



June 26, 2015

Mr. Brent Fields Securities and Exchange Commission Office of the Secretary 100 F Street, N.E. Washington, D.C. 20549

RE: In Re: Nicholas Rowe (Administrative Proceeding File No.: 3-16155)

Dear Mr. Fields:

v p

Enclosed please find my Submission in Response to Brief in Support of Mr. Rowe's Petition for Review of Initial Decision.

If you have any question, please contact me at the number below.

Very truly yours,

Peter N. Tamposi

cc: Marc J. Jones, Esq. Lawrence Pisto, Esq. Nicholas Rowe

UNITED STATES OF AMERICA Before the SECURITIES AND EXCHANGE COMMISSION

JUL 20 **2015**

In Re:	*
Nicholas Rowe,	*
	*
Respondent	*

₩¥.,

Administrative Proceeding

File No. 3-16155

<u>Submission in Response to Brief in Support of Mr. Rowe's</u> <u>Petition for Review of Initial Decision</u>

I, Peter N. Tamposi, neither a party nor counsel to any party in this case, file this submission in response to Mr. Rowe's Brief of May 14, 2015.

1. I do not represent Mr. Rowe in this matter, but am compelled to clarify my affidavit submitted by Mr. Rowe.

2. I was witness to the negotiations between Mr. Rowe and Messrs. Spill and Forcier regarding the Consent Order. I believe Spill and Forcier advocated for Mr. Rowe to agree to the Consent Order, but I do not believe they used any unethical tactics or unlawful means to that end.

3. In my opinion Mr. Rowe carefully considered the alternatives of a Consent Order, including but not limited to the hearing process at the Bureau of Securities Regulation, the potential penalty and the likely non-dischargeability of same in bankruptcy.

4. Although these factors informed Mr. Rowe's decision-making, I do not believe Mr. Forcier or Mr. Spill exerted any undue influence against Mr. Rowe.

1

Respectfully Submitted,

Dated: June 26, 2015

· + .

Peter N. Tamposi The Tamposi Law Group, P.C. 159 Main Street Nashua, NH 03060 (603) 204-5513 (603) 204-5515 (fax)

CERTIFICATE OF SERVICE

I hereby certify that on this date I served the foregoing pleading on each person named below via First Class Mail:

Marc J. Jones, Senior Trial Counsel Lawrence Pisto, Senior Counsel U.S. Securities and Exchange Commission Boston Regional Office 33 Arch St., 23d Floor Boston, MA 02110

Nicholas Rowe 30 Van Dyke Rd. Hollis, NH 03049

Dated: June 26, 2015

Peter N. Tamposi