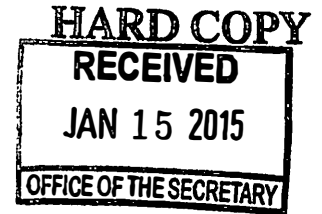


UNITED STATES OF AMERICA  
Before the  
SECURITIES AND EXCHANGE COMMISSION



**In the Matter of**

**THE ROBARE GROUP, LTD.,  
MARK L. ROBARE, AND JACK  
L. JONES JR.,**

**Respondents.**

**ADMINISTRATIVE PROCEEDING  
File No. 3-16047**

**DIVISION OF ENFORCEMENT'S WITNESS LIST**

Pursuant to the Court's Order Setting Prehearing Schedule and General Prehearing Order, the Division of Enforcement intends to call, or may call if necessary, the following witnesses to testify at the February 9, 2015 hearing scheduled in these proceedings:

1. Valeria Buschfort

[REDACTED]  
[REDACTED]  
[REDACTED]

Ms. Buschfort will testify about her duties and responsibilities while employed for the Commission in the Office of Compliance Inspections and Examinations and the nature, substance, and findings of the Commission's 2008 examination of The Robare Group.

2. Timothy Daniel Fahey  
c/o David Boch, Esq.  
Bingham McCutchen, LLP

[REDACTED]  
[REDACTED]  
[REDACTED]

Mr. Fahey will testify about his role and responsibilities as an employee of Fidelity Investments, Fidelity's relationship and contractual agreements with Robare Group, and his dealings and communications with Robare Group and its employees, agents, and representatives.

3. John Farinacci  
U.S. Securities and Exchange Commission  
Division of Enforcement, Asset Management Unit Specialist

[REDACTED]  
[REDACTED]  
[REDACTED]

Mr. Farinacci will testify about his role and responsibilities as an employee in the Asset Management Unit of the Commission's Division of Enforcement, his role, responsibilities and experiences as an employee of Fidelity Investments between 1996 and 2011, the underlying investigation of Respondents, and documents and data obtained in connection therewith. In addition, Mr. Farinacci may provide expert testimony, if necessary, to rebut expert testimony offered by Respondents.

4. Catherine Floyd  
U.S. Securities and Exchange Commission  
Senior Counsel, Division of Enforcement  
Fort Worth Regional Office

[REDACTED]  
[REDACTED]  
[REDACTED]

Ms. Floyd will testify about her role and responsibilities as an employee in the Commission's Division of Enforcement and the underlying investigation of Respondents, and documents and data obtained in connection therewith.

5. Barbara L. Gunn  
U.S. Securities and Exchange Commission  
Assistant Regional Director, Division of Enforcement, Asset Management Unit  
Fort Worth Regional Office

[REDACTED]  
[REDACTED]  
[REDACTED]

Ms. Gunn will testify about her role and responsibilities as an Assistant Regional Director in the Asset Management Unit of the Commission's Division of Enforcement and the underlying investigation of Respondents, and documents and data obtained in connection therewith.

6. Melissa Anne Rovers Harke  
U.S. Securities and Exchange Commission  
Division of Investment Management  
Branch Chief, Legal Guidance

[REDACTED]  
[REDACTED]  
[REDACTED]

Ms. Roverts will testify about the role and responsibilities of the Commission's Division of Investment Management in regulating registered investment advisers, including the advisers' obligation to file Form-ADV and relevant guidance, rulemaking, amendments, and requirements relating to the filing of Form-ADV.

7. Jack L. Jones, Jr., Respondent  
c/o Alan M. Wolper  
Ulmer & Berne LLP

[REDACTED]  
[REDACTED]  
[REDACTED]

Mr. Jones will testify about the matters alleged in the Order Instituting Proceedings herein and matters related thereto.

8. Bart McDonald  
c/o James B. Sallah, Esq.  
Sallah Astarita & Cox, LLC

[REDACTED]  
[REDACTED]  
[REDACTED]

Mr. McDonald will testify about his duties and responsibilities as an employee of Renaissance Regulatory Services, Inc., Renaissance's relationship and contractual agreements with Robare Group, and Renaissance's dealings and communications with Robare Group and its employees, agents, and representatives.

9. Karyn Mysliwicz  
United States Consumer Financial Protection Bureau  
Assistant Regional Director, Office of Supervision Examinations – Southeast Region

[REDACTED]  
[REDACTED]  
[REDACTED]

Ms. Mysliwicz will testify about her duties and responsibilities while employed for the Commission in the Office of Compliance Inspections and Examinations and the nature, substance, and findings of the Commission's 2008 examination of The Robare Group.

10. Mona Lisa Paygane  
c/o James B. Sallah, Esq.  
Sallah, Astarita & Cox

[REDACTED]  
[REDACTED]  
[REDACTED]

Ms. Paygane will testify about her duties and responsibilities as an employee of Renaissance Regulatory Services, Inc., Renaissance's relationship and contractual agreements with Robare Group, and Renaissance's dealings and communications with Robare Group and its employees, agents, and representatives.

11. Mark L. Robare, Respondent  
c/o Alan M. Wolper  
Ulmer & Berne LLP

[REDACTED]  
[REDACTED]  
[REDACTED]

Mr. Robare will testify about the matters alleged in the Order Instituting Proceedings herein and matters related thereto.

12. Mary Walters  
U.S. Securities and Exchange Commission  
Fort Worth Regional Office

[REDACTED]  
[REDACTED]  
[REDACTED]

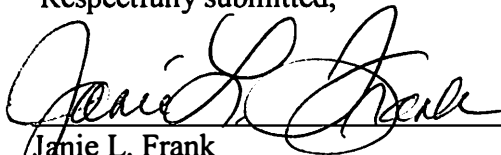
Ms. Walters will testify her role and responsibilities as an Assistant Regional Director in the Commission's Office of Compliance Inspections and Examinations and about the nature, substance, and findings of the Commission's 2008 examination of The Robare Group.

13. Melissa Zizza  
c/o David Boch, Esq.  
Bingham McCutchen, LLP

[REDACTED]  
[REDACTED] [REDACTED]  
[REDACTED]

Ms. Zizza will testify about her role and responsibilities as an employee of Fidelity Investments, Fidelity's relationship and contractual agreements with Robare Group, the nature and substance of Fidelity's agreements to pay contractual service fees to investment advisers including – but not limited to Robare Group – since at least 2003, the amounts Fidelity has paid under the agreements to The Robare Group, the services Fidelity provides to investment advisers, and Fidelity's dealings and communications with The Robare Group and its employees, agents, and representatives.

Respectfully submitted,



Janie L. Frank

Texas Bar No. [REDACTED]

Jessica B. Magee

Texas Bar No. [REDACTED]

United States Securities and  
Exchange Commission

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