

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

RECEIVED
JAN 29 2015
OFFICE OF THE SECRETARY

Administrative Proceeding
File No. 3-16130

In the Matter of

SEAN C. COOPER,

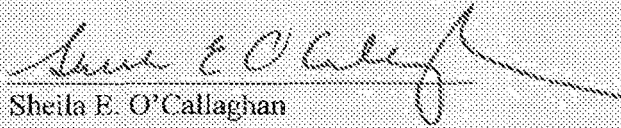
Respondent.

THE DIVISION OF ENFORCEMENT'S
REQUEST FOR ISSUANCE OF
SUBPOENAS TO TESTIFY AT
HEARING

The Division of Enforcement ("Division") submits this request pursuant to Rule 232 of the Commission's Rules of Practice for the issuance of subpoenas requiring George Bolton, Sean C. Cooper, Jeff Davenport, Christen Hardy, Gus Ozag and Elena Lombard Wiley to testify in the above captioned administrative proceeding. For the law judge's convenience, the Division has enclosed draft subpoenas along with a self-addressed UPS envelope in which the executed subpoenas may be returned for service by the Division.

Dated: January 29, 2015

Respectfully submitted,



Sheila E. O'Callaghan
John S. Yun
Eric M. Brooks
Attorneys for the Division of Enforcement
Securities and Exchange Commission
San Francisco Regional Office
44 Montgomery Street, Suite 2800
San Francisco, CA 94104
Phone: (415) 705-2459
Attorney for the Division of Enforcement



SUBPOENA TO APPEAR AND TESTIFY AT A HEARING

Issued Pursuant to U.S. Securities and Exchange Commission Rules of Practice 111(b) and 232, 17 C.F.R. §§ 201.111(b), 201.232

1. TO
Christen Hardy
c/o Cary S. Lapidus, Esq.



This subpoena requires you to testify at a hearing, at the date and time specified in Item 4, at the request of the Party and/or Counsel described in Item 6, in this U.S. Securities and Exchange Commission Administrative Proceeding described in Item 5.

2. PLACE OF HEARING

US Tax Courtroom 2-1408
450 Golden Gate Avenue
Second Floor
San Francisco CA 94102

3. YOUR TESTIMONY AT THE HEARING WILL BE BEFORE

Jason S. Patil
Administrative Law Judge
U.S. Securities and Exchange Commission

4. DATE AND TIME OF TESTIMONY

(testimony may also be required on subsequent dates of hearing)

March 2, 2015: 9:00a.m.

5. TITLE OF THE MATTER AND ADMINISTRATIVE PROCEEDING NUMBER

In the Matter of Sean C. Cooper
Administrative Proceeding File No. 3-16130

6. PARTY AND COUNSEL REQUESTING ISSUANCE OF SUBPOENA

DIVISION OF ENFORCEMENT
Sheila E. O'Callaghan

DATE SIGNED

SIGNATURE OF ADMINISTRATIVE LAW JUDGE

GENERAL INSTRUCTIONS

MOTION TO QUASH

The U.S. Securities and Exchange Commission's Rules of Practice require that any application to quash or modify a subpoena comply with Commission Rule of Practice 232(e)(1), 17 C.F.R. § 201.232(e)(1).

TRAVEL EXPENSES

Witness: fees and mileage will be paid by the party at whose instance the witness appears, 17 C.F.R. § 201.232(f).



SUBPOENA TO APPEAR AND TESTIFY AT A HEARING

Issued Pursuant to U.S. Securities and Exchange Commission Rules of Practice 111(b) and 232, 17 C.F.R. §§ 201.111(b), 201.232.

1. TO
Gus Ozag
c/o Cary S. Lapidus, Esq.
[Redacted]

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1. TO
SEAN C. COOPER
c/o Tarak Anada, Esq.
Jones Walker, L.L.P.
[Redacted]

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1. TO
Jeff Davenport
RBC Capital Markets, LLC
[Redacted]

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1. TO
Elena Lombardi Wiley
c/o Cary S. Lapidus, Esq.



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1. TO
George Bolton
c/o Cary S. Lapidus, Esq.
[Redacted]

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