

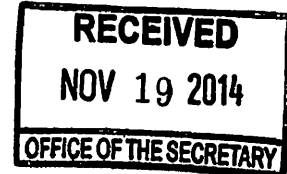
UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION

ADMINISTRATIVE PROCEEDING
File No. 3-16152

In the Matter of

Albert Reda,

Respondent.



DIVISION OF ENFORCEMENT'S STATUS REPORT REGARDING
SERVICE OF THE ORDER INSTITUTING PROCEEDINGS

The Division of Enforcement ("Division") hereby provides a status report regarding service of the Order Instituting Proceedings (OIP) in this matter.

On October 17, 2014, the Division sent, by certified mail, the OIP to Mr. Reda directly. He currently is incarcerated at the U.S. Penitentiary, USP Lompoc, in Lompoc, California. The Division requested return receipt as to that mailing, which it received on November 12, 2014. The return receipt indicated that the registered mailing had been received and signed for at USP Lompoc on November 6, 2014. A copy of the Return Receipt is attached hereto.

On November 17, 2014 I spoke with the Case Manager for Mr. Reda at the Lompoc facility, Tracy DuBose. Ms. DuBose advised me that procedures at Lompoc ensure that mail received at the facility is delivered to an inmate within twenty-four hours, which means Mr. Reda received the OIP no later than November 7, 2014.

Ms. DuBose also advised me that the Lompoc facility has the capability for telephonic conferences for inmates, but requested at least 7 days notice so that the necessary arrangement can be made.

SENDER: COMPLETE THIS SECTION

- Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to:

Albert Reda

[REDACTED ADDRESS]

 2. Article Number
 (Transfer from service label)

7004 0750 0000 5531 9129

COMPLETE THIS SECTION ON DELIVERY

A. Signature

X

-
- Agent
-
-
- Addressee

B. Received by (Printed Name)

C. Date of Delivery

1/16

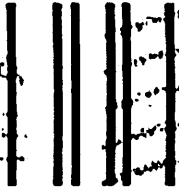
 D. Is delivery address different from item 1? Yes
 If YES, enter delivery address below: No

3. Service Type

-
- Certified Mail
-
- Express Mail
-
-
- Registered
-
- Return Receipt for Merchandise
-
-
- Insured Mail
-
- C.O.D.

4. Restricted Delivery? (Extra Fee) Yes

UNITED STATES POSTAL SERVICE
CALIFORNIA
05 NOV 2014 PM 2



First-Class Mail
Postage & Fees Paid
USPS
Permit No. G-10

• Sender: Please print your name, address, and ZIP+4 in this box •

MARVIN HARTLEY
Securities and Exchange Commission
33 Arch Street
23rd Floor
Boston, MA 02110-1424

RECEIVED

NOV 12 2014

SECURITIES AND EXCHANGE COMMISSION
BOSTON REGIONAL OFFICE



Dated: November 17, 2014

Respectfully submitted,

DIVISION OF ENFORCEMENT

//s// Martin F. Healey



Martin F. Healey
Regional Trial Counsel
Securities and Exchange Commission
33 Arch Street, 23rd Floor
Boston, MA 02025
(617) 573-8952

CERTIFICATE OF SERVICE

I hereby certify that true copies of the Division of Enforcement's Status Report was served on the following on this 17th day of November, 2014, in the manner indicated below:

By Electronic Mail

The Honorable Carol Fox Foelak
Administrative Law Judge
Securities and Exchange Commission
100 F Street, N.E.
Washington, D.C. 20549

By First Class Mail:

Albert Reda

[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]

■s// Martin F. Healey



Martin F. Healey



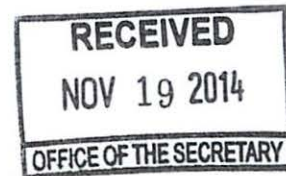
UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
BOSTON REGIONAL OFFICE
33 ARCH STREET
23RD FLOOR
BOSTON, MA 02110-1424

ENFORCEMENT
DIVISION

Martin F. Healey
Regional Trial Counsel
(617) 573-8952
healeym@sec.gov

November 17, 2014

Via Overnight Delivery
Brent J. Fields
Securities and Exchange Commission
Office of the Secretary
100 F Street, N.E.
Washington DC 20549



Re: In the Matter Albert Reda, Respondent
Administrative Proceeding File No. 3-16152

Dear Secretary Fields:

Enclosed please find an original and three copies of the Division of Enforcement's Status Report.

Very truly yours,

Martin F. Healey
Regional Trial Counsel
Division of Enforcement

Enclosures

cc: Honorable Carol Fox Foelak (w/enclosure, via Electronic Mail)
Albert Reda (w/ enclosure, via First Class Mail)