice 152(d), I submit for filing an original and three copies.

Respectfully,

A handwritten signature in black ink that reads "Paul W. Ryan". The signature is written in a cursive style with a long horizontal stroke at the end.

Paul W. Ryan

cc: The Honorable Jason S. Patil (by email to [ALJ@sec.gov](mailto:ALJ@sec.gov) and First Class Mail)  
Sandeep Satwalekar, Division of Enforcement (by email)  
Sam Lieberman, Counsel for Gregory T. Bolan (by email)