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UNITED STATES OF AMERICA Before the SECURITIES AND EXCHANGE COMMISSION

ADMINISTRATIVE PROCEEDING

File No. 3-16047

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JAN 21 2015

OFFICE OF THE SECRETARY

In the Matter of

THE ROBARE GROUP, LTD., MARK L. ROBARE, AND JACK L. JONES JR.,

Respondents.

DIVISION OF ENFORCEMENT'S OBJECTIONS TO RESPONDENT'S EXHIBITS

Pursuant to the Order Setting Prehearing Schedule and General Prehearing Order, dated October 7, 2014, the Division of Enforcement ("Division") submits its Objections to Respondents Exhibits served on the Division on January 14, 2015. The Division reserves its right to amend or supplement the below list before the hearing.

Resp. Ex. #	Document Description	Objection
22	02/24/04 Triad Exam Notes	Not relevant
23	02/25/04 Triad Exam Notes	Not relevant
24	12/18/08 Triad Branch Audit Close Out Letter	Not relevant
25	2011 Triad Audit File	Not relevant
26	2012 Triad Audit File	Not relevant
27	2013 Triad Audit File	Not relevant
28	2014 Triad Audit File	Not relevant
38	1.0 Model	Not relevant
39	60/40 Model	Not relevant
41	Total NTF Calculations	Not relevant, lack of foundation
42	CSSA Payments	Not relevant, lack of foundation
75	Fidelity Client Agreement	Not relevant
76	Fidelity Client Agreement	Not relevant
77	Fidelity Client Agreement	Not relevant
78	Fidelity Client Agreement	Not relevant
79	Fidelity Client Agreement	Not relevant
100	Robare Investment Approach	Not relevant
101	6/30/05 Capital Markets Service Request	Not relevant

Resp. Ex. #	Document Description	Objection
102	Capital Markets Compliance Flyer with Notes	Not relevant
108	Robare – Customer Emails	Not relevant
118	Miriam Lefkowitz CV	Not relevant
119	Expert Report	Not relevant
120	Attachment to Customer Email	Not relevant

Dated: January 20, 2015.

Respectfully submitted,

Janje L. Frank

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Jessica Magee

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United States Securities and Exchange Commission

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SERVICE LIST

In accordance with Rule 150 of the Commission's Rules of Practice, I hereby certify that a true and correct copy of the foregoing *Division of Enforcement's Objections to Respondents Exhibit List* was served on the persons listed below on the 20th day of January, 2015, via certified mail, return-receipt requested:

Honorable James E. Grimes Administrative Law Judge Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549-2557

Honorable Brenda P. Murray Chief Administrative Law Judge Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549-2557

Mr. Mark Robare
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